FEDERAL BUREAU OF INVESTIGATION
FOI/PA
DELETED PAGE INFORMATION SHEET
FOI/PA# 1482505-000

Total Deleted Page(s) = 82
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DECLASSIFICATION AUTHORITY DERIVED FROM:
FBI AUTOMATIC DECLASSIFICATION GUIDE
DATE 01-15-2013

Memorandum

~~SECRET~~

Exec AD Adm.
Exec AD Inv.
Exec AD LES
Asst. Dir.:
Adm. Servs.
Crim. Inv.
Ident.
Insp.
Intell.
Lab.
Legal Coun.
Off. Cong. & Public Affs.
Rec. Mgnt.
Tech. Servs.
Training
Telephone Rm.
Director's Sec'y

To : Mr. Revell

Date 4/26/84

FOI/PA # 308,506
APPEAL #
CIVIL ACT. #
E.O. # 12,356
DATE 2/27/90 INITIALS pms
(S-1) Appropriate Agency

2/27/90
~~Classified by~~ SPSC1/pms
~~Declassify on: OADR~~ 308,506

From : J. M. O'Hara

Subject: COMPREHENSIVE SUMMARY OF THE NUGAN HAND BANK (NHB) CASE, FOREIGN POLICE COOPERATION (FPC)
OO: FBIHQ

~~ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE~~

PURPOSE: To provide a comprehensive summary of captioned case with recommendations for review and approval by appropriate FBIHQ officials [redacted]

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163-45612-40X

SYNOPSIS: The NHB was a member of the NHG, which was initially formed in Australia in the early 1970's by Francis John Nugan [redacted] The NHG empire collapsed financially following the apparent suicide of Nugan on 1/27/80, coupled [redacted] Nugan was facing criminal charges for defrauding shareholders and

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Referral/Consult

[redacted] As of this date, [redacted]

(CONTINUED - OVER)

Enclosures (3)

1-Mr. Colwell	1-Mr. Clarke	1-Mr. Meyer
1-Mr. Otto	1-Mr. Helterhoff	1-Mr. Meder
1-Mr. Geer	1-Mr. Klein	1-Liaison Unit, Intelligence Division
1-Mr. Revell	1-Mr. McWeeney	
1-Mr. Gilbert	1-Mr. O'Hara	

JEM:rlw/jey (15)

~~Classified by: G-3~~
~~Date of Declassification: OADR~~

SEE LCD ADDENDUM PAGE TEN

66 SEP 10 1984

★U.S. GOVERNMENT PRINTING OFFICE: 1983-411-864/9070

~~SECRET~~

file in 163-45612

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Since the above observation, this FPC case has been supervised and investigation directed by the Terrorism Section in conjunction with case. The results of the above inquiries to date have indicated that

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By letter dated 4/4/84 (copy attached),

Referral/Consult

Memorandum to Mr. Revell from N. V. O'Hara
Re: Comprehensive Summary of the NHB Case, FPC

RECOMMENDATIONS: (1) That Mr. Revell, Assistant Director, Criminal Investigative Division (CID), or his designated CID representative, agree to [redacted] b6 b7C b7D

APPROVED: [handwritten initials]
Director ____
Exec. AD-Adm. ____
Exec. AD-Inv. ____
Exec. AD-LES ____

(2) That [redacted] Legal Attache Canberra, and if appropriate, Legal Attache Canberra should [redacted] (U) b6 b7C b7D

APPROVED: [handwritten initials]
Director ____
Exec. AD-Adm. ____
Exec. AD-Inv. ____
Exec. AD-LES ____

(3) That, if approved, the FBIHQ supervisory and investigative responsibility of captioned FPC matter be officially assigned to the Terrorism Section (TS), CID, based on the fact that since September, 1982, this case has been supervised and investigations directed by the TS, CID, in conjunction with the ongoing [redacted] case. It is noted that the Fugitive/General Government Crimes Unit, General Crimes Section, CID, which has the overall FPC Program responsibility, prepared instant memorandum. (U) b6 b7C

APPROVED: [handwritten initials]
Director ____
Exec. AD-Adm. ____
Exec. AD-Inv. ____
Exec. AD-LES ____

(4) That, if approved, the TS, CID, [redacted] b6 b7C b7D

APPROVED: [handwritten initials]
Director ____
Exec. AD-Adm. ____
Exec. AD-Inv. ____
Exec. AD-LES ____

SECRET

Memorandum to Mr. Revell from N. V. O'Hara
Re: Comprehensive Summary of the NHB Case, FPC

Referral/Consult

(5) That the TS, CID, determine if the information requested by

(6) That the TS, CID, upon the determination of recommendation number 5, respond to Referral/Consult

DETAILS: The NHB was a member of the NHG which was initially formed and incorporated in Australia in the early 1970's by Francis John Nugan, an Australian citizen, and b6 b7C Prior to 1967: Referral/Consult

From 1977 to January, 1980, the NHG expanded internationally and reportedly had representative offices or locally incorporated companies operating in at least fifteen countries, including three administrative NHG centers located in Hong Kong, Singapore and Sydney, Australia. (U) (S) (U)

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Declassified (S-[illegible] (u) per Agency letter dtd 10/31/91 Sp-5ci [illegible] 12/6/91 #308,506 #307,158

The NHG financially collapsed following the apparent suicide of Nugan at Sydney, Australia, on 1/27/80, coupled with [redacted] At the time of his death, Nugan, age 37, was facing criminal charges for defrauding shareholders. While Nugan's death was officially ruled a suicide, many believed he was actually murdered. Nugan's body was discovered by the police in his car parked on a highway located outside of Sydney. He had died from a gunshot wound to the head and a rifle that belonged to him was located in the car next to his body. It was argued unsuccessfully that Nugan did not commit suicide since only a contortionist could have shot himself in the head with a rifle; his fingerprints were not found on the rifle, and on the day of his death he was completing negotiations for the purchase of a $2,200,000 country estate. Following Nugan's death, [redacted]

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On 10/20/78, [redacted] advised [redacted]

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After Nugan's apparent suicide, [redacted] and the NHG financial collapse, our Records Management Division received numerous FOIA requests from Australian journalists concerning the FBI's investigation into the NHB activities. In response, 119 pages of heavily excised documents were released to the above requestors. As an apparent result, The Sydney Morning Herald in September, 1980, wrote four articles insinuating that the FBI was conducting a substantive investigation of the NHB,

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had not fully cooperated with Australian authorities, and that there was CIA involvement in the NHB. Furthermore, in September, 1982, three articles appeared in the Wall Street Journal containing references of CIA involvement in the NHB and that the FBI had information of value which it refused to release in order to hinder the current criminal investigation being conducted by the Australian Government. [redacted]

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On 9/7/82, the Terrorism Section, CID, detected an apparent connection between the NHB principals and the subjects and associates of the ongoing FBI Foreign Agent Registration Act investigation of [redacted] Since September, 1982, this FPC case has been supervised and investigations directed by Terrorism Section in conjunction with [redacted] case. FBI investigation of [redacted] was initiated in 1976 concerning allegations that [redacted]

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Referral/Consult

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On 11/15/82, [redacted]

[redacted] by communication dated 6/10/83 the Terrorism Section, CID, advised our Alexandria Office that [redacted]

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By letter dated 4/4/84,

Referral/Consult

Memorandum to Mr. Revell from N. V. O'Hara
Re: Comprehensive Summary of the NHB Case, FPC

Referral/Consult

Memorandum from N.V. O'Hara to Mr. Revell, dated 4/26/84
RE: COMPREHENSIVE SUMMARY OF THE
NUGAN HAND BANK (NHB) CASE,
FOREIGN POLICE COOPERATION (FPC)
OO:FBIHQ

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ADDENDUM: LEGAL COUNSEL DIVISION (LCD) SES:bjj 5/29/84

All information contained herein is unclassified.

LCD has been requested by the Criminal Investigative Division (CID) to determine whether the FBI may

The Privacy Act, Title 5, United States Code (U.S.C.), Section 552a, prohibits the FBI from disclosing records contained within any of its records systems without the written authorization or consent of the individual to whom the record pertains, unless such disclosure is authorized by one or more of twelve exceptions. One such exception permits an agency to disclose a record pursuant to a "routine use."[1]

As published in the Federal Register, the FBI discloses information as a routine use, *inter alia*, to:

> ...any Federal agency where the purpose in making the disclosure is compatible with the law enforcement purpose for which it was collected, e.g.,...[2] Emphasis added.

As set forth in the attached memorandum, the FBI's involvement in captioned matter generally appears to have been one of

1/ Title 5, U.S.C., Section 552a(b)(2).

2/ 49 Fed. Reg. 16894 (1984).

Memorandum from N.V. O'Hara to Mr. Revell, dated 4/26/84
RE: COMPREHENSIVE SUMMARY OF THE
NUGAN HAND BANK (NHB) CASE,
FOREIGN POLICE COOPERATION (FPC)
OO:FBIHQ

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LCD notes that

Finally, as an aside, LCD notes that the information in question was collected by the FBI

SECRET

Memorandum from N.V. O'Hara to Mr. Revell, dated 4/26/84
RE: COMPREHENSIVE SUMMARY OF THE
NUGAN HAND BANK (NHB) CASE,
FOREIGN POLICE COOPERATION (FPC)
OO:FBIHQ

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APPROVED: Adm. Servs. ____ Crim. Inv. ____ Director ____ Exec. AD-Adm. ____ Exec. AD-Inv. ____ Exec. AD-LES ____ Ident. ____ Inspection ____ Intell. ____ Laboratory ____ Legal Coun. ____ Off. of Cong. & Public Affs. ____ Rec. Mgnt. ____ Tech. Servs. ____ Training ____

SECRET

April 5, 1984

AIRMAIL

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Dear

Sincerely yours,

Oliver B. Revell
Assistant Director
Criminal Investigative Division

NOTE:

NB:ddb (4)

140X

ENCLOSURE

1800 Pa[illegible] Street, SW
Washington, D.C. 20535

In Reply, Please Refer to
File No. 163-4792

December 7, 1982

NUGAN HAND BANK

WFO

On November 15, 1982,

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FOI/PA # 308,506
APPEAL #
CIVIL ACT. #
E.O. # 12,356
DATE 2/21/90 INITIALS DMS
(S-1) Appropriate Agency

2/21/90
Classified by SPSC11 DMS
Declassify on: OADR 308,506

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE.

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SECRET

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SECRET

On November 23, 1982,

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HAND BANK

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SECRET

FD-36 (Rev. 5-22-78)

FBI

TRANSMIT VIA:
- [ ] Teletype
- [ ] Facsimile
- [x] AIRTEL

PRECEDENCE:
- [ ] Immediate
- [ ] Priority
- [ ] Routine

CLASSIFICATION:
- [ ] TOP SECRET
- [ ] SECRET
- [ ] CONFIDENTIAL
- [ ] UNCLAS E F T O
- [ ] UNCLAS

Date 5/15/84

2/28/90 Classified by SPSer10ms
Declassify on: OADR 308,506

~~CONFIDENTIAL~~

TO: DIRECTOR, FBI (163-45612)

FROM: LEGAT, CANBERRA (163-4) (P)

NUGAN HAND BANK
FPC

~~ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE.~~

Re Canberra airtel with enclosures, dated 3/5/84;
Buairtel 4/4/84.

This communication classified ~~"Confidential"~~ in entirety.

Enclosed for the Bureau is [redacted]

[redacted]

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Inquiries continue at Canberra.

(3) - Bureau (enc.) ENCLOSURE
(1 - Liaison Unit)
~~1 - Canberra~~
LLL:ims
(4)

~~CONFIDENTIAL~~

~~Classified by: 2933~~
~~Declassify on: OADR~~

JUN 6 1984

66 SEP 10 1984

Approved: ______ Transmitted ______ (Number) (Time) Per ______

FD-36 (Rev. 8-26-82)

FBI

TRANSMIT VIA:
- ☐ Teletype
- ☐ Facsimile
- ☐ Airtel

PRECEDENCE:
- ☐ Immediate
- ☐ Priority
- ☐ Routine

CLASSIFICATION:
- ☐ TOP SECRET
- ☐ SECRET
- ☐ CONFIDENTIAL
- ☐ UNCLAS E F T O
- ☐ UNCLAS

Date 6/20/84

TO: DIRECTOR, FBI
(ATTN: CID, INTERNATIONAL TERRORISM UNIT 3)

FROM: SAC, ALEXANDRIA (163-438)(P)

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NUGAN-HAND BANK
FOREIGN POLICE COOPERATION
OO: FBIHQ

Re Alexandria airtel and LHM to the Bureau dated 3/27/84.

Enclosed for the Bureau are the original and five copies of an LHM for dissemination through Legat, Canberra, [redacted] (This LHM sets forth pertinent information obtained from [redacted]

LEADS:

ALEXANDRIA

AT ALEXANDRIA, VIRGINIA

Will continue to conduct other Nugan-Hand Bank related interviews as requested by FBIHQ in Bureau airtel to Alexandria and WFO dated 6/10/83.

3-Bureau (Enc. 6)
2-Alexandria
TF:plw
(5)

JUN 28 1984

Approved: Transmitted (Number) (Time) Per

66SEP 1 3 1984

☆ U.S. Government Printing Office: 1984—431-613/6249

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED 308,506
DATE 2/27/90 BY SP5C11 OMS

In Reply, Please Refer to
File No.

UNITED STATES DEPARTMENT OF JUSTICE

FEDERAL BUREAU OF INVESTIGATION

Alexandria, Virginia
June 20, 1984

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NUGAN-HAND BANK

The following is being set forth for the information of

165-48612-141X

ENCLOSURE

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RE: NUGAN-HAND BANK

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RE: NUGAN-HAND BANK

FD-36 (Rev. 5-22-78)

FBI

TRANSMIT VIA:
- [ ] Teletype
- [ ] Facsimile
- [x] Airtel

PRECEDENCE:
- [ ] Immediate
- [ ] Priority
- [ ] Routine

CLASSIFICATION:
- [ ] TOP SECRET
- [ ] SECRET
- [ ] CONFIDENTIAL
- [ ] UNCLAS E F T O
- [ ] UNCLAS

Date 7/6/83

TO: DIRECTOR, FBI

FROM: SAC, HONOLULU (97C-313) (P)

NUGAN HAND BANK
FPC
(OO: FBIHQ)

[redacted]

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(OO: Alexandria)

2/27/90 SPSC1/DMS
5/12/89 SPSC1/DMS 308,506
284,655
4/20/88 SP2MAC/PSK
CIV. 20369-83

Re Bureau airtel to Alexandria dated 6/17/83, and Honolulu teletype to Bureau dated 6/30/83.

Enclosed for the Bureau and Alexandria are the original and four copies, and two copies respectively of an LHM captioned "Elzie Bryant Thornhill" dated as above which sets out results of interview of Thornhill at Honolulu and is self-explanatory.

On 6/29/83, the following investigation was conducted at Honolulu in efforts to locate Elzie Bryant Thornhill:

Honolulu indices contain no information identifiable with Thornhill.

A review of local directories contained no listing for Thornhill.

UNRECORDED COPY FILED IN

DE-137 LHM

103-45619-141X

ENCLOSURE DE-137

2 - Bureau (Enc. 5)
2 - Alexandria (Enc. 2)
4 - Honolulu (2 - 163-307)
(2 - 97C-313)

PWJ:lto
(8)

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Approved: [redacted] Transmitted ______ (Number) (Time) Per ______

HN 97C-313

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The following agency checks were conducted with negative results concerning Thornhill:

Department of Motor Vehicles, State of Hawaii, Driver's License Records

Department of Motor Vehicles, State of Hawaii, Automobile Registration Records

City and County of Honolulu, Computerized Incident and Arrest Report File

Inquiry was made with Concepts International Limited, 1650 Kanunu Street, Honolulu, Suite 203, and a [redacted] advised that Thornhill was no longer with this firm, and had not been associated with it for approximately the past three years. [redacted] stated, however, that he believed Thornhill was still living in the Honolulu area, but did not know how to contact him.

Inquiry was made at the Town House, 1415 Victoria Street, Honolulu, which is a multi-storied condominium complex. Thornhill formerly listed this address as his residence. Conversation with the [redacted] through an intercom determined that Thornhill has not lived at this location for the past year. [redacted] stated, however, that he thought Thornhill still resided in the Honolulu area, and he had spoken with Thornhill several weeks ago. [redacted] advised that he did not know Thornhill's whereabouts, but stated that if he saw him, he would have him contact the local FBI office. Later in the day, Thornhill telephoned the Honolulu Office and an interview with him was arranged for 6/30/83. Thornhill was interviewed at his residence, 444 Lunalilo Home Road, Apartment 214, Honolulu, Hawaii, on 6/30/83, and the results are set out in the enclosed LHM.

U.S. Department of Justice

Federal Bureau of Investigation

Honolulu, Hawaii

In Reply, Please Refer to
File No.

July 6, 1983

ELZIE BRYANT THORNHILL

On June 30, 1983, Elzie Bryant Thornhill, 444 Lunalilo Home Road, Apartment 214, Honolulu, Hawaii, telephone number (808) 395-0667, furnished the following information:

Thornhill considers Honolulu to be his home, and he most recently returned to Honolulu from Florida in November, 1982, where he had been working. He resides at the above address with his wife. His date and place of birth is February 1, 1925 at Kellogg, Idaho. He is self-employed as an interior decorator and designer and works out of his home under the business name of Bryant Thornhill and Associates. He explained that the word, "Associates," refers to draftsmen that he hires as needed on various projects, but none of them are permanent employees.

Thornhill stated he has known [redacted] [redacted] Several years ago, Thornhill worked for the Dorman Hotel and Supply Company out of San Francisco, California, and took an assignment to design and decorate a hotel in Alyeska, Alaska. [redacted] saw Thornhill's work there and liked it. Later when [redacted] [redacted] he looked Thornhill up in San Francisco, and wanted to hire him to decorate and design [redacted] [redacted] [redacted] Thornhill was not sure if he would like [redacted] paid for his trip there to see the area. Thornhill decided against it, but recommended the job to an associate named Jack Shea, who took it and worked for [redacted]

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In 1980, [redacted] asked Thornhill to design and decorate [redacted] Thornhill traveled to [redacted] during the latter part of February, 1980, and made a preliminary survey of the work needed on [redacted]

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ENCLOSURE

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Re: Elzie Bryant Thornhill

He visited with on this trip, and during one evening, he had dinner with and his wife. Thornhill stated that this was the first time he ever saw and it was strictly a social affair. Thornhill knew that

Thornhill recalled, however, that looked more like This was the only time that Thornhill ever saw

During the latter part of June, 1980, Thornhill made another trip to to continue work plans for By this time, the building had been gutted of the top floors and the bare walls were standing without any support braces. however, continued in full operation on the ground floor. Thornhill recalled trying to reach by telephone to warn him of the dangerous structural condition of the building. Thornhill stated he did not see on this second trip, and was not in while he, Thornhill, was there. Thornhill stated that is now in operation, but the re-designing project has not been completed.

Jack Shea went to Sydney with and completed work on the basement of Thornhill went to San Francisco to work for a while in 1981 and then located in Florida before returning to Honolulu in November, 1982.

Thornhill advised that he was aware that himself, traveled a lot, but never discussed his activities or travels unless someone asked him a direct question. Thornhill stated he, himself, is not employed by the Nugan Hand Group and has never had any business dealings or association with it. He also advised that he has no knowledge of any activities of the Nugan Hand Group. He said he is somewhat aware of the Nugan Hand Group and the Nugan Hand Bank from articles he has read in the Wall Street Journal and Australian newspapers. Thornhill said he always felt that On one occasion, Thornhill recalled that apparently went to Thornhill said this occurred in 1979, or earlier, and his recollection of the details are very sketchy. Thornhill said he was also aware of

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Re: Elzie Bryant Thornhill

seldom discussed his feelings, but Thornhill noted that he is not the same person he used to be. On one occasion, Thornhill recalled that [redacted] however, did not elaborate on this statement. Thornhill also advised that [redacted]

Thornhill advised that he has no knowledge of any illegal activity on [redacted] Thornhill said he had read an article in an Australian newspaper concerning the Nugan Hand Group which implied that [redacted] however, Thornhill did not believe it was true. Thornhill further advised that judging from the newspaper articles, he felt that [redacted] Thornhill said [redacted] never said what his position was with the Nugan Hand Group, but he, Thornhill, felt [redacted] was working for the Nugan Hand Bank and he travels a lot. Thornhill said it would be hard for him to believe that [redacted] is a thief, because he and [redacted] had many business agreements over the years and [redacted] always honored his obligations. Thornhill trusted him completely, and it was never necessary to confirm any of their verbal agreements by written contracts.

Thornhill advised that during the spring of 1983, [redacted] passed through Honolulu, and he and Thornhill had lunch together. This occurred on the day before the Australian national elections in 1983, and [redacted] told Thornhill at this time that if the Labor Party in Australia was elected, he might not be able to go back to Australia. [redacted] remarked that there were people in the Labor Party who disliked him intensely. Thornhill did not know where [redacted] had come from, nor where he was going on this trip.

Jack Shea, described above, had been a Honolulu resident and died in Honolulu on June 2, 1983. [redacted] called Thornhill's residence, and said he was coming in for the funeral, but he did not say where he was coming from. Thornhill's wife picked [redacted] up at the Honolulu International Airport on June 6, 1983, and he stayed at Thornhill's home, above address. While in Honolulu for

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Shea's funeral, [redacted] told Thornhill that he did not like the way Shea had designed the basement of [redacted] [redacted] and he asked Thornhill if he would go down and take care of it, and Thornhill said he would handle it. No definite arrangements were made, however, and Thornhill said there is no business agreement or other obligatory arrangement between him and [redacted] relating to the above work.

It was during the above visit that [redacted] told Thornhill that he could not go back to Australia because he would face a five-year prison sentence there. [redacted] stated, however, that Australia is his home, and he might go back anyway. He implied to Thornhill that it had something to do with the Labor Government, but he gave no further explanation as to why he would face a five-year prison term.

Jack Shea had no funds, and Thornhill and [redacted] helped defray the funeral expenses. He was buried on June 7, 1983.

Thornhill stated that [redacted] told him during the above visits that the Federal Bureau of Investigation might be making inquiries concerning him. [redacted] further told Thornhill that if he was contacted by the Federal Bureau of Investigation, he should answer their questions and tell the truth.

Thornhill advised that [redacted] flew out of Honolulu on June 13, 1983, on a ticket to Los Angeles, California. Thornhill stated, however, that he did not know [redacted] ultimate destination and does not know his current whereabouts. He added that he knows very little about [redacted] family, other than he is not married and has [redacted] Thornhill believes that all of the daughters live in the United States.

Thornhill said he did not know [redacted]

Thornhill said he has heard of [redacted] because [redacted] are friends. [redacted] worked, at one time, for [redacted] and Thornhill met [redacted] on one occasion several years ago. Thornhill said he would not be able to recognize [redacted] however, if he saw him today. He only recalls that [redacted] was a big man. [redacted] mentioned to Thornhill one time that [redacted]

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Re: Elzie Bryant Thornhill

[redacted] Thornhill believes that [redacted] went from [redacted] but he is not sure.

Thornhill stated that he was vaguely aware of the events surrounding the arrest of [redacted] from news media coverage, but he had no recollection of [redacted] Thornhill said he has never had any personal contact with [redacted] himself, and he has no knowledge of any of their activities or associates. He also stated that [redacted] never discussed either of these individuals with him, and he, Thornhill, is not aware of any association by [redacted] with them.

Thornhill advised that he did not know [redacted] He also stated he had no knowledge of any activities of these individuals in connection with [redacted]

~~CONFIDENTIAL~~

AIRTEL

~~UNCLASSIFIED~~ 8/6/84

Director, FBI (163-4512)
Legal attache, Canberra (163-4)

NUGAN HAND BANK
FOREIGN POLICE COOPERATION

RE FBIHQ airtel to Legat, Canberra, 4/4/84, and Legat, Canberra airtel to FBIHQ, 5/15/84.

Enclosed for Legat, Canberra are two copies each of nine letterhead memoranda (LHMs) containing results of interviews with the following individuals:

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b7D

During November and December, 1982,

Enclosures

"ENCLOSURE ATTACHED"

RLH/cm (7)
1 - Mr. Burton
1 - Mr. Meyer
1 - Mr. Watkins
1 - Mr. Hunt

~~CONFIDENTIAL~~

Airtel to Legal attache, Canberra (163-4)

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RE: NUGAN HAND BANK
FOREIGN POLICE COOPERATION

Enclosed LHMs contain results of [redacted]

Concerning requested information in referenced Legat, Canberra airtel, Bufiles contain no additional data on Nugan Hand, Incorporated, Hawaii, Hong Kong, Panama, Manila, or Singapore, other than the information previously furnished. From review of the Nugan Hand Bank file it appears that all information on the Nugan Hand Bank; Nugan Hand Bank, Limited; Nugan Hand Group; and Nugan Hand Bank, Incorporated; has been provided. The Nugan Hand Bank file indicates that data concerning the Henry C. Beck Company was furnished in a memorandum dated 1/18/83, captioned "Nugan Hand Bank." Other bufiles contain references to Henry C. Beck Company, which are not identical, or consist of nonpertinent information dating from 1937 to 1956, with no identifying specifics.

Legat, Canberra previously provided [redacted]

[redacted] ~~(C)~~ Legat, Canberra is requested to determine [redacted]

~~CONFIDENTIAL~~

~~CONFIDENTIAL~~

NOTE: This response was coordinated with the Executive Agencies Unit, Records Management Division, which has conducted extensive file reviews concerning the subjects of [redacted] b7D [redacted]

Referenced Legat, Canberra, airtel, 5/15/84, was received by ITU-III on 7/10/84, and by EAU on 7/12/84.

~~CONFIDENTIAL~~

~~CLASSIFIED~~ INFORMATION [illegible]

This envelope

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED 308
DATE 2/27/90 BY SPSC111

ENCLOSURE

21

14.2

U.S. Department of Justice

Federal Bureau of Investigation

In Reply, Please Refer to
File No.

Dallas, Texas 75202
October 28, 1983

NUGAN HAND BANK

Reference is made to letterhead memorandum, dated August 25, 1983, at Dallas, Texas.

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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED 308, 506
DATE 2/27/90 BY SPSC1 LDMS

Page(s) 2-6

been deleted for the following reason(s): E

FD-36 (Rev. 8-26-82)

FBI

TRANSMIT VIA:
- ☐ Teletype
- ☐ Facsimile
- ☒ Airtel

PRECEDENCE:
- ☐ Immediate
- ☐ Priority
- ☐ Routine

CLASSIFICATION:
- ☐ TOP SECRET
- ☐ SECRET
- ☐ CONFIDENTIAL
- ☐ UNCLAS E F T O
- ☐ UNCLAS

Date 8/30/84

TO: DIRECTOR, FBI
(ATTN: CID, INTERNATIONAL TERRORISM UNIT #3)

FROM: SAC, ALEXANDRIA (163-438) (P)

NUGAN-HAND BANK
FOREIGN POLICE COOPERATION
(OO: FBIHQ)

Re Alexandria airtel and LHM to the Bureau dated 6/20/84.

Enclosed for the Bureau are the original and five copies of an LHM for dissemination through Legat, Canberra, to [redacted] [redacted] (This LHM sets forth information obtained from [redacted] during interview at Alexandria, Virginia, on 7/27/84. Special Agent who conducted interview of [redacted] on 7/27/84, was SA [redacted]

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LEAD:

ALEXANDRIA

AT ALEXANDRIA, VA.

Will contact ASSISTANT U.S. ATTORNEY [redacted] [redacted]

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2-4235 ENCLOSURE 163 — 143

3-Bureau (Enc. 6)
2-Alexandria
TF:trc
(5)

2 — Legat Canberra, 4 SEP 1984

b7D

Approved: ______ Transmitted ______ (Number) (Time) Per ______

ITU-III

based on this status and related considerations will determine
if interview of each of them by the FBI Alexandria, regarding
captioned matter (as requested by FBIHQ in Bureau airtel
to Alexandria and WFO dated 6/10/83) still appears feasible
and appropriate.

UNITED STATES DEPARTMENT OF JUSTICE

FEDERAL BUREAU OF INVESTIGATION

In Reply, Please Refer to
File No.

Alexandria, Virginia
August 30, 1984

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NUGAN-HAND BANK

The following is being set forth for the information of [redacted]

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED 308,506
DATE 2/27/90 BY SP5C110mS

163 — [illegible] 143

ENCLOSURE

FBI/DOJ

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RE: NUGAN-HAND BANK

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RE: NUGAN-HAND BANK

Memorandum

Exec AD Adm.	
Exec AD Inv.	
Exec AD LES	
Asst. Dir.:	
Adm. Servs.	
Crim. Inv.	
Ident.	
Insp.	
Intell.	
Lab.	
Legal Coun.	
Off. Cong. & Public Affs.	
Rec. Mgnt.	
Tech. Servs.	
Training	
Telephone Rm.	
Director's Sec'y	

To : Mr. Klein

Date 9/10/84

From : G. O. Burton

Subject : NUGAN HAND BANK;
FOREIGN POLICE COOPERATION;
OO: FBIHQ
(BUFILE 163-45612)

Referral/Consult

PURPOSE:

RECOMMENDATIONS: None.

APPROVED:

Director	Adm. Servs.	Laboratory
Exec. AD-Adm.	Crim. Inv. SK/TC	Legal Coun.
Exec. AD-Inv.	Ident.	Off. of Cong. & Public Affs.
Exec. AD-LES	Inspection	Rec. Mgnt.
	Intell.	Tech. Servs.
		Training

DETAILS:

-144

1 - Mr. Burton
1 - Mr. Hunt

RLH/dm (3)

46 NOV 8 1984

Burton

Hunt

DECLASSIFIED BY SPSC11 Dm S
ON 2/27/90 308,506

FBI/DOJ

Memorandum to Mr. S. Klein from G. O. Burton
RE: NUGAN HAND BANK;
FOREIGN POLICE COOPERATION;
OO: FBIHQ
(BUFILE 163-45612)

Referral/Consult

Memorandum to Mr. S. Klein from G. O. Burton
RE: NUGAN HAND BANK;
FOREIGN POLICE COOPERATION;
OO: FBIHQ
(BUFILE 163-45612)

Referral/Consult

RECEIVED
TELETYPE UNIT

P Ø5Ø515Z SEP 84 5 SEP 84 11 23z

FM LEGAL ATTACHE CANBERRA (163-42) FEDERAL BUREAU OF INVESTIGATION

TO DIRECTOR FBI (163-45612) PRIORITY 182-Ø4

BT

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UNCLAS E F T O

NUGAN HAND BANK. FPC

REBUAIRTEL AUGUST 6, 1984.

[redacted]

CANBERRA BY TELETYPE DATED AUGUST 1Ø, 1984, ADVISED [redacted]

[redacted]

BT

163-45612-145

24 MAY 1985

10 JAN 27 1985

FD-36 (Rev. 8-26-82)

TRANSMIT VIA:
☐ Teletype
☐ Facsimile
☒ Airtel

PRECEDENCE:
☐ Immediate
☐ Priority
☐ Routine

CLASSIFICATION:
☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☐ UNCLAS

Date 11/14/84

~~SECRET~~

TO: DIRECTOR, FBI
ATTN: CID, INTERNATIONAL TERRORISM UNIT NUMBER 3

FROM: SAC, ALEXANDRIA (163-438) (P)

#20369-83 SPS/cl/dms
~~Classified by SPS/cl/jak~~
2/29/90
~~Declassify on: OADR~~ 308,506
6-22-88

~~ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE.~~

NUGAN - HAND BANK
FOREIGN POLICE COOPERATION
(OO:FBIHQ)

Re Alexandria airtel and LHM to the Bureau dated 8/30/84; Alexandria phone call of SA [redacted] to Bureau Supervisor [redacted] on 10/22/84.

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The following background information is being provided to the Kansas City Division (and to the Oklahoma City Division, for information only) in connection with leads being set forth herein to interview [redacted] [redacted] through contact with his attorney, [redacted] whose office is at [redacted] (It is noted that attorney [redacted] has telephonically advised that his client, [redacted] requests that such interview be conducted at [redacted] office in [redacted]

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Background:

NUGAN - HAND Bank:

The NUGAN - HAND Bank is a bank founded in about 1973, in Australia by an Australian lawyer, FRANCIS J.

3-Bureau
2-Kansas City
1-Oklahoma City (Info)
4-Alexandria (2-163-438)
(1-58A-222) (1-97A-7)
TF:lwc
(10)

DE-188

145X

3 DEC 1984

Approved: ______ Transmitted ______ (Number) (Time) Per ______

FOI/PA # 308,506
APPEAL #
CIVIL ACT. # 20369-83
E.O. # 12356
DATE 6-22-88 INITIALS SPS
2/27/90 jak dms

MAY 16 1985

~~SECRET~~

Referral/Consult

"FRANK" NUGAN, and [redacted] b6 b7C

During the late 1970's, this bank had branches throughout the world and had recruited several former high ranking U. S. military officers to fill various banking positions.

On January 27, 1980, NUGAN was found dead in Australia in his automobile from a rifle shot wound, with his death being subsequently officially ruled as a suicide. Following NUGAN's death, the bank was determined to be in serious financial difficulty and failed shortly thereafter. [redacted] b6 b7C b7D

[redacted] also known as [redacted] is [redacted] year old white male, originally of [redacted] b6 b7C

[redacted] b7D

AX 163-438

~~SECRET~~

b6
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[redacted] is a [redacted] year old white male who was [redacted]

[redacted] also known as [redacted] is a white female, age [redacted] who was [redacted] She has recently been residing at [redacted]

[redacted] is a white male, age [redacted] who [redacted]

~~SECRET~~

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b7C
Referral/Consult

is a Cuban male, United States Citizen, age who presently resides in

is a white male Canadian, about years of age, who has resided at in the recent past. He has worked as

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b7C

(It is noted that the files of the Alexandria Division of the FBI reveal that

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b7C

AX 163A-438

~~SECRET~~

On [redacted] testified as a Government witness at the Federal trial of [redacted] in New York City, New York. During such testimony, he advised that [redacted]

[redacted] testified that he met [redacted] when seeking to obtain [redacted]

[redacted] believes that [redacted]

[redacted] testified that [redacted]

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~~SECRET~~

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Attorney

Attorney was telephonically contacted by SA Alexandria Division, on October 22, 1984, at his office was advised of the FBI's interest in interviewing his client, contacted his client in this regard and telephonically recontacted SA at which time he advised that would be happy to meet with the FBI in this regard however prefers to meet at Attorney office in this regard. advised that indicated that he does not know and was not familiar with the NUGAN - HAND Bank. indicated that has met with in the past but indicated that he does not recall meeting with there.

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(It is noted that Attorney advised that he was

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~~SECRET~~

AX 163A-438

LEAD

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KANSAS CITY

AT [redacted] KANSAS

1. Contact Attorney [redacted] and arrange for an interview of his client, [redacted]

2. During subsequent interview of [redacted] attempt to obtain his responses to the following questions:

(a) Was he present at [redacted]

(b) Does he know [redacted] and/or recall meeting [redacted] or elsewhere in the past, possibly in connection with [redacted] acting as [redacted] has he had any business association with [redacted] and, if so, details of such. Also, his knowledge of details of relationships between [redacted]

(c) Has he had any business association or dealings of any nature, direct or indirect, with the NUGAN - HAND Bank and specifically in connection with possible "back to back" letters of credit in connection with contracts associated with, or obtained through, [redacted]

(d) Did his business association with [redacted] Does [redacted] know of anyone who was a NUGAN - HAND Bank client and, if so, his knowledge of any transactions.

(e) Does he know or does he recall ever meeting FRANK NUGAN or [redacted] if so, details of such association or meeting.

(f) [redacted]

AX 163A-438

SECRET

3. Submit results of interview of [redacted] to the Bureau and Alexandria in letterhead memorandum [redacted]

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SECRET

SECRET

Memorandum

Exec AD Adm. ____
Exec AD Inv. ____
Exec AD LES ____
Asst. Dir.:
Adm. Servs. ____
Crim. Inv. ____
Ident. ____
Insp. ____
Intell. ____
Lab. ____
Legal Coun. ____
Off. Cong. & Public Affs. ____
Rec. Mgnt. ____
Tech. Servs. ____
Training ____
Telephone Rm. ____
Director's Sec'y ____

To : Mr. O. B. Revell

Date 1/14/85

From : S. Klein

Subject : NUGAN HAND BANK
FOREIGN POLICE COOPERATION
OO: FBIHQ

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All information herein is classified ~~SECRET.~~ (U)

Re attached T. E. DuHadway to Mr. E. J. O'Malley memorandum dated 1/9/85, captioned [redacted]

PURPOSE: To advise that on 1/11/85, [redacted]

RECOMMENDATION: None, for information.

APPROVED:

	Adm. Servs.	Laboratory
	Crim. Inv.	Legal Coun.
Director		Off. of Cong. & Public Affs.
Exec. AD-Adm.	Ident.	Rec. Mgnt.
Exec. AD-Inv.	Inspection	Tech. Servs.
Exec. AD-LES	Intell.	Training

DETAILS: On 1/7/85, [redacted]

Enclosure

163-45612-146

SECRET
~~Classified by G-3~~
~~Declassify on: OADR~~

10 JAN 24 1985

1 - ENCLOSURE

1 - Mr. Revell
1 - Mr. Mintz
1 - Mr. O'Malley
1 - Mr. Clarke
1 - Mr. Gilbert
1 - Mr. DuHadway
1 - Mr. Klein
1 - Mr. Burton
1 - Mr. Watkins (RMD)
1 - Mr. Patton (INTD)
1 - Mr. Hart

WBH:djg (12)

MAY 21 1985

DECLASSIFIED BY SP5C11 DMS
ON 2/27/90 308,506

Off. of Cong. and Pub. Affs.

FBI/DOJ

Memorandum to Mr. O. B. Revell from S. Klein
RE: NUGAN HAND BANK
FOREIGN POLICE COOPERATION
OO: FBIHQ

b6
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On 1/11/85,

The above is set forth for information.

CONFIDENTIAL

Mr. E. J. O'Malley 1/9/85

T. E. DuHadway

b6
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b7D

All information herein classified ~~"Confidential."~~

Re DU HADWAY to O'MALLEY memo dated 12/17/84, captioned as above.

PURPOSE:

RECOMMENDATIONS:

1.

2.

Enclosures: 2

1 - Mr. J. A. Mintz
1 - Mr. F. I. Clarke
1 - Mr. W. B. Hart
1 - Mr. S. M. Watkins
1 - Mr. G. O. Burton
RLP:dms (11)

1 - Mr. E. J. O'Malley
1 - Mr. T. E. DuHadway
1 - Mr. D. R. Dickson
1 - Mr. R. L. Patton
1 - Liaison Unit

CONFIDENTIAL
~~Classified by: 05~~
Declassify on: ~~OADR~~

163-45617-146

(CONTINUED OVER)

DECLASSIFIED BY SP5C110ms
ON 2/27/90 308,506

ENCLOSURE

CONFI~~D~~ENTIAL

Memorandum to Mr. E. J. O'Malley from T. E. DuHadway
RE: [redacted]

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DETAILS:

On 1/7/85, [redacted]

On 1/7/85, Legat, Canberra, was telephonically advised of [redacted]

(Rev. 12/15/83)

DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION
INTERNAL ROUTING/ACTION SLIP

TO	TL#	ROOM	NAME/TITLE	TO	TL#	ROOM	NAME/TITLE
	214	7162	Director		245	7427	Mr. Donahue
	235	7142	Exec.Ass't.Dir.-Adm.		245	7427	Miss Ross
	235	7116	Exec.Ass't.Dir.-Inv.		245	7427	Miss Hyatt
	235	7110	Exec.Ass't.Dir.-Law Enf.Serv.		243	5448	Civil Disc. Review Unit I
	341	11255	Identification Division		243	5442	Civil Disc. Review Unit II
	Quantico		Training Division		213	7338	Civil Litigation Unit I
	234	6012	Administrative Services Div.		213	7338	Civil Litigation Unit II
	211	5829	Records Management Division		231	3648	Info & Privacy Acts Lit. Unit
✓	232	4026	Intelligence Division		245	7262	Legal Forfeiture Unit
	233	5012	Criminal Investigative Div.		Quantico		Legal Instruction Unit
	241	3090	Laboratory Division		231	3634	Legal Research Unit
	213	7159	Technical Services Division		314	6995	Freedom of Info Act (FOIA)
	245	7427	Legal Counsel Division		152	1B327	Mail Room
	213	7125	Inspection Division		244	6248	Reading Room
	235	7119	Ofc. of Cong. & Public Affairs		232	4843	INTD - Special Staff
✓	Ed O'Malley				153	1262	Voucher Unit
							Mr.

☐ Call Me
☐ See Me
☐ Approp. Action
☐ Initial
☐ Per Inquiry

☐ For Your Info.
☐ Note & Return
☐ Record & Return
☐ See Reverse Side

FROM

LEG

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...d licensing boards responsible for the ...nsing or certification of individuals ...he fields of pharmacy and medicine.

Information in this system may be disclosed as a routine use to an organization or individual in both the public or private sector pursuant to an appropriate legal proceeding, or if deemed necessary to elicit information or cooperation from the recipient for use by the FBI in the performance of an authorized activity. An example would be where the activities of an individual are disclosed to a member of the public in order to elicit his/her assistance in our apprehension or detection efforts.

Information in this system may be disclosed as a routine use to an organization or individual in the public or private sector where there is reason to believe the recipient is or could become the target of a particular criminal activity or conspiracy, to the extent the information is relevant to the protection of life or property.

Information in this system may be disclosed to legitimate agency of a foreign government where the FBI determines that the information is relevant to that agency's responsibilities, and dissemination serves the best interests of the U.S. government; and where the purpose in making the disclosure is compatible with the purpose for which the information was collected.

Relevant information may be disclosed from this system to the news media and general public where there exists a legitimate public interest, e.g., to assist in the location of Federal fugitives, to provide notification of arrests, and where necessary for protection from imminent threat of life or property.

A record relating to an actual or potential civil or criminal violation of the copyright statute, Title 17, United States Code, may be disseminated to a person injured by such violation to assist him/her in the institution or maintenance of a suit brought under such title.

The FBI has received inquiries from private citizens and Congressional offices on behalf of constituents seeking assistance in locating individuals such as missing children and heirs to estates. Where the need is acute, and where it appears FBI files may be the only lead in locating the individual, consideration will be given to furnishing relevant information to the requester. Information will be provided only in ...e instances where there are ...nable grounds to conclude from ...lable information the individual being sought would want the information to be furnished, e.g., an heir to a large estate. Information with regard to missing children will not be provided where they have reached their majority.

Release of Information to Members of Congress:

Information contained in this system, the release of which is required by the Freedom of Information-Privacy Acts, may be made available to a Member of Congress or staff acting upon the Member's behalf when the Member or staff requests the information in behalf of and at the request of the individual who is the subject of the record.

Release of Information to the National Archives and Records Service:

A record from a system of records may be disclosed as a routine use to the National Archives and Records Service (NARS) in records management inspections conducted under the authority of 44 U.S.C. 2904 and 2906, *to the extent that legislation governing the records permits.*

POLICIES AND PRACTICES FOR STORING, RETRIEVING, ACCESSING, RETAINING, AND DISPOSING OF RECORDS IN THE SYSTEM.

STORAGE:

The active main files are maintained in hard copy form and some inactive records are maintained on microfilm. Investigative information which is maintained in computerized form may be stored in memory, on disk storage, on computer tape, or on a computer printed listing.

RETRIEVABILITY:

The FBI General Index must be searched to determine what information, if any, the FBI may have in its files. The index cards are on all manner of subject matters, but primarily a name index of individuals. It should be noted the FBI does not index all individuals *who* furnish information or names developed in an investigation. Only that information that is considered pertinent and relevant and essential for future retrieval, is indexed. In certain major cases most persons contacted are indexed in order to facilitate the proper administrative handling of a large volume of material. The FBI is in the process of automating the General Index and, therefore, the retrieval of certain information from the main files will be accomplished through the use of peripheral computer equipment, that is, Cathode Ray Tubes (CRTs) and printers. Automation will not change the "Central Records System"; it will only facilitate more economic and expeditious access to the main files. The automated General Index will not cause the "Central Records System" to be interfaced with any other system of records, nor will it allow any outside agency to access FBI information. Since the General Index of all of the field offices will not be automated for quite some time, certain complicated investigative matters are presently supported with special computerized indices which allow retrieval of information from the main files. These special indices are either maintained on printed listings or on disk storage and then accessed through the use of CRTs.

The FBI will transfer historical records to the National Archives consistent with 44 U.S.C. 2103. No record of individuals or subject matter will be retained for transferred files; however, a record of the file numbers will be retained to preserve the integrity of the filing system.

SAFEGUARDS:

Records are maintained in a restricted area and are accessed only by FBI employees. All FBI employees receive a complete background investigation prior to being hired. All employees are cautioned about divulging confidential information or any information contained in FBI files. Failure to abide by this provision violates Department of Justice regulations and may violate certain statutes providing maximum severe penalties of a ten thousand-dollar fine or 10 years' imprisonment or both. Employees *who* resign or retire are also cautioned about divulging information acquired in the job. Registered mail is used to transmit routine hard copy records between field offices. Highly classified records are hand carried by Special Agents or personnel of the Armed Forces Courier Service. Highly classified or sensitive privacy information, which is electronically transmitted between field offices, is transmitted in encrypted *form to* prevent interception and interpretation. Information transmitted in teletype form is placed in the main files of both the receiving and transmitting field offices. Field offices involved in certain complicated investigative matters may be provided with on-line access to the duplicative computerized information which is maintained for them on disk storage in the FBI Computer Center in Washington, D.C., and this computerized data is also transmitted in encrypted form.

RETENTION AND DISPOSAL:

All FBI records destruction programs relevant to this system were suspended as a result of a court order, issued January 10, 1980, in the U.S. District

FD-36 (Rev. 8-26-82)

FBI

TRANSMIT VIA:
☐ Teletype
☐ Facsimile
☒ AIRTEL

PRECEDENCE:
☐ Immediate
☐ Priority
☐ Routine

CLASSIFICATION:
☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☐ UNCLAS

Date 3/8/85

TO: DIRECTOR, FBI
ATTENTION: CID, INTERNATIONAL TERRORISM UNIT NUMBER #3

FROM: SAC, KANSAS CITY (163A-431)(WRA)(P)

NUGAN - HAND BANK
FOREIGN POLICE COOPERATION
OO:FBIHQ

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Re Alexandria airtel to Director, dated 11/14/84.

For the information of the Bureau and Alexandria, Kansas City has established contact with [redacted] attorney for [redacted] [redacted] advised [redacted] travels "all over the world" and is difficult to schedule for an interview. He emphasized, however, that [redacted] will be cooperative, and as soon as he is able to arrange an interview with [redacted] he will contact the FBI. [redacted] was last contacted on 2/13/85.

LEAD

KANSAS CITY DIVISION

AT COFFEYVILLE, KANSAS

Maintain contact with [redacted] for interview with his client, [redacted]

2 - Bureau
1 - Alexandria (163-438)(info)
2 - Kansas City
KAL:kj
(5)

1*

MAR 14 1985

Approved: ______ Transmitted ______ (Number) (Time) Per ______

53 JUN 1 1985

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED 308,506
DATE 2/27/90 BY SP5CI/DMS

U.S. GOVERNMENT PRINTING OFFICE : 1984 O - 449-465

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1/15/85 UNCLAS E F T O ROUTINE

FM DIRECTOR, FBI {163-45612}

TO LEGAL ATTACHE CANBERRA {163A-4} ROUTINE

BT

UNCLAS E F T O

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"CHANGED."

TITLE CHANGED TO MORE APPROPRIATELY REFLECT MATTER AT HAND WITH PROPER CHARACTER.

RECANTEL JANUARY 9, 1985, CAPTIONED, NUGAN HAND BANK MATTER.

RLP:RCY {3} 1/15/85 4634 4691

163-45612-

1 - MR. R. L. PATTON
1 - LIAISON UNIT {VISITORS' FILE}

NOT RECORDED
45 FEB 4 1985

0438ZMA

ORIGINAL FILED IN 64-29833-303-1156

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b7C
b7D

PAGE 2

CONTINUATION SHEET

PAGE HEWO DE HQ 0196 UNCLAS E F T O

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PAGE 3

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R 092205Z JAN 85

FM LEGAL ATTACHE CANBERRA (163A-4)

TO DIRECTOR FBI (163-45612) ROUTINE 009-08

ATTN FOREIGN LIAISON UNIT, INTD

BT

UNCLAS E F T O

b6
b7C
b7D

[redacted]

NUGAN HAND BANK MATTER

RE CANBERRA CONVERSATION WITH SSA [redacted] FBIHQ, JANUARY 8, 1985.

[redacted]

[redacted]

BT

TT - to Canberra
1/15/85

163-45612-

NOT RECORDED
45 FEB 4 1985

64-29833-303-1155

ORIGINAL FILED IN

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED 308,306
DATE 2/27/96 BY SP5a1 Dms

57 APR 25 1985

FD-36 (Rev. 8-26-82)

FBI

TRANSMIT VIA:
- ☐ Teletype
- ☐ Facsimile
- ☒ AIRTEL

PRECEDENCE:
- ☐ Immediate
- ☐ Priority
- ☐ Routine

CLASSIFICATION:
- ☐ TOP SECRET
- ☐ SECRET
- ☐ CONFIDENTIAL
- ☐ UNCLAS E F T O
- ☐ UNCLAS

Date 3/29/85

b6
b7C

TO: DIRECTOR, FBI
ATTENTION: CID, INTERNATIONAL TERRORISM UNIT NUMBER 3

DE-164

FROM: SAC, KANSAS CITY (163A-431)(WRA)(P)

NUGAN - HAND BANK
FOREIGN POLICE COOPERATION
OO:FBIHQ

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 4-16-88 BY SP6... #30369-83 CA # 97-5427 10/24/88 2/14/90 SPSci/oms SPSci/oms 284,655 308,506

Re Alexandria airtel to Director, dated 11/14/84, and Kansas City airtel to Director, dated 3/8/85.

Enclosed for the Bureau are the original and five copies of a letterhead memorandum containing the results of an interview with [redacted] Enclosed for Alexandria are two copies of the LHM.

For the information of the Bureau and receiving offices, [redacted] when interviewed on 3/22/85, also furnished the following information:

He is convinced that [redacted] currently incarcerated at [redacted] has recently been having threatening phone calls made to persons with whom he was formerly associated. [redacted] did not have information that [redacted] was personally making the phone calls but said [redacted] has more detailed information, including the identities of people receiving the calls. [redacted] said [redacted] is currently working on a project for him and he will bring [redacted] within the next few weeks and have him furnish the information in his possession.

V-216 163-4... -148

ENCLOSURE

3 - Bureau (Enc. 6)
(1 - 97-5427)
2 - Alexandria (Enc. 2)
(1 - 97A-7)
2 - Kansas City
KAL:kj
(7)

DE-164

4 APR 4 1985

UNRECORDED COPY FILED IN ...

1

Approved: ________ Transmitted ________ (Number) (Time) Per ________

(INFO)

1 JUL 16 1985

KC 163A-431

[redacted] said he understood [redacted] is one of the people who has recently received threatening phone calls. b6 b7C

[redacted] stated there was no doubt in his mind [redacted] He stated that during the last month or so [redacted] b6 b7C

[redacted] also mentioned an incident several months ago when [redacted] appeared at [redacted] where his [redacted] b6 b7C

Bureau is requested to have LHM [redacted] b7D

LEAD

KANSAS CITY DIVISION

AT [redacted] KANSAS

Maintain contact with [redacted] attorney, [redacted] and interview [redacted] when made available by [redacted] b6 b7C

U.S. Department of Justice

Federal Bureau of Investigation

b6
b7C

In Reply, Please Refer to
File No.

Kansas City, Missouri

March 29, 1985

20369-83
308,506
284,655
ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 6-30-88 BY SP5cijakr
SPSci1Dms
SPSci1Dms
10/24/88
2/27/90
australia

NUGAN - HAND BANK
FOREIGN POLICE COOPERATION

On March 22, 1985, [redacted] [redacted] telephone [redacted] doing business as [redacted] was interviewed in the office of attorney [redacted] Kansas. He furnished the following information:

He was not present at [redacted] in November or December, 1979, or any other time and place, at which [redacted] [redacted] were also present.

Except for [redacted] the only members of that group he knows are [redacted]

The name [redacted] "rings a bell" as a [redacted] associate, but he has never met [redacted] or had any dealings with him.

He has never heard the name [redacted]

He does not know anyone named [redacted] and, to his knowledge, has never heard that name mentioned in connection with [redacted] or in any other context.

[redacted] commented that if [redacted] did attend such a meeting in [redacted] he would have been accompanied by his attorney, [redacted]

He has never heard of FRANK NUGAN, [redacted] or the NUGAN - HAND BANK, and to his knowledge, no bank by that name had anything to do with contracts or letters of credit involving [redacted] and him.

He knows of no clients of the NUGAN - HAND BANK and knows of no one who had any transactions with a bank by that name.

To his knowledge, he has never met FRANK NUGAN or [redacted] and reiterated he does not recall ever hearing the names.

163-45612-148

NUGAN - HAND BANK

On Sunday, March 17, 1985, he returned home from a trip which included his first trip to Australia. He arrived in Australia on February 26, 1985. The visit to Australia had no relation to any former dealings with [redacted] but was part of a TWA AIRLINES trip around the world he received because of the amount of travelling he has done with TWA.

b6
b7C

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

AIRTEL

DATE: 10/01/85

TO : DIRECTOR, FBI
ATTENTION: CID, INTERNATIONAL TERRORISM UNIT NUMBER 3

FROM : SAC, KANSAS CITY (163A-431) (WRA) RUC

SUBJECT : NUGAN - HAND BANK
FOREIGN POLICE COOPERATION
(OO:FBIHQ)

b6
b7C

Re Kansas City airtel and LHM, dated 3/29/85.

Contact has been maintained with [redacted] [redacted] Kansas, attorney for [redacted] for any additional information [redacted] may have, and in the event [redacted] makes [redacted] available for interview. On 8/16/85, [redacted] advised he has not had contact with [redacted] for several weeks and is not certain of his current whereabouts. [redacted] did not know whether [redacted] still intended to make [redacted] available for interview. He said he would attempt to get in touch with [redacted] and will notify the FBI if [redacted] has additional information or plans to produce [redacted]

In view of the above, this case is being considered RUC, subject to being reopened if [redacted] makes [redacted] available.

163-45612-148X

OCT 22 1985

117 JAN 27 1986

1-4239

2 - Bureau
1 - Alexandria (163-438) (Info)
1 - Kansas City
KAL:rks
(4)

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED 308,506
DATE 2/27/90 BY SP5C1 DMS

1*

163-45612

DECLASSIFICATION AUTHORITY DERIVED FROM:
FBI AUTOMATIC DECLASSIFICATION GUIDE
DATE 01-17-2013

FD-36 (Rev. 8-26-82)

FBI

SECRET

TRANSMIT VIA:	PRECEDENCE:	CLASSIFICATION:
☐ Teletype	☐ Immediate	☐ TOP SECRET
☐ Facsimile	☐ Priority	☐ SECRET
☒ AIRTEL	☐ Routine	☐ CONFIDENTIAL
		☐ UNCLAS E F T O
		☐ UNCLAS
		Date 10/21/85

TO: DIRECTOR, FBI
(ATTN: CID, INTERNATIONAL TERRORISM UNIT #3)

FROM: SAC, ALEXANDRIA (163A-438) (RUC)

NUGAN-HAND BANK
FOREIGN POLICE COOPERATION
(OO:FBIHQ)

Enclosed for background information of Miami are the following:

(1) A copy of FD-302 interview of [redacted] [redacted] at [redacted] on 8/30/82 (Classified ~~"Secret NO FOREIGN DISSEMINATION"~~) b6 b7C

(2) Copy of FD-302 interview of [redacted] (Protect Identity) on 12/2/82 at [redacted] b6 b7C b7D

(3) Copy of FD-302 interview of [redacted] (Protect identity by request) on 12/3/82 at [redacted] b6 b7C b7D

(4) Copy of FD-302 interview of [redacted] aka [redacted] on 10/28/83 at [redacted] b6 b7C

(5) Copy of a [redacted] b6 b7C b7D

All information in enclosures and included in this airtel is for the background of Miami in connection with lead set forth herein to interview [redacted] aka [redacted] last known to be residing at [redacted] [redacted] Florida. (Unlisted telephone [redacted] b6 b7C

③-Bureau
2-Miami (enc. 5)
1-Alexandria
TF:des
(6)

163-4526-149

KIENDL (INFO)

OCT 30 1985

2/27/90 Classified by SP5C1 10ms
Declassify on: OADR 308,506

Approved: __________ Transmitted __________ (Number) (Time) Per __________

FOI/PA # 308,506
E.O. 12,356
DATE 2/27/90

(S-1) Appropriate Agency

REC.D LAC\CCCN

07/CC to Leg Canberra 12/2/85

SECRET

SECRET

Over the past 2½ years, the Alexandria Division, and other Divisions, have conducted interviews throughout the United States regarding captioned matter

b7D

Alexandria efforts to interview two individuals in this regard, have been negative. was determined to be residing in and efforts to have interviewed during one of his periodic visits to the Washington, D.C. area (usually to see have been negative.

b6
b7C

who goes by the nickname is a born at SSAN FBI # He is 5'8" tall, weighs about 160 lbs., has brown eyes, and usually wears a black toupee and has a mustache. His wife is born who is also originally He has a

b6
b7C

b6
b7C

has had a past fairly close relationship with Assistant U.S. Attorney (AUSA) was one of the main AUSA's pursuing (See information regarding below).

b6
b7C

(It is noted that Alexandria has obtained information in the past that has been observed in area in the past in possession of

is born at He is 5'6" tall, about 150 lbs. and has SSAN

b6
b7C

b6
b7C

NUGAN-HAND BANK:

The NUGAN-HAND BANK is a bank founded in about 1973, in Australia by an Australian lawyer, FRANCIS J. "FRANK" NUGAN, and

b6
b7C
b7D

During the late 1970's, this bank had branches throughout the world and had recruited several former high ranking U.S. Military officers to fill various banking positions.

On January 27, 1980, NUGAN was found dead in Australia in his automobile from a rifle shot wound, with his death being subsequently officially ruled as a suicide. Following NUGAN's death, the bank was determined to be in serious financial difficulty and failed shortly thereafter.

b6
b7C
b7D

also known as is a year-old white male, originally of

b6
b7C

b7D

SECRET

b6
b7C

is a year-old white male who was

also known as is a white female, age who was She has recently resided at

is a white male, age

is a white female, age who was

b6
b7C

During interviews during the period November 17, 198
to February 1, 1982, advised that one is a good
friend of stated that was introduced to
by
She said

During interview on January 14, 1982, advised that
and two individuals associated with him

During interview on September 10, 1982, said that
was
told her that is a very good friend of
but did not indicate how knows

recalled that in the Summer of 1980, she and

told her that
said that
she told

is a
-year-old white male who has been a close associate of

AX 163A-438

SECRET

is a white male Canadian, about years of age, who has resided at in the recent past. He has worked as

b6
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b6
b7C

b6
b7C
b7D

b6
b7C
b7D

SECRET

b6
b7C

LEAD:

MIAMI

AT MIAMI, FLORIDA.

Locate and interview [redacted] and attempt to ascertain the following:

(1) Was he ever a depositor in the NUGAN-HAND BANK. If so, all details of that activity, including sources of funds, method of deposit (cash, check, etc.), dates, places, and sums of deposits; also seek similar information regarding any withdrawals or dispositions of funds.

(2) Details of any dealings he had with [redacted]

(3) His knowledge of the identity of any other NUGAN-HAND BANK depositors (i.e. possibly [redacted]

(4) His knowledge of [redacted] background, and/or business dealings with persons such as [redacted]

(5) His knowledge of what took place at a meeting reportedly held in [redacted] reportedly attended by [redacted] at which discussions reportedly were held regarding [redacted]

(6) Details regarding his being with [redacted] in [redacted] in about April, 1980, during which [redacted]

b6
b7C

(7) His knowledge of all dealings [redacted] had with persons associated with [redacted]

(8) His knowledge of a meeting he and [redacted] had with [redacted] in about the late Summer of 1980, during which [redacted] met separately at which time [redacted] possibly gave [redacted]

(9) Any other information he possesses about the NUGAN-HAND BANK and persons or funds associated therewith.

SECRET

R 042305Z NOV 85

FM LEGAL ATTACHE CANBERRA (163-142)

TO DIRECTOR FBI (163-45612) ROUTINE 438-03

BT

UNCLAS E F T O

NUGAN HAND BANK. FPC - GEN. CRIMINAL MATTERS

b6
b7C
b7D

RE ALEXANDRIA AIRTEL TO BUREAU AUGUST 30, 1984.

IT IS NOTED THAT TWO INDIVIDUALS REMAIN TO BE INTERVIEWED IN THIS CASE, [redacted] INASMUCH AS

BUREAU REQUESTED TO ADVISE CANBERRA.

BT

073 to AX 11/6/85

8 1985

1986

0-73 (Rev. 3-31-82)

CAM 438(4)

MESSAGE RELAY VIA TELETYPE
(RESTRICTED USE)

Date: 11-5-85 PRECEDENCE: ☐ IMMEDIATE ☐ PRIORITY ☒ ROUTINE

FM: DIRECTOR, FBI

TO: FBI, AX

1090

- ☐ White House/WH/
- ☐ Bureau of Alcohol Tobacco Firearms/BATF/
- ☐ Central Intelligence Agency/CIA/
- ☐ CIA DCD/DCD/
- ☐ Dept. of Energy HQS/DOEHQ/
- ☐ Dept. of Energy Germantown DIV/DOE/
- ☐ Dept. of Justice/DOJ/
- ☐ Dept. of State/DOS/
- ☐ Dept. of the Army/DA/
- ☐ Dept. of Treasury/DOT/
- ☐ Defense Intelligence Agency/DIA/
- ☐ Director National Security Agency/NSA/
- ☐ Director Naval Investigative Service/DIRNAVINSERV/
- ☐ Drug Enforcement Admin./DEA/
- ☐ FAA Washington HQ/FAA/
- ☐ HQ AFOSI Bolling AFBDC/AFOSI/
- ☐ INSCOM Ft. Meade/INSCOM/
- ☐ Nuclear Regulatory Commission/NRC/
- ☐ U.S. Customs Service/UCS/
- ☐ U.S. Immigration & Naturalization Service/INS/
- ☐ U.S. Secret Service/USSS/
- ☐ Other: ____________

BT

Classification: Unclas Efto

Addressee Internal Distribution

For: ____________

Subject: ____________ 163-4... - 151

☒ See Attached

12 NOV 4 1985

Approved By:	Originator:	Tele Ext	Room/Div:

b6
b7C

1755-2-CP

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED 308,506
DATE 2/27/90 BY SPSC1/DMS

DO NOT FILE WITHOUT COMMUNICATIONS STAMP

USE AND PREPARATION OF FORM 0-73

Restrictions on Use

1. Only incoming teletype messages within the categories listed in MIOG Section 16-1.7 pages 1251 & 1252 may be prepared using form 0-73.

2. Use of Form 0-73 is restricted to incoming teletype messages received at FBIHQ Communications Center within the last **72** hours.

3. Addressees must be Bureau Offices (LEGAT/Field) or other Government Agencies. **Geographical location must be indicated if other Government Agency is located outside the Washington, D.C. area.**

4. Editing of message text is restricted to typed or printed changes of a word or two. Changes to the existing text involving more than a word or two will require the originator to initiate a new message using Form 0-93. Administrative data may be added immediately following the text and must be identical for all addressees.

5. Teletype meesages received by the Communications Center that do not meet the above criteria shall be returned to the originator for preparation using Form 0-93.

Preparation of 0-73 Form (Yellow)

1. **Date & Precedence -** Type or print date and indicate precedence by checking the appropriate box.

2. **Addressee(s) -** Type or print addressee(s) immediately following the "TO:" or place a check mark in the appropriate box. Note: When using block "Other," indicate geographical location if addressee(s) is located outside Washington, D.C. If addressee(s) is a military installation, the name of the base, fort, or station must be listed to ensure delivery.

3. **Classification -** Type or print the classification and if appropriate the caveat and warning notices.

4. **Addressee Internal Distribution -** Complete when the originator wishes the message to be distributed to a known entity within a Headquarters Agency (i.e. Division, Section, Unit, etc.). List the addressee(s) abbreviation and the internal distribution, i.e. a message to Dept. of State, Dept. of Justice, and Defense Intelligence Agency; list on the "For" line(s) as follows:
Example: For: DOS For SY/TAG; DOJ for Asst. AG Criminal Div.; DIA For DSOP.
Messages which do not list internal distribution shall be delivered to the agency headquarters where their analyst will effect in-house distribution.

5. **Subject -** Type or print the subject in the space provided or check "see attached" if subject is identical to attached message.

6. **Originator's Boxes -** Type or print the originator's name, telephone extension, room number, and division.

7. **Approved By Box -** Indicate approval for transmission by initialing the approved by box. Note: The person approving the message is solely responsible for assuring all necessary editing changes are accurate and are legible.

Preparation of Message To Be Transmitted

1. **Duplicate Copy & Notations -** Xerox 1 copy of the incoming teletype message. A notation shall be made on the original incoming teletype indicating one copy made for relay to SACS ________, (or LEGATS) ________, (or Government Agencies) ________.

2. **Editing of Duplicate Copy (Heading) -** Using a lead pencil ONLY draw single lines through the first and last lines of the message heading; connect these lines from top right to bottom left forming a "Z" figure. **(Do Not Obliterate the Heading)**

3. **Editing Changes to the Text -** (See Restrictions on Use, item 4)

4. **Administrative Data -** Type or print administrative data immediately following the text.

RECEIVED TELETYPE UNIT

~~R 042305Z NOV 85~~

FM LEGAL ATTACHE CANBERRA (163A-142)

4 Nov 85

~~TO DIRECTOR~~ FBI (163-45612) ROUTINE ~~438-03~~

BT

U.S. DEPT. OF JUSTICE

UNCLAS E F T O

AUSTRALIA

NUGAN HAND BANK, FPC - GEN. CRIMINAL MATTERS

RE ALEXANDRIA AIRTEL TO BUREAU AUGUST 30, 1984.

Legat Canberra by teletype dated November 4, 1985 advised as follows:

IT IS NOTED THAT TWO INDIVIDUALS REMAIN TO BE INTERVIEWED IN THIS CASE, [redacted]. INASMUCH AS [redacted]

[redacted]

b6
b7C
b7D

Alexandria is ~~BUREAU~~ REQUESTED TO ADVISE CANBERRA.

BT

5 | SA [redacted]

b6
b7C

DIV SIX

INBOX.1 MOVED TO FILES.INCOMING-DAY16-23.527
-->
FORMS.TEXT HAS 1 DOCUMENT

INBOX.1 (#6881)

TEXT: AX0014 322224lZ
PP HQ MM
DE AX
P 182202Z NOV 85

RECEIVED TELETYPE UNIT
19 NOV 85 23
FEDERAL BUREAU OF INVESTIGATION

FM ALEXANDRIA (163A-438) (RUC)

TO DIRECTOR PRIORITY

MIAMI PRIORITY

BT

UNCLAS

ATTENTION: CID INTERNATIONAL TERRORISM UNIT AND FUGITIVE UNIT

NUGAN HAND BANK; FPC - GEN; CRIMINAL MATTERS

163-45612-151

RE FBIHQ TELETYPE TO AX DATED NOVEMBER 5, 1985, AND AX RUC AIRTEL TO FBIHQ DATED OCTOBER 21, 1985.

REFERENCED TEL SETS FORTH INFORMATION DESIRED BY LEGAT CANBERRA AND REQUESTS FBI AX PROVIDE SAME. THE FULL EXTENT OF AX DIVISION'S EFFORTS TO OBTAIN THE DESIRED INFORMATION ARE DETAILED IN REFERENCED RUC AIRTEL WHICH ALSO SETS FORTH A LEAD FOR FBI MM TO ATTEMPT TO LOCATE AND INTERVIEW [redacted] LAST KNOWN TO BE RESIDING AT [redacted] FLORIDA.

b6
b7C

163-45612-152

A COPY OF REFERENCED AX DIVISION AIRTEL DATED OCTOBER 21, 1985, IS POSSIBLY ENROUTE TO LEGAT CANBERRA VIA DIPLOMATIC

MAY 2 1986

PAGE TWO AX 163A-438 UNCLAS

POUCH.

FBIHQ IS REQUESTED TO FORWARD THIS TELETYPE TO LEGAT CANBERRA AND ALSO ENSURE LEGAT HAS BEEN PROVIDED INFORMATION CONTAINED IN REFERENCED AX AIRTEL.

BT

9141

NNNN

R 180845 APR 86

FM LEGAL ATTACHE CANBERRA (163A-4)

TO DIRECTOR FBI (163-45612) ROUTINE 163-17

BT

UNCLAS E F T O

NUGAN HAND BANK. FPC - GCM

b7D

FOR INFORMATION OF THE FILE, [redacted]

[redacted]

NO FURTHER INVESTIGATIVE REQUESTS ARE CONTEMPLATED. RUC.

BT

163-45612 - 153

MAY 15 1986

64 JUL 15 1986

163-45612

FD-36 (Rev. 8-29-85)

FBI

TRANSMIT VIA:
☐ Teletype
☐ Facsimile
☐ ~~AIRTEL~~

PRECEDENCE:
☐ Immediate
☐ Priority
☐ Routine

CLASSIFICATION:
☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☐ UNCLAS

Date 11/13/86

TO: DIRECTOR, FBI (163-45612)
ATTN: CID, INTERNATIONAL TERRORISM UNIT 3

FROM: SAC, MIAMI (163A-2191) (RUC)

RE: NUGAN-HAND BANK
FOREIGN POLICE COOPERATION
OO:FBIHQ

Re Alexandria airtel to Director 10/21/85.

As the Bureau is aware, over the past 2½-3 years the Miami Division, as well as Alexandria and other division, has [redacted]

Efforts by the Miami Division to locate and reinterview [redacted] have met with negative results.

Since [redacted] Miami Division will make no additional efforts to reinterview [redacted] and place this matter in an RUC status.

b6
b7C
b7D

2 - Bureau
1 - Alexandria (163A-438) (Info)
1 - Miami
HTK:ggr
(4)

163-45612-154

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED 308,506
DATE 2/27/90 BY SP5C1 lOms

Approved: ______ Transmitted ______ (Number) (Time) Per ______

11-21-86 1 cc of AIRTEL TO Rm 4259
1 cc " " " LEGAT CANBERRA

1-4259

10 APR 7 1987

SSP

CLASS
SRC'D
SER
REC

RECEIV
TELETY
UNIT

12 APR 91

0113 ~~RI 00424~~

RK RUCNFB FBIHN FBTNY FBISE HJN

DE CAN #0002 1020645

ZNR UUUUU

R 120644Z APR 91

FM LEGAT CANBERRA (163A-CN-796) (P)

TO DIRECTOR FBI/ROUTINE/

FBI HONOLULU/ROUTINE/

FBI NEW YORK/ROUTINE/

FBI SEATTLE (163-0)/ROUTINE/

LEGAT HONG KONG/ROUTINE/

BT

UNCLAS

CITE: //5300:CAN216.101//

PASS: OLIA, INTERPOL/FPC UNIT.

SUBJECT: FRANK NUGAN, [redacted] DBA NUGAN HAND BANK;

FPC-GCM.

b6
b7C

RE SEATTLE TELETYPE 4/9/91, CAPTIONED [redacted]

[redacted] FPC; OO: SE. 163-45612-155

THE [redacted] REFERRED TO IN RE SEATTLE TELETYPE IS

Leach (info)

cc - OLIA

UNDOUBTEDLY IDENTICAL TO [redacted] (DPOB [redacted]

b6
b7C

CAPTIONED MATTER WAS A LONG TERM SERIES OF INVESTIGATIONS WHICH ATTRACTED FRONT PAGE NEWS STORIES IN THE WALL STREET JOURNAL FOR MANY YEARS. IN ADDITION TO MANY PERIPHERAL ALLEGATIONS, THE MAIN THRUST OF THIS MATTER WAS THAT CONSIDERABLE ORGANIZED CRIME MONEY WAS BEING LAUNDERED THROUGH THE NUGAN HAND BANK. [redacted]

b7D

LEAD - SEATTLE DIVISION:

PLEASE PROVIDE CANBERRA ANY ADDITIONAL DETAILS THAT COME TO YOUR ATTENTION CONCERNING THIS MATTER.

BT

#0002

NNNN

RECEIVED
TELETYPE
UNIT

23 APR 91 13 23

0147 MRI 00389

RR RUCNFB FBIHN FBINY FBISE CAN

DE HON #0007 1131125

ZNR UUUUU

R 230637Z APR 91

FM LEGAT HONG KONG (163-0)

TO DIRECTOR FBI/ROUTINE/

FBI HONOLULU/ROUTINE/

FBI NEW YORK/ROUTINE/

FBI SEATTLE/ROUTINE/

LEGAT CANBERRA (163A-CN-796)/ROUTINE/

BT

UNCLAS

CITE: //5320: 320: 4/23/91//

PASS: OLIA, INTERPOL, FPC UNIT.

SUBJECT: FRANK NUGAN, [redacted] DBA NUGAN HAND BANK; FPC - GCM.

b6
b7C

RESETEL, 4/9/91 CAPTIONED [redacted] AKA [redacted] [redacted] FPC.00: SE".

163-45612-156

REVIEW OF MICROFICHE FOR HONG KONG FILE 55-4 CAPTIONED [redacted]

COUNTERFEIT MATTER", REFLECTS

DOB AN AUSTRALIAN CITIZEN, FRANK

NUGAN, IN SETTING UP THE NUGAN HAND BANK AND RELATED FINANCIAL

INTERESTS. AS OF DECEMBER, 1978, RESIDED IN

APPARENTLY WAS TRAVELING UNDER

FRANK NUGAN, APPARENTLY COMMITTED SUICIDE IN

JANUARY, 1980, WHEN ALLEGATIONS OF MONEY LAUNDERING AND OTHER

ILLEGAL ACTIVITIES CAME TO LIGHT. THE HONG KONG BRANCH OF THE

NUGAN HAND BANK ULTIMATELYY COLLAPSED, RESULTING IN A LOSS OF

$2.8 MILLION TO THE DEPOSIT HOLDERS.

b6
b7C

b6
b7C
b7D

b6
b7C
b7D

THE ABOVE IS FURNISHED FOR INFORMATION.

BT

#0007

NNNN

FD-36 (Rev. 11-17-88)

FBI

TRANSMIT VIA:
- ☐ Teletype
- ☐ Facsimile
- ☒ AIRTEL

PRECEDENCE:
- ☐ Immediate
- ☐ Priority
- ☐ Routine

CLASSIFICATION:
- ☐ TOP SECRET
- ☐ SECRET
- ☐ CONFIDENTIAL
- ☐ UNCLAS E F T O
- ☐ UNCLAS

Date 9/6/91

TO : DIRECTOR, FBI (163A-4512)
ATTENTION: OLIA, FLU, SSA [redacted]

FROM : LEGAT, CANBERRA (163A-CN-796) (P)

SUBJECT : FRANK NUGAN;
[redacted]
dba NUGAN HAND BANK;
FPC - GCM

b6
b7C

Reference Seattle teletype dated 4/9/91 and Canberra teletype dated 4/11/91.

Enclosed for the investigative assistant of Seattle is [redacted]

b7D

Investigation is continuing at Canberra.

2 - Bureau (via DHL)
2 - Seattle (Enc.1) (via DHL)
(163-0)
1 - Canberra
TJB:tjt
(5)

163-4...-157

Approved: ______ Transmitted ______ (Number) (Time) Per

163-45612

FEDERAL BUREAU OF INVESTIGATION
FOI/PA
DELETED PAGE INFORMATION SHEET
FOI/PA# 1482505-000

Total Deleted Page(s) = 202
Page 81 ~ b6; b7C; b7D;
Page 82 ~ b6; b7C; b7D;
Page 83 ~ b6; b7C; b7D;
Page 84 ~ b6; b7C; b7D;
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FD-36 (Rev. 8-26-82)

FBI

TRANSMIT VIA:	PRECEDENCE:	CLASSIFICATION:
☐ Teletype	☐ Immediate	☐ TOP SECRET
☐ Facsimile	☐ Priority	☐ SECRET
☒ AIRTEL	☐ Routine	☐ CONFIDENTIAL
		☐ UNCLAS E F T O
		☐ UNCLAS
		Date 8/25/83

TO: DIRECTOR, FBI
ATTENTION: LIAISON UNIT, INTD

FROM: SAC, DALLAS (97A-89) (P)

SUBJECT: NUGAN HAND BANK;
FPC
OO:BUREAU

Civil Docket # 20369-83 Discovery

FUGITIVE INDEX

OO:ALEXANDRIA

b6
b7C

Reference Bureau airtel to Alexandria, dated 6/17/83.

Enclosed for the Bureau are six copies of an LHM captioned "Nugan Hand Bank".

Enclosed for Alexandria Division are two copies of same LHM.

LEAD

DALLAS DIVISION

AT DALLAS, TEXAS: Will re-contact [redacted] [redacted] telephone number [redacted] to determine if any [redacted] will be available for interview.

2 Bureau (Enc. 6)
2 Alexandria (Enc. 2)
3 Dallas (2-97A-89) (1-163-521)
PSP/sam
(7)

Approved: ______ Transmitted ______ (Number) (Time) Per ______

163-45612

U.S. Department of Justice

Federal Bureau of Investigation
Dallas, Texas
August 25, 1983

In Reply, Please Refer to
File No.

NUGAN HAND BANK

~~SECRET~~

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Reference is made to memorandum, dated January 18, 1983, at Dallas, Texas.

On July 12, 1983, [redacted] Dallas, Texas, telephone [redacted] voluntarily appeared at the Dallas FBI Office at which time he furnished the following voluntary information:

He was born [redacted]

He was previously employed in 1967, as [redacted]

He furnished the following information regarding [redacted]

He has known [redacted] since [redacted] was [redacted] which also [redacted]

163-4560-123

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

~~SECRET~~

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He described [redacted] as [redacted]

He furnished the following information concerning [redacted]

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Since his meeting [redacted] their friendship and contacts resumed. [redacted]

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~~SECRET~~

claimed that in about 1977,

He did not know

Besides he recalled there was

~~SECRET~~

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NUGAN HAND BANK

~~SECRET~~

On August 2, 1983,

telephone number

advised that

On August 4, 1983,

On August 16, 1983,

~~SECRET~~

AX0 ØØ82171-85 6Z

PP HQ NY

DE AX

P Ø5 183ØZ AUG 83

FM ALEXANDRIA (P)

TO DIRECTOR PRIORITY

NEW YORK ROUTINE

BT

~~UNCLAS E F T O~~

SECRET

FOI/PA # 308,506
APPEAL #
CIVIL ACT. # 20369-83
E.O. # 12356
DATE

ATTN: CID, INTERNATIONAL TERRORISM UNIT III, FBIHQ

NUGAN HAND BANK, FOREIGN POLICE COOPERATION (OO:FBIHQ) (AX FILE 163-438)

b6
b7C

ACT (OO:AX) (AX FILE 58-222)

RE BUREAU AIRTEL TO ALEXANDRIA AND WFO DATED JUNE 10, 1983, UNDER FIRST CAPTION ABOVE.

REFERENCED AIRTEL DIRECTED ALEXANDRIA TO PURSUE INTERVIEW OF

163-4561-124

Declassify on: OADR

~~ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE~~

XEROX
AUG 18 1983

SECRET

UNRECORDED COPY FILED IN

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b7C

PAGE TWO AX 163-438 ~~UNCLAS E F T O~~ ~~SECRET~~

VARIOUS PERSONS INCLUDING [redacted]

[redacted]

ALEXANDRIA HAS HAD TELEPHONIC CONTACTS WITH [redacted] IN THE RECENT PAST AND HE IS PRESENTLY [redacted]

[redacted]

ON AUGUST 4, 1983, [redacted] TELEPHONICALLY ADVISED AN ALEXANDRIA DIVISION SPECIAL AGENT THAT [redacted]

[redacted]

[redacted]

~~SECRET~~

~~SECRET~~

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[redacted]

IN ADDITION TO THE ABOVE MEETING WITH [redacted]

[redacted]

DURING PAST TELEPHONIC CONTACT WITH THE ALEXANDRIA DIVISION,

[redacted]

BUREAU AUTHORITY IS REQUESTED FOR ALEXANDRIA TO SEND AGENTS [redacted] TO NEW YORK IN THE NEAR FUTURE TO INTERVIEW [redacted] IF APPROVED, THE NEW YORK OFFICE WILL BE ADVISED OF THE INTERVIEW DATE AND TRAVEL PLANS AND ALEXANDRIA AGENTS WOULD TENTATIVELY PLAN TO GO UP AND BACK TO NEW YORK ON THE SAME DATE VIA THE SHUTTLE.

~~SECRET~~

~~SECRET~~

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PAGE FOUR AX 163-438 UN~~CLAS E F T O~~

(FOR INFORMATION OF NEW YORK, [redacted] AKA [redacted] IS A WHITE MALE, BORN [redacted] TEXAS, 5'9" TALL, 165 LBS., BROWN HAIR AND BROWN EYES. SHOULD HIS WHEREABOUTS BECOME KNOWN TO THE NEW YORK OFFICE, ALEXANDRIA SHOULD BE PROMPTLY ADVISED SO THAT EFFORTS CAN BE MADE TO SERVE THE OUTSTANDING FEDERAL SUBPOENA ON HIM.)

SACS ALEXANDRIA AND NEWYORK CONCUR WITH TRAVEL REQUEST OF SAS [redacted]

?5

BT

~~SECRET~~

0-93 (Rev. 4-26-78) 073

DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION
COMMUNICATION MESSAGE FORM

DATE	CLASSIFICATION	PRECEDENCE
AUGUST 9, 1983	~~UNCLASSIFIED/E F T O~~ ~~SECRET~~	PRIORITY

$F077***$F073PP AX NYDE HQ H0073 $HYEP ~~091813Z AUG 83~~

FM DIRECTOR FBI

TO FBI ALEXANDRIA PRIORITY

FBI NEW YORK PRIORITY

BT

~~UNCLAS/E F T O~~

NUGAN HAND BANK; FOREIGN POLICE COOPERATION; OO: FBIHQ

ALEXANDRIA

ALEXANDRIA

RE ALEXANDRIA (AX) TEL TO FBIHQ, DATED AUGUST 5, 1983.

TRAVEL OF AX SAS [redacted]

TO NEW YORK (NY) FOR THE INTERVIEW OF [redacted] IS

AUTHORIZED.

AX COORDINATE TRAVEL AND INTERVIEW WITH NY.

b6
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DO NOT TYPE PAST THIS LINE

163-45612-125

DO NOT TYPE MESSAGE BELOW THIS LINE

APPROVED BY	DRAFTED BY	DATE	ROOM	TELE EXT.
SK / RCR	RLH:DIG (6)	8/9/83	4239	4575

1 - MR. KLEIN

1 - MOVEMENT UNIT

1 - MR. BURTON

1 - MR. HUNT

1 - TRAVEL OF AGENT FOLDER

~~Classified by SP6BJA/1K~~
~~Declassify on: OADR 4-21-88~~
284,655
305,506

2 - XEROX
AUG 18 1983

~~SECRET~~

DO NOT FILE WITHOUT COMMUNICATIONS STAMP

FBI/DOJ

2

CONTINUATION SHEET

~~SECRET~~

BT

DO NOT TYPE PAST THIS LINE

~~SECRET~~

NOTE: ABOVE TRAVEL DEEMED NECESSARY IN VIEW OF COMPLEXITY OF CAPTIONED MATTERS AND EXTENSIVE BACKGROUND REQUIRED TO ADEQUATELY PURSUE PERTINENT INTERVIEWS. SA [redacted] IS CASE AGENT OF CAPTIONED [redacted] SA [redacted] IS CASE AGENT OF CAPTIONED [redacted] KEY INDIVIDUALS IN THESE INVESTIGATIONS HAVE ASSOCIATED AND DEALT WITH PRINCIPALS OF THE DEFUNCT NUGAN HAND BANK (NHB), AUSTRALIA.

SECRET

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INTERVIEWEE, [redacted]

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ALEXANDRIA IS ATTEMPTING TO LOCATE [redacted] FOR INTERVIEW, AND SERVICE OF FEDERAL GRAND JURY SUBPOENA FOR TESTIMONY CONCERNING HIS DEALINGS WITH [redacted]

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ALEXANDRIA AGENTS TENTATIVELY PLAN TO EFFECT TRAVEL TO NEW YORK, CONDUCT INTERVIEW, AND RETURN THE SAME DAY.

SECRET

AX0017 244 0052Z

PP HQ

DE AX

P 01 2107Z SEPT 83

FM ALEXANDRIA (72-31) (P)

TO DIRECTOR PRIORITY

BT

UNCLAS

ATTN: [redacted] TERRORISM SEC.

[redacted] OOJ (AX-FILE 72-31) (OO:NY)

NUGAN-HAND BANK, F.P.C. (AX FILE 163-438)

RE BUREAU TELETYPE TO ALEXANDRIA AND NEW YORK DATED AUGUST 9, 1983.

SA'S [redacted] TRAVELLED TO N.Y. ON AUGUST 29, WITH AUSA [redacted] AND RETURNED ON AUGUST 30, 1983.

ON AUGUST 29, SA'S [redacted] AND AUSA'S [redacted] INTERVIEWED INMATES [redacted] [redacted] AT U.S. ATTY'S OFFICE IN N.Y. BOTH WERE COOPERATIVE AND PROVIDED DETAILED INFORMATION REGARDING CONTACTS AND ASSOCIATION WITH

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RECEIVED
2 SEP 83 01 02Z
FEDERAL BUREAU OF INVESTIGATION

12 SEP 13 1983

NOT RECORDED
162 SEP 21 1983

Original Filed In

OCT 21 1983

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[redacted] IN RECENT MONTHS.

[redacted] INDICATED HE ACTED IN PART AS A MIDDLEMAN FOR [redacted]

[redacted] INFORMATION RELATES PRIMARILY TO [redacted]

[redacted] APPEARED TO HAVE DEVELOPED A MORE EXTENSIVE RELATIONSHIP WITH [redacted]

[redacted] TOLD [redacted] HE HAD [redacted]

[redacted] THEREFORE

[redacted] WAS INTERVIEWED ON AUGUST 30, 1983, AND GAVE THE

PAGE THREE AX 72-31 UNCLAS

DETAILS OF HIS MEETI[redacted]

[redacted] ALSO TOLD OF RECENT CONTACTS WITH

[redacted] AND PROMISED TO ASSIST IN LOCATING HIM. [redacted]

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APPROPRIATE FD-302'S ARE BEING PREPARED.

BT

b6
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FBI Liaison

Operational Intelligence Section

Nugan Hand Bank; Sydney, Australia

FEDERAL GOVERNMENT

Hand delivered by Merrill Parker to FCU 6/... Per Parker, narcotics unit ... has no information re Nugan Hand Bank 6/1/83 Jw

This is in response to a request that the Federal Bureau of Investigation White Collar Crime Section made regarding the subject matter

Referral/Consult

There have been four Freedom of Information requests regarding Nugan Hand Bank and/or its principals, they are:

1. May 1980 request by [redacted]
2. November 1980 request by [redacted]
3. November 1981 request by [redacted]
4. September 1982 request by [redacted]

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1 - Mr. O'Malley
1 - Mr. Revell
1 - Mr. Young
3 - Mr. Moschella
1 - Mr. O'Brien

FEDERAL GOVERNMENT

delivered to DOJ - 7-14-83 by [redacted] 7/14 - sent to Hill 7/15/83

July 8, 1983

Honorable William V. Roth, Jr.
Chairman, Permanent Subcommittee
on Investigations
Committee on Governmental Affairs
United States Senate
Washington, D.C. 20510

Nugan Hand Bank

Dear Mr. Chairman:

This is in response to your letter of May 13, 1983, in which you requested information regarding any Federal Bureau of Investigation (FBI) or Drug Enforcement Administration (DEA) investigation concerning either the Nugan Hand Bank (NHB) or any of its principals.

We have inquired of the DEA regarding your request and have been advised that the NHB and its principals have been mentioned in DEA files dating back to 1977. It does not appear that the DEA has conducted any investigation of the bank or its principals.

The NHB investigation, as conducted by the FBI under the Bureau's foreign police cooperation authority, has consisted of investigative activity [redacted]

[redacted]

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62 - 1/10 -

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Exec AD Adm. ___
Exec AD LES ___
Asst. Dir.:
Adm. Servs. ___
Crim. Inv. ___
Ident. ___
Intell. ___
Laboratory ___
Legal Coun. ___
Plan. & Insp. ___
Rec. Mgnt. ___
Tech. Servs. ___
Training ___
Public Affs. Off. ___
Telephone Rm. ___
Director's Sec'y ___

MAIL ROOM ☐

EPM:lmb (10)

XEROX
OCT 31 1983

JUL 15 1983

JUL 28 1983

(CONTINUED OVER)

SA E. P. Moschella
Room 7240, TL#245

Honorable William V. Roth, Jr.

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A limited FBI investigation has been conducted regarding information received that [redacted]

Review of alleged associations between [redacted] Inasmuch as these are pending, it would not be appropriate to provide further details at this time.

If I can be of further assistance in this or any other matter, please do not hesitate to contact me.

Sincerely yours,

William H. Webster
Director

1 - Assistant Attorney General
Office of Legislative Affairs
U.S. Department of Justice

APPROVED:

	Adm. Servs.	Laboratory
	Crim. Inv.	Legal Coun.
		Off. of Cong. & Public Affs.
Exec. AD-Adm.	Ident.	Rec. Mgnt.
Exec. AD-Inv.	Inspection	Tech. Servs.
Exec. AD-LES	Intell.	Training

RECEIVED TELETYPE UNIT 23 SEP 83 02 49Z FEDERAL BUREAU OF INVESTIGATION

RECEIVED TELETYPE UNIT 23 SEP 83 02 49Z FEDERAL BUREAU OF INVESTIGATION

~~SECRET~~

RR

NBW 8

FM ALEXANDRIA (P)

TO DIRECTOR ROUTINE

BOSTON PRIORITY

DALLAS PRIORITY

MIAMI PRIORITY

NEW YORK PRIORITY

BT

FOI/PA # 308,506
APPEAL #
CIVIL ACT. #
E.O. # 12,356
DATE 2/23/90 INITIALS OMS
(S-1) Appropriate Agency

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~~S E C R E T~~ O

ATTN: CRIMINAL INVESTIGATIVE DIVISION (INTERNATIONAL TERRORISM UNIT NUMBER THREE), FBIHQ

[redacted]

(OO:AX) (AX FILE 58-222)

① USA - HAND BAUKE; FOREIGN POLICE COOPERATION (OO:FBIHQ) (AX FILE 163-436)

163-45612-

ORIGINAL FILED IN 58-10845-71

FOR THE INFORMATION OF RECIPIENTS [redacted]

[redacted]

~~ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE.~~

NOT RECORDED
9
OCT 4 1983
128

NOV 8 1983

~~2/23/90
Classified by SPSCI1 OMS
Declassify on: OADR~~ 308,506

5

~~SECRET~~

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[redacted] IS A WHITE MALE BORN [redacted]

AT WASHINGTON, D.C., [redacted]

[redacted] SINCE THEN, HE HAS BEEN

INVOLVED [redacted]

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INDICATIONS HAVE BEEN RECEIVED THAT

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NUGAN DIED OF AN APPARENT SUICIDE IN JANUARY, 1980, IN AUSTRALIA AND THE BANK FAILED A FEW MONTHS THEREAFTER, RESULTING IN SEVERE LOSSES TO MANY OF ITS DEPOSITORS, PARTICULARLY IN SAUDI ARABIA.

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INFORMATION HAS BEEN DEVELOPED THAT

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PAGE SIX 58-922 ~~UNCLAS~~ E F T O

INVESTIGATION REVEALS THAT

~~SECRET~~

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SECRET

ALEXANDRIA NOTES THAT

THE BOSTON DIVISION HAS PREVIOUSLY ADVISED THAT THEY OBTAINED INFORMATION FROM THE RHODE ISLAND STATE POLICE WHO HAVE A SOURCE THAT REPORTED THAT

LEADS: BOSTON AT NEWPORT, RHODE ISLAND: ADVISE OF ANY

SECRET

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PERTINENT INFORMATION THAT

DALLAS AT DALLAS, TEXAS: 1.) REVIEW INDICES REGARDING

2.) CONDUCT CCREDIT AND ARREST CHECKS REGARDING

3.) INTERVIEW

(ALEXANDRIA IS QUITE INTERESTED IN LOCATING AND INTERVIEWING REGARDING CAPTIONED MATTERS.) 4.) ADVISE OF ANY INFORMATION BEARING ON THE REPUTATION OF

MIAMI AT MIAMI, FLORIDA: REVIEW INDICES REGARDING

NEW YORK AT NEW YORK CITY, NEW YORK: IN VIEW OF ALEXANDRIA INTEREST IN LOCATING AND INTERVIEWING ATTEMPT TO LOCATE AND

SECRET

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[illegible] AX 584222 [illegible] S E C R E T

I[illegible]TERVIEW [illegible]

RECIPIENTS REQUESTED TO SUBMIT RESULTS OF INVESTIGATION BY

TELETYPE, FOLLOWED BY FD--302, WHERE APPROPRIATE.

BT

SECRET

1 - Mr. O'Malley - Enc.(2
1 - Mr. Fevell - Enc.(2)
1 - Mr. Young - Enc.(2)
3 - Mr. Moschella - Enc.(2

FEDERAL GOVERNMENT

Assistant Attorney General
Office of Legislative Affairs

July 7, 1983

1 - Mr. O'Brien - Enc.(2)

Director, FBI

REQUEST OF THE SENATE PERMANENT SUBCOMMITTEE
ON INVESTIGATIONS, MAY 13, 1983

Enclosed is my response to Chairman Roth's letter of May 13, 1983. Please review and forward the letter to the Subcommittee.

Enclosures (2)

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APPROVED:

Director	Adm. Servs.	Laboratory
Exec. AD-Adm.	Crim. Inv.	Legal Coun.
Exec. AD-Inv.	Ident.	Off. of Cong. & Public Affs.
Exec. AD-LES		Rec. Mgnt.
		Tech. Servs.
		Training

delivered to D.O. 7-14-83 by [redacted]
OLA sent 7/ to Roth

163-45619-128

Exec AD Inv.
Exec AD Adm.
Exec AD LES
Asst. Dir.:
Adm. Servs.
Crim. Inv.
Ident.
Intell.
Laboratory
Legal Coun.
Plan. & Insp.
Rec. Mgnt.
Tech. Servs.
Training
Public Affs.
Telephone Rm.
Director's Sec'y

MAIL ROOM ☐

EPM:lmb (9)

OCT 27 1983

6 - [signature] 7/25/83

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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED 308,506
DATE 2/23/90 BY SPSCI LAMS

SA [redacted]
Room 7240, TL#245

FILED IN

0-93 (Rev. 4-26-78) 147

DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION
COMMUNICATION MESSAGE FORM

PAGE 1 OF 2

DATE	CLASSIFICATION	PRECEDENCE
SEPTEMBER 21, 1983	~~UNCLASSIFIED/E F T O~~	PRIORITY

$F147IPP AX SVIDE HQ #0147 $HIYEIP 212112Z SEP 83

SECRET

FM DIRECTOR FBI

TO FBI ALEXANDRIA PRIORITY

FBI SAVANNAH (97A-25) ROUTINE

BT

~~UNCLAS/E F T O~~

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ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE

NUGAN HAND BANK; FOREIGN POLICE COOPERATION; OO: FBIHQ.

RE SAVANNAH (SV) TEL TO FBIHQ, DATED JULY 18, 1983, AND ALEXANDRIA (AX) TEL TO FBIHQ, DATED SEPTEMBER 19, 1983.

TRAVEL OF AX SAS [redacted] TO SV TO REVIEW TAPE RECORDED CONVERSATIONS AND INTERVIEW [redacted] [redacted] IS APPROVED.

AX COORDINATE TRAVEL AND AVAILABILITY OF [redacted] WITH SV.

163-45612-129

DO NOT TYPE MESSAGE BELOW THIS LINE

APPROVED BY	DRAFTED BY	DATE	ROOM	TELE EXT.
SR / [signature]	RLH:DIG (5)	9/21/83	4239	4575

1 - MR. KLEIN
1 - MR. BURTON
1 - MR. HUNT
1 - TRAVEL OF AGENTS FOLDER

SECRET

OCT 4 1983

Classified by 4-18-88 SP6BJA/14
Declassify on: OADR

DOCKET # 20369-83

SEP 26 1983

Classified by
Declassify on: OADR

UNRECORDED COPY FILED IN 160-59127

DECLASSIFIED ON 5/9/89 2/23/90
BY SP5CIL OMS 308,506 284,655

DO NOT FILE WITHOUT COMMUNICATIONS STAMP

FBI/DOJ

0-93A (7-19-77)

DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION
COMMUNICATION MESSAGE FORM

PAGE 2

CONTINUATION SHEET

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BT

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FBI/DOJ

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NOTE: TRAVEL INVOLVES INTERVIEW OF [redacted] WHO IS A CLOSE ASSOCIATE OF [redacted] AND WORKED WITH [redacted]

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[redacted] IS THE SUBJECT OF A SV NARCOTICS INVESTIGATION, WHICH PRODUCED TAPE RECORDED CONVERSATIONS CONCERNING [redacted] KNOWLEDGE OF AND DEALINGS WITH [redacted] REVIEW OF THESE RECORDINGS ALSO APPEARS TO BE OF IMPORTANCE TO ONGOING INVESTIGATIVE EFFORTS. SV IS CURRENTLY TRANSCRIBING THE TAPES; AND TRANSCRIPTIONS SHOULD BE AVAILABLE FOR AX REVIEW PRIOR TO INTERVIEW OF [redacted]

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AX ADVISES THAT EVERY EFFORT WILL BE MADE TO ENSURE, TO THE EXTENT POSSIBLE, THAT [redacted] WILL BE AVAILABLE AND AGREEABLE TO INTERVIEW, PRIOR TO TRAVEL.

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IN VIEW OF THE COMPLEXITY OF CAPTIONED MATTERS, ITU-III CONCURS WITH THE NEED FOR TRAVEL OF AX AGENTS TO SV TO REVIEW TAPED CONVERSATIONS AND INTERVIEW [redacted] BOTH SACS INVOLVED APPROVE OF TRAVEL.

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THOMAS C GOOD
180 SEVERN WAY
ARNOLD MD
21012

THE WALL STREET JOURNAL

VOL. CC NO. 40 ★★★ EASTERN EDITION THURSDAY, AUGUST 26, 1982

Australian Mystery

Banker Frank Nugan In Final Days Faced A Charge of Fraud

But His Violent Death Came As Surprise to Associates; His Partner Disappears

A Phone Call From 'Charlie'

By JONATHAN KWITNY
Staff Reporter of THE WALL STREET JOURNAL

SYDNEY, Australia—There were several reasons why Frank Nugan might have wanted to kill himself. But in January 1980, none of them were apparent to outsiders.

The 37-year-old co-founder of the Nugan Hand Ltd. private banking empire seemingly had the world on a string. His bank was taking in millions of dollars and had recently brought in a young American with impressive banking credentials to take some of the load off his shoulders. Mr. Nugan was negotiating to buy a multimillion-dollar estate for his family. And he apparently had whipped a serious drinking problem.

This is the last of a series of articles.

But as the fateful date of Jan. 26 approached, Mr. Nugan was confronted with two serious legal problems that threatened possible ruin for his bank. In retrospect, moreover, there were signs of eccentric behavior that should have served as warnings.

Mr. Nugan had stopped spending most of his time at Nugan Hand's Sydney headquarters, and he was making more first-class jet trips around the world on missions his associates didn't always understand.

A Walk With Jesus

He began going to church daily and scrawling mystical notes in his Bible, which was always with him. A sample: "I place this day my life, my work, my loved ones in the Lord's hands. He is so good and it will be a good day I believe. I believe it will be a glorious, magical, miraculous day. He is with me now. Jesus walks with me now. Visualize 100,000 customers worldwide. Prayerize. Actualize."

One of Mr. Nugan's legal problems grew out of a 1977 scandal that had developed over a fruit and vegetable business run by Mr. Nugan's brother, Ken, in their home town, Griffith, 400 miles inland from Sydney. Several large insurance companies had acquired a 40% interest in the business during a 1973 stock sale to raise $700,000 for a new cannery. Later, they complained that Ken Nugan was diverting funds.

Auditors discovered big cash payoffs to people apparently linked to narcotics traffic. Ken Nugan explained that the payments were to fruit farmers who wanted to remain anonymous for tax reasons and so were using dope dealers' names as pseudonyms. Amid much publicity, Ken Nugan fired the auditors and kicked the insurance men off the company's board.

This was done at two rowdy shareholder meetings at which drunks and thugs with newly issued 10-share stockholdings packed the hall and swayed procedural votes. Frank Nugan had helped orchestrate his brother's strategy, and state officials filed fraud charges against both brothers and a private detective they hired.

Sitting With a General

During the two years of court hearings to see if the charges would be tried, one of the men often at Frank Nugan's side was U.S. Gen. Edwin F. Black, who, after his retirement as assistant Army chief of staff for the Pacific, had taken a job as the president of Nugan Hand Hawaii. Gen. Black says that he attended the court hearings just to satisfy his curiosity.

Frank Nugan protested loudly that Nugan-Hand was unconnected to the fruit and vegetable business. But when Nugan Hand Ltd. collapsed in April 1980, a few months after Frank Nugan's death, John O'Brien, a liquidator, said in his initial report that a "third party"—known to be Ken Nugan—had received $1.6 million that his brother had diverted from Nugan Hand. Some $1.1 million of this is credited as having been paid back. Nugan Hand funds also are believed to have been used to pay the Nugans' legal bills.

There were few details in the records. Immediately after his brother died, Ken Nugan went through Frank's office and, witnesses say, removed armfuls of files. A Nugan Hand director, Stephen K.A. Hill, has said that Ken also transferred a lot of Nugan Hand's stock in the fruit company to the wives of Frank Nugan and Michael Hand, the vice chairman of the bank. Mr. Hill says he argued vainly that the stock should be an asset of the bank.

Less than a month before he died, Frank Nugan learned that he and his brother would be tried for fraud, a development that seemed sure to affect his bank's reputation. (Ken Nugan's trial now is scheduled for later this year, after many delays.)

A Problem With Price Waterhouse

The other problem probably weighing on Frank Nugan's mind on the night of Jan. 26 was the refusal of Price Waterhouse & Co.'s Bahamas office to sign Nugan Hand bank's books for the year ended June 30, 1979. (The Bahamian office covers the Cayman Islands, where the bank had legal headquarters because of the soft regulatory climate there.) Mr. Hill had taken the books to the Caymans in October 1979. The local Price Waterhouse partners had approved the books for the two previous years, but this time there was a new Price Waterhouse auditor on hand, Clive Jennings. He balked: "There were significant gaps in the records," Mr. Jennings says.

Mr. Hill has testified that he rewrote the accounts annually on instructions from Frank Nugan. He said that in 1979 his boss

Please Turn to Page 14, Column 1

Wall Street Journal 8-26-82

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RECORDED OCT 5 1982

Mike, Frank and Buddy

Mr. Beazley, after being praised by Adm. Yates as "the finest banker in the United States under the age of 35," said, "It is a privilege and an honor for me to be president (of) this company." He said he had "had the opportunity to see it grow" during a close 2½-year relationship with "Mike and Frank and Buddy," and added that "the decision that I would want to be associated with this group" was "one of the best ones I've ever made, decision-wise."

He told the group, "You're fortunate to have probably one of the best money-market operators that I've ever seen and certainly one of the highest-qualified tax desk departments or divisions of firms that I've ever seen." This, even though Nugan Hand's money-market operations were consistent and intentional money losers, as the bank built up deposits by offering investors much higher interest rates than Nugan Hand got by investing the money for its own account. (The Hong Kong liquidator's office has calculated that from 1976 to its demise, Nugan Hand *lost* $7.9 million in trading securities.) And many of Mr. Nugan's tax schemes later proved to be scarcely veiled frauds.

Hearing his words of praise for Nugan Hand repeated from transcripts of tape recordings obtained by this newspaper and verified, Mr. Beazley said that it was possible that he had said such things but that he couldn't remember. He also conceded the possible accuracy of reports that as late as March 1980, he had assured people that the bank was solid and would continue.

Lots of Gold Braid

Other leading lights at the October 1979 meeting were Adm. Yates; Gen. LeRoy J. Manor, the retired chief of staff for the U.S. Pacific Command; Walter McDonald, the recently retired deputy CIA director, and Guy Pauker, a consultant to various U.S. defense and security agencies. Gen. Manor helped run Nugan Hand's Philippine office, and Messrs. McDonald and Pauker had become consultants to the firm.

Everyone praised Nugan Hand lavishly and pledged tireless efforts to make it grow. But there were some disquieting notes, too. Representatives from Malaysia, Taiwan and Singapore openly expressed their uneasiness about what the Malaysia man called "our present non-official banking activities." All of these countries and others in the region, including Australia, have tight restrictions on taking money out of the country, which was Nugan Hand's main attraction in luring customers. Nugan Hand was allowed into many countries only under the belief that it was an international trade broker.

Nobody mentioned the books that Price Waterhouse had refused to certify two weeks earlier. Mr. Nugan seemed his charming, chipper self. But he, like his bank, was unraveling.

That summer his American-born wife, Lee, had returned to her parents in Nashville, Tenn., taking the couple's two children; three men had been close since Vietnam War days; Mr. Hand had been a Green Beret and then an undercover operative, and Mr. Houghton was a big trader throughout Southeast Asia. Mr. Gehring's shop was the place where many of Nugan Hand's records were secreted after Mr. Nugan's death. Mr. Gehring has testified that Mr. Houghton asked him to help Mr. Hand flee from Australia.

Mr. Gehring says that he conned an employee, Alan Winter, into lending him his birth certificate, which Mr. Gehring then used to obtain a passport in Mr. Winter's name without his knowledge. Mr. Hand's U.S. passport had been seized the previous December by the U.S. consul in Singapore because the Bronx-born American had become an Australian citizen. He had been warned that Australian immigration officials were under orders not to let him leave under his own passport.

The Phony Passport

Mr. Gehring has testified that a mysterious American named "Charlie," identified only as an old Army buddy of Mr. Hand's, showed up in Australia, created a disguise for Mr. Hand and arranged for him to travel to the U.S. via Fiji and Vancouver. Mr. Hand left in mid-June, and Mr. Gehring says he concealed his friend's departure for a week. Mr. Hand left his wife Helen behind, and she is still living in Australia.

So far, the only charges by Australian authorities in the Nugan Hand affair are against Michael Moloney, Mr. Houghton's lawyer, and Patricia Swan, Mr. Nugan's secretary, for allegedly obstructing justice during the destruction of records after Mr. Nugan died. Mr. Moloney concedes in an interview that he advised Mr. Hand to remove the firm's records, but he says he is being made a scapegoat in the case. Mrs. Swan has refused to be interviewed.

In February 1981, Mr. Gehring has testified, he was visiting his brother in San Francisco when he got a call from "Charlie." They arranged for Mr. Gehring to go to a pay telephone booth at the airport. At the appointed time, a call came from Mr. Hand, whereabouts unidentified. He asked about his wife and friends and said he wasn't using Mr. Winter's identity anymore. That is the last time anyone says he has talked to Mr. Hand.

Oak Industries Joint Venture

SAN DIEGO, Calif.—Oak Industries Inc. formed a joint venture with Dow Corning Corp. of Midland, Mich., to manufacture and market silicone-coated architectural fabrics for construction material in large, permanent air-supported or tension structures.

Oak, which is primarily a subscription and cable-television services and manufacturing company, said the venture, ODC Inc., has been operating for the past six months out of one of its New York units, but in October will be based in Atlanta.

Australian Mystery: Frank Nugan Faced Fraud Charge in Final Days

Continued From First Page

ordered $4 million in customer accounts written off as having been paid in cash, off the books. To clean the bank's books, these accounts were assigned to Nugan Hand Panama, which Mr. Hill said was mostly a reservoir for phony accounts needed to balance the books. Most of the bank's $17 million in stated assets were really IOUs from companies that were secretly affiliated with it, and these bogus assets were altered as needed to even the numbers.

Mr. Hill has testified that he was accompanied on the 1979 meeting with Price Waterhouse by U.S. Adm. Earl "Buddy" Yates, a former high-ranking officer in the U.S. Pacific Command who had become president of Nugan Hand bank in 1977. Mr. Jennings and his supervising partner, Richard Harris, who signed the 1978 books but was away during Mr. Hill's visit in 1979, say that Adm. Yates was present in 1977 and 1978 but not in 1979. Mr. Jennings says that in 1979 he sent Nugan Hand's emissary, Mr. Hill, home with a list of information he needed, "and that was the last I ever heard from him."

With the problem unsolved, Mr. Nugan himself went to the Caymans on Jan. 14-16, 1980, just 10 days before his death. Cayman banking authorities had notified him that if Price Waterhouse hadn't certified the June 1979 books by Jan. 31, Nugan Hand bank itself would be decertified there. This would damage Nugan Hand's relationships with major commercial banks throughout the world. Already, because of the lapse in audits, authorities in Singapore had asked on Dec. 5 to see the books, and Mr. Hand was trying to hold them off.

Messrs. Jennings and Harris say they went to Mr. Nugan's hotel room on Jan. 14 and asked for the necessary information, and he told them, "You'll never guess what happened. I came all the way from Australia with the answers to your questions, but then I left the file back in Australia." They say it would have been a bulky file. They say that Mr. Nugan called his secretary in Sydney and told her to send the file. It never arrived.

Hiring a New President

Mr. Nugan was losing his grip on himself and his job. In October 1979, an American, Donald Beazley, had been brought in as president of the entire Nugan Hand group of companies. Mr. Beazley was Nugan Hand's first real banker. A former Federal Reserve bank examiner, he had become the president of Great American Banks Inc. of North Miami, Fla., when Marvin Warner, its chief executive, had left to become President Carter's ambassador to Switzerland. When Mr. Warner returned, Mr. Beazley left to run Nugan Hand. Mr. Beazley is now the president of Gulfstream Bank in Boca Raton, Fla., whose one-bank holding company is listed on the New York Stock Exchange.

Mr. Beazley has told interviewers—including this newspaper—that he never really was the president of Nugan Hand, but "was just there on an interim basis (to) see if I liked what I would find." He has said that he left when Nugan Hand couldn't produce a satisfactory balance sheet and Mr. Nugan died.

That isn't the way he talked, however, in October 1979, when Nugan Hand's representatives from all over the world were flown at huge expense to Sydney for a conference. Mr. Beazley was presented as the new boss. Messrs. Nugan and Hand said that they would be reduced to broad policy makers and that all orders would come from Mr. Beazley from now on.

dren. Records show that she ran up $21,200 in bills on her American Express card in nine months. Australian liquidator John O'Brien says that she also took $1 million in traveler's checks with her. She has resisted numerous attempts by this reporter to interview her.

Close friends of Mr. Nugan say that he wanted her back. He went on the wagon, ending a bottle-a-day Scotch habit, and he took off nearly 50 pounds—all in six months. He spent money in manic style. He paid about $500,000 to remodel the couple's lavish waterfront home (sand reportedly was hauled in for a beach) to impress his wife. But by several accounts she was revolted by its poor taste when she saw it on a brief trip home to attend a wedding at Christmas of 1979.

Mr. Nugan flew back to the U.S. with her on Jan. 9, 1980, but then was off alone to Florida, the Caymans and Switzerland, where he and Mr. Hand reportedly sought United Nations money for their refugee project. He apparently talked to former CIA Director William Colby and made plans to see him the next month. He told people that he was moving to the U.S. and entered negotiations to buy a Florida condominium.

He was back in Sydney Jan. 25. The next day he agreed to close another purchase: a $2.2 million country estate he had dickered over for months—828 landscaped acres and a mansion—"the finest in Australia," he told its owners, a family named Darling. The deal was never consummated. That night he died.

Mr. Hand and the other Americans running the bank appeared to witnesses genuinely surprised by his death—and the sudden desperateness of the situation. Some big withdrawals were made about this time. Maurice Bernard Houghton, a mysterious Texan who played a big part in the bank's affairs, withdrew $150,000 in February; he has said that he used the money on company business in Europe. Mr. Beazley withdrew $200,000, which was transferred to his account in Florida; he says he can't remember what the money was for, but that he has repaid it though he can't prove it.

Gen. Manor Leaves Manila

When Nugan Hand's liquidation came on April 11, 1980, Wilfred Gregory of the firm's Manila office says that he and Gen. Manor were warned by their lawyer, William Quasha, to leave the Philippines or they "could wind up in jail." Mr. Gregory says that he stayed but that Gen. Manor left overnight. Gen. Manor, now running a retired officers' association in Virginia, wouldn't say when or why he left the Philippines, and Mr. Quasha says he can't discuss his advice to a client. Mr. Gregory kept the office for consulting work; he won't name his clients. His new partner was Philippine President Ferdinand Marcos's brother-in-law Ludwig Rocka, until Mr. Rocka's recent death.

Also as Nugan Hand's liquidation began, Mr. Houghton notified several of the firm's agents in Saudi Arabia to get out fast. There had been a similar evacuation in January when Mr. Nugan died, but when things cooled off the salesmen returned. In April they barely escaped. "The situation became somewhat violent," Mr. Houghton has recalled. "The bank branch ... was severely damaged by the depositors after Mike Murphy (the bank representative) left," he said.

In June 1980, Michael Hand, the firm's co-founder, went into hiding in an apartment next to a butcher shop owned by Robert W. Gehring. Mr. Gehring, a former U.S. Army sergeant, worked for Bernie Houghton. The

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RR HQ AX

DE BS

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FM BOSTON (58-595)

TO DIRECTOR (ROUTINE)

ALEXANDRIA (ROUTINE)

BT

UNCLAS

ATTN: CRIMINAL INVESTIGATIVE DIVISION (INTERNATIONAL TERRORISM UNIT 3) FBIHQ

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NUGAN - HAND BANK; FOREIGN POLICE COOPERATION (OO: FBIHQ)

(ALEXANDRIA FILE 163-436)

RE ALEXANDRIA TELETYPE TO THE DIRECTOR DATED SEPTEMBER 22, 1983.

Referral/Consult

RECEIVED TELETYPE UNIT 30 SEP 83 23 12z FEDERAL BUREAU OF INVESTIGATION

64 NOV 21 1983

TO OCT 6 1983

163-45612-

NOT RECORDED
28 OCT 19 1983

ORIGINAL FILED IN

Referral/Consult

ON JULY 30, 1982, [redacted] WIFE OF [redacted] WAS OBSERVED BY A FBI SPECIAL AGENT AT THE U.S. POST OFFICE, NEWPORT RHODE ISLAND MAILING A PACKAGE RESEMBLING A BOX OF CHECKS. APPROXIMATELY SEVEN INCHES BY THREE INCHES BY ONE AND ONE HALF INCHES EXPRESS MAIL ADDRESSED TO [redacted]

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DURING THE FALL OF 1982, THE NEWPORT, RHODE ISLAND RESIDENT AGENCY FBI AND RHODE ISLAND STATE POLICE INTELLIGENCE DIVISION OFFICERS WORKED A JOINT INVESTIGATION TARGETED AGAINST [redacted] A PEN REGISTER OBTAINED BY THE RHODE ISLAND STATE POLICE SHOWED CALLS TO [redacted] DETERMINED TO BE LISTED TO [redacted]

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ON SEPTEMBER 19, 1983, ADVISED THAT

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FOR INFORMATION OF ALEXANDRIA, A FD-302 RE THE JULY 30, 1982 SHIPMENT OF THE ABOVE DESCRIBED PACKAGE FROM TO IS AVAILABLE AND WILL BE FORWARDED IF NEEDED BY ALEXANDRIA.

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ALEXANDRIA SHOULD FURTHER NOTE THAT IS MOST LIKELY IN AND IF DEEMED ADVISABLE CAN BE INTERVIEWED AT

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BOSTON WILL AWAIT INSTRUCTIONS FROM ALEXANDRIA RE THAT POTENTIAL INTERVIEW.

INVESTIGATION CONTIMUING

BT

AXU033 2092J1CZ

PP HQ LA

DE AX

P 2817452 JUL 83

FM ALEXANDRIA (P)

TO DIRECTOR PRIORITY

LOS ANGELES PRIORITY

BT

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UNCLAS E F T O

ATTN: CID, INTERNATIONAL TERRORISM UNIT III, FBIHQ

NUGAN HAND BANK, FOREIGN POLICE COOPERATION (OO:FBIHQ) (AX FILE 163-438)

163-45612-

FOR THE INFORMATION OF FBIHQ, ON JUNE 10, 1983, A SPECIAL AGENT OF THE SANTA ANA RESIDENT AGENCY, LOS ANGELES DIVISION, ADVISED THE ALEXANDRIA DIVISION AS FOLLOWS: HE RECEIVED INFORMATION WHICH MIGHT

NOT RECORDED
13 OCT 25 1983

Response by BuTel 10/7/83,

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 5/9/89 BY SPSC/DMS
2/23/90 SPSC/DMS

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RELATE TO [redacted] IN THIS REGARD HE HAD BEEN IN RECENT CONTACT WITH THE WIFE OF [redacted] WHO IS ALSO THE MOTHER OF FORMER FBI AGENT [redacted] [redacted] IS APPARENTLY HAVING MARITAL PROBLEMS WITH HER HUSBAND AND THEY ARE POSSIBLY CONSIDERING A DIVORCE. SHE INDICATED THAT HER HUSBAND HAS MADE A LOT OF REFERENCES TO A [redacted] AND SOME GUNS AND SHE BELIEVES HER HUSBAND IS [redacted] SHE HAS NUMEROUS TELEPHONE RECORDS RELATING TO HER HUSBAND'S TELEPHONE CALLS TO ARLINGTON, VIRGINIA, AND ELSEWHERE.

SHE SAID HER HUSBAND IS [redacted]

[redacted]

LOS ANGELES FILES CONTAINED A LEAD TO INTERVIEW [redacted]

[redacted]

AFTER RECEIVING THE ABOVE INFORMATION, THE ALEXANDRIA DIVISION REVIEWED ITS INDICES AND THEY WERE NEGATIVE REGARDING [redacted] [redacted] HOWEVER, THERE WAS A MAIN FILE, AX 67-3820, REGARDING [redacted] [redacted] A SPECIAL AGENT APPLICANT IN JUNE, 1972. HER

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APPLICATION STATED THAT HER FATHER IS [redacted] OF [redacted] [redacted] HE IS SET FORTH AS BEING [redacted] BORN [redacted] HIS WIFE WAS SHOWN AS [redacted] OF THE SAME ADDRESS, BORN [redacted] THIS FILE REVEALS THAT APPLICANT'S FATHER WAS [redacted] [redacted] IN CONNECTION WITH THE APPLICANT INVESTIGATION, [redacted] WERE INTERVIEWED.

THE SANTA ANA RESIDENT AGENCY WAS ADVISED OF THE ABOVE INFORMATION FROM THE ALEXANDRIA FILE TELEPHONICALLY.

FOR THE INFORMATION OF LOS ANGELES, [redacted] IS A WHITE MALE, AGE [redacted] WHO WAS [redacted]

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IS A WHITE MALE, AGE

IS THE SUBJECT AT PRESENT OF ACTIVE INVESTIGATION BY THE

ALEXANDRIA DIVISION IN CONNECTION WITH ALLEGATIONS OF [redacted]

[redacted]

[redacted] IS A WHITE MALE, AGE [redacted] WHO IS [redacted]

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[redacted]

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LEADS: LOS ANGELES AT LOS ANGELES, CALIFORNIA: REVIEW INDICES REGARDING [redacted] AND THEIR

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DAUGHTER, [redacted]

AT LAGUNA BEACH, CALIFORNIA: IN VIEW OF THE POSSIBILITY THAT THE ABOVE INFORMATION ABOUT [redacted] IS POSSIBLY IDENTICAL TO [redacted] LOS ANGELES IS REQUESTED TOFULLY AND PROMPTLYY INTERVIEW [redacted] FOR INFORMATION SHE POSSESSES ABOUT HER HUSBAND'S POSSIBLE ACTIVITIES WITH AN INDIVIDUAL NAMED [redacted] WITH ARMS DEALING AND REGARDING HIS FOREIGN TRAVEL IN RECENT YEARS.

ADVISE BUREAU AND ALEXANDRIA OF RESULTS AND HOLD IN ABEYANCE FURTHER INTERVIEWS OTHER THAN [redacted] UNTIL ALEXANDRIA HAS A CHANCE TO REVIEW AND ASSESS RESULTS OF SAME.

REQUEST OF FBIHQ: REQUESTED TO REVIEW INDICES REGARDING [redacted] [redacted]

ADVISE ALEXANDRIA AND LOS ANGELES DIVISION OF RESULTS.

BT

AX0019 262 2337Z

PP HQ SV

DE AX

P Ø19 2100Z SEPT 83

FM ALEXANDRIA (P)

TO DIRECTOR PRIORITY

SAVANNAH (97A-25) ROUTINE

BT

UNCLAS E F T O

ATTN: SSA HUNT - TERRORISM SECTION, FBIHQ

NUGAN-HAND BANK, F.P.C. (OO:BU) (AX 163-438)

163-45612

REFERENCE IS MADE TO BU AIRTEL TO AX DATED JUNE 1Ø, 1983; SV TELETYPE TO DIRECTOR DATED JULY 18, 1983; AND TO TELEPHONE CONVERSATION BETWEEN SA [redacted] AX, AND SA [redacted] SV, ON SEPTEMBER 19, 1983, AND NUMEROUS PRECEDING CONVERSATIONS.

REFERENCED AIRTEL DIRECTED THE SV OFFICE TO INTERVIEW [redacted] [redacted] IT HAS BEEN SUBSEQUENTLY LEARNED

Travel approved by BUtel to AX & SV, 9/21/83.
R L Hunt
ITU-III

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THAT THE SV OFFICE HAS HAD [redacted]
WITH [redacted] AS A SUBJECT. [redacted] IS ALLEGEDLY OPERATING [redacted]
[redacted] THE EXTENT OF [redacted] INVOLVEMENT IN THE
NUGAN-HAND OPERATION IS NOT FULLY KNOWN. IT IS THE OPINION OF AX
AND SV CASE AGENT THAT IT WOULD BE MORE POTENTIALLY PRODUCTIVE IF
[redacted] WAS INTERVIEWED BY SA'S [redacted]
[redacted] OF THE AX OFFICE. BOTH [redacted] ARE
FULLY KNOWLEDGEABLE OF THE INVESTIGATION TO DATE CONCERNING
NUGAN-HAND AND RELATED [redacted] MATTERS AND IT IS FELT A MORE
COMPLETE AND PENETRATING INTERVIEW COULD BE CONDUCTED UTILIZING THE
AX AGENTS KNOWLEDGEABLE IN THESE MATTERS WITHOUT IMPEDING SV'S
INVESTIGATION.

CONSIDERATION WILL BE GIVEN TO TELEPHONICALLY CONTACTING
[redacted] IN ADVANCE TO ASCERTAIN IF HE WILL BE WILLING TO TALK TO AX
AGENTS ABOUT THE ABOVE-MENTIONED MATTERS.

IN LIGHT OF THE ABOVE, BU AUTHORITY IS REQUESTED FOR AX TO SEND
AGENTS [redacted] TO SV IN THE NEAR FUTURE TO REVIEW
THE APPROPRIATE TAPE RECORDINGS (AS SET OUT IN RE SV TELETYPE) AND
TO INTERVIEW [redacted] DURATION OF THIS TRIP WOULD BE TWO TO THREE
DAYS. THE EXACT DATES OF THIS TRIP WILL BE COORDINATED WITH THE SV

PAGE THREE AX 163-439 UNCLAS E F T O

DIVISION AND WILL HAVE TO BE WORKED AROUND WHATEVER REQUIREMENTS

MAY BE IMPOSED WITH [redacted]

[redacted]

b6
b7C

SAC'S SAVANNAH AND AX CONCUR WITH THIS REQUEST.

BT

R 130030 SEP 83

FM LEGAL ATTACHE CANBERRA (163-4) ~~SECRET~~

TO DIRECTOR FBI (163-45612) ROUTINE

BT

CONFIDENTIAL

13 SEP 83 05 29

FEDERAL BUREAU OF INVESTIGATION

b6
b7C
b7D

NUGAN HAND BANK. FPC. (U)

RE CANBERRA TEL AUGUST 29, 1983. (U)

ON SEPTEMBER 12, 1983, [redacted]

[redacted]

163-45612-131

PAGE TWO CANBERRA 163-4 C O N F I D E N T I A L SECRET

b7D

THE FOREGOING IS BEING PROVIDED FOR INFORMATION OF THE BUREAU. (X)

CLASSIFIED BY 2933; DECLASSIFY OADR.

BT

SECRET

AX0023 299 0044Z

PP HQ SV

DE AX

P 0025 2000Z OCT 83

FM ALEXANDRIA (163-438) (P)

TO DIRECTOR ROUTINE

SAVANNAH PRIORITY

BT

UNCLAS

ATTENTION: FBIHQ, CID (INTERNATIONAL TERRORISM UNIT NUMBER THREE)

NUGAN HAND BANK; FOREIGN POLICE COOPERATION; (OO:FBIHQ) (AX FILE 163-438)

RE SV TELETYPE TO BUREAU AND ALEXANDRIA, JULY 18, 1983; BUREAU TELETYPE TO ALEXANDRIA AND SV, SEPTEMBER 21, 1983; PHONE CALLS OF SA [redacted] AX DIVISION, TO ASAC, I. B. WELLS, AND SA [redacted] SV DIVISION, OCTOBER 25, 1983; ALEXANDRIA PHONE CALL TO SUPERVISOR [redacted] AT FBIHQ, ON OCTOBER 25, 1983.

RECEIVED TELETYPE UNIT 26 OCT 83 01 24Z FEDERAL BUREAU OF INVESTIGATION

b6
b7C

163-45612-132

UNRECORDED COPY FILED IN

NOV 4 1983

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 4-16-88 BY SP6 BJA/ML2

5/9/89 2/23/90 SPSc/lams 308,506 284,655

R-425

64 DEC 14 1983

Docket 20369-83

XEROX NOV 8 1983

b6
b7C

ON OCTOBER 25, 1983, SA [redacted] ALEXANDRIA DIVISION, TELEPHONICALLY WAS IN CONTACT WITH [redacted] [redacted] AGREED TO MEET WITH ALEXANDRIA AGENTS AT 9:00 A.M. ON FRIDAY, OCTOBER 28, 1983, AT BRUNSWICK, GEORGIA, WHERE HE IS EMPLOYED AT [redacted] [redacted] DURING THIS BRIEF TELEPHONIC CONTACT, [redacted] ADVISED [redacted] HAS KNOWLEDGE OF [redacted] HE INDICATED A WILLINGNESS TO DISCUSS PERSONS OF INTEREST TO THE FBI AS PERTAINS TO [redacted] RELATED MATTERS.

IN VIEW OF ABOVE INFORMATION, AND PRIOR FBIHQ APPROVAL FOR SUCH TRAVEL, ALEXANDRIA DIVISION AGENTS [redacted] PLAN TO DEPART NATIONAL AIRPORT, WASHINGTON, D.C., AT 7:00 A.M. ON OCTOBER 26, 1983, ON EASTERN AIRLINES FLIGHT 351, ARRIVING AT SAVANNAH, GEORGIA, AT 10:10 A.M. THESE AGENTS ANTICIPATE MEETING WITH SAVANNAH AGENT [redacted] ON OCTOBER 26, 1983, AND THEREAFTER TO COMMENCE REVIEW OF NUMEROUS TAPES OR TRANSCRIPTS OF TAPES, AS MENTIONED IN REFERENCED SAVANNAH TELETYPE.

LODGING AND LOCAL TRANSPORTATION NEEDS ARE TO BE COORDINATED DIRECTLY BY ALEXANDRIA AGENTS WITH THE SAVANNAH DIVISION.

CC CRIM INV DIV

RECEIVED
F UNIT
4 OCT 83 17 25 z
FEDERAL BUREAU
OF INVESTIGATION

AX0008 2771709Z

PP HQ BA BS MM

DE AX

P 041630Z OCT 83

FM ALEXANDRIA

TO DIRECTOR ROUTINE

BALTIMORE PRIORITY

BOSTON PRIORITY

MIAMI ROUTINE (INFO)

BT

UNCLAS E F T O

ATTN: FBIHQ, CID, INTERNATIONAL TERRORISM UNIT NUMBER THREE

[redacted] b6 b7C

NUGAN-HAND BANK; FOREIGN POLICE COOPERATION (OO:FBIHQ) (AX FILE 163-438)

RE ALEXANDRIA TELETYPE TO THE BUREAU, BOSTON, DALLAS, MIAMI AND NEW YORK DATED SEPTEMBER 22, 1983, DUAL-CAPTIONED AS ABOVE; BOSTON TELETYPE TO FBIHQ AND ALEXANDRIA DATED SEPTEMBER 30, 1983, UNDER SAME CAPTIONS.

163-45612

NOT RECORDED
13 OCT 17 1983

10 OCT 7 1983

R-425

DEC 14 1987

ORIGINAL FILED IN 58-10845

b6
b7C

FOR INFORMATION OF BOSTON, A NADDIS PRINT-OUT RECEIVED BY ALEXANDRIA ON SEPTEMBER 26, 1983, FROM THE EL PASO DIVISION, PER PREVIOUS ALEXANDRIA EPIC CHECK, PRODUCED INFORMATION THAT [redacted]

IT IS NOTED THAT INFORMATION WAS PREVIOUSLY SET FORTH BY ALEXANDRIA IN FIRST REFERENCED TELETYPE ABOVE THAT [redacted]

INFORMATION WAS RECEIVED BY THE ALEXANDRIA DIVISION IN AUGUST, 1983, THAT [redacted] PASSPORT REVEALS HE WAS [redacted] POSSIBLY ON MAY 28, 1982, POSSIBLY ON JANUARY 16, 1983, AND POSSIBLY ON AUGUST 7 OR 17, 1983. [redacted] HAS PREVIOUSLY ADVISED DURING INTERVIEW BY ALEXANDRIA DIVISION AGENTS ON MARCH 7, 1983, THAT HE WAS IN AN AREA [redacted] IN APPROXIMATELY THE FALL OF 1981,

b6
b7C

ALLEGEDLY LOOKING INTO

FOR THE INFORMATION OF THE BALTIMORE DIVISION,

LEADS: BALTIMORE AT BALTIMORE, MARYLAND:

1. REVIEW INDICES REGARDING

REGARDING

2. AT APPROPRIATE STATE CORPORATE OFFICE, REVIEW CORPORATE

b6
b7C

DOCUMENTS REGARDING [redacted]

(ADVISE ALEXANDRIA, BOSTON AND FBIHQ OF RESULTS OF INVESTIGATION.)

BOSTON AT PROVIDENCE, RHODE ISLAND: [redacted]

AT NEWPORT, RHODE ISLAND:

1. FORWARD ALEXANDRIA COPY OF FD-302 REGARDING [redacted] AS PERTAINS TO PACKAGE SHE REPORTEDLY SHIPPED TO [redacted] ON JULY 30, 1982.

2. AFTER [redacted]

PAGE FIVE AX 58-222 UNCLAS E F T O

b6
b7C

(BOSTON REQUESTED TO ADVISE ALEXANDRIA, BALTIMORE, MIAMI AND FBIHQ OF RESULTS OF ABOVE LEADS.)

BT

FD-36 (Rev. 8-26-82)

FBI

TRANSMIT VIA:
- ☐ Teletype
- ☐ Facsimile
- ☒ AIRTEL

PRECEDENCE:
- ☐ Immediate
- ☐ Priority
- ☐ Routine

CLASSIFICATION:
- ☐ TOP SECRET
- ☐ SECRET
- ☐ CONFIDENTIAL
- ☐ UNCLAS E F T O
- ☐ UNCLAS

Date 10-28-83

b6
b7C

TO: DIRECTOR, FBI
ATTENTION: LIAISON UNIT, INTD

FROM: SAC, DALLAS (97A-89) (RUC)

SUBJECT: NUGAN HAND BANK;
FPC
FUGITIVE INDEX
OO: BUREAU
DALLAS FILE NUMBER 163-521

OO: ALEXANDRIA
DALLAS FILE NUMBER 97A-89

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 4-16-88 BY SP6 BJA/MC

Docket # 20369-83 Civil Discovery

Re Dallas airtel to the Bureau, dated 8-25-83.

Enclosed for the Bureau are six copies of an LHM captioned, "NUGAN HAND BANK".

Enclosed for Alexandria is one copy of same LHM.

NOV 7 1983

On 8-24-83, [redacted] Attorney, [redacted] [redacted] representing [redacted] [redacted] advised that he had been trying to locate [redacted] [redacted] [redacted] He had not, as yet, completed his inquiries regarding the matter.

3-Bureau (Enc. 6) ENCLOSURE
2-Alexandria (Enc. 1)
2-Dallas
(1-97A-89)
(1-163-521)
PSP:cab
(7)

Approved: TK/PB Transmitted (Number) (Time) Per

On 10-21-83, [redacted] advised that he had been unable to locate [redacted] who had any knowledge of [redacted] or the Nugan Hand Bank as it related to [redacted] in connection with the FBI investigation in this matter.

U.S. Department of Justice

Federal Bureau of Investigation

In Reply, Please Refer to
File No.

Dallas, Texas 75202
October 28, 1983

NUGAN HAND BANK

Reference is made to letterhead memorandum, dated August 25, 1983, at Dallas, Texas.

On October 21, 1983, [redacted] Attorney, [redacted] representing [redacted]

b6
b7C
b7D

2/23/90 SP5ci/DMS

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED 308,506
DATE 4-16-88 BY SP6BJA/JC

DOCKET # 20369-83

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

163-45612-132X

ENCLOSURE

U.S. Department of Justice

Federal Bureau of Investigation

In Reply, Please Refer to
File No.

Dallas, Texas 75202
October 28, 1983

NUGAN HAND BANK

Reference is made to letterhead memorandum, dated August 25, 1983, at Dallas, Texas.

On October 21, 1983, [redacted] Attorney, [redacted]

b6
b7C
b7D

AX 0016 3042239Z

RR HQ SV

DE AX

R 312118Z OCT 83

FM ALEXANDRIA (163-438) (P)

TO DIRECTOR ROUTINE

SAVANNAH ROUTINE

BT

b6
b7C

UNCLAS E F T O

ATTENTION: FBIHQ, CRIMINAL INVESTIGATION DIVISION (INTERNATIONAL TERRORISM UNIT NUMBER THREE) Foreign

NUGAN-HAND BANK; FOREIGN POLICE COOPERATION; (OO:FBIHQ) (AX 163-438)

[redacted]

[redacted] (SV 12F-48) 163-95612-133

RE ALEXANDRIA TELETYPE TO BUREAU AND SAVANNAH DATED OCTOBER 25, 1983.

ALEXANDRIA DIVISION SPECIAL AGENTS [redacted] [redacted] WERE AT THE SAVANNAH DIVISION DURING THE PERIOD OCTOBER 26 - 28, 1983, IN CONNECTION WITH CAPTIONED MATTERS,

RECEIVED TELETYPE UNIT 1 NOV 83 00 11 z FEDERAL BUREAU OF INVESTIGATION

NOV 10 1983

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 4-16-88 BY SP 6 BJA/KR

Docket 20369-83

UNRECORDED COPY FILED IN

NOV 17 1983

53 JAN 10 1984

b6
b7C

WHICH INCLUDED REVIEWING TAPES, TAPE TRANSCRIPTS, AND THE FILE IN THIRD CAPTIONED MATTER ABOVE.

ON OCTOBER 28, 1983, THESE ALEXANDRIA AGENTS INTERVIEWED [redacted] IN THE PRESENCE OF HIS ATTORNEY, [redacted]

[redacted] A WHITE MALE, ADVISED HE WAS BORN ON [redacted] AT [redacted] AND IS A [redacted] HE RESIDES AT [redacted] AND WORKS AS [redacted]

HE WAS DIVORCED FROM [redacted] AND HIS PRESENT GIRLFRIEND IS [redacted]

FOLLOWING GRADUATION FROM COLLEGE, [redacted] TRAVELED TO [redacted] WHILE THERE, HE WORKED AT [redacted] WHICH HE SAID HE BELIEVES WERE EACH OWNED OR MANAGED BY

b6
b7C

STARTING IN [redacted] WITH MONEY HE SAVED FROM HIS EMPLOYMENT, HE TRAVELED AROUND THE WORLD, RETURNING TO [redacted] [redacted]

FROM RETURNING TO THE UNITED STATES UNTIL [redacted] HE WAS RESIDING AND WORKING IN [redacted] INCLUDING HIS [redacted] [redacted] HE OBTAINED [redacted] [redacted] IN THE SAME AREA.

ALTHOUGH HAVING A BOSS-EMPLOYEE RELATIONSHIP WITH [redacted] HE REMAINED FRIENDS WITH [redacted] [redacted] AND [redacted] VISITED HIM IN [redacted] ABOUT TWICE A YEAR THEREAFTER. [redacted] ALSO PAID FOR [redacted] HONEYMOON TRIP TO [redacted] AS A WEDDING PRESENT.

IN ABOUT [redacted] AT [redacted] INVITATION, [redacted] WENT TO [redacted] TO LOOK INTO POSSIBLY ACCEPTING A JOB AS [redacted] [redacted] SUBSEQUENTLY, [redacted] WAS INTERVIEWED FOR THIS JOB BY [redacted] [redacted] HE WAS OFFERED AND ACCEPTED THIS POSITION.

b6
b7C

PAGE FOUR AX 163-438 UNCLAS E F T O

[redacted] THEREAFTER WENT TO WORK AT [redacted]

IN [redacted] AND BASICALLY STAYED THERE UNTIL ABOUT [redacted]

[redacted] HIS JOB PRIMARILY INVOLVED [redacted]

INITIALLY, [redacted]

b6
b7C

IN [redacted] GOT A CALL FROM [redacted] WHO DIRECTED THAT HE PROCEED TO [redacted] WENT THERE AND WERE TOLD BY [redacted]

IN [redacted] TOLD [redacted] HE HAD [redacted]

SHORTLY AFTER [redacted] INFORMATION WAS RECEIVED, [redacted] GOT A CALL FROM [redacted] ORIGINALLY OF [redacted] WHO WAS A FRIEND OF [redacted] WAS CALLING [redacted] AND TOLD [redacted] TO GET OUT OF [redacted]

[redacted] MET WITH [redacted] TOLD [redacted] ABOUT HIS DISAPPOINTMENT AND [redacted] DISCUSSED A POSITION FOR [redacted] AT [redacted]

WHEN GOT THERE, STAYING AT CONDO-
MINIUM THERE. EXPRESSED THEIR ANGER TO
SUBSEQUENTLY,

DISAPPEARED AT ABOUT THAT TIME. LAST SAW IN
ABOUT HEARD FROM
ABOUT EIGHT MONTHS AGO THAT

SAID WIFE,

SAID THAT
APPEARED TO BE NEGOTIATING TO

b6
b7C

PAGE EIGHT AX 163-438 UNCLAS E F T O

HE SAID

IN AUGUST, 1980, AFTER AND MET ACCOMPANIED WAS NERVOUS AND TOLD THAT SAID HE HAD TO DO SOMETHING ABOUT THIS MAN, AND THIS SITUATION. MENTIONED THAT SAID TO RESOLVE THE MATTER, HE PLANNED TO GIVE ASSUMED THIS WAS

ON THE EVENING OF THE DATE THAT DISCUSSED

b6
b7C

LAST SAW ON IN VICINITY WHEN WAS DRIVING THROUGH THE AREA IN AN OLD MODEL STATION WAGON EN ROUTE TO FLORIDA, LOCATION UNSPECIFIED. WHEN TOLD HE WAS TO MEET WITH FBI AGENTS ON MENTIONED SAYING THERE WAS A LOT OF DIFFERENCE BETWEEN

SAID HE STILL OWNS BUT IS LOOKING FOR A JOB NOW. SAID HE IS

SAID HE MET

b6
b7C

[redacted] SAID HE MEVER MET [redacted] AND COULD NOT RECALL HEARING THAT [redacted] HE SAID HE DOES NOT KNOW [redacted]

[redacted] SAID HE HAS A VALID PASSPORT AT PRESENT AND HIS LAST FOREIGN TRAVEL WAS TO [redacted] BY BOAT WITH HIS THEN GIRLFRIEND, [redacted]

[redacted] SAID HE IS NOT AWARE OF [redacted] HE SAID HE WAS [redacted]

OTHER MATTERS RELATING TO [redacted] BUT THE ABOVE INFORMATION SETS FORTH THE PRIMARY AREAS OF SIGNIFICANCE. AN FD-302 CONTAINING DETAILS OF THIS INTERVIEW IS BEING PREPARED AND COPIES WILL BE FORWARDED TO THE SAVANNAH DIVISION AND FBIHQ (WITH LETTERHEAD MEMORANDUM) WHEN PREPARED.

ALEXANDRIA DIVISION EXPRESSES APPRECIATION TO THE SAVANNAH

DIVISION FOR THE SIGNIFICANT ASSISTANCE PROVIDED TO ALEXANDRIA AGENTS IN CONNECTION WITH THIS TRAVEL TO THE SAVANNAH DIVISION.

BT

FD-36 (Rev. 8-26-82)

FBI

TRANSMIT VIA:
☐ Teletype
☐ Facsimile
☒ Airtel

PRECEDENCE:
☐ Immediate
☐ Priority
☐ Routine

~~SECRET~~

CLASSIFICATION:
☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☐ UNCLAS

Date 11/17/83

FOI/PA # 308,506
APPEAL #
CIVIL ACT. #
E.O. # 12,356
DATE 2/23/90 INITIALS DMS
(S-1) Appropriate Agency

TO: DIRECTOR, FBI
(ATTN: CID, INTERNATIONAL TERRORISM UNIT #3)

FROM: SAC, ALEXANDRIA (163-438) (P)

NUGAN HAND BANK
FPC
(OO:FBIHQ)

~~2/23/90 Classified by SPSC1/DMS~~
~~Declassify on: OADR 308,506~~

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE.

Re Bureau airtel to Alexandria and WFO dated 6/10/83.

Enclosed for the Bureau are the following:

1.) The original and five copies of an LHM in captioned matter for dissemination through Legat, Canberra.

[redacted] DE227

b6
b7C
b7D

2.) One copy each of full text classified version of [redacted]

b6
b7C
b7D

One copy of LHM being forwarded for information of [redacted]

b6
b7C

[redacted] Referral/Consult

ENCLOSURE

V-133

3-Bureau (Enc. 8)
1-New York (Enc. 1) (Info) DE-227
2-Alexandria
TF:gaj
(6)

133X

CLASSIFIED MATERIAL ATTACHED

17 NOV 28 1983

Approved: [signature] Transmitted (Number) (Time) Per

2 - Australian authorities by airtel, 6/6/84,
- CIA by [illegible], 9/7/84.

53 SEP 21 1984

SECRET

~~SECRET~~

Referral/Consult

REQUEST OF FBIHQ:

Referenced airtel mentioned that [redacted] b7D

LEADS:

ALEXANDRIA

AT ALEXANDRIA, VA.

1.) Will forward results of interview of [redacted] which took place on [redacted] b6 b7C

2.) Will continue to pursue additional interviews of various persons, as set forth in referenced airtel.

~~SECRET~~

U.S. Department of Justice

Federal Bureau of Investigation

In Reply, Please Refer to
File No.

~~SECRET~~

Alexandria, Virginia
November 17, 1983

NUGAN HAND BANK

The following are results of two interviews conducted by the Federal Bureau of Investigation in response to [redacted] b7D

~~SECRET~~

163-4566-133X

FOI/PA # 308,506
APPEAL #
CIVIL ACT. #
E.O. # 12,356
DATE 2/26/90 INITIALS DMS

(S-1) Appropriate Agency

BS0001 3490049Z

RR HQ AX

DE BS

R 141935Z DEC 83

FM BOSTON (58A-558)

TO DIRECTOR (ROUTINE)

ALEXANDRIA (ROUTINE)

BT

UNCLAS

b6
b7C

ATTN: FBIHQ, CID, INTERNATIONAL TERRORISM UNIT NUMBER 3

RE ALEXANDRIA TELETYPE TO DIRECTOR DATED OCTOBER 4, 1983.

AND ALEXANDRIA TELETYPE TO BUREAU DATED DECEMBER 5, 1983.

FOR INFORMATION OF ALEXANDRIA AND FBIHQ, [redacted]

[redacted] WAS LOCATED AND INTERVIEWED AT [redacted]

AND PROVIDED THE FOLLOWING INFORMATION:

APPROXIMATELY TWO YEARS AGO, HE WAS APPROACHED BY

ORIGINAL FILED IN 58-1085-82

NOT RECORDED
180 JAN 4 1984

4 JAN 30 1984

b6
b7C

PAGE TWO BS 58A-558 UNCLAS

HAD A FRIEND BY THE NAME OF

WHO INTRODUCED WHO IS THE OWNER OF WHICH IS LOCATED

TRAVELED TO WHERE HE MET WITH

RETURNED TO SUBSEQUENTLY TRAVELED TO AND MET WITH SOMETIME DURING THE SPRING OF BELIEVES THAT THIS WAS THE FIRST TIME THAT HAD MET WITH WAS ARRANGING

THIS ANNOYED AND HE WAS ALSO OF THE OPINION

b6
b7C

THAT [redacted]

AS A RESULT OF THE ABOVE, [redacted] THEN MADE CONTACT WITH [redacted] WHO WAS INTERESTED IN [redacted] BECAUSE OF HIS REPUTATION AS BEING [redacted] ARRANGED A MEETING IN [redacted] BETWEEN [redacted] AT WHICH TIME IT WAS AGREED THAT [redacted]

b6
b7C

[redacted] STATED THAT THE WHOLE OPERATION FELL THROUGH AND HE RETURNED TO [redacted] SOMETIME IN [redacted]

[redacted] STATED THAT HE LAST SAW [redacted] SOMETIME IN [redacted] WHEN HE WAS STILL IN [redacted] AND GOT THAT IMPRESSION AT THAT TIME THAT [redacted] WAS ANNOYED WITH HIM. [redacted] STATED THAT HE TALKED WITH [redacted] APPROXIMATELY TWO WEEKS AGO AND HAD THE SAME IMPRESSION AND NO BUSINESS DEALS CURRENTLY EXIST BETWEEN THEM.

[redacted] STATED THAT HE ALSO NO LONGER HAS ANY BUSINESS ASSOCIATION WITH [redacted] HOWEVER HE DOES NOT KNOW IF [redacted] NOW HAVE ANY BUSINESS RELATIONSHIP. [redacted] CLAIMS THAT HE [redacted]

[redacted] SAID THAT [redacted] IS CALLED [redacted] WHICH IS LOCATED IN [redacted]

b6
b7C

PAGE FIVE BS 58A-558 UNCLAS

[redacted] STATED

THAT [redacted]

[redacted] HE STATED THAT [redacted]

HAS [redacted]

[redacted] ALSO CAN BE REACHED

FREQUENTLY IN [redacted] AT A LOCATION WHICH IS EITHER OWNED BY

HIS MOTHER OR A SISTER AT TELEPHONE NUMBER [redacted]

FD-302 TO FOLLOW.

BT

FD-36 (Rev. 8-26-82)

FBI

TRANSMIT VIA:
☐ Teletype
☐ Facsimile
☒ Airtel

PRECEDENCE:
☐ Immediate
☐ Priority
☐ Routine

CLASSIFICATION:
☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☐ UNCLAS

Date 12/6/83

TO: DIRECTOR, FBI
(ATTN: CID INTERNATIONAL TERRORISM UNIT #3)

FROM: SAC, ALEXANDRIA (163-438) (P)

NUGAN-HAND BANK;
FOREIGN POLICE COOPERATION
(OO:FBIHQ)

b6
b7C
b7D

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED 308,506
DATE 2/23/90 BY SP5cl/dms

Re Alexandria airtel and LHM dated 11/17/83, in this matter.

Enclosed for the Bureau are the original and five copies of an LHM for dissemination through Legat Camberra,

Two copies of this LHM are enclosed for Savannah Division in view of Savannah's prior investigative interest in

For information of recipients, on 11/16/83, U.S. Senate Permanent Subcommittee on Investigation (SPSI) (224-3721) telephonically advised that he and then SPSI staff member (now of U.S. Secret Service, Washington, D.C.), interviewed in the vicinity of on 3/3/83, in connection with their inquiries regarding The interview focused primarily on knowledge

1-4239

3-Bureau (Enc. 6)
2-Savannah (12F-48) (Enc. 2)
2-Alexandria
TF:mbe
(7)

Approved: ______ Transmitted ______ (Number) (Time) Per ______

2 - Australian authorities by airtel, 8/6/84

53 SEP 24 1984

of the Nugan-Hand Bank while [redacted]
[redacted] Investigator [redacted] (formerly an Internal Revenue Service Agent) said he initially met [redacted] in 1976, when [redacted] was working at [redacted]
[redacted] at the time, was associated with [redacted]
at [redacted] regarding which he eventually filed for bankruptcy. He said [redacted] has numerous law enforcement contacts nationally from meeting law enforcement officers at [redacted] during the officers' off duty hours while they were assigned to [redacted]

Investigator [redacted] said that [redacted]

Investigator [redacted] said [redacted]

b6
b7C

LEADS

ALEXANDRIA

AT ALEXANDRIA, VIRGINIA

Will continue to conduct other Nugan-Hand Bank related interviews, as requested by FBIHQ in Bureau airtel to Alexandria and WFO dated 6/10/83.

UNITED STATES DEPARTMENT OF JUSTICE

FEDERAL BUREAU OF INVESTIGATION

In Reply, Please Refer to File No.

Alexandria, Virginia
December 6, 1983

b6
b7C
b7D

NUGAN-HAND BANK

Results of interview of [redacted] on [redacted] at [redacted] are set forth hereafter, for the information of [redacted]

~~DE216~~

1, 2, 3, 5, 6, 7, 8, 9, 10, 16

FD-36 (Rev. 5-22-78)

FBI

TRANSMIT VIA:	PRECEDENCE:	CLASSIFICATION:
☐ Teletype	☐ Immediate	☐ TOP SECRET
☐ Facsimile	☐ Priority	☐ SECRET
☒ AIRTEL	☐ Routine	☐ CONFIDENTIAL
		☐ UNCLAS E F T O
		☐ UNCLAS

FOI/PA # 308,506
APPEAL #
CIVIL ACTION #
E.O. # 12356
DATE 2/23/90 INITIALS DMS
(S-1) State

Date 12/16/83

TO: DIRECTOR, FBI (163-45612)

FROM: LEGAT, CANBERRA (163-4) (P)

CONFIDENTIAL

NUGAN HAND BANK
FPC

SP-5 ci/BAF
12-14-90
P's 1-3 declassified per State Dept letter dtd 9/10/90 DMS

Following information was received from Narcotics Coordinator, U.S.Embassy, Canberra: (U)

Asst. Secretary of State for International Narcotic Matters, DOMINICK L. DI CARLO, visited Australia on 12/5/83 for consultations and, while here, [redacted]

During conversations with [redacted] he advised [redacted] that [redacted]

b6
b7C
b7D

Foregoing is being provided to the Bureau for its information in view of [redacted]

2/23/90
Classified by SP5C1/DMS
Declassify on: OADR 308,506

163-45612-134

CONFIDENTIAL

~~Classified by: 2933~~
~~Declassify on: OADR~~

100-5064

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE.

3 - Bureau
(1 - Liaison Unit)
1 - Canberra
LLL:ims
(4)

Approved: ____________ Transmitted ____________ Per ____________
(Number) (Time)

(Rev. 8-26-82)

FBI

TRANSMIT VIA:
- ☐ Teletype
- ☐ Facsimile
- XX Airtel

PRECEDENCE:
- ☐ Immediate
- ☐ Priority
- ☐ Routine

CLASSIFICATION:
- ☐ TOP SECRET
- ☐ SECRET
- ☐ CONFIDENTIAL
- ☐ UNCLAS E F T O
- ☐ UNCLAS

Date December 16, 1983

FUGITIVE INDEX

TO: DIRECTOR, FBI

FROM: SAC, LOUISVILLE (163-134) (RUC)

SUBJECT: NUGAN HAND BANK;
FOREIGN POLICE COOPERATION;
OO: FBIHQ

b6
b7C

UNRECORDED COPY FILED IN

DE-188

LHM

Re Bureau airtel to Louisville, dated June 17, 1983.

Enclosed for the Bureau are the original and three copies of an LHM reflecting the interview of [redacted] [redacted]

b6
b7C

Enclosed for Alexandria is a copy of above LHM reflecting interview of [redacted]

For the information of Alexandria, [redacted] was interviewed concerning his association with the Nugan Hand Bank and the principals thereof. [redacted] advised that at [redacted] [redacted]

b6
b7C

1-4739

163-45612-134

② - Bureau (Enc. 4)
2 - Alexandria (Enc. 1) (Info.)
1 - Louisville
GEG:jag
(5)

DE-188

CN 2C369-83

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 4/20/88 BY SP-6 BJA/PSK
5/15/89 SP-5 ... 284,455 308,506

Approved: ______ Transmitted ______ (Number) 2/23/90 (Time) Per ______

b7D

[redacted] only interest or involvement with Nugan
Hand was to [redacted]

b6
b7C

U.S. Department of Justice

Federal Bureau of Investigation

In Reply, Please Refer to
File No.

Louisville, Kentucky
December 16, 1983

NUGAN HAND BANK;
FOREIGN POLICE COOPERATION

b6
b7C

[redacted] was interviewed concerning his involvement with the Nugan Hand Bank and his association with the principals of the bank.

E/A.

In the Fall of 1979, [redacted] was approached by a personal friend, [redacted] concerning [redacted] related to [redacted] his desire to [redacted]

As a precaution, [redacted] called [redacted]

[redacted] relayed this information to [redacted] and [redacted] stated that [redacted] Based on this fact, [redacted]

163-45212-134X

b6
b7C

In January, 1980, traveled to

Upon receipt of the news of

b6
b7C

In synopsis,

was unable
to provide any information concerning

FD-36 (Rev. 8-26-82)

FBI

TRANSMIT VIA:
- ☐ Teletype
- ☐ Facsimile
- ☐ Airtel

PRECEDENCE:
- ☐ Immediate
- ☐ Priority
- ☐ Routine

CLASSIFICATION:
- ☐ TOP SECRET
- ☐ SECRET
- ☐ CONFIDENTIAL
- ☐ UNCLAS E F T O
- ☐ UNCLAS

Date 1/23/84

SECRET MATERIAL ATTACHED

SECRET

TO: DIRECTOR, FBI
(ATTN: CID, INTERNATIONAL TERRORISM UNIT #3)

FROM: SAC, ALEXANDRIA (163-438)(P)

NUGAN-HAND BANK
FOREIGN POLICE COOPERATION
(OO: BUREAU)

ALL MARKINGS, NOTATIONS AND ITEMS OF INFORMATION CONTAINED IN THIS COMMUNICATION ARE CLASSIFIED "SECRET" UNLESS OTHERWISE NOTED.

b6
b7C
b7D

Reference Alexandria airtel and LHM to the Bureau dated 12/6/83.

Enclosed for the Bureau are the following:

(1) The original and five copies of an LHM for dissemination through Legat, Canberra, to [redacted] [redacted] [redacted] This LHM sets forth results of interview of [redacted] [redacted]

(2) One copy of full text, classified version of interview of [redacted] which is forwarded for information of Bureau and [redacted] [redacted]

One copy of the full text, classified version is being enclosed for the information of the Tampa Division, within which [redacted] now permanently resides.

3-Bureau (Enc. 7)
2-Newark (Enc. 1)
2-New York (Enc. 1)
1-Tampa (Enc. 1)(Info)
2-WFO (Enc. 2)
2-Alexandria
TF:plw
(12)

163-45612-134X1

FEB 1984

APPROPRIATE AGENCIES AND FIELD OFFICES ADVISED BY ROUTING SLIP(S) OF ... DATE 9/20/84

SECRET

Approved: SECRET MATERIAL ATTACHED Transmitted (Number) (Time) Per

CLASSIFIED BY G-3
DECLASSIFY ON OADR

JAN 11 1985

9/18/84

DECLASSIFIED BY SP5C1/DMS
ON 2/23/90 308,506

SECRET

One copy of the full text, classified version is also being enclosed for the Newark and New York Divisions, in view of information therein regarding

b6
b7C

Two copies of the full text, classified version are being enclosed for the Washington Field Office, who should particularly note information about

b6
b7C

Referral/Consult

Referral/Consult

Referral/Consult

Referral/Consult

SECRET

SECRET

Referral/Consult

LEADS:

Referral/Consult

NEWARK

AT NEWARK, NEW JERSEY

Review indices regarding (with being about in (Both are reportedly the owners of with one located at

b6
b7C

NEW YORK

AT NEW YORK CITY

Review indices regarding (with the latter being about in and having address of

b6
b7C

WFO

AT WASHINGTON, D.C.

Review indices on with possible date of birth of and regarding

b6
b7C

ALEXANDRIA

AT ALEXANDRIA, VIRGINIA

Will continue to conduct other Nugan-Hand Bank related interviews as requested by FBIHQ in Bureau airtel to Alexandria and WFO dated 6/10/83.

SECRET

UNITED STATES DEPARTMENT OF JUSTICE

FEDERAL BUREAU OF INVESTIGATION

SECRET

In Reply, Please Refer to
File No.

Alexandria, Virginia
January 23, 1984

ALL MARKINGS, NOTATIONS AND ITEMS OF INFORMATION CONTAINED IN THIS COMMUNICATION ARE CLASSIFIED "SECRET" UNLESS OTHERWISE NOTED.

NUGAN-HAND BANK

Results of interview of [redacted] [redacted] on November 21, 1983, are set forth hereafter, for the information of [redacted] [redacted]

b6
b7C
b7D

DECLASSIFIED BY SP5 C11 DMS
ON 2/23/90 308,506

~~CLASSIFIED BY G-3~~
~~DECLASSIFY ON OADR~~

163-45612-134X1

SECRET

ENCLOSURE

FBI/DOJ

FD-36 (Rev. 5-22-78)

FBI

TRANSMIT VIA:
- ☐ Teletype
- ☐ Facsimile
- ☒ AIRTEL

PRECEDENCE:
- ☐ Immediate
- ☐ Priority
- ☐ Routine

CLASSIFICATION:
- ☐ TOP SECRET
- ☐ SECRET
- ☐ CONFIDENTIAL
- ☐ UNCLAS E F T O
- ☐ UNCLAS

Date 2/3/84

TO: DIRECTOR, FBI
(ATTN: CID, INTERNATIONAL TERRORISM UNIT #3)

FROM: SAC, NEWARK (163-1591) (RUC) (C-1)

NUGAN-HAND BANK
FOREIGN POLICE COOPERATION
OO:BUREAU

Re: Alexandria airtel to the Bureau, 1/23/84.

Referenced airtel requested Newark conduct indices checks regarding [redacted] Check of Newark indices was negative regarding both individuals. On 2/2/84, NJ DMV check reflected a [redacted] residing at [redacted] with DOB: [redacted] height: 5'8"; weight: 141-160; eyes: brown. It is noted NJ DMV check was negative regarding [redacted] [redacted]

b6
b7C

No further investigation remains in the Newark Division and captioned matter is considered RUC.

163-4612-135

10 FEB 8 1984

100-5064

3-Bureau
1-Alexandria (163-438) (INFO)
1-WFO (INFO)
1-Newark
FMB/cm
(6)

1*

MAR 1984

Approved: ______ Transmitted ______ (Number) ______ (Time) Per ______

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED 308,506
DATE 2/23/90 BY SP5C1 lomS

U.S. GOVERNMENT PRINTING OFFICE : 1982 O - 369-895

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

AIRTEL

DATE: 2/28/84

TO : DIRECTOR, FBI
(ATTN: CID, INTERNATIONAL TERRORISM UNIT #3)

FROM : ADIC, NEW YORK (163-7078) (RUC) (M-9)

SUBJECT: NUGAN-HAND BANK
FOREIGN POLICE COOPERATION
(OO:Bu)

ReAXairtel to the Director, dated 1/23/84.

Enclosed for the Bureau are the original and five copies of an LHM suitable for dissemination.

-136x1

6-

MAR 3 1984

1-AX (dey R/5) 2-AX

3 - Bureau (Encls. 6)
(1 - CID, International Terrorism Unit #3)
1 - New York

VDJ:cb
(5)

163-45612

1 machine copy of A/T + LHM - 5064

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

New York, New York

FEB 28 1984

Nugan-Hand Bank
Foreign Police Cooperation

A search of the Federal Bureau of Investigation (FBI) New York Office Indices regarding [redacted] met with negative results.

b6
b7C

163-45612-135 X 1

ENCLOSURE

RECEIVED
TELETYPE UNIT

UNCLASSIFIED FBB057

2 MAR 01 01 40Z 01MAR84

FEDERAL BUREAU OF INVESTIGATION

PAGE 01 CANBER 02232 01 OF 02 010425Z

ACTION INM-06

FEDERAL GOVERNMENT

INFO OCT-00 COPY-01 ADS-00 INR-10 SS-00 CIAE-00 NSCE-00
NSAE-00 SY-06 L-03 PM-09 EAP-00 A-02 JUS-00
FBIE-00 SP-02 /040 W

------------------265722 012213Z /72

Exec. AD-Adm.
Exec. AD-Inv.
Exec. AD-LES
Asst. Dir.:
Adm. Servs.
Crim. Inv.
Ident.
Inspection
Intell.
Laboratory
Legal Coun.
Off. of Cong. & Public Affs.
Rec. Mgnt.
Tech. Servs.
Training
Telephone Rm.
Director's Sec'y

R 010414Z MAR 84

FM AMEMBASSY CANBERRA

TO SECSTATE WASHDC 5427

INFO AMCONSUL SYDNEY

AMCONSUL MELBOURNE

Re: Nugan Hand Bank
FPC

b6
b7C

UNCLAS SECTION 01 OF 02 CANBERRA 02

E.O. 12356: N/A

TAGS: SNAR, AS

SUBJECT: [redacted]

-- [redacted]

b7D

163-45612-136

1984

REFS: (A) 83 CANBERRA 12281; (B) 84 STATE 27116;

-- (C) 84 SYDNEY 422

64 APR 16 1984

163-45612

ICC-5064

1. LEGAL ATTACHE IN RECEIPT OF [redacted]

[redacted]

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b7D

[redacted]

UNCLASSIFIED

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b7C
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b6
b7C
b7D

UNCLASSIFIED

UNCLASSIFIED

PERHAPS QUITE INNOCENT AND PROPER, WITH MEMBERS OF THE

NUGAN HAND GROUP.

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b7C
b7D

UNCLASSIFIED FBB056

PAGE 01 CANBER 02232 02 OF 02 010429Z

ACTION INM-06

1 MAR 84

INFO OCT-00 COPY-01 ADS-00 INR-10 SS-00 CIAE-00 NSCE-00
NSAE-00 SY-06 L-03 PM-09 EAP-00 A-02 JUS-01
FBIE-00 SP-02 /040 W
------------------265732 012213Z /72

R 010414Z MAR 84

FM AMEMBASSY CANBERRA

TO SECSTATE WASHDC 5428

INFO AMCONSUL SYDNEY

AMCONSUL MELBOURNE

UNCLAS SECTION 02 OF 02 CANBERRA 02232

E.O. 12356: N/A

TAGS: SNAR, AS

SUBJECT: [REDACTED]

b7D

[REDACTED] IN THE MEANTIME,

ANY COMMENTS OR ADVICE YOU MAY CARE TO PROVIDE WOULD BE

MOST WELCOME.

2.

b6
b7C
b7D

3. THE FOREGOING PROVIDED FOR YOUR INFORMATION.

UNCLASSIFIED

FD-36 (Rev. 5-22-78)

FBI

TRANSMIT VIA:
- [ ] Teletype
- [ ] Facsimile
- [x] AIRTEL

PRECEDENCE:
- [ ] Immediate
- [ ] Priority
- [ ] Routine

CLASSIFICATION:
- [ ] TOP SECRET
- [ ] SECRET
- [ ] CONFIDENTIAL
- [ ] UNCLAS E F T O
- [ ] UNCLAS

Date 2/13/84

TO: DIRECTOR, FBI

FROM: SAC, BOSTON (58A-558)(NEWPORT RA)(P)

b6
b7C

NUGAN - HAND BANK;
FOREIGN POLICE COOPERATION;
OO: BUREAU

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED 308,506
DATE 2/23/90 BY cil DMS

b6
b7C
Referral/Consult

Re Boston teletype to the Director dated 12/1

163-456

Enclosed for the Bureau are two copies of an interview of [redacted] which took place on 12/9/83, at Newport, Rhode Island. Also enclosed is one copy of [redacted] [redacted] [redacted] Also enclosed are two copies of an FD-302 of SA [redacted] dated 7/30/82 relating to a physical surveillance of a [redacted] Also enclosed is a review of the Immigration and Naturalization Service (INS) file relating to [redacted] which was obtained on 9/20/82.

NOT RECORDED
162 MAY 1 1984

Enclosed for Alexandria are the original and one copy of the above-mentioned FD-302 concerning the interview of [redacted] [redacted] on 12/9/83, at Newport, Rhode Island. Also enclosed are the original notes relating to that interview. Also enclosed are two copies of [redacted] [redacted] two copies of

b6
b7C
Referral/Consult

FEB 21 1984

2 - Bureau (Encls. 6)
2 - Alexandria (58A-222)(Encls. 9)
2 - Boston (58A-558)
RJT/emf
(6)

XEROX machine copy of A/T 15060

APR 2 1984

Original Filed in

Approved: Transmitted (Number) (Time) Per

U.S. GOVERNMENT PRINTING OFFICE : 1982 O - 369-895

b6
b7C

the FD-302 of SA [redacted] at Newport, Rhode Island, dated 7/30/82 concerning the physical surveillance of [redacted] and the INS background check done at Providence, Rhode Island, on 9/20/82 relating to [redacted] as well as a photograph of [redacted].

Boston is keeping this matter in a pending status inasmuch as [redacted] is still in contact with Agents at the Newport RA relating to this and other investigations.

FD-302 (REV. 3-8-77)

FEDERAL BUREAU OF INVESTIGATION

1

Date of transcription 12/20/84

b6
b7C

[redacted] who resides at [redacted] [redacted] and who has non-published telephone numbers [redacted] appeared at the Newport, Rhode Island Resident Agency of the FEDERAL BUREAU OF INVESTIGATION (FBI) on December 9, 1983, and after being advised of the identities of the interviewing Agents and the reason for the interview, provided the following information:

[redacted] stated that he has been friendly with a [redacted] for several years and believes he probably met him at [redacted] where [redacted] is very friendly with [redacted] [redacted] stated that he was aware of the fact that [redacted] [redacted] because he is alleged to be a [redacted] claims that he has never dealt with [redacted]

During these visits to [redacted] also met one of [redacted] friends by the name of [redacted] who is [redacted] [redacted] stated that he met [redacted] approximately two years ago in [redacted] and through [redacted] understood that [redacted] was interested in [redacted]

[redacted] was told about a man by the name of [redacted] who was [redacted] [redacted] stated that he really was not very interested in [redacted]

Investigation on 12/9/83 at [redacted] File # BS 58A-558

by SAs [redacted] [redacted] RJT/ras Date dictated 12/15/83

ENCLOSURE

163-45612-

FD-302a (3-8-83)

b6
b7C

BS 52A-558

Continuation of interview of [redacted], Page 2

[redacted] recalled that during one of the meetings in [redacted] [redacted] picked up the telephone and personally talked with [redacted] During that telephone conversation it was determined that [redacted]

[redacted] recalled that during the meetings in [redacted]

[redacted] stated that [redacted]

While they were there, they met [redacted] who is from [redacted] bought dinner for [redacted] was allegedly [redacted]

[redacted] said that he was engaged to [redacted]

[redacted] advised that he was of the opinion that [redacted] involvement in [redacted]

b6
b7C

BS 58A-558

Continuation of interview of [redacted], Page 3

[redacted] sometime during the Spring of 1982, traveled to [redacted] where he stayed at [redacted] and was introduced to [redacted] believes that [redacted] stayed in [redacted]

Subsequent to the meeting in [redacted] contacted his attorney in [redacted] and a meeting was set up in [redacted] to further discuss [redacted]

[redacted] had gone to some other location in [redacted]

[redacted] was at the meeting, and there were also [redacted] stated that there was also a great deal of talk about [redacted] however, [redacted] was only interested in the discussions concerning [redacted] [redacted] stated that he was happy with the way the meeting turned out, and there was a serious discussion about [redacted] He did note, however, that nothing ever came of the meeting, and he has telephonically contacted [redacted] several times since then,. however, [redacted] has avoided [redacted]

[redacted] stated that he returned to [redacted] and nothing substantive ever again happened relating to the above-described efforts to [redacted] learned that [redacted] was dealing with [redacted] behind his back. [redacted] had called [redacted] house during the Spring or Summer of 1982 and [redacted] wife, [redacted] inadvertently told him that her husband had been dealing directly with [redacted]

Since [redacted] saw no future in the deal with [redacted] and because he was concerned that [redacted] involvement might be drug related, he called an old friend of his by the name of [redacted] who [redacted] had met in [redacted] approximately five years ago. [redacted] recalls that [redacted] had a girlfriend in [redacted] who was from [redacted] and they all met at [redacted] became very friendly, and when [redacted] came to [redacted] several times, they met in continuation of their friendship.

Since the deal did not work out with [redacted] proposed it to [redacted] who told [redacted] to [redacted] stated that he did what [redacted] asked and [redacted] after reviewing the documents, indicated that [redacted]

[redacted] noted that [redacted] came to [redacted] and met [redacted] and himself sometime during the early Summer of 1982. An agreement was made which provided for [redacted]

[redacted] It was agreed that [redacted] would move to the [redacted]

[redacted] stated that [redacted]

[redacted] recalled that [redacted] He believes that [redacted] the name of which he could not recall. [redacted]

[redacted] recalls that [redacted] already had [redacted] stated that [redacted]

[redacted] recalls that [redacted]

[redacted] recalled that his address in [redacted] was [redacted] which he started using sometime during the early Summer of 1982 until April of 1983. During that time in [redacted] he worked out of [redacted]

FD-302a (3-8-83)

b6
b7C

BS 58A-558

Continuation of interview of [redacted], Page 6

[redacted] recalls that [redacted]

[redacted] stated that he has not seen [redacted] since he left [redacted] He stated that he had [redacted]

[redacted] stated that [redacted] had nothing to do with [redacted] He recalled that [redacted] (LNU) worked for [redacted] and he also had another man working for him by the name of [redacted] (LNU) who he described as [redacted] He believes that [redacted]

[redacted] recalled that [redacted]

[redacted] stated that to the best of his knowledge [redacted]

stated that he last saw before he left area in April, 1983, and at that time was very annoyed with because recalls that He believes, however, that spends the great bulk of his time in area. stated that telephone number may be He stated that home telephone number in and his office telephone number in

The following is a description which was obtained through interview and observation:

Name:
Address:

Telephone Numbers:
Date of Birth:
Place of Birth:
Social Security
Account Number:
Marital Status:

Employment:

ended by stating that was and his telephone number in that area is stated that he was

stated that he has not seen since he returned to

He had no further information of value to provide.

FEDERAL BUREAU OF INVESTIGATION

b6
b7C

Date of transcription 8/3/82

The following is a result of physical observation made by Special Agent concerning

TIME

11:00 am

, with a small child, was at the main Post Office, Newport, Rhode Island, and shortly thereafter leaves entering Said

11:08 am

arrives at

drives through the side streets of Newport and heads towards Middletown, Rhode Island, whereupon she stops at the Middletown Post Office. She enters said post office and returns to her vehicle with some mail.

leaves on Rhode Island, Route 138A, heading South on Valley Road and eventually arrives at her residence, Rhode Island.

2:55 pm

with small child, is observed at the post office counter, Main Post Office, Newport, Rhode Island. She had in her hand a small box the size that blank checks come in. fills out an air express application form. Said small box is placed in a large air express envelope and the address form that filled out is affixed on the outside of the envelope and the clerk furnishes her a copy of the address form. Said address form was made out to A return address on said address form was for

Investigation on 7/30/82 at Newport, Rhode Island File # BS ~~194C-166 SUB~~ 183B-919

by SA /ras Date dictated 8/2/82

[redacted] and child observed entering [redacted]
[redacted]

Above mentioned vehicle proceeded towards [redacted] into a southerly direction in the area of [redacted]

Seizure ended.

b6
b7C

The following information was obtained by SC [redacted] [redacted] on September 20, 1982:

b6
b7C

INS FILE CHECK

Biographic Information:

NAME: [redacted]

ADDRESS: [redacted]

DOB: [redacted]

POB: [redacted]

HT: 6'-1"

WT: 190 pounds

HAIR: Brown

EYES: Brown

SCARS, MARKS, TATTOOS: Unknown - none listed.

SSAN: [redacted]

MARITAL STATUS: [redacted]

FORMER SPOUSE: [redacted]

PARENTS: [redacted]

BROTHERS/SISTERS: None listed.

PRIOR ADDRESSES: [redacted]

EMPLOYMENT: [redacted]

ARRIVED U.S.: [redacted]

BS 196A-588
2.

b6
b7C

ARREST RECORD:

RELATIVES IN U.S.:

FBI

TRANSMIT VIA:	PRECEDENCE:	CLASSIFICATION:
☐ Teletype	☐ Immediate	☐ TOP SECRET
☐ Facsimile	☐ Priority	☐ SECRET
☒ AIRTEL	☐ Routine	☐ CONFIDENTIAL
		☐ UNCLAS E F T O
		☐ UNCLAS

~~CONFIDENTIAL~~

Date 3/5/84

~~ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE.~~

CONFIDENTIAL

TO: DIRECTOR, FBI (163-45612)

FROM: LEGAT, CANBERRA (163-4) (P)

letter to Dir handled via liaison

NUGAN HAND BANK
FPC

This communication is classified ~~CONFIDENTIAL~~ in its entirety.

2/26/90 ~~Classified by SPSC1/DMS~~
~~Declassify on: OADR~~ 308,506

Re Canberra airtel 12/16/83.

Enclosed for the Bureau are copies of the following:

(1) Diplomatic Note [redacted] directed to [redacted]

(2) [redacted]

[redacted] (C) (U)

(3) [redacted]

[redacted] (C) (U)

(4) [redacted]

(5) [redacted]

b6
b7C
b7D

163-45612-137

The foregoing documents were received by Legal Attache from [redacted]

In view of [redacted]

CONFIDENTIAL

3 - Bureau (encs.)
(1 - Liaison Unit)
1 - Canberra

Classified by: 2933
Declassify on: OADR
~~DECLASSIFIED BY SPSC1/DMS ON 2/21/90 308,506~~

MAR 13 1984

Approved: ______ Transmitted ______ (Number) (Time) Per ______

LLL:ims SEP 1 1984
(4)

file in 163-45612

CONFIDENTIAL

CONFIDENTIAL

Bureau is requested to advise if it will furnish information responsive to [redacted]

b7D

CONFIDENTIAL

CONFIDENTIAL

FD-36 (Rev. 5-22-78)

FBI

TRANSMIT VIA:
- [ ] Teletype
- [ ] Facsimile
- [x] AIRTEL

PRECEDENCE:
- [ ] Immediate
- [ ] Priority
- [ ] Routine

CLASSIFICATION:
- [ ] TOP SECRET
- [ ] SECRET
- [ ] CONFIDENTIAL
- [ ] UNCLAS E F T O
- [ ] UNCLAS

Date 3/5/84

TO: DIRECTOR, FBI (163-45612)

FROM: LEGAT, CANBERRA (163-4) (P)

CONFIDENTIAL

NUGAN HAND BANK
FPC

This communication is classified ~~CONFIDENTIAL~~ in its entirety.

~~ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE.~~

Re Canberra airtel 12/16/83.

Enclosed for the Bureau are copies of the following:

(1) Diplomatic Note [redacted] directed to [redacted]

(2) [redacted]

(3) [redacted]

(4) [redacted]

(5) [redacted]

b6
b7C
b7D

2/26/90
~~Classified by~~ SPSCI DMS
~~Declassify on: OADR~~ 308,506

The foregoing documents were received by Legal Attache from [redacted]

In view of [redacted]

5 - ENCLOSURE

CONFIDENTIAL

③ - Bureau (encs.)
(1 - Liaison Unit)
1 - Canberra

Classified by: 2933
Declassify on: OADR

17 MAR 15 1984

Approved: ______ Transmitted ______ (Number) (Time) Per ______

LLL:ims
(4)

CONFIDENTIAL

Bureau is requested to advise if it will furnish information responsive to [redacted]

b7D

CONFIDENTIAL

~~CLASSIFIED~~ INFORMATION ENCLOSED

This envelope only

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED 308,30.6
DATE 2/26/90 BY SPSCI/pms

Nugan Hank Bank; FPC
163-45612

ENCLOSURE

DOC LAB NOTE

ENVELOPE

EMPTY

NY0276 0160345

RR HQ AX

DE NY #21

R 162100Z JAN 84

RECEIVED TELETYPE UNIT 17 JAN 84 16z FEDERAL BUREAU OF INVESTIGATION

FM NEW YORK (58A-2491) (RUC) (M-12)

TO DIRECTOR ROUTINE

ALEXANDRIA (58-222) ROUTINE

BT

UNCLAS

NUGAN HAND BANK

b6
b7C

NUGEN-HAND BANK; FOREIGN POLICE COOPERATION OO: BUREAU (AXFILE 163-436).

REAXTEL TO BUREAU, DATED SEPTEMBER 22, 1983.

ON NOVEMBER 27, 1983, [redacted] [redacted] ADVISED THAT [redacted] MOVED AWAY APPROXIMATELY NINE YEARS AGO AND LEFT NO FORWARDING ADDRESS.

DE-5 163-45612

ON NOVEMBER 27, 1983, [redacted] [redacted] ADVISED THAT HE HAS NOT SEEN [redacted] IN NINE YEARS AND DOES NOT KNOW WHERE [redacted] IS CURRENTLY LIVING.

2 JAN 30 1984

NOT RECORDED 27 JAN 25 1984

66 JUN 14 1984

b6
b7C

PAGE TWO U N C L A S

A CHECK OF THE NEW YORK CITY TELEPHONE DIRECTORY REVEALED A [redacted]

A PRETEXT CALL TO [redacted] REVEALED THAT [redacted] LIVES IN [redacted]

ON JANUARY 11, 1983, [redacted] ADVISED THAT THE [redacted] THAT WORKS FOR [redacted] IS NOT IDENTIFIABLE WITH [redacted] DOB [redacted] SSAN [redacted]

NO FURTHER INVESTIGATION ANTICIPATED. NEW YORK IC PLACING THIS MATTER IN RUC'D STATUS.

BT

FD-36 (Rev. 8-26-82)

FBI

TRANSMIT VIA:
- ☐ Teletype
- ☐ Facsimile
- ☒ Airtel

PRECEDENCE:
- ☐ Immediate
- ☐ Priority
- ☐ Routine

CLASSIFICATION:
- ☐ TOP SECRET
- ☐ SECRET
- ☐ CONFIDENTIAL
- ☐ UNCLAS E F T O
- ☐ UNCLAS

Date 3/27/84

TO: DIRECTOR, FBI
(ATTN: CID, INTERNATIONAL TERRORISM UNIT #3)

FROM: SAC, ALEXANDRIA (163-438) (P)

NUGAN-HAND BANK
FOREIGN POLICE COOPERATION
(OO:FBIHQ)

b6
b7C
b7D

Re Alexandria airtel and LHM to the Bureau dated 1/23/84.

Enclosed for the Bureau are six copies of an LHM for dissemination through Legat, Canberra, [redacted] (This LHM sets forth pertinent information obtained from [redacted] [redacted]

LEADS:

ALEXANDRIA

AT ALEXANDRIA, VIRGINIA

Will continue to conduct other Nugan-Hand Bank related interviews as requested by FBIHQ in Bureau airtel to Alexandria and WFO dated 6/10/83.

163-4[illegible]-137X

3-Bureau (Enc. 6)
2-Alexandria
TF:gaj
(5)

Approved: [redacted] (Number) (Time)

UNITED STATES DEPARTMENT OF JUSTICE

FEDERAL BUREAU OF INVESTIGATION

In Reply, Please Refer to
File No.

Alexandria, Virginia
March 27, 1984

b6
b7C
b7D

NUGAN-HAND BANK

The following is being set forth for the information of [redacted]

163-4544-137

b6
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b7D

RE: NUGAN-HAND BANK

AIRTEL ~~CONFIDENTIAL~~

4-4-84

Director, FBI (163-45612)

Legat Canberra (163-4)

2/26/90 Classified by SP5C1Lom5
Declassify on: OADR 308,506

NUGAN HAND BANK
FFC

Airtel classified ~~"Confidential"~~ in its entirety.

Reurairtel 3-5-84, with five enclosures.

Bufiles reveal the Nugan Hand Bank (NHB) has not been the subject of an investigation by this Bureau.

[redacted] b7D

Our files contain no additional pertinent information concerning the NHB [redacted] b7D [redacted] Bufiles do contain information pertaining to some of the individuals on this list; however, these matters do not concern the NHB.

Regarding [redacted]

[redacted] b6 b7C b7D

138

MAILED 6
APR 0 ... 1984

~~Classified by C-1~~
~~Declassify on OADR~~

Exec AD Inv. ___
Exec AD Adm. ___
Exec AD LES ___
Asst. Dir.:
Adm. Servs. ___
Crim. Inv. ___
Ident. ___ 1 - [redacted]
Intell. ___ 1 - [redacted]
Laboratory ___ 1 - [redacted]
Legal Coun. ___
Plan. & Insp. ___ PJL:tmk (6)
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Tech. Servs. ___
Training ___
Public Affs. Off. ___
Telephone Rm. ___
Director's Sec'y ___

MAIL ROOM ☐

b6 b7C

~~CONFIDENTIAL~~

~~DECLASSIFY ON: 2/26/90 SP5C1Lom5 308,506~~

Nugan Hand Bank
FPC

CONFIDENTIAL

Information previously furnished to Legat Canberra

[redacted]

b7D

As you are aware, [redacted]

[redacted]

Enclosure

NOTE: Classified ~~"Confidential"~~ to conform with the classification placed on [redacted] This answer was discussed with SA [redacted] Crim. Investigative Div.

b6
b7C
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CONFIDENTIAL

b6
b7C
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LOCAL & STATE

April 5, 1984

AIRMAIL

Dear

Sincerely yours,

Oliver B. Revell
Assistant Director
Criminal Investigative Division

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED 308,506
DATE 2/26/90 BY SP5C/10MS

MAILED 8
APR 5
FBI

1 - Mr. Meyer - Enclosure

DE-20 11-45612-138X

NOTE:

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Exec AD Adm.
Exec AD Inv.
Exec AD LES
Asst. Dir.:
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Legal Coun.
Off. Cong. & Public Affs.
Rec. Mgnt.
Tech. Servs.
Training
Telephone Rm.
Director's Sec'y

NB:ddb (4)

MAIL ROOM

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Off. of Cong. & Public Affs.

66 AUG 24 1984

FBI/DOJ

Memorandum from N.V. O'Hara to Mr. Revell, dated 4/26/84
RE: COMPREHENSIVE SUMMARY OF THE
NUGAN HAND BANK (NHB) CASE,
FOREIGN POLICE COOPERATION (FPC)
OO:FBIHQ

ADDENDUM: LEGAL COUNSEL DIVISION (LCD) SES:bjj 5/29/84

All information contained herein is unclassified.

LCD has been requested by the Criminal Investigative Division (CID) to determine whether the FBI may

The Privacy Act, Title 5, United States Code (U.S.C.), Section 552a, prohibits the FBI from disclosing records contained within any of its records systems without the written authorization or consent of the individual to whom the record pertains, unless such disclosure is authorized by one or more of twelve exceptions. One such exception permits an agency to disclose a record pursuant to a "routine use."[1]

As published in the Federal Register, the FBI discloses information as a routine use, inter alia, to:

> ...any Federal agency where the purpose in making the disclosure is compatible with the law enforcement purpose for which it was collected, e.g.,...[2] Emphasis added.

As set forth in the attached memorandum, the FBI's involvement in captioned matter generally appears to have been one of

---

1/ Title 5, U.S.C., Section 552a(b)(2).

2/ 49 Fed. Reg. 16894 (1984).

Memorandum from N.V. O'Hara to Mr. Revell, dated 4/26/84
RE: COMPREHENSIVE SUMMARY OF THE
NUGAN HAND BANK (NHB) CASE,
FOREIGN POLICE COOPERATION (FPC)
OO:FBIHQ

b7D

LCD notes that

Finally, as an aside, LCD notes that the information in question was collected by the FBI

Memorandum from N.V. O'Hara to Mr. Revell, dated 4/26/84
RE: COMPREHENSIVE SUMMARY OF THE
NUGAN HAND BANK (NHB) CASE,
FOREIGN POLICE COOPERATION (FPC)
OO:FBIHQ

b7D

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4/23/84

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FM DIRECTOR FBI {163-45612} ROUTINE

TO LEGAL ATTACHE CANBERRA {163-4} ROUTINE

BT

SECRET

NUGAN HAND BANK; FPC.

FOR THE INFORMATION OF LEGAT AND AMBASSADOR, AMERICAN EMBASSY, CANBERRA, THE FOLLOWING BRIEFING MATERIAL RE CAPTIONED MATTER WAS PREPARED FOR THE ATTORNEY GENERAL BY OTTO TO LEZAR MEMO, DATED APRIL 18, 1983, CAPTIONED "ATTORNEY GENERAL'S FOREIGN TRIP.":

"PER YOUR REQUEST, ATTACHED IS

b7D

JEM/CMB:AMC {6} 4/23/84 5062/6 4.24.5

SEE NOTE PAGE SEVEN

1 - LIAISON UNIT, INTD
1 - MR. THEISEN
1 - MR. MEYER
1 - MR. MEDER
1 - FPC TICKLER

163-45612-139

SECRET

0-93A (7-19-77)

DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION
COMMUNICATION MESSAGE FORM

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PAGE 2

CONTINUATION SHEET

PAGE TWO DE HQ 0097 S E C R E T SECRET

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IN OCTOBER, 1978,

DO NOT TYPE MESSAGE BELOW THIS LINE

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FBI/DOJ

0-93A (7-19-77)

DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION
COMMUNICATION MESSAGE FORM

PAGE 3

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Referral/Consult

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FBI/DOJ

DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION
COMMUNICATION MESSAGE FORM

PAGE FOUR DE HQ 0097 S E C R E T SECRET

Referral/Consult

ON NOVEMBER 15, 1982,

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IN ADDITION, BY LETTER DATED FEBRUARY 20, 1984,

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0-93A (7-19-77)

DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION
COMMUNICATION MESSAGE FORM

PAGE 5

CONTINUATION SHEET

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FBI/DOJ

0-93A (7-19-77)

DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION
COMMUNICATION MESSAGE FORM

PAGE 6

CONTINUATION SHEET

PAGE SIX DE HQ 0097 S E C R E T SECRET

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8 CLASSIFIED BY: G-3 DECLASSIFY ON: OADR

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DO NOT TYPE MESSAGE BELOW THIS LINE

DO NOT TYPE PAST THIS LINE

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SECRET

SECRET

FBI/DOJ

SECRET
SECRET

NOTE: THIS COMMUNICATION PREPARED FOR DISSEMINATION TO LEGAT, CANBERRA AND AMERICAN AMBASSADOR, AMERICAN EMBASSY, CANBERRA AT THE REQUEST OF DAD WAYNE R. GILBERT, CID, APRIL 20, 1984.

SECRET
SECRET

RECEIVED
TELETYPE UNIT
8 DEC 82 22 05 z
FEDERAL BUREAU
OF INVESTIGATION

HN0092 3422120Z

00 HQ AT LA MN PX

DE HN 0004

0 ~~07~~ 08 2120Z DEC 82

FM HONOLULU (13-307) (P)

TO DIRECTOR (163-45612) IMMEDIATE

ATLANTA ROUTINE

LOS ANGELES ROUTINE

MIAMI ROUTINE

PHOENIX ROUTINE

BT

UNCLAS

NUGAN HAND BANK, FPC

RE WASHINGTON FIELD OFFICE TELETYPE TO BUREAU, NOVEMBER 23, 1982.

AS FURNISHED BUREAU IN HONOLULU AIRTEL, JUNE 7, 1979, GENERAL EDWIN BLACK (RTD), 4910 KAHALA AVENUE, HONOLULU, HAWAII, WAS SUBJECT OF [redacted] b7D

IN SAME COMMUNICATION, HONOLULU REPORTED GENERAL BLACK (AUGUST 17, 1915), BOBBY KEITH BOYD (NOVEMBER 22, 1931), [redacted]

[redacted]

[redacted] b6 b7C

DEC 14

98

AT, LA, MM, PX

1 Copy to Canberra by 0-7
12-9-82 ES/jp

PAGE TWO DE HN 163-307 UNCLAS

WERE ALSO ALLEGEDLY INVOLVED WITH NUGAN HAND BANK (NHB).

(BUREAU FILE 97-5427) WAS ALSO REPUTEDLY ASSOCIATED WITH THIS FINANCIAL INSTITUTION. HONOLULU INDICES MAKE NO REFERENCE TO

WASHINGTON FIELD OFFICE AT WASHINGTON, D. C., WILL ADVISE HONOLULU OF

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BT

NNNN

AIRTEL

12/13/82

Director, FBI

SAC, Washington Field PERSONAL ATTENTION

b6
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HUMAN HAND BANK
FPC

FBIHQ appreciates the enthusiastic and professional demeanor evidenced by SA [redacted] in her excellent handling of the captioned matter. The assistance she provided [redacted]

Assistance, such as provided by SA [redacted] in this instance, serves to enhance the professional reputation of the FBI [redacted] the benefit of the Bureau's overall effort.

Note:

The assistance and conduct of SA [redacted] WFO, is recognized. She performed her responsibilities [redacted]

1-Liaison Unit
JEM:mbk
(4)

124

Exec AD Inv. ___
Exec AD Adm. ___
Exec AD LES ___
Asst. Dir.:
Adm. Servs. ___
Crim. Inv. ___
Ident. ___
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Legal Coun. ___
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Tech. Servs. ___
Training ___
Public Affs. Off. ___
Telephone Rm. ___
Director's Sec'y ___

MAIL ROOM ☒

DEC 15 1982

DEPS REC. UNIT

308,506
DATE 2/21/96 BY SPSC[illegible] DMS

DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION
COMMUNICATION MESSAGE FORM

DATE	CLASSIFICATION	PRECEDENCE
DECEMBER 2, 1982	UNCLAS/EFTO	PRIORITY

$F126$PP AX MM$DE HQ #0126 $#$YE$P 021956Z DEC 82

FM DIRECTOR FBI

TO FBI ALEXANDRIA PRIORITY

FBI MIAMI PRIORITY

BT

~~UNCLAS E F T O~~

NUGAN HAND BANK; FOREIGN POLICE COOPERATION; 00: FBIHQ.

[redacted] b6 b7C

[redacted] FAG-DOD; FCPA; 00: ALEXANDRIA.

RE WASHINGTON FIELD OFFICE (WFO) TEL TO FBIHQ AND MIAMI (MM), DATED 11/29/82, WFO TEL TO FBIHQ, ALEXANDRIA (AX), AND MM, DATED 12/2/82, BOTH CONCERNING NUGAN HAND BANK; AND FBIHQ TEL CALLS TO AX SUPERVISOR [redacted] AND MM RELIEF SUPERVISOR [redacted] ON 12/2/82. b6 b7C

THIS IS TO CONFIRM FBIHQ APPROVAL FOR TRAVEL OF AX SA [redacted] TO MM, TO PARTICIPATE WITH [redacted] [redacted] b6 b7C b7D

DO NOT TYPE MESSAGE BELOW THIS LINE

162-45612-100

APPROVED BY	DRAFTED BY	DATE	ROOM	TELE EXT.
SK/Ph	RLH:DIG (4)	12/2/82	8977	2066

1 - MR. KLEIN

1 - MR. VAN BALEN

1 - MR. HUNT

308,506
284,655
ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED 283,591
DATE 5/19/88 BY SPSC1 LDMS
12/1/88 SPSC1 LDMS

DEC 8 1982

050417CC

DO NOT FILE WITHOUT COMMUNICATIONS STAMP

UNRECORDED COPY FILED IN 206-118

DO NOT TYPE PAST THIS LINE

PAGE TWO DE HQ 0126 UNCLAS E F T O

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TRAVEL IS DEEMED NECESSARY IN VIEW OF COMPLEXITY OF CAPTIONED MATTERS. SA [redacted]

TRAVEL AND INTERVIEW HAVE BEEN COORDINATED BY WFO SA [redacted] [redacted] WHO HAS BEEN IN TELEPHONIC CONTACT WITH MM SA [redacted] SPECIFICS ARE DETAILED IN REFERENCED WFO TELETYPES.

[redacted] IS AWARE OF [redacted]

NOTE: TRAVEL APPROVED BY FBIHQ SUPERVISOR [redacted] UPON CONCURRENCE OF BOTH SAC'S, AX AND MM. INTERVIEW OF [redacted] IS IMPORTANT TO CAPTIONED INVESTIGATIONS, IN THAT [redacted] [redacted] NUGAN HAND BANK, WHO WAS LATER REPORTEDLY INVOLVED IN BUSINESS DEALINGS WITH SUBJECT, [redacted] POSSIBLY WITH BANK MONIES.

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12/21/82 ~~UNCLAS~~ E F T O ~~SECRET~~ ROUTINE

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE.

~~Classified by SPS611 Dms~~ 2/21/90
~~Declassify on: OADR~~ 308,506

FOI/PA # 308,506
APPEAL #
CIVIL ACT. #
E.O. # 12,356
DATE 2/21/90 INITIALS Dm
(S-1) Appropriate Agency

FM DIRECTOR FBI

TO LEGAL ATTACHE CANBERRA ROUTINE

BT

~~UNCLAS~~ E F T O SECTION 1 OF 2

NUGAN HAND BANK; FPC

THE FOLLOWING SUMMARY OF ACTIVITY IS SET FORTH FOR YOUR INFORMATION:

ON NOVEMBER 15, 1982, [redacted]

[redacted]

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E10 LW

JEM:MBK (2) 12/21/82 4634 4691

1-LIAISON UNIT SEE NOTE, PAGE 9

JAN 1983

163-42612-101

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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED 308,506
DATE 3/6/90 BY SPS611 Dms

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PAGE TWO DE HQ 0118 ~~UNCLAS~~ E F T O ~~SECRET~~

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PAGE THREE DE HQ 0118 ~~UNCLAS~~ E F T O ~~SECRET~~

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PAGE FOUR DE HQ 0118 ~~UNCLAS~~ E F T O ~~SECRET~~

~~SECRET~~

b6
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ON NOVEMBER 23, 1982, [redacted]

[redacted]

ON NOVEMBER 24, 1982, [redacted]

[redacted]

ON NOVEMBER 30, 1982, INQUIRY AT [redacted] DETERMINED THAT ADDRESS WAS RESIDENCE OF [redacted]

ON NOVEMBER 30, 1982, INVESTIGATION DETERMINED THAT TELEPHONE [redacted] WAS DISCONNECTED, BUT WAS FORMERLY SUBSCRIBED TO BY [redacted]

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~~SECRET~~

PAGE SIX DE HQ 0118 ~~UNCLAS~~ E F T O

ON NOVEMBER 30, 1982,

~~SECRET~~

~~SECRET~~

FM DIRECTOR FBI

TO ~~AMEMBASSY CANBERRA~~

~~ATTN: LEGAL ATTACHE~~

BT

~~UNCLAS~~ E F T O SECTION 2 OF 2

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NUGAN HAND BANK; FPC

[redacted] STATED THAT [redacted]

[redacted] STATED THAT [redacted]

[redacted] STATED THAT [redacted]

[redacted] STATED THAT [redacted]

ON DECEMBER 3, 1982 [redacted]

~~SECRET~~

8

PAGE TWO DE HQ 0119 ~~UNCLAS~~ E F T O ~~SECRET~~

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~~SECRET~~

SECRET

BUTEL TO LEGAT, CANBERRA
RE: NUGAN HAND BANK; FPC

NOTE:

[redacted] b7D

[redacted] IS FORWARDED TO THE LEGAT FOR HIS INFORMATION.

SECRET

Airtel

Unclas 12/17/82

Director, FBI

SAC, Alexandria

OO: ALEXANDRIA

OO: ALEXANDRIA

NUGAN HAND BANK;

OO: FBIHQ

b6
b7C
b7D

Re WFO airtel to FBIHQ dated 12/7/82, and Miami airtel to FBIHQ dated 12/7/82, both captioned "Nugan Hand Bank; FPC."

Enclosed for Alexandria are three (3) copies each of the following:

1) Miami airtel to FBIHQ, and enclosed LHM, dated 12/7/82, captioned "Nugan Hand Bank."

58-10845-47

Enclosures

1 - Mr. Van Balen
1 - Mr. Adamski
1 - Mr. Martin
1 - Mr. Hart
1 - Mr. Hunt

163-45612-

NOT RECORDED
5 DEC 21 1982

ORIGINAL FILED IN

RLH:mlf (11)

59 JAN 5 1983

DUPLICATE YELLOW

File in 163-45612
(enclosures are in
58-10845) Rsh

b6
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It is noted that copies of WFO LHM dated 12/7/82,

It is also noted that on 11/24/82,

have advised that

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NOTE: Attached for FBIHQ file is one copy each of [redacted]

FD-36 (Rev. 5-22-78)

FBI

TRANSMIT VIA:
- [ ] Teletype
- [ ] Facsimile
- [x] ~~Airtel~~

PRECEDENCE:
- [ ] Immediate
- [ ] Priority
- [ ] Routine

~~SECRET~~

CLASSIFICATION:
- [ ] TOP SECRET
- [ ] SECRET
- [ ] CONFIDENTIAL
- [ ] UNCLAS E F T O
- [ ] UNCLAS

Date 12/16/82

TO: DIRECTOR, FBI (163-45612)
(ATTENTION: LIAISON UNIT)

FROM: SAC, ATLANTA (163-391) (RUC) (SQ. 2)

b6
b7C
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SUBJECT: NUGAN HAND BANK
FPC

Re WFO tels to Bureau 11/23/82 and 12/2/82.

Enclosed herewith for the Bureau and WFO is one (1) copy each of a LHM containing [redacted] (Protect). (S)

On 12/6/82 [redacted]

163-45612-162

3 - Bureau (Enc. 1)
1 - WFO (163-4792) (Enc. 1)
1 - Atlanta
LMM/lmm
(5)

~~ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE.~~

Approved: ________ Transmitted ________ (Number) (Time) Per ________

~~Classified by SP5CH DMS~~
~~Declassify on: OADR~~ 2/21/90 308,506

~~SECRET~~

U.S. Department of Justice

Federal Bureau of Investigation

Atlanta, Georgia

In Reply, Please Refer to
File No.

April 20, 1982

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INFORMATION CONCERNING

CONFIDENTIAL

4 - Bureau
1 - Atlanta (163-373)

LIH
(5)

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163-373-4

163-45612-102

DECLASSIFIED BY SP5cl l OmS
ON 2/21/90 308,506

ENCLOSURE

FBI/DOJ

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FM FBI DALLAS (163-521) (P)

TO DIRECTOR, FBI (163-45612) (ATTN: LIAISON UNIT, INTD) ROUTINE

FBI WFO (163-4792) (C-8) ROUTINE

BT

UNCLAS

NUGAN HAND BANK; FPC; OO: BUREAU.

b6
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RE WFO TELETYPE TO DIRECTOR, NOVEMBER 26, 1982.

ON DECEMBER 2, 1982, [redacted] [redacted] [redacted] DALLAS, TEXAS, WAS CONTACTED AND ADVISED HE WOULD ASCERTAIN THE INFORMATION DESIRED AND RECONTACT THE FBI.

ON DECEMBER 9, 1982, [redacted] ADVISED THAT [redacted] [redacted] WOULD BE THE PERSON TO INTERVIEW IN THIS MATTER, BUT HE WAS OUT OF TOWN UNTIL DECEMBER 13, 1982.

ON DECEMBER 17, 1982, EFFORTS TO CONTACT [redacted] WERE UNSUCCESSFUL.

ON DECEMBER 27, 1982, [redacted] ADVISED THAT [redacted]

DICKSON

MARTIN

E. SMITH

103

16 DEC 30 1982

163-45612

b6
b7C

[redacted] WOULD BE THE PERSON TO CONTACT REGARDING THIS MATTER..

EFFORTS TO CONTACT [redacted] ATTORNEY WITH [redacted] [redacted] TELEPHONE NUMBER [redacted] WERE UNSUCCESSFUL ON DECEMBER 27, 1982.

ON DECEMBER 28, 1982, [redacted] CONTACTED THE FBI, AND AFTER DETERMINING THE INFORMATION DESIRED, ADVISED THAT [redacted] PROBABLY DOES NOT WANT TO GET INVOLVED IN THIS INQUIRY BUT HE WOULD CONSULT WITH [redacted] TO ASCERTAIN THEIR POSITION CONCERNING PROVIDING THE INFORMATION REQUESTED. A REPLY WOULD PROBABLY NOT BE FORMULATED UNTIL SOMETIME AFTER THE FIRST OF THE YEAR.

DALLAS WILL OBTAIN [redacted] REPLY AS SOON AS POSSIBLE AFTER THE FIRST OF THE YEAR AND ADVISE FBIHQ AND WFO.

BT

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FD-36 (Rev. 5-22-78)

FBI

TRANSMIT VIA:	PRECEDENCE:	CLASSIFICATION:
☐ Teletype	☐ Immediate	☐ TOP SECRET
☐ Facsimile	☐ Priority	☐ SECRET
☒ Airtel	☐ Routine	☐ CONFIDENTIAL
		☐ UNCLAS E F T O
		☐ UNCLAS

Date 1/18/83

TO: DIRECTOR, FBI (163-45612)
Attention: Liaison Unit, INTD

FROM: SAC, DALLAS (163-521) (RUC)

SUBJECT: NUGAN HAND BANK
FPC

OO: BUREAU

Re Dallas teletype to the Director dated 12/29/82.

Enclosed for the Bureau are six (6) copies of an LHM captioned as above.

163-45612-104

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED
DATE 2/21/90 BY SP5C1/pms

4 - Bureau (Encs - 6)
1 - Dallas
PSP/mpc
(5)

Approved: ______ Transmitted ______ (Number) (Time) Per ______

U.S. Department of Justice

Federal Bureau of Investigation

In Reply, Please Refer to
File No.

Dallas, Texas 75202
January 18, 1983

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b7C

NUGAN HAND BANK

On January 14, 1983, [redacted] Dallas, Texas, advised that they represented [redacted] Dallas, Texas, at which time [redacted] furnished the following reply to inquiries concerning [redacted]

[redacted] formerly owned [redacted] now dissolved, which in turn owned [redacted] which had been sold by [redacted] prior to dissolution.

[redacted] did not sponsor, nor did [redacted] to their knowledge, [redacted]

163-45612-104

1*

FD-36 (Rev. 5-22-78)

FBI

TRANSMIT VIA:
- [ ] Teletype
- [ ] Facsimile
- [ ] Airtel

PRECEDENCE:
- [ ] Immediate
- [ ] Priority
- [ ] Routine

CLASSIFICATION:
- [ ] TOP SECRET
- [ ] SECRET
- [ ] CONFIDENTIAL
- [ ] UNCLAS E F T O
- [ ] UNCLAS

Date 1/26/83

~~SECRET~~

~~ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE~~

~~Classified by~~
~~Declassify on: OADR~~

DE-120

TO: DIRECTOR, FBI
(ATTENTION: CID [redacted]
SUPERVISOR [redacted]

FROM: SAC, ALEXANDRIA (P)

NUGAN HAND INTERNATIONAL
AKA NUGAN HAND BANK;
FOREIGN POLICE COOPERATION
(OO:BUREAU)

Re Bureau airtel to Alexandria dated 12/17/82, under above caption and additional captions [redacted]

b6
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Enclosed for FBIHQ are the original and three copies of an LHM captioned "Nugan-Hand Bank" containing results of interview of [redacted] identity) at Washington, [redacted] (protect identity) at Miami, Florida, on 12/3/82.

[redacted]

[redacted]

1 - attached LHM

163-115112-105

3-Bureau (Enc. 4)
4-Alexandria
(2-163-New)
(1-58-222)
(1-97A-7)
TF:blw
(7)

1*

~~SECRET~~

Approved: ______ Transmitted ______ (Number) (Time) Per ______

UNITED STATES DEPARTMENT OF JUSTICE

FEDERAL BUREAU OF INVESTIGATION

In Reply, Please Refer to
File No.

Alexandria, Virginia
January 25, 1983

NUGAN-HAND BANK

The following interviews were conducted

b7D

1, 2, 3
5, 6, 9, 11
15, 16, 18

163-45612-105

1

Source 1 is appropriate agency

FD-302 (REV. 3-8-77)

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FEDERAL BUREAU OF INVESTIGATION

SECRET

Date of transcription 12/13/82

(Protect Identity)

At the outset he was advised of the official identities of the contacting officials, who were as follows:

(Also present throughou[illegible]e interview was

After being advised of the nature and purpose of the interview, Shackley CONFIDENTIALLY advised as follows:

Investigation on 12/2/82 at Washington, D.C. File # AX 58-222 97A-7 206A-182

SECRET

by SA SA (WFO)/TF:blw Date dictated 12/6/82

AX 58-222, 97A-7
206A-182

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AX 58-222, 97A-7
206A-182

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AX 58-222, 97A-7
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AX 58-222, 97A-7
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AX 58-222, 97A-7
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FD-302 (REV. 3-8-77)

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FEDERAL BUREAU OF INVESTIGATION

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Date of transcription 12/10/82

[redacted] (Protect Identity by request) was interviewed on the early evening of this date [redacted]

After being made aware of the nature and purpose of the interview, [redacted] CONFIDENTIALLY provided the following information:

Background Information:

Full Name:
Race:
Sex:
Date of Birth:
Place of Birth:
Home Address:

Business:

Education:

Investigation on 12/3/82 at Miami, Florida File # Alexandria 58-222 — 97A-7 — 424

by SA [redacted] sfk Date dictated 12/6/82

AX 58-222 2 ~~SECRET~~

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Next of Kin:
Father:

Marital Status:

Children:
Military Service:

Other Employments:

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AX 58-222

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AX 58-222

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SECRET

(It is noted that this interview of [redacted] commenced at approximately 5:17 p.m., on this date, and was completed at approximately 7:15 p.m.)

SECRET

58-222

November 26, 1982

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AX 58-222

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AX 58-222

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AX 58-222, 97A-7
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AX 58-222, 97A-7
206A-182

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AXOJ15 0020232Z

RR HQ OC

DE AX

R 022200Z FEB 83

FM ALEXANDRIA (P)

TO DIRECTOR ROUTINE

OKLAHOMA CITY PRIORITY

BT

~~UNCLAS~~ E F T O

ATTENTION CRIMINAL INVESTIGATIVE DIVISION, [redacted]

(SUPERVISOR [redacted]

[redacted]

[redacted]; BRIBERY;

COI; FCPA (OO:AX) (AXFILE 58-223)

NUGAN HAND BANK; FOREIGN POLICE COOPERATION (OO:BUREAU)

(AX 163-NEW)

THE FOLLOWING BACKGROUND INFORMATION IS SET FORTH FOR OKLAHOMA CITY IN CONNECTION WITH LEAD TO INTERVIEW [redacted] [redacted] AT NORMAN, OKLAHOMA:

b6 b7C b7D

b6 b7C

~~SECRET~~

RECEIVED

3 FEB 83 03 45 Z

FEDERAL BUREAU OF INVESTIGATION

ORIGINAL FILED IN

163-45612-

NOT RECORDED 29 FEB 1983

RECORDED 17 FEB 1983

~~Classified by SP5C1 lams~~
~~Declassify on: OADR~~ 308,506

FOI/PA # 308,506
APPEAL #
CIVIL ACT. #
E.O. # 12,536
DATE 2/22/90 INITIALS DMS
(S-1) Appropriate Agency

~~ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE.~~

66 MAR 7 1983

~~SECRET~~

SECRET

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[redacted] IS [redacted]

[redacted] HAS BEEN CLOSELY ASSOCIATED WITH [redacted] IN VARIOUS BUSINESSES INVOLVED IN OR SEEKING INVOLVEMENT IN INTERNATIONAL TRADE.

[redacted] BOTH HAVE BEEN PAST CLOSE ASSOCIATES OF [redacted] WHO WAS RECENTLY [redacted] HE IS PRESENTLY BEING [redacted]

SECRET 2

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~~SECRET~~

INFORMATION HAS BEEN DEVELOPED THAT [redacted] [redacted] FORMED A SECRET BUSINESS PARTNERSHIP IN APPROXIMATELY LATE 1978. [redacted] IN EARLY 1979, REPORTEDLY [redacted] TO [redacted] TO INVEST IN VARIOUS BUSINESSES IN WHICH ALL FIVE PARTNERS WOULD APPARENTLY HAVE A TWENTY PERCENT INTEREST. [redacted] IN JUNE, 1979, FORMED A PARTNERSHIP WITH [redacted] [redacted] TO SET UP A COMPANY CALLED [redacted] [redacted] WHICH HAS ITS OFFICES AT [redacted] RECEIVED AN EXCLUSIVE CONTRACT FROM [redacted] TO HANDLE [redacted] [redacted] [redacted] INITIAL CAPITAL INVESTMENT IN [redacted] TOTALLED [redacted] IN JANUARY, 1982, [redacted] SEVERED HIS RELATIONSHIP AS [redacted] [redacted] [redacted] RECEIVED A SETTLEMENT OF [redacted] IN CONNECTION WITH HIS

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~~SECRET~~

ALEXANDRIA IS CONDUCTING [redacted] [redacted] REGARDINGT [redacted] IN CONNECTION WITH THE [redacted] AND IS CONDUCTING [redacted] [redacted] REGARDING [redacted]

[redacted]

THE NUGAN-HAND BANK WAS A BANK SET UP INITIALLY IN AUSTRALIA IN THE 1970'S BY [redacted] [redacted] AND FRANK NUGAN, AN AUSTRALIAN ATTORNEY. NUGAN COMMITTED SUICIDE IN AUSTRALIA IN JANUARY, 1980, AND SHORTLY THEREAFTER, THE NUGAN-HAND BANK COLLAPSED. [redacted] HAS SINCE DISAPPEARED.

INFORMATION HAS BEEN DEVELOPED THAT THE NUGAN-HAND BANK WAS USED BY DRUG TRAFFICKERS [redacted]

[redacted]

THE NUGAN-HAND BANK'S ALSO KNOWN AS WHITE MALE, BORN AT DALLAS, TEXAS. WAS THE DURING THE VIETNAM WAR, OPERATED HE IS FROM DALLAS, TEXAS, ORIGINALLY, HAS ARRESTS IN THE DALLAS, TEXAS, AREA AND ALLEGEDLY HAS HAD ORGANIZED CRIME CONNECTIONS IN THE PAST. HE WAS REPORTEDLY WORKING IN AND REPORTEDLY WENT TO IN MAY, 1980, HE ACTED AS IS A CLOSE ASSOCIATE OF AND POSSIBILITY EXISTS THAT

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[redacted] ORIGINATED WITH [redacted]

[redacted]

[redacted] IS REPORTEDLY A FRIEND OF [redacted] WHO WAS INTRODUCED TO [redacted]

[redacted] HAS RECENTLY ADVISED THAT HE MET [redacted] THROUGH [redacted]

[redacted] SAID [redacted] WAS A LIFE-LONG FRIEND OF [redacted] AND HE MET [redacted] WHEN [redacted]

[redacted]

[redacted] HAS ADVISED THAT [redacted]

[redacted] AND INTRODUCED HIM TO [redacted] IT WAS THROUGH THIS INTRODUCTION THAT [redacted]

[redacted] AND FRANK NUGAN LEADING TO [redacted]

[redacted]

INVESTIGATION BY THE ALEXANDRIA DIVISION REVEALS THAT [redacted] APPARENTLY CURRENTLY RESIDES AT [redacted]

[redacted]

LEAD: OKLAHOMA CITY AT NORMAN, OKLAHOMA: PROMPTLY CONTACT AND INTERVIEW AND ATTEMPT TO DETERMINE THE FOLLOWING:

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b7C

1) IF HE DOES, IN FACT, KNOW

2) IF SO, HOW HE MET THE NATURE OF THEIR ASSOCIATION, AND HIS KNOWLEDGE OF BACKGROUND AND ACTIVITIES, PARTICULARLY IN RECENT YEARS.

3) THE CIRCUMSTANCES OF HIS INTRODUCTION OF TO

4) ANY RECENT CONTACTS WITH AND THE NATURE THEREOF.

5) HIS KNOWLEDGE OF PRESENT WHEREABOUTS.

6) THE ORIGIN AND NATURE OF HIS ASSOCIATION WITH

7) HIS KNOWLEDGE OF THE NUGAN HAND BANK, FRANK NUGAN, AND

8) HIS KNOWLEDGE OF ACTIVITIES WITH THE NUGAN-HAND BANK IN THE RECENT PAST.

9) IF HE KNOWS WHO WAS FORMERLY ASSOCIATED WITH AND WHO WAS ASSOCIATED WITH

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[redacted]

10) A Y KNOWLEDGE HE POSSESSES REGARDING [redacted] [redacted] RELATIVE TO THIS MATTER.

11) OBTAIN BACKGROUND AND DESCRIPTIVE DATA REGARDING [redacted] AND IN RESPONDING TO THE ABOVE LEADS, SET FORTH A LEAD FOR FBIHQ TO CHECK INDICES REGARDING [redacted]

(OKLAHOMA CITY IS REQUESTED TO EXPEDITIOUSLY FORWARD RESULTS OF INTERVIEW IN FD-302 FORM VIA COVER AIRTEL WITH COPIES TO ALEXANDRIA AND FBIHQ.)

BT

Airtel

UNCLASSIFIED

February 14, 1983

Director, FBI

SAC, Alexandria (info)

LEGAL ATTACHE, Canberra (enclosures)

[redacted] FCPA;
OO: ALEXANDRIA

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b7D

NUGAN HAND BANK;
FOREIGN POLICE COOPERATION;
OO: FBIHQ

Enclosed for Legal Attache Canberra are two copies each of FBI Miami LHM, dated December 7, 1982, and FBI Alexandria LHM, dated January 25, 1983, both captioned "Nugan Hand Bank."

For information of Legal Attache, Canberra, captioned investigations were [redacted]

On February 9, 1983, [redacted]

Enclosures

RLH:dig (9)

1 - Mr. Van Balen
1 - Mr. Hart
1 - Mr. Hunt
1 - Liaison Unit

NOT RECORDED
15 FEB 28 1983

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED 308,JOL
DATE 2/21/90 BY SP5C110ms

DUPLICATE YELLOW

Airtel to SAC, Alexandria (info)

LEGAL ATTACHE, Canberra (enclosures)

RE: ET AL;

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NUGAN HAND BANK;
FOREIGN POLICE COOPERATION;
OO: FBIHQ

advised that

On February 9, 1983,

Legal Attache, Canberra, is requested to forward enclosed LHMs to

NOTE:

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FD-36 (Rev. 5-22-78)

FBI

TRANSMIT VIA:
- [ ] Teletype
- [ ] Facsimile
- [X] AIRTEL

PRECEDENCE:
- [ ] Immediate
- [ ] Priority
- [ ] Routine

CLASSIFICATION:
- [ ] TOP SECRET
- [ ] SECRET
- [ ] CONFIDENTIAL
- [ ] UNCLAS E F T O
- [ ] UNCLAS

Date 2/23/83

TO: DIRECTOR, FBI

FROM: SAC, LOS ANGELES (163-4205) (C-1) (RUC)

SUBJECT: NUGAN HAND BANK
FPC - AUSTRALIA
OO: Bureau

Re Honolulu teletype to Bureau dated 12/8/82.

A review of captioned matter at Los Angeles disclosed that all requested investigation and assistance has been accomplished by the Bureau and Los Angeles. Los Angeles has had [redacted]

[redacted]

b7D

Los Angeles now considers this matter RUC.

89-6

LIASON

MARTIN

E. Smith

163-4524-106

23 MAR 3 1983

2 1-D - Bureau
1 - Los Angeles

GAP/rsr
(3)

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED 308,506
DATE 2/21/90 BY SP5C11 Oms

Approved: ______ Transmitted ______ (Number) (Time) Per ______

U.S. GOVERNMENT PRINTING OFFICE : 1982 O - 369-895

FD-36 (Rev. 5-22-78)

FBI

TRANSMIT VIA:
- ☐ Teletype
- ☐ Facsimile
- ☐ Airtel

PRECEDENCE:
- ☐ Immediate
- ☐ Priority
- ☐ Routine

CLASSIFICATION:
- ☐ TOP SECRET
- ☐ SECRET
- ☐ CONFIDENTIAL
- ☐ UNCLAS E F T O
- ☐ UNCLAS

Date 2/23/83

TO: DIRECTOR, FBI (163-45612)
ATTN: INDD, LIAISON UNIT

FROM: SAC, WFO (163-4792)(RUC)(C-8)

NUGAN HAND BANK
FPC
OO:Bu

Re WFO airtel to Bureau dated 12/7/82.

For the information of the Bureau, on January 4, 1983, [redacted] contacted Special Agent (SA) [redacted] concerning the status of the investigation. [redacted] was advised that the Federal Bureau of Investigation (FBI) is not actively investigating NUGAN HAND BANK [redacted] also advised that he was the subject of an investigation by the Internal Revenue Service (IRS) based on his relationship with NUGAN HAND BANK. He furnished SA [redacted]s name and telephone number to IRS, SA [redacted] Norfolk, Virginia.

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On January 27, 1983, IRS, SA [redacted] contacted SA [redacted] and advised that their investigation was based on the series of articles appearing in the Wall Street Journal in August, 1982. SA [redacted] stated their investigation has revealed nothing indicating violations of tax laws.

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163-45612-107

In view of the fact that there is no outstanding investigation in this division, WFO is placing this case in RUC status.

2-Bureau
1-WFO

Copy Can (info) 2/28/83

HLS:ldj
(3)

Approved: TMG/DWB Transmitted ________ (Number) (Time) Per ________

FEDERAL GOVERNMENT

Congress of the United States

House of Representatives

Washington, D.C.

February 15 19 83

Sir:

The attached communication is sent for your consideration. Please investigate the statements contained therein and forward me the necessary information for reply, returning the enclosed correspondence with your answer.

Yours truly,

DE-71 M. C.

2-ENCLOSURE

Bill Green, Member of Congress
15th District, New York

BG/ef

17 MAR 4 1983

57 APR

Ack: ESH cdb 3/1/83
Orig enclosures returned.

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/22/90 BY SP5 CL LDMS

East Third St. Block Assn. (A & B)
160 East 3rd Street, apt. 6D
New York, NY 10009

January 17, 1983

Senator Barry Goldwater, Chairman
Senate Select Committee on Intelligence

Congressman Edward P. Boland, Chairman
House Select Committee on Intelligence

Senator Daniel Patrick Moynihan

Senator Alfonse D'Amato

Congressman Bill Green, Representative, 15th C.D.
and Banking Committee Member

Dear Senators and Representatives:

The East Third Street Block Association was formed in response to an open and public heroin and cocaine "supermarket" on the block. We have been doing our best for well over a year to get the police to end the disruption to our lives caused by an unending stream of cars from several surrounding states lined up to make their illegal purchases, and the unsavory, degraded, and dangerous atmosphere that results. We understand that this problem is not unique to our city. Indeed, the local police say the problem can only be solved on the federal level.

Periodically, we read declarations of war on drugs and crime from the President, the FBI, and others.

Yet the enclosed article from The Wall Street Journal indicates that many former leading U.S. intelligence officers held positions of responsibility in an Australian bank that was involved in international narcotics (and weapons) trafficking.

This leads us to ask a number of questions.

Is it really possible that the prominent leaders and retired military officers involved were just acting privately? Or did the CIA and the various military intelligence agencies with which they had been associated approve of their activities?

If so, then what does that say about the policies of these agencies on drugs? How serious are they about stopping the drug traffic? In fact, which side are they on in the struggle to control narcotic abuse--that of the victims or of the pushers?

11F-4766-108

Why are the FBI, CIA, and U.S. Customs Service, according to the article, refusing to cooperate with the investigation by the Australian authorities?

Is investigation of this matter under way by any Congressional committee?

We would appreciate your replies, and advice directing us to further sources of information to clarify this apparent discrepancy between the government's stated drug policy and the actions of its former officials. Thank you very much for your attention to this worrisome matter.

WALL STREET JOURNA[L]

TUESDAY, AUGUST 24, 1982

PRINCETON, NEW JERS[EY]

Australian Mystery

Fall of a Banking Firm Spotlights the Roles Of High U.S. Officials

Frank Nugan's Violent Death Opens Lid on Odd Traffic In Dope, Foreign Funds

Politicians Charge Cover-Up

By JONATHAN KWITNY
Staff Reporter of THE WALL STREET JOURNAL

SYDNEY, Australia—At 4 a.m. Sunday, Jan. 27, 1980, a police sergeant and a constable, according to their testimony, were patrolling a lonely stretch of highway 90 miles from here when they spotted the parking lights of a Mercedes on an old road off in the woods. Inside the car, slumped across the front seat in a puddle of blood, was the body of a 37-year-old man with a new rifle in his hands.

They searched his pockets and found the business card of William Colby, the former

This is the first of a series of articles.

U.S. director of central intelligence. On the back of the card was the itinerary of a trip Mr. Colby planned to make to Asia in the next month. The two policemen also found a Bible with a meat-pie wrapper interleaved at page 252; on the wrapper were scribbled the names of Mr. Colby and U.S. Rep. Bob Wilson of California, then the ranking Republican on the House Armed Services Committee.

All this might sound like the beginning of a Hollywood spy movie, but the studios would have to assign their most imaginative scriptwriters to produce a tale as startling as the real-life events that have followed that grisly discovery more than two years ago. The body was quickly identified as that of Frank Nugan, the chairman of a group of companies affiliated with the private Australian banking concern of Nugan Hand Ltd. Since then, investigations have pieced together a picture of an amazing swindle that spanned six continents and bilked investors out of millions of dollars.

A Political Issue

More perplexing yet, evidence has turned up that Nugan Hand bank was deeply involved in moving funds about the world for big international heroin dealers and also might have been involved in the shady world of international arms traffic. To cap it all, the offices of Nugan Hand and its affiliates were loaded with former high-ranking U.S. military and intelligence officials (see story on Page 22).

This has convinced many Australians that the company was involved in secret work for the U.S. government. Despite official denials from Washington, the issue has refused to die and has become one of the central debating points in Australian politics.

The issue of U.S. involvement may be hard to resolve because many of the essential records were destroyed. Within hours after the discovery of Frank Nugan's body, telephones began ringing urgently all over the world. One was on the desk in Manila of three-star U.S. Gen. LeRoy J. Manor, the recently retired chief of staff for all U.S. forces in Asia and the Pacific. After his retirement, Gen. Manor had been on secret duty for the Air Force and at the time of Mr. Nugan's death he was helping run Nugan Hand's Philippine office.

Ransacking the Files

According to Nugan Hand's public-relations man, Tony Zorilla, Gen. Manor called him and told him to stop the wire services from reporting Mr. Nugan's death. Mr. Zorilla says he replied that this would be unethical and impossible, and he refused. (Gen. Manor would describe his activities with Nugan Hand only in general terms, and he wouldn't discuss this incident.)

Halfway around the world, Rear Adm. Earl P. "Buddy" Yates, the recently retired chief of staff for strategic planning for U.S. forces in Asia and the Pacific, heard the news and immediately jetted to Sydney, Nugan Hand's main office. Adm. Yates was the president of Nugan Hand, though he lived in Virginia Beach, Va. En route to Sydney, he met Nugan Hand's vice chairman, Michael Hand, a highly decorated Green Beret during the Vietnam War and a former U.S. intelligence operative, coming from London. They raced to the Nugan Hand office and with a few other insiders began ransacking the files.

According to witnesses, enough records to fill a small room were fed to a shredder. Others were packed in cartons, with everyone helping, and carried at night to the back room of a butcher shop owned by Robert W. Gehring, a former Army sergeant in Vietnam. Mr. Gehring worked for Maurice Bernard Houghton, a mysterious Texan who has owned several bar-restaurants in Sydney and who had played an active role in Nugan Hand's affairs since its inception in 1973.

A Lawyer's Advice

Mr. Houghton not only joined the rap[ing of] the files, but also brought his lawyer, [Mi]chael Moloney, to direct it. According to t[he] testimony of Stephen K. A. Hill, a Nug[an] Hand director who joined the record-rifl[ing] that week, Mr. Moloney urged the group [on] by warning, "I am fully aware of what h[as] been going on. You all face jail terms of [up] to 16 years."

According to Mr. Hill's testimony, [the] burly Mr. Hand then broke in to say that [if] Mr. Moloney's orders to sanitize the files b[e]fore the law arrived weren't followed, "te[r]rible things" would happen—"Your wiv[es] will be cut up and returned to you in b[its] and pieces."

Mr. Moloney in a recent interview sa[ys]: "Sure, I advised Hand to take docume[nts] out of the office. I was told there were s[eri]ous deficiencies in the accounts. Everyth[ing] I did I talked about with Yates first." (Ad[m.] Yates refuses to discuss any part of his [act]ivities with Nugan Hand.)

A few months later, on April 21, 1980, [Nu]gan Hand went into liquidation. And the [se]crets that were so frantically being [de]stroyed after Frank Nugan's death began [to] be reconstructed. Exposed to view, l[ike] maggots, were dozens of affiliated corpo[ra]tions, with little or no real assets, that [Nu]gan Hand had set up to help its clients av[oid] taxes and move money overseas secre[tly] and often illegally. Mr. Nugan had boast[ed] that $1 billion a year passed through the [c]ompanies.

Still unanswered is the question of why [so] many high-ranking U.S. military and inte[lli]gence officials were working for the co[m]pany. The CIA has denied involvement, [and] the State Department says that Nugan Ha[nd] wasn't in any way a U.S. government ope[ra]tion. But liquidators of the company [and] various Australian law-enforcement offic[ials] express anger and bewilderment that [the] CIA, the FBI, and the U.S. Customs Serv[ice]—all of which have information on Nu[gan] Hand, have refused to release it to he[lp] the current criminal and civil inves[tiga]tions.

"It has obvious overtones that someb[ody] is covering something up," says the co[urt]-appointed Australian liquidator, John O'Brien.

From its base in Sydney, Nugan Ha[nd] had opened at least 22 offices around [the] world, including four in the U.S. After [the] company failed, anguished messages pou[red] in from individuals who had invested mo[ney] at above-market interest rates in securiti[es] sold by Nugan Hand and who now stood [to] lose everything. Liquidators say the offici[al] shortfall could reach $50 million. The vi[c]tims include many Americans, not only o[n] the U.S. mainland but also at constructio[n] sites in Saudi Arabia and at military bas[es] and legations throughout the Far East, H[a]waii and the Philippines.

Declassified Aug 11

163-45017-10[?]

ENCLOSURE

THE WALL STREET JOURNAL, Tuesday, August 24, 1982

Australian Mystery: The Collapse of Nugan Hand Bank Discloses Links With Some High-Ranking American Military and Intelligence Officials

Nugan Hand carried out its operations with intense secrecy. Cables and interoffice messages were in code and often were marked "Destroy After Perusal." Company employees and customers were referred to by coded serial numbers rather than by name, and even references to foreign currencies were disguised: "Oats" stood for Swiss francs, "grains" for U.S. dollars and so forth down to "berries" for Portuguese escudos.

An Impossible Job

This, plus the obvious phoniness of many of the transactions carried on between companies within the Nugan Hand galaxy, makes the job of settling claims by creditors almost impossible. "We wouldn't be able to pay 1%" on outstanding claims, says a liquidator. Many creditors aren't even bothering to file claims, apparently fearful that to do so would expose them to prosecution for illegal deals carried out with the aid of Nugan Hand.

Currently, liquidators in Sydney, Hong Kong and the Cayman Islands are investigating the company, and often working at cross purposes, seeking to recover assets. Criminal investigations are being conducted by the New South Wales attorney general's office and by a joint task force of the New South Wales police and the Commonwealth police. The Royal Commission on Drugs ran into Nugan Hand so much that it has recommended a separate Royal Commission be appointed just for Nugan Hand.

In June 1980, Mr. Hand, disguised and using a phony passport, flew to the U.S., apparently via Fiji and Vancouver. He hasn't been seen since.

Leaving Australia about the same time as Mr. Hand was his closest friend and adviser, Bernie Houghton. Mr. Houghton has a broad acquaintanceship with many high-ranking U.S. officials. As a civilian, he daringly traded goods all over the Southeast Asian war zone in the 1960s. Though his restaurant is in a sleazy district of Sydney that swarms with prostitutes and sex shows, he has frequently welcomed congressmen, CIA officials and military brass there. A few weeks before Mr. Nugan died, Mr. Houghton played host, at a luncheon and later a dinner, to five members of the House Armed Services Committee who were in Australia to inspect defense and intelligence-gathering facilities. He arranged for Rep. Wilson and another congressman and their wives to dine with Mr. Hand. Last year, Mr. Houghton returned to Australia. Authorities have questioned him at length, but he avoids reporters.

Exhuming a Body

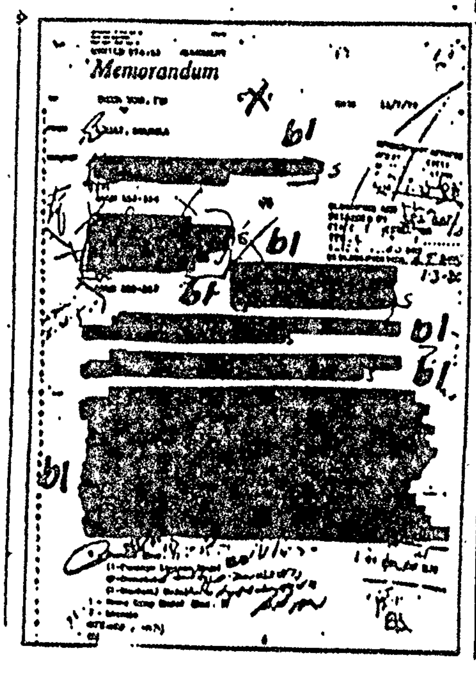
With the scandal refusing to die down in Australia, reports began to circulate that the body in Frank Nugan's grave wasn't his and that he was still alive and hiding out in the U.S. Once he was reported having been seen in a bar in Atlanta. Finally, in February 1981, officials ordered the body exhumed. With gruesome diligence, Australian TV covered the event by interviewing practically everyone in the area. ("Some are dry, some are wet," one gravedigger told interviewers, complaining that Mr. Nugan was "wet" and therefore "very messy.") A dentist definitely identified the remains as those of Mr. Nugan.

Stymied by the lack of progress in the investigation of the company's affairs, the National Times, an Australian newspaper, petitioned the FBI under the U.S. Freedom of Information Act for information it had on Nugan Hand. The newspaper was told that of some 151 pages of material in FBI files, it could see 71. But when the papers arrived, they resembled a collection of Rorschach tests, with page after page blacked out in heavy ink and bearing the notation "B-1," indicating that disclosure would endanger U.S. "national defense or foreign policy." What was left was a few pages of more or less routine information, such as a copy of a Nugan Hand subsidiary's petition for incorporation in Hawaii.

In response to more official Australian demands, the State Department sent a two-man FBI delegation to Sydney in April, 1982. But the two men stonewalled, telling Australian officials that the FBI had already given its information to an appropriate Australian agency. They wouldn't say which agency or reprelease the material. Australian state and national police investigating Nugan Hand say that they have never received the information. The only other likely recipient would appear to be the Australian Security Intelligence Organization (ASIO), a secret counterspy group that long has worked closely with the CIA.

By law, ASIO can give information only to Prime Minister Malcolm Fraser or his attorney general. Mr. Fraser says the U.S. has assured him that it had no connections with Nugan Hand, and he has rejected opposition-party demands for a top-level probe. But the continuing parliamentary debate could rekindle the once-hot political controversy over vital CIA bases here. These bases monitor U.S. satellites watching the U.S.S.R. and China and direct the U.S.'s nuclear submarines.

The opposition Labor Party—which now leads Mr. Fraser's Liberal Party in some polls—has openly questioned whether the CIA, through ASIO, helped topple Australia's last Labor government, led by Prime Minister Geoff Whitlam. The CIA has denied this. Now suspicions have been



raised that Nugan Hand, which ... enormous amounts of money, m... been used to channel funds to fav... tralian political candidates.

When Vice President George Bus... Australia this April, Labor Party le... Hayden—a strong possibility to... prime minister—used his 30-minut... with Mr. Bush mostly to press f... lease of details on the Nugan H... Whitlam affairs. Mr. Bush only ga... surances that the CIA wasn't invo... ther matter. Mr. Bush was the CI... in 1976, succeeding Mr. Colby, who... to become a lawyer for Nugan H...

A Secret Wiretap

Suspicions of a government ... could be heightened by a disclosure ... tralian liquidator O'Brien. He says ... has learned from the government-... phone company that Frank Nugan... conversations were secretly reco... last two years of his life on a d... stalled at the phone company, appa... a government agency. Mr. O'Br... phone-company officials have told ... the tapes—which might solve the m... who was behind Nugan Hand—are ... company anymore.

Wiretap authority is tightly res... Australia, being allowed only in ... volving national security or narcot... tigations, according to the Comm... attorney general's office. State and ... police have reported that they do ... anything about a wiretap on Mr ... Again, this points the finger at AS...

Australian immigration record... that a senior ASIO official, Leo ... vouched for Nugan Hand's ubiqu... Houghton when he entered Australia ... ruary 1972 with an expired visa. On ... word, Mr. Houghton received a vis... ing him to come and go from Aus... will. Mr. Carter has died, and AS... comment on this.

Presumably the most sensitive o... Hand's records were shredded rig... Mr. Nugan died. But the remaining ... hold many suggestions that the c... may have been much more than a ... venture.

Notes on Troop Movements

The records contain long, perio... filed reports about military and politi... tivities, mostly in Kampuchea (Cam... but also in Laos, Vietnam and Th... There is no concrete evidence that ... Hand was an active participant in ... vert operations. However, these deta... ports of troop movements appear ur... ted to any banking or business ... They were prepared by Nugan Hand'... kok representative, John Owen, a for... reer British navy officer, and bear n... that they were to be shown to Mr. ...

The files also show that Nugan ... worked on big international arms ... though it isn't clear what, if anythi... shipped.

For example, there were proposed ... actions in 1979 for a partnership with ... Panya Souvanna Phouma, the son of ... mer Laotian leader Souvanna Phou... one letter, Prince Panya took three pa...

CONTINUED P. 3

list available weapons, including missiles, light and heavy armor, tanks, combat helicopters and F-104 fighter planes and naval patrol planes. Nugan Hand memos indicate that the weapons were intended for delivery to Indonesia and Thailand. They also discuss creation of a private, freight-oriented airline in Southeast Asia (to be called "Sky of Siam") and other deals with Prince Panya.

Memos show that in 1975 Mr. Hand was arranging the sale to Rhodesia of recoilless rifles, mortars, grenade launchers and machine guns, and was negotiating for shipments of Rhodesian ivory.

tralia that was closely tied to the dope dealers. They believe that another heroin ring tied to Nugan Hand used a similar system.

Apart from their connection with the bank, Michael Hand and Frank Nugan had been viewed suspiciously by narcotics agents for some time. As early as 1973, the Australian Narcotics Bureau began a file on Mr. Hand based on reports that he and K.L. "Bud" King, a former pilot for Air America airline had been flying dope in from Asia to an Australian airstrip. Air America was a Vietnam war-era airline, with close connections with the CIA. U.S. drug-enforcement officials now acknowledge that the airline also occasionally ran heroin out of Southeast Asia's famed "Golden Triangle" poppy-growing area.

The landing strip involved in the 1973 Australian Narcotics Bureau report was on a real-estate development promoted by American singer Pat Boone and financed by millionaire shipping magnate D.K. Ludwig. Mr. Hand had worked as a salesman for the project for several years after he came to Australia in 1967 and later asserted that he had made a fortune from his land sales.

The report of the illegal drug flights came from a lawyer representing Mr. King's housekeeper. Mr. King, who also worked for the Boone-Ludwig project, has since died in a fall. The report was released recently among many files turned over to the Australian parliament by three former narcotics officers who contend that the narcotics bureau covered up information on Nugan Hand drug dealings.

Meeting an Opium Overlord

Other released files include information on alleged Nugan Hand drug deals supplied by Andrew Lowe, formerly Sydney's biggest heroin dealer (by his own assessment), who recently completed a prison sentence for his dope deals. Mr. Lowe has testified that he arranged a meeting between Mr. Hand and Khun Sa, the Golden Triangle's biggest opium overlord, though there is no way to verify that.

Mr. Houghton has acknowleged in testimony that in 1979 he met in Switzerland with Edwin Wilson, the former CIA officer who is now in U.S. custody, who is charged with selling explosives in Libya and who is alleged to have supplied trained men, arms and technology to Libya and other military buyers. A former Nugan Hand representative in Thailand, Neil Evans, has testified that Mr. Wilson went to Bangkok to discuss arms deals with Mr. Hand. Other Nugan Hand officials, however, deny Mr. Evans's story.

There seems no denying, however, that Nugan Hand was heavily involved with drug dealers. Murray Stewart Riley, a former Sydney policeman now serving time in Australia for attempting to import a boatload of marijuana from Thailand, was a regular customer of the firm. So were Riley's associates, who deposited drug money in Sydney and withdrew it in Asia.

Riley's business was brought to the bank, according to extensive testimony, by Harry Wainwright, a former San Francisco criminal lawyer who fled to Australia in 1973 after being indicted in the U.S. for income-tax evasion.

Testifying from prison two years ago for an Australian Royal Commission looking into drug trafficking, Riley said his heroin and marijuana imports were intended for the U.S. market. In the words of the commission, he said "that he had been informed that Nugan Hand offered a facility to transfer funds from Hong Kong to the United States."

Over $1 Million of Drugs

Investigators say that they have traced about $250,000 that Riley moved to Asia via Nugan Hand and that they believe the final figure will exceed $1 million. They say they believe that much of the heroin was transshipped to the U.S. in containers that were repaired by a dockside welding firm in Aus-

Through meticulous police ever, Australian officials have in a still unreleased report a se actions tying Nugan Hand not dealing but also possibly to a c tract murders in which the s Asia heroin syndicate elimina three persons who were infor lian police about drug activities say the "Mr. Asia" group's h lated in the U.S. and elsewhere at least $100 million cash to the operators.

According to testimony fror and bank insiders, corroborate phone logs and other evidence, th was linked to the bank through Sydney law firm that represente Nugan and various members of syndicate. The firm's senior par Aston, used Nugan Hand for pers cial dealings and helped bring in ents. And the law office was used off point for bank clients who wi main secret; they left parcels c other items at the law office for b bank representatives.

One parcel that bank directo Shaw, a major operational figure Hand, says he picked up at the l March 26, 1979, contained $285,000 bills. This money has been traced t laundering process involving a s firm that Nugan Hand had acquirec ently because it dealt in big quar cash) and through accounts at banks in Hong Kong, New York, Bo Singapore.

Eventually the $285,000 was pai checks from Nugan Hand's Singapo to a man identified by British polic Singapore leader of the heroin sy The payout was personally arranged Hand in Singapore as instructed in telex from Mr. Nugan in Sydney. Th plicated money movement was a s procedure Nugan Hand used for wishing to evade Australia's strict c control laws, designed to keep cap home.

TURN TO PAGE 4

Admirals, Generals, Ex-CIA Men Took Prominent Roles in Nugan Hand Bank

By a Wall Street Journal Staff Reporter

SYDNEY, Australia—Enough top-ranking U.S. military and intelligence officers worked for Nugan Hand to run a small-sized war. The list includes:

ADM. EARL "BUDDY" YATES, a 1943 graduate of the U.S. Naval Academy, Legion of Honor winner in Vietnam, and commander of the aircraft carrier USS John F. Kennedy. Then he was the chief of staff for plans and policy of the U.S. Pacific Command, in charge of all strategic planning from California to the Persian Gulf, until his retirement in July 1974. He became the president of Nugan Hand bank early in 1977, recruited by Maurice Bernard Houghton, who apparently is an old friend.

GEN. LEROY J. MANOR, the chief of staff for the entire Pacific Command until he retired in July 1978 to undertake new duties that the Air Force says are so secret that it can't talk about them. These duties are generally known to have included negotiating the 1979 agreement with the Philippine government for continuance of the U.S. military bases there (which Gen. Manor used to command) and investigating the failed hostage rescue raid in Iran in 1980 (an assignment that apparently stemmed from his having designed and commanded the 1970 raid on a North Vietnamese prison camp that failed to find any U.S. prisoners). A much-decorated Air Force three-star general, he also had been the special assistant to the Joint Chiefs of Staff at the Pentagon for "counterinsurgency and special activities." He joined Nugan Hand's Manila office, allegedly to run it (which he denies), in 1979.

GEN. EDWIN F. BLACK a 1940 graduate of West Point. He entered the Office of Strategic Services (OSS), which later became the CIA, and was the OSS commander in Berlin. He was the chief administrative aide to and frequent chess opponent of Allen Dulles, who became the head of the CIA. He was the wartime boss and then tennis partner of Richard Helms, who also became the head of the CIA. He was on the National Security Council staff under President Eisenhower and later the commander of all U.S. troops in Thailand during the Vietnam war, before becoming assistant Army chief of staff for the Pacific. He retired in 1970 to become executive vice president of the Freedoms Foundation in Valley Forge, Pa., a group promoting conservative politics. He also worked for LTV Corp., an important CIA contractor. In 1977, he became the president of Nugan Hand Inc., Hawaii, and special representative of the overall organization, making frequent trips to Asia. He says he was recruited by Adm. Yates and another admiral.

GEN. ERLE COCKE JR., whose entry in Who's Who in America says that during World War II he was "prisoner of war three times, actually 'executed' by a German firing squad and delivered the *coup de grace* but survived 1945." He held various posts with the Defense Department and as an executive with Delta and then Peruvian airlines. He is a former national commander of the American Legion, honorary commander of the Nationalist Chinese Air Force and holder of the French Legion of Honor and top medals from Spain, the Philippines and Italy. Now listed as a retired general with the U.S. National Guard and a consultant. His consulting [of]fice served as Nugan Hand's Washing[ton] office.

WILLIAM COLBY, the U.S. director of central intelligence, 1973-76. He ran intelligence programs in Vietnam during the war. In 1979 and 1980, as a lawyer with the Wall Street firm of Reid & Priest, he worked for Nugan Hand on a variety of matters—tax problems; the Foreign Corrupt Practices Act; an abortive project to relocate Indochinese refugees on an island in either the Caribbean or the Pacific; an attempt to take over a Florida bank; the operations of Nugan Hand's mysterious Panama branch, and the problems surrounding Mr. Nugan's death. Mr. Colby submitted $46,000 in bills, which weren't paid. A $10,000 check for his retainer was issued but never cashed.

WALTER McDONALD, a career CIA officer since 1975 and deputy director in charge of economic research from 1972 to 77. Then, while still in the CIA, he helped his former boss, onetime CIA Director James Schlesinger, set up and run the U.S. Energy Department. He served on the National Foreign Intelligence Board, the senior advisory group in the intelligence community. He announced his retirement in 1979, went into consulting and almost immediately by his own account began spending most of his time with Nugan Hand, traveling in the U.S. and Europe with Mr. Nugan and talking with him daily.

GUY PAUKER, a Rand Corp. staff member who has advised the CIA and other government agencies since the 1950s, although he denies reports that he is a career employee of the CIA. Mr. McDonald, whom he calls his "good friend," once said that Mr. Pauker has long had frequent personal access to White House national security advisers, including Henry Kissinger and Zbigniew Brzezinski. Mr. Pauker went to work as a consultant to Nugan Hand after Adm. Yates introduced him to Messrs. Nugan and Hand. He, in turn, introduced them to Mr. McDonald. Mr. Pauker says he wasn't involved in any completed deals for the bank.

DALE HOLMGREN, a former U.S. Army officer in Taiwan who became manager of flight services for Civil Air Transport, a CIA-run airline in the Far East. He then went into business in Taiwan. He opened the Nugan Hand branch in Taipei in 1978 as a one-man representative. Adm. Yates once said that Mr. Holmgren had long worked with the U.S. military in Taiwan to develop "within the social structure of the Chinese in Taipei a close relationship with the U.S. military forces and the business and government community." Adm. Yates also said that Mr. Holmgren had worked for Nugan Hand without pay at least for a while because he had an independent income.

ROBERT "RED" JANSEN, a former CIA station chief in Bangkok who advised Thai governments through almost daily meetings with the prime minister in the early 1970s, according to persons close to them. He worked for Nugan Hand there in 1976, although he apparently severed his relationship that year (according to a colleague, because he was warned by the U.S. embassy that his presence at the bank had aroused suspicion). Mr. Jansen apparently has an unlisted number and couldn't be reached for comment.

~~SECRET~~

March 1, 1983

FEDERAL GOVERNMENT

Honorable Bill Green
House of Representatives
Washington, D. C. 20515

Dear Congressman Green:

Your February 15th communication enclosing a copy of a letter from the East Third Street Block Association (A & B) and a copy of an article which appeared in the August 24, 1982, issue of the "Wall Street Journal" concerning the Nugan Hand Bank, Ltd., Sydney, Australia, a private Australian banking institution, has been brought to my attention.

A review of the central files of the FBI concerning the Nugan Hand Bank, Ltd., disclosed that to date no information has been received indicating a violation of United States laws under the investigative jurisdiction of the FBI. We have,

[redacted] b7D

Should allegations of criminal activity relating to the Nugan Hand Bank, Ltd., come to the attention of the FBI which fall within our jurisdiction, appropriate investigation will be vigorously pursued.

MAILED 1
MAR 3 1983
FBI

We appreciate the concern of the East Third Street Block Association in the drug problem in their area and throughout our Nation and you may assure them that we are working closely with the Drug Enforcement Administration to resolve this serious problem in every way possible within our mandate.

162-4561?-109

I hope this will assist you in responding to the Association and, as you requested, I am returning your enclosures.

~~Classified by~~ SP5C1 DMS 2/22/90
~~Declassify on: OADR~~ 308,506

Sincerely yours,

/S/

Edward J. O'Malley
Assistant Director,
Intelligence Division

17 MAR 4 1983

~~ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE.~~

Exec AD Adm. ___
Exec AD Inv. ___
Exec AD LES ___
Asst. Dir.:
Adm. Servs. ___
Crim. Inv. ___
Ident. ___
Insp. ___
Intell. ___
Lab. ___
Legal Coun. ___
Off. Cong. & Public Affs. ___
Rec. Mgnt. ___
Tech. Servs. ___
Training ___
Telephone Rm. ___
Director's Sec'y ___

Enclosures (2)

1 - New York - Enclosures (3)

ESH:ddb (12)

MAIL ROOM

SEE OTHER DESIGNATIONS AND NOTE PAGE TWO

~~SECRET~~

Honorable Bill Green

1 - Mr. O'Malley - Enclosures (3)
1 - Mr. A. Adamski - Enclosures (3)
1 - Mr. J. Choate - Enclosures (3)
1 - Mr. J. E. Martin - Enclosures (3)
1 - Mr. R. Hunt - Enclosures (3)
1 - Congressional Affairs Unit - Enclosures (3)

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CONFIDENTIAL ~~SECRET~~

NOTE: Above response has previously been furnished to similar inquiries regarding the "Wall Street Journal" article and the Nugan Hand Bank, Ltd., and was coordinated with [redacted] The Nugan Hand Bank, Ltd., is a private banking concern in Sydney, Australia, [redacted] The bank has been the subject of recent news articles such as the "Wall Street Journal" article the East Third Street Block Association provided Congressman Green. (U)

While no substantive activity has occurred, [redacted]

CONFIDENTIAL

APPROVED:	Adm. Serv.	Legal Coun.
	Crim. Inv.	Plan. & Insp
Director		Rec. Mgnt.
Exec. AD Adm.	Ident	Tech. Servs
Exec. AD Inv.	Intell.	Training
Exec. AD LES	Laboratory	Off. of Cong. & Public Affs.

LIMITED OFFICIAL USE

RECEIVED TELETYPE UNIT 31 MAR 83 13 17 z FEDERAL BUREAU OF INVESTIGATION

PAGE 01 SYDNEY 01291 310753Z

ACTION EA-12

INFO OCT-00 COPY-01 ADS-00 INR-10 SS-10 CIAE-00 DODE-00
H-01 NSCE-00 NSAE-00 SSO-00 HA-08 L-03 PM-09
PA-01 INM-06 INRE-00 USIE-00 JUSE-00 FBIE-00 SP-02
SNP-01 PRS-01 /065 W

FEDERAL GOVERNMENT

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FM AMCONSUL SYDNEY

TO SECSTATE WASHDC IMMEDIATE 6540

INFO AMEMBASSY CANBERRA IMMEDIATE

AMCONSUL MELBOURNE PRIORITY

AMCONSUL PERTH PRIORITY POUCH)

LIMITED OFFICIAL USE SYDNEY 1291

163-

APR 5 1983

66 MAY 1983

E.O. 12356: NA

TAGS: AINF, PREL, EINV, SNAR, AS, US

SUBJ: [redacted] Referral/Consult

Referral/Consult

LIMITED OFFICIAL USE

LIMITED OFFICIAL USE

Referral/Consult

Referral/Consult

LIMITED OFFICIAL USE

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SECRET

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RR HQ AX

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P 032100Z FEB 83

FM OKLAHOMA CITY (58-305) (P)

TO DIRECTOR ROUTINE

ALEXANDRIA (58-222) PRIORITY

BT

UNCLAS E F T O

ATTENTION: CRIMINAL INVESTIGATIVE DIVISION, [redacted]

(SUPERVISOR

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b7D

[redacted] FCPA; OO: ALEXANDRIA (AX FILE 58-223).

NUGAN-HAND BANK; FOREIGN POLICE COOPERATION; OO: BUREAU

(AX FILE 163-NEW).

RE ALEXANDRIA TELETYPE TO DIRECTOR, FEBRUARY 2, 1983.

ON FEBRUARY 4, 1983, [redacted] WAS

CONTACTED AT WHICH TIME [redacted] ADVISED HE HAS KNOWN [redacted]

[redacted] FOR APPROXIMATELY [redacted] HE STATED HE BECAME

b6
b7C

163-4561-2

NOT RECORDED
162 FEB 23 1983

FBIHQ response 2/14/83 by teletype

1 XEROX

FEB 28 1983
MAR 1 1983

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE.

2/22/90
Classified by SP5C11 DMS
Declassify on: OADR 308,506

SECRET

Original Filed In

105415 - 4

b6
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ACQUAINTED WITH [redacted] WHILE BEING EMPLOYED WITH [redacted] [redacted] WHERE [redacted] OWNED A [redacted] [redacted] STATED THIS WAS THE ONLY TIME IN HIS LIFE HE HAD ANY BUSINESS DEALINGS WITH [redacted] AND ALL OTHER CONTACTS WERE PURELY SOCIAL IN NATURE. HE HAS NEVER KNOWN [redacted] HE ADVISED FROM [redacted] [redacted] HE NEVER HAD ANY CONTACT WITH [redacted] AND IT WAS AT THIS TIME IN 1973 [redacted] CONTACTED [redacted] WHILE [redacted] HE STATED AT THIS TIME [redacted] [redacted] [redacted] BELIEVED TO BE CALLED [redacted] [redacted] OR SOMETHING TO THAT EFFECT. THIS CONTACT BRIEF AND SOCIAL IN NATURE. [redacted] STATED [redacted] [redacted] MAY HAVE POSSIBLY BEEN ABLE TO LOCATE [redacted] [redacted] THROUGH A MUTUAL FRIEND, [redacted] [redacted] HE STATED [redacted]

b6
b7C

ALLEGEDLY ASSOCIATED VERY CLOSELY. RECONTACTED BY SEPTEMBER, 1982, AT MOORE, OKLAHOMA, WHILE EN ROUTE ACROSS COUNTRY BELIEVED TO BE THIS CONTACT ALSO SOCIAL. HE STATED HE DID NOT HAVE ANY KNOWLEDGE OF ACTIVITIES OTHER THAN POSSIBLY FURNISHED ADDRESS OF WHICH WAS ON CARD RECEIVED FROM PRIOR TO SEPTEMBER, 1982, VISIT OF THIS ADDRESS ONLY ADDRESS IS IN POSSESSION, HOWEVER, HAS USED THIS ADDRESS OVER THE YEARS.

STATED HE HAS KNOWN FOR APPROXIMATELY REGARDING INTRODUCTION OF TO ADVISED HE DID NOT SPECIFICALLY RECALL THE INTRODUCTION. HE STATED THE INTRODUCTION MAY HAVE OCCURRED DURING PERIOD OF TIME BOTH AS HE RECALLED MAINTAINED

HE STATED ON THESE OCCASSIONS WAS ACCOMPANIED BY HOWEVER, HE WAS UNABLE TO FURNISH ANY NAMES. STATED HE BELIEVED HE THOUGHT BUT COULD NOT BE CERTAIN.

REGARDING THE NUGAN-HAND BANK, STATED HE HEARD OF THE BANK THROUGH BUT WAS UNAWARE AS TO WHAT DEGREE OR CAPACITY. UNABLE TO FURNISH ANY BACKGROUND REGARDING ACTIVITIES WITH THIS BANK.

REGARDING INTRODUCTION OF TO ADVISED HE COULD NOT SPECIFICALLY RECALL THE INTRODUCTION. HE STATED THOUGHT POSSIBLY HE MAY HAVE SUGGESTED TO TO CONTACT

HE STATED [redacted] WAS ASSOCIATED WITH THE NUGAN-HAND BANK IN SOME UNKNOWN CAPACITY, HOWEVER, THIS WAS FROM THIRD OR FOURTH HAND KNOWLEDGE.

REGARDING [redacted] ASSOCIATION WITH [redacted] HE STATED HE ONLY KNEW [redacted] THROUGH HIS ASSOCIATION WHILE [redacted] WAS [redacted] HE RECALLED HE HAD MET [redacted] HOWEVER, DID NOT KNOW ANYTHING REGARDING [redacted] ACTIVITIES. HE STATED HE HAS NOT HAD ANY CONTACT WITH [redacted] SINCE [redacted] COULD NOT PROVIDE ANY INFORMATION RE [redacted]

REGARDING [redacted] ADVISED HE KNEW [redacted] HOWEVER, COULD NOT PROVIDE ANY INFORMATION OF PERTINENT VALUE. HE STATED HE BELIEVED [redacted]

[redacted] ADVISED HE WAS AWARE OF THE FACT THAT [redacted] WERE ACQUAINTED WITH EACH OTHER, HOWEVER, DID NOT FURNISH ANY PERTINENT INFORMATION REGARDING THEIR ASSOCIATION. HE ADVISED

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b7C

SECRET

HE MET [redacted] AT SEVERAL DIFFERENT FUNCTIONS WHERE [redacted] WAS IN ATTENDANCE.

REGARDING [redacted] STATED HE WAS INTRODUCED TO [redacted] BY [redacted] AND ONLY KNOWLEDGE IN HIS POSSESSION WITH REGARDS TO [redacted] WAS THAT HE WAS [redacted] HE ALSO STATED HE WAS AWARE OF THE FACT [redacted] HE DID NOT KNOW IF [redacted] ACQUAINTED WITH [redacted] HOWEVER, [redacted] HE FELT IT WOULD BE POSSIBLE.

[redacted] ADVISED HE HAS HEARD THE NAMES OF FRANK NUGAN AND [redacted] THROUGH [redacted] HOWEVER, COULD NOT ELABORATE AS TO WHY THEIR NAMES AROSE. HE FELT THE NAMES TO HAVE ARISEN DURING IDLE CONVERSATION DURING VISITS WITH [redacted] HE STATED HE RECALLED [redacted] MENTIONING DEATH OF NUGAN [redacted] STATED HIS ONLY KNOWLEDGE REGARDING [redacted]

SECRET

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b7C

PAGE SEVEN OC 58-305 UNCLAS E F T O ~~SECRET~~

[redacted] AND FURTHER

ADVISED HE NEVER HEARD OF [redacted]

[redacted] VOLUNTARILY FURNISHED THE FOLLOWING DESCRIPTIVE DATA

OF HIMSELF: WHITE MALE, DOB [redacted] POB [redacted]

SSAN [redacted]

FBIHQ REQUESTED TO CONDUCT INDICES CHECK REGARDING [redacted]

AND FORWARD RESULTS OF CHECK TO OFFICE OF ORIGIN AND ANY OTHER

INTERESTED OFFICES.

OKLAHOMA CITY DIVISION NOT SETTING OUT ANY LEADS AT THIS TIME

AND LEAVING ANY FUTURE LEADS TO DISCRETION OF OFFICE OF ORIGIN

WHO IS IN POSSESSION OF FULL BACKGROUND INVESTIGATION REGARDING

THIS MATTER.

DETAILED FD302 TO FOLLOW.

BT

#

~~SECRET~~

7

FD-36 (Rev. 5-22-78)

FBI

TRANSMIT VIA:
- [ ] Teletype
- [ ] Facsimile
- [x] AIRTEL

PRECEDENCE:
- [ ] Immediate
- [ ] Priority
- [ ] Routine

CLASSIFICATION:
- [ ] TOP SECRET
- [ ] SECRET
- [ ] CONFIDENTIAL
- [ ] UNCLAS E F T O
- [ ] UNCLAS

Date 3/23/83

TO: DIRECTOR, FBI (163-45612)

FROM: LEGAT, CANBERRA (163-4) (P)

NUGAN HAND BANK
FOREIGN POLICE COOPERATION
OO: FBIHQ

b7D

Re Bureau airtel 2/14/83 under [redacted] [redacted] caption, enclosing Alexandria LHM 1/25/83.

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b7C

Enclosed for the Bureau are corrected pages 4, 6, 12, 15, 16 and 18 of referenced Alexandria LHM. Corrections were made to avoid embarrassment to the Bureau through dissemination of document with misspellings.

Bureau may wish to correct its copy.

163-45612

R.L. Hunt
Rm 8977 4239

Ret & Filed in ser. 105 - 5-17-83

2-4739

3 - Bureau (encs.) 6 ENCLOSURE
(1 - Liaison Unit)
1 - Canberra
LLL:ims
(4)

NOT RECORDED
12 APR 6 1983

Copy forwarded to AX by P/S 4/26/83

FIVE

CONSOLIDATION

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED 308,506
DATE 2/22/90 BY SPSC11 OMS

Approved: ________ Transmitted ________ (Number) (Time) Per ________

MAY 17 1983

FD-36 (Rev. 8-26-82)

FBI

TRANSMIT VIA:
☐ Teletype
☐ Facsimile
☒ Airtel

PRECEDENCE:
☐ Immediate
☐ Priority
☐ Routine

~~SECRET~~

CLASSIFICATION:
☐ TOP SECRET
☐ SECRET
☐ CONFIDENTIAL
☐ UNCLAS E F T O
☐ UNCLAS

Date 3/22/83

TO: DIRECTOR, FBI
(ATTN: CID, [redacted]

FROM: SAC, ALEXANDRIA (163-438) (RUC)

NUGAN HAND BANK
FOREIGN POLICE COOPERATION
(OO:BUREAU)

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Re Oklahoma City airtel to Bureau dated 3/1/83, under above caption and two additional captions.

Enclosed for FBIHQ are the original and three copies of an LHM captioned "NUGAN HAND BANK" containing results of interview of [redacted] Oklahoma, on 2/4/83, and 2/23/83, by the Oklahoma City Division. FBIHQ may desire to disseminate copies of this LHM through Legat, Canberra, [redacted]
[redacted]

One copy of above LHM being enclosed for Oklahoma City.

3-Bureau (Enc. 4)
1-Oklahoma City (58-305)(Enc. 1)(Info)
3-Alexandria (1-163-438)
TF:sw (1-58-222)
(7) (1-58-223)

MAR 25 1983

Approved: Transmitted (Number) (Time) Per

2/22/90
~~Classified by~~ SP5CT10MS
~~Declassify on: OADR~~ 308,506

~~SECRET~~

~~ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE.~~

~~SECRET~~

UNITED STATES DEPARTMENT OF JUSTICE

FEDERAL BUREAU OF INVESTIGATION

In Reply, Please Refer to File No.

Alexandria, Virginia
March 22, 1983

NUGAN HAND BANK

The following two interviews were conducted of [redacted] Oklahoma, by Special Agents of the Oklahoma Division of the Federal Bureau of Investigation:

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163-45612-111

UNCLASSIFIED

FD-302 (REV. 3-8-77)

FEDERAL BUREAU OF INVESTIGATION

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Date of transcription 2/25/83

1

telephone [redacted] was contacted at his residence and was advised of the official capacities of the interviewing agents as well as the nature of the interview. [redacted] thereafter voluntarily provided the following information:

[redacted] advised he has known [redacted] for approximately [redacted] initially becoming acquainted with [redacted] during the period of time [redacted] At this time [redacted] was employed [redacted] advised he has never had [redacted] and all subsequent contacts with [redacted] were purely social in nature. [redacted] advised that between the years of [redacted] he never had any contact with [redacted] He stated [redacted] was not [redacted] at this time (1973) and believed [redacted] was able to locate him [redacted] through a mutual friend of theirs [redacted] In addition, [redacted] thought [redacted] to be close associates, however, could not provide any information regarding any of their business dealings. He stated during the 1973 visit of [redacted] he was unaware if [redacted] as he believed [redacted] He stated that he recalled that inasmuch as he and [redacted] had been out of contact for such a long period of time, it was during this visit that their friendship was renewed. [redacted] advised that he was once again contacted by [redacted] in September 1982, in Moore, Oklahoma, and that this being again, a social visit. He believed [redacted] was enroute across the United States [redacted] at this time. He stated he was not in the possession of any knowledge regarding [redacted] activities and believed [redacted]

[redacted] advised he has known [redacted] for approximately [redacted] and initially became acquainted with [redacted]

Investigation on 2/4/83 at Norman, Oklahoma File Oklahoma City 58-305

by SAS [redacted] DMO:mrp Date dictated 2/10/83 1923

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through [redacted] stated he did not specifically recall introducing [redacted] but believed they may have met during [redacted] He advised he recalled [redacted] He stated due to the nature of [redacted] He further advised that [redacted] was generally accompanied by [redacted] however, he was unable to furnish any names of these individuals. [redacted] stated he believed [redacted]

[redacted] advised his knowledge concerning the Nugan-Hand Bank was very limited, learning of the bank through [redacted] He felt [redacted] but [redacted] was unaware as to what degree or capacity [redacted] maintained with the bank. He stated he was unable to furnish any background regarding [redacted] activities with the Nugan-Hand Bank.

Regarding the introduction of [redacted] to [redacted] he advised he could not specifically recall the introduction. He stated he was aware of the fact that [redacted] thought possibly he may have suggested to [redacted] to contact [redacted] advised that based upon third or fourth hand knowledge, he believed [redacted] was associated with the Nugan-Hand Bank in some unknown capacity.

Regarding [redacted] association with [redacted] stated he only knew [redacted] through his association while [redacted] He recalled he had met [redacted] however, could not furnish any information regarding [redacted] activities. He stated he has not had any contact with [redacted] since [redacted]

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Regarding [redacted] advised he knew [redacted] He stated he was aware that [redacted] He stated he believed [redacted] was [redacted]

[redacted] advised that he was aware of the fact [redacted] were acquainted with each other and furthermore stated he had met [redacted] at several different functions where [redacted] was in attendance. He stated he did not know the extent or the degree of their association as well as not being able to furnish any information regarding their activities.

Regarding [redacted] stated that he was introduced to [redacted] by [redacted] and the only knowledge in his possession with regards to [redacted] was that he was [redacted] He also stated that he was aware of the fact that [redacted] He did not know that [redacted] was acquainted with [redacted] however, [redacted] he felt that it would be quite possible.

[redacted] continued stating he has heard of the names of Frank Nugan and [redacted] through [redacted] however, could not elaborate as to why their names arose during his conversations with [redacted] He felt the names to have arisen during idle conversation during his visits with [redacted] He stated he recalled mentioning the death of Frank Nugan, but [redacted] did not mention the fact that it was suicidal. [redacted] stated his only knowledge regarding [redacted] and further advised he has never heard of [redacted]

[redacted] furnished the following address for [redacted]

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[redacted] advised this address was on a "card" which he had received from [redacted] prior to [redacted] visit in September 1982. In addition he stated this was the only address he had for [redacted] however has used this address over the years.

[redacted] voluntarily furnished the following descriptive data of himself:

Race	White
Sex	Male
DOB	[redacted]
POB	[redacted]
SSAN	[redacted]

 SECRET

FD-302 (REV. 3-8-77)

SECRET

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FEDERAL BUREAU OF INVESTIGATION

1

Date of transcription 2/25/83

telephone , was recontacted at his residence in regards to an interview which had with agents of the Federal Bureau of Investigation (FBI) on February 3, 1983 as well as a trip may have made with in 1975. thereafter voluntarily provided the following information:

advised that he did recall taking a vacation with in the early part of the 1970s. He stated this trip was and lasted approximately five to six days. He advised he could not recall the specific date or the year of the trip, but did recall they had on an airplane and spent the night in The following day they flew to where they spent the next four or five days. advised during their stay in he visited with numerous friends and acquaintances who all were and almost every one of these individuals He stated he did not know who may have made contact with during this visit. advised he was reluctant to give the names of the persons that he had made contact with inasmuch as He stated that if it were necessary to provide the names he would provide them to the FBI.

Regarding advised he had become acquainted with him through approximately one year before this trip to and believed to have He described

stated he never recalled the Nugan-Hand Bank ever being mentioned during this trip, however stated that it may have been mentioned. He at this time did not believe that the Nugan-Hand Bank was in existence.

concluded stating that this trip to was purely a social vacation and therefore during an earlier interview with FBI agents on February 3, 1983, did not provide any information regarding this trip as he felt it was not pertinent.

Investigation on 2/23/83 at Norman, Oklahoma File # Oklahoma City 58-305

by SA mrp Date dictated 2/24/83

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SECRET

FD-36 (Rev. 5-22-78)

FBI

TRANSMIT VIA:	PRECEDENCE:	CLASSIFICATION:
☐ Teletype	☐ Immediate	☐ TOP SECRET
☐ Facsimile	☐ Priority	☐ SECRET
☒ AIRTEL	☐ Routine	☐ CONFIDENTIAL
		☐ UNCLAS E F T O
		☐ UNCLAS

Date 3/23/83

TO: DIRECTOR, FBI (163-45612) - ATTN: SAs [redacted] Criminal Investigative Division

FROM: LEGAT, CANBERRA (163-4) (P)

NUGAN HAND BANK
FPC
OO: Bureau

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Enclosed for transmission to nominated Special Agents of the Criminal Investigative Division is a self-explanatory letter and two appendices relating to the ongoing inquiry in Australia of the Nugan Hand Bank as well as Bureau inquiries relating to [redacted]

The Bureau's attention is directed to certain further inquiries in this matter which are set forth in Appendix 2.

163-4-[illegible] 111X

MAR 29 1983

ENC. BEHIND FILE

ENC. BEHIND FILE

3 - Bureau (encs.)
(1 - Liaison Unit)
1 - Canberra
LLL:ims
(4)

Approved: ______ Transmitted ______ (Number) (Time) Per ______

53 SEP 17 1984

0-93 (Rev. 4-26-78)

DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION
COMMUNICATION MESSAGE FORM

209

DATE	CLASSIFICATION	PRECEDENCE
4/7/83	UNCLAS E F T O	ROUTINE

FM DIRECTOR FBI

8

TO LEGAL ATTACHE CANBERRA ROUTINE

BT

UNCLAS E F T O

Referral/Consult

NUGAN HAND BANK; FPC

THE FOLLOWING RECEIVED BY TEL MARCH 31, 1983, FROM [redacted] [redacted] IS SET FORTH FOR YOUR INFORMATION:

APPROVED BY	DRAFTED BY	DATE	ROOM	TELE EXT.
RRO/JEM	JEM:MBK (2)	4/7/83	4634	4691

1-LIAISON UNIT

163-45612-112

04 JUN 7 1983

APR 11 1983

03172

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED 308,506
DATE 2/22/90 BY SP5cr/OMS

DO NOT FILE WITHOUT COMMUNICATIONS STAMP

FBI/DOJ

PAGE TWO DE HQ 0209 UNCLAS E F T O

Referral/Consult

PAGE THREE DE HQ 0209 UNCLAS E F T O

Referral/Consult

PAGE FOUR DE HQ 0209 UNCLAS E F T O

BT

FD-36 (Rev. 5-22-78)

FBI

TRANSMIT VIA:	PRECEDENCE:	CLASSIFICATION:
☐ Teletype	☐ Immediate	☐ TOP SECRET
☐ Facsimile	☐ Priority	☐ SECRET
☐ AIRTEL	☐ Routine	☐ CONFIDENTIAL
		☐ UNCLAS E F T O
		☒ UNCLAS

~~SECRET~~ Date 3/1/83

~~ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE.~~

TO: DIRECTOR, FBI
Attention: Criminal Investigative Division,
[redacted] (Supervisor [redacted]

FROM: SAC, OKLAHOMA CITY (58-305) (RUC)

~~2/22/90 Classified by SPS-et/oms Declassify on: OADR 300,506~~

14

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~~FOI/PA #
APPEAL #
CIVIL ACT. #
E.O. #
DATE INITIALS~~

NUGAN HAND BANK;
FOREIGN POLICE COOPERATION;
OO: Bureau
(AX File 163-NEW)

Re Oklahoma City teletype to Director 2/8/83; Bureau teletype to Alexandria 2/14/83.

Enclosed for Alexandria is the original and two copies each of two FD-302s reflecting interviews of [redacted] [redacted] on 2/3/83 and 2/23/83. Also enclosed for Alexandria is the agent's original notes obtained through these interviews. Enclosed for the Bureau are two copies each of the above FD-302s.

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For information of Alexandria and the Bureau, it should be noted that during the course of the interviews with [redacted] [redacted] on 2/3/83 and 2/23/83, that it is the opinion of the interviewing agents that [redacted] appeared

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2-Bureau (Enc. 4)
2-Alexandria (Enc. 8)
1-Oklahoma City
DMO: mrp
(5)

163-4239 - 456/3

NOT RECORDED
MAR 10 1983

58-10X45 — ORIGINAL FILED IN

Approved: ______ Transmitted ~~SECRET~~ (Number) (Time) Per ______

U.S. GOVERNMENT PRINTING OFFICE : 1982 O - 369-895

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to be reluctant to furnish any information other than the questions specifically requested of [redacted] to answer. In addition, it appeared [redacted] was evasive in generalizing his answers therefore leaving the interviewing agents to believe that [redacted] is in possession of more knowledge regarding this matter, however due to the limited background information in possession of the Oklahoma City Division, it is felt that the full extent of [redacted] knowledge regarding this matter was no ascertained through these interviews.

Inasmuch as no outstanding leads are remaining within the Oklahoma City Division at this time, Oklahoma City Division is considering this matter RUC.

~~SECRET~~

FD-302 (REV. 3-8-77)

FOI/PA # 308,506
APPEAL #
CIVIL ACT. #
E.O. # 12,356
DATE 2/22/90 INITIALS oms
(S-1) Appropriate Agency

~~SECRET~~

FEDERAL BUREAU OF INVESTIGATION

~~ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE.~~

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2/22/90
~~Classified by~~ SPSEC/oms
~~Declassify on: OADR~~ 308,506

Date of transcription 2/25/83

[redacted] telephone [redacted] was contacted at his residence and was advised of the official capacities of the interviewing agents as well as the nature of the interview. [redacted] thereafter voluntarily provided the following information:

[redacted] advised he has known [redacted] for approximately [redacted] initially becoming acquainted with [redacted] during the period of time [redacted] At this time, [redacted] was employed [redacted] advised he has never had [redacted] and all subsequent contacts with [redacted] were purely social in nature. [redacted] advised that between the years of [redacted] he never had any contact with [redacted] He stated [redacted] was not [redacted] at this time (1973) and believed [redacted] was able to locate him [redacted] through a mutual friend of theirs, [redacted] In addition, [redacted] thought [redacted] to be close associates, however, could not provide any information regarding any of their business dealings. He stated during the 1973 visit of [redacted] he was unaware if [redacted] as he believed [redacted] He stated that he recalled that inasmuch as he and [redacted] had been out of contact for such a long period of time, it was during this visit that their friendship was renewed. [redacted] advised that he was once again contacted by [redacted] in September 1982, in Moore, Oklahoma, and that this being again, a social visit. He believed [redacted] was enroute across the United States [redacted] at this time. He stated he was not in the possession of any knowledge regarding [redacted] activities and believed [redacted]

[redacted] advised he has known [redacted] for approximately [redacted] and initially became acquainted with [redacted]

Investigation on 2/4/83 at Norman, Oklahoma File Oklahoma City 58-305

by SAS [redacted] DMO:mrp Date dictated 2/10/83

~~ENCLOSURE~~ ~~SECRET~~

163-45613-

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through [redacted] stated he did not specifically recall introducing [redacted] but believed they may have met during [redacted] He advised he recalled [redacted] He stated due to the nature of [redacted] He further advised that [redacted] was generally accompanied by [redacted] however, he was unable to furnish any names of these individuals. [redacted] stated he believed [redacted]

[redacted] advised his knowledge concerning the Nugan-Hand Bank was very limited, learning of the bank through [redacted] He felt [redacted] but [redacted] was unaware as to what degree or capacity [redacted] maintained with the bank. He stated he was unable to furnish any background regarding [redacted] activities with the Nugan-Hand Bank.

Regarding the introduction of [redacted] to [redacted] he advised he could not specifically recall the introduction. He stated he was aware of the fact that [redacted] thought possibly he may have suggested to [redacted] to contact [redacted] advised that based upon third or fourth hand knowledge, he believed [redacted] was associated with the Nugan-Hand Bank in some unknown capacity.

Regarding [redacted] association with [redacted] stated he only knew [redacted] through his association while [redacted] He recalled he had met [redacted] however, could not furnish any information regarding [redacted] activities. He stated he has not had any contact with [redacted] since [redacted]

Regarding [redacted] advised he knew [redacted] He stated he was aware that [redacted] He stated he believed [redacted] was [redacted]

[redacted] advised that he was aware of the fact [redacted] were acquainted with each other and furthermore stated he had met [redacted] at several different functions where [redacted] was in attendance. He stated he did not know the extent or the degree of their association as well as not being able to furnish any information regarding their activities.

Regarding [redacted] stated that he was introduced to [redacted] by [redacted] and the only knowledge in his possession with regards to [redacted] was that he was [redacted] He also stated that he was aware of the fact that [redacted] He did not know that [redacted] was acquainted with [redacted] however, [redacted] he felt that it would be quite possible.

[redacted] continued stating he has heard of the names of Frank Nugan and [redacted] through [redacted] however, could not elaborate as to why their names arose during his conversations with [redacted] He felt the names to have arisen during idle conversation during his visits with [redacted] He stated he recalled mentioning the death of Frank Nugan, but [redacted] did not mention the fact that it was suicidal. [redacted] stated his only knowledge regarding [redacted] and further advised he has never heard of [redacted]

[redacted] furnished the following address for [redacted]

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[redacted] advised this address was on a "card" which he had received from [redacted] prior to [redacted] visit in September 1982. In addition he stated this was the only address he had for [redacted] however has used this address over the years.

[redacted] voluntarily furnished the following descriptive data of himself:

Race	White
Sex	Male
DOB	[redacted]
POB	[redacted]
SSAN	[redacted]

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112X

16 SEP 13 1984

53 SEP 17 1984

"NUGAN HAND BANK"
FPC
163-45612

FD-36 (Rev. 5-22-78)

FBI

TRANSMIT VIA:
- [ ] Teletype
- [ ] Facsimile
- [xx] AIRTEL

PRECEDENCE:
- [ ] Immediate
- [ ] Priority
- [ ] Routine

CLASSIFICATION:
- [ ] TOP SECRET
- [ ] SECRET
- [ ] CONFIDENTIAL
- [ ] UNCLAS E F T O
- [ ] UNCLAS

Date 5/17/83

TO: DIRECTOR, FBI (163-45612)

FROM: LEGAT, CANBERRA (163-4) (P)

NUGAN HAND BANK

[redacted]

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ReBuairtel 4/19/83.

Enclosed for the Bureau is one copy of a letter dated 16 March 1983 from [redacted] [redacted] which was inadvertently not included with material furnished to the Bureau by Canberra under date of 3/23/83. Canberra regrets this oversight. (G)(u)

UNRECORDED COPY FILED IN

163-45612-112

17 JUN 1 1983

ENCLOSURE

3 - Bureau (enc. 1)
(1 - Liaison Unit)
1 - Canberra
LLL:ims
(4).

Approved: ________ Transmitted ________ (Number) (Time) Per ________

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED 308,506
DATE 2/22/90 BY SP5CLL DMS

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ENCLOSURE

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Airtel

Unclas 4/19/83

Director, FBI

Legal Attache, Canberra (encl.)

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OO: ALEXANDRIA

NUGAN HAND BANK;
FPC;
OO: FBIHQ

Re FBIHQ airtel to Legal Attache (Legat) Canberra, 2/14/83; and Legat Canberra airtel to FBIHQ, 3/23/83.

Enclosed for Legat Canberra are two copies of a 3/22/83 letterhead memorandum (LHM) captioned "Nugan Hand Bank," containing interviews of

Legat Canberra is requested to

Referenced Legat Canberra airtel, 3/23/83, indicated that a self-explanatory letter and two appendices were enclosed.

Legat Canberra is requested to

ORIGINAL FILED IN

1 - Mr. Van Balen
1 - Mr. Hart
1 - Liaison Unit
1 - Mr. Hunt
1 - Files

1 - ENCLOSURE

162-45612 -

MAY 19 1983

DUPLICATE YELLOW

NOT RECORDED
11 APR 27 1983

RLH/mlf (8)

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED 368,506
DATE 2/22/90 BY SP5c11 Dms

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UNITED STATES DEPARTMENT OF JUSTICE

FEDERAL BUREAU OF INVESTIGATION

In Reply, Please Refer to File No.

Alexandria, Virginia
March 22, 1983

NUGAN HAND BANK

The following two interviews were conducted of [redacted] Oklahoma, by Special Agents of the Oklahoma Division of the Federal Bureau of Investigation:

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163-45612

ENCLOSURE

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FEDERAL BUREAU OF INVESTIGATION

~~SECRET~~

1

Date of transcription 2/25/83

telephone [redacted] was contacted at his residence and was advised of the official capacities of the interviewing agents as well as the nature of the interview. [redacted] thereafter voluntarily provided the following information:

[redacted] advised he has known [redacted] for approximately [redacted] initially becoming acquainted with [redacted] during the period of time [redacted] At this time, [redacted] was employed [redacted] advised he has never had [redacted] and all subsequent contacts with [redacted] were purely social in nature. [redacted] advised that between the years of [redacted] he never had any contact with [redacted] He stated [redacted] was not [redacted] at this time (1973) and believed [redacted] was able to locate him [redacted] through a mutual friend of theirs, [redacted] In addition, [redacted] thought [redacted] to be close associates; however, could not provide any information regarding any of their business dealings. He stated during the 1973 visit of [redacted] he was unaware if [redacted] as he believed [redacted] He stated that he recalled that inasmuch as he and [redacted] had been out of contact for such a long period of time, it was during this visit that their friendship was renewed. [redacted] advised that he was once again contacted by [redacted] in September 1982, in Moore, Oklahoma, and that this being again a social visit. He believed [redacted] was enroute across the United States [redacted] at this time. He stated he was not in the possession of any knowledge regarding [redacted] activities and believed [redacted]

[redacted] advised he has known [redacted] for approximately [redacted] and initially became acquainted with [redacted]

Investigation on 2/4/83 at Norman, Oklahoma File Oklahoma City 58-305

by SAS [redacted] ~~SECRET~~ DMO:mrp Date dictated 2/10/83

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through [redacted] stated he did not specifically recall introducing [redacted] but believed they may have met during [redacted] He advised he recalled [redacted] He stated due to the nature of [redacted] He further advised that [redacted] was generally accompanied by [redacted] however, he was unable to furnish any names of these individuals. [redacted] stated he believed [redacted]

[redacted] advised his knowledge concerning the Nugan-Hand Bank was very limited, learning of the bank through [redacted] He felt [redacted] but [redacted] was unaware as to what degree or capacity Houghton maintained with the bank. He stated he was unable to furnish any background regarding [redacted] activities with the Nugan-Hand Bank.

Regarding the introduction of [redacted] to [redacted] he advised he could not specifically recall the introduction. He stated he was aware of the fact that [redacted] thought possibly he may have suggested to [redacted] to contact [redacted] advised that based upon third or fourth hand knowledge, he believed [redacted] was associated with the Nugan-Hand Bank in some unknown capacity.

Regarding [redacted] association with [redacted] stated he only knew [redacted] through his association while [redacted] He recalled he had met [redacted] however, could not furnish any information regarding [redacted] activities. He stated he has not had any contact with [redacted] since [redacted]

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Regarding [redacted] advised he knew [redacted] He stated he was aware that [redacted] He stated he believed [redacted] was [redacted]

[redacted] advised that he was aware of the fact [redacted] were acquainted with each other and furthermore stated he had met [redacted] at several different functions where [redacted] was in attendance. He stated he did not know the extent or the degree of their association as well as not being able to furnish any information regarding their activities.

Regarding [redacted] stated that he was introduced to [redacted] by [redacted] and the only knowledge in his possession with regards to [redacted] was that he was [redacted] He also stated that he was aware of the fact that [redacted] He did not know that [redacted] was acquainted with [redacted] however, [redacted] he felt that it would be quite possible.

[redacted] continued stating he has heard of the names of Frank Nugan and [redacted] through [redacted] however, could not elaborate as to why their names arose during his conversations with [redacted] He felt the names to have arisen during idle conversation during his visits with [redacted] He stated he recalled mentioning the death of Frank Nugan, but [redacted] did not mention the fact that it was suicidal. [redacted] stated his only knowledge regarding [redacted] and further advised he has never heard of [redacted]

[redacted] furnished the following address for [redacted]

[redacted] advised this address was on a "card" which he had received from [redacted] prior to [redacted] visit in September 1982. In addition he stated this was the only address he had for [redacted] however has used this address over the years.

[redacted] voluntarily furnished the following descriptive data of himself:

Race	White
Sex	Male
DOB	[redacted]
POB	[redacted]
SSAN	[redacted]

FD-302 (REV. 3-8-77)

~~SECRET~~

FEDERAL BUREAU OF INVESTIGATION

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b7C

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Date of transcription 2/25/83

[redacted] Drive, telephone [redacted] was recontacted at his residence in regards to an interview which [redacted] had with agents of the Federal Bureau of Investigation (FBI) on February 3, 1983 as well as a trip [redacted] may have made with [redacted] in 1975. [redacted] thereafter voluntarily provided the following information:

[redacted] advised that he did recall taking a vacation with [redacted] in the early part of the 1970s. He stated this trip was [redacted] and lasted approximately five to six days. He advised he could not recall the specific date or the year of the trip, but did recall they had [redacted] on an airplane and spent the night in [redacted] The following day they flew to [redacted] where they spent the next four or five days. [redacted] advised during their stay in [redacted] he visited with numerous friends and acquaintances who all were [redacted] and almost every one of these individuals [redacted] He stated he did not know who [redacted] may have made contact with during this visit. [redacted] advised he was reluctant to give the names of the persons that he had made contact with inasmuch as [redacted] He stated that if it were necessary to provide the names he would provide them to the FBI.

Regarding [redacted] advised he had become acquainted with him through [redacted] approximately one year before this trip to [redacted] and believed [redacted] to have [redacted] He described [redacted]

[redacted] stated he never recalled the Nugan-Hand Bank ever being mentioned during this trip, however stated that it may have been mentioned. He at this time did not believe that the Nugan-Hand Bank was in existence.

[redacted] concluded stating that this trip to [redacted] was purely a social vacation and therefore during an earlier interview with FBI agents on February 3, 1983, did not provide any information regarding this trip as he felt it was not pertinent

Investigation on 2/23/83 at Norman, Oklahoma File # Oklahoma City 58-305

by SA [redacted] mrp ~~SECRET~~ Date dictated 2/24/83

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AIRTEL ~~SECRET~~

~~UNCLASSIFIED~~

6/10/83

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Director, FBI

SACs, Alexandria
Washington Field (Info)

~~ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE~~ DE-138

NUGAN HAND BANK
FOREIGN POLICE COOPERATION
OO: FBIHQ

~~2/22/90 Classified by SP5CH oms Declassify on: OADR~~ 308,506

Re telephone conversation between Alexandria Supervisor [redacted] and FBIHQ Supervisor [redacted] 6/7/83; and FBIHQ airtel to Alexandria, 12/17/82, captioned [redacted]

Enclosed for Alexandria and Washington Field are one copy each of the following:

Enclosures (5)

RLH:drg (8)

1 - Mr. Hart
1 - Mr. Hunt

16 JUN 14 1983

Originals of enclosures placed with Legal Counsel airtel to FBIHQ 3/23/83 "Nugan Hand Bank."

~~SECRET~~

Airtel to SACs, Alexandria
Washington Field (Info)

SECRET

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b7D

RE: NUGAN HAND BANK
FOREIGN POLICE COOPERATION
OO: FBIHQ

Enclosures are being provided Alexandria in view of information contained therein concerning [redacted]

Enclosures are also being provided for [redacted]

[redacted] also advises that [redacted]

SECRET

RE: NUGAN HAND BANK
FOREIGN POLICE COOPERATION ~~SECRET~~
OO: FBIHQ

b6
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b7D

[redacted] advises
that [redacted]

~~SECRET~~

Airtel to SACs, Alexandria
Washington Field (Info)

~~SECRET~~

RE: NUGAN HAND BANK
FOREIGN POLICE COOPERATION
OO: FBIHQ

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b7D

b6
b7C
b7D

It is noted that AUSA [redacted] Alexandria has previously attempted to [redacted] Alexandria is requested to set forth [redacted]

Referral/Consult

~~SECRET~~

RE: NUGAN HAND BANK
FOREIGN POLICE COOPERATION
OO: FBIHQ

~~SECRET~~

b7D

Referral/Consult

FBIHQ indices are negative regarding [redacted] but it appears that [redacted] can be located through [redacted]

b6
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~~SECRET~~

b7D

(2) enclosures to Bureau
to go with Los Angeles ~~report, letter~~ Airtel
dated 5/27/83, described as:

Re: Letter from [redacted]
~~Los Angeles~~ file number 163-4205
File number

b6
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b7D

NUGAN HAND BANK
FPC
OO: Bureau

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/22/10 BY

b6
b7C
b7D

163-4205

1 - Mr. Revell
1 - Mr. Gilbert
1 - Mr. Klein
1 - Mr. Burton
1 - Mr. Hart
1 - Mr. Hunt

FEDERAL GOVERNMENT

June 24, 1983

Mr. Mark M. Richard
Deputy Assistant Attorney General
Criminal Division

[redacted]

Criminal Investigative Division

b6
b7C
b7D

NUGAN HAND BANK/ASPECTS OF
WILSON/TERPIL INVESTIGATIVE MATTERS

INFORMATION MEMORANDUM

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED 308,506
DATE 2/22/90 BY SPSC1 LDMS

Enclosed for your information are [redacted]

[redacted]

[redacted]

[redacted] DE-103 163-45612-115

[redacted]

SIX

Exec AD Adm. —
Exec AD Inv. —
Exec AD LES —
Asst. Dir.:
Adm. Servs. —
Crim. Inv. —
Ident. —
Insp. —
Intell. —
Lab. —
Legal Coun. —
Off. Cong. & Public Affs. —
Rec. Mgnt. —
Tech. Servs. —
Training —
Telephone Rm. —
Director's Sec'y —

RLH:dig (9)

MAIL ROOM

JUL 1 1983

54 AUG

Enclosures hand delivered on 6/28/83, RJ Hunt ITU III

Originals of enclosures attached to Legat Canberra airtel to FBIHQ, 3/23/83. rlh

b6
b7C
b7D

Mr. Mark M. Richard

[redacted] advises that [redacted]

[redacted] has provided [redacted]

Enclosures (2)

1 - [redacted]
Assistant United States Attorney
District of Columbia

1 - [redacted]
Assistant United States Attorney
Eastern District of Virginia

NOTE:

b6
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b7D

FD-36 (Rev. 5-22-78)

FBI

TRANSMIT VIA:
- [ ] Teletype
- [ ] Facsimile
- [X] AIRTEL

PRECEDENCE:
- [ ] Immediate
- [ ] Priority
- [ ] Routine

CLASSIFICATION:
- [ ] TOP SECRET
- [ ] SECRET
- [ ] CONFIDENTIAL
- [ ] UNCLAS E F T O
- [ ] UNCLAS

Date 5/27/83

b6
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b7D

TO: DIRECTOR, FBI

FROM: SAC, LOS ANGELES (163-4205) (C-1) (P)

SUBJECT: NUGAN HAND BANK
FPC
OO: Bureau

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED 308, 56L
DATE 2/22/90 BY SP5C1\Dms

Re Bureau airtel to Los Angeles dated 8/6/82, entitled [redacted] [redacted]el.

Enclosed for the Bureau are two (2) copies of a letter from [redacted] [redacted] Enclosed for Honolulu are two (2) copies of the same letter.

The following leads are being set forth pursuant to the dissemination guidelines established in referenced airtel. Honolulu should respond to inquiries in an LHM suitable for dissemination. In addition to the Bureau, direct copies of LHM to Los Angeles.

LEADS

HONOLULU DIVISION

AT HONOLULU, HAWAII: Will provide information as requested in paragraph three of enclosure.

LOS ANGELES DIVISION

AT LOS ANGELES, CALIFORNIA: Same lead as Honolulu.

114

1cc-D

1 enc.-D ENCLOSURE

2 - Bureau (Enc. 2)
2 - Honolulu (Enc. 2)
2 - Los Angeles

ENCLOSURE ATTACHED

GAP/dkd
(6)

17 JUN 3 1983

Approved: Transmitted (Number) (Time) Per

JUL 6 1983

163-45612

U.S. GOVERNMENT PRINTING OFFICE : 1982 O - 369-895

HN0822 1820536

RR HQ AX SF

DE HN 0006

R 300154Z JUN 83

FM HONOLULU (97C-313) (P)

TO DIRECTOR ROUTINE

ALEXANDRIA ROUTINE

SAN FRANCISCO (97-407) ROUTINE

BT

UNCLAS

163-4561-116

NUGAN HAND BANK; FOREIGN POLICE COOPERATION; OO: BUREAU.

[redacted] b6 b7C b7D

[redacted]

RE BUREAU AIRTEL TO ALEXANDRIA DATED JUNE 17, 1983.

FOR INFORMATION OF SAN FRANCISCO, [redacted] WAS LOCATED AND INTERVIEWED ON JUNE 30, 1983, AT HONOLULU.

SAN FRANCISCO DISCONTINUE EFFORTS TO LOCATE.

BT

JUL 7 1983

UNRECORDED COPY FILED IN

AUG 13

AX SF

AIRTEL ~~SECRET~~

~~UNCLASSIFIED~~ 6/17/83

Director, FBI

SACs, Alexandria (Info)
Chicago (97-430)
Dallas (163-521) (97-89)
Honolulu (163-307) (97-313)
Louisville (163-134) (97-42)
San Francisco (97-407)
Savannah

b6
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b7D

NUGAN HAND BANK;
FOREIGN POLICE COOPERATION;
OO: FBIHQ

~~Classified by SP5C1LDMS~~
~~Declassify on: OADR~~ 284,655
3/22/90
5/8/89

~~ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE.~~

UNRECORDED COPY FILED IN

OO: ALEXANDRIA

Re Dallas airtel to FBIHQ, dated 1/18/83, Honolulu teletype to FBIHQ, dated 12/8/82, Louisville teletype to FBIHQ, dated 10/20/80, all captioned "Nugan Hand Bank, FPC"; and FBIHQ airtel to Alexandria and receiving offices, dated 3/17/82, under above [redacted] caption.

Enclosed for Honolulu and San Francisco are one copy each [redacted]

Enclosed for Savannah are one copy each of [redacted]

MAILED 13
JUN 17 1983

16 JUN 21 1983

Enclosure (4)

RLH:dig (18)

1 - Mr. Burton
1 - Mr. Hart
1 - Mr. Hunt...

Original enclosures filed with Legat Canberra airtel to FBIHQ 3/23/83, captioned "Nugan Hand Bank." RLH

Exec AD Adm.
Exec AD Inv.
Exec AD LES
Asst. Dir.:
Adm. Servs.
Crim. Inv.
Ident.
Insp.
Intell.
Lab.
Legal Coun.
Off. Cong. & Public Affs.
Rec. Mgnt.
Tech. Servs.
Training
Telephone Rm.
Director's Sec'y
MAIL ROOM

XEROX
JUL 12 1983

Martin

SECRET

Airtel to SACs, Alexandria (Info), ET AL
RE: NUGAN HAND BANK;
FOREIGN POLICE COOPERATION;
OO: FBIHQ

SECRET

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[redacted] - FUGITIVE;
[redacted] ET AL

For the information of receiving offices, the Nugan Hand Bank was one of a number of companies comprising the Nugan Hand Group (NHG), which included companies incorporated both in Australia and at numerous other international locations. The initial NHG companies were formed and incorporated in Australia in the early 1970s by Australian citizen, Francis John Nugan, and [redacted] [redacted] The NHG appeared to undergo sudden international expansion by Nugan/Hand from late 1977 until January, 1980, when the NHG collapsed following the suicide of Francis Nugan in Australia. At that time the NHG reportedly had representative offices and/or locally incorporated companies operating in at least fifteen countries, including three administrative NHG centers located at Hong Kong, Singapore, and Sydney. It should be noted, however, that many foreign NHG offices were reportedly only an address, a private residence, or a shared office.

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[redacted] born in the United States on [redacted] played [redacted] [redacted] met and established a relationship with [redacted] shortly after they both [redacted] had [redacted] [redacted] prior to that time. Although [redacted] [redacted] he was reportedly involved with [redacted] in various activities and ventures during the early and mid 1970s. [redacted] was directly involved in [redacted] [redacted] It appears, however, that the involvement of [redacted]

b6
b7C

The NHG and Nugan/Hand principals reportedly had organized crime connections and were allegedly involved in various illegal activities, including narcotics trafficking, international arms dealings, tax fraud schemes, and money washing schemes. [redacted] [redacted]

b7D

SECRET

Airtel to SACs, Alexandria (Info), ET AL
RE: NUGAN HAND BANK;
FOREIGN POLICE COOPERATION;
OO: FBIHQ

[redacted] - FUGITIVE;
[redacted] ET AL

~~SECRET~~

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b6
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FBI Foreign Agent Registration Act investigation of [redacted] and associates was initiated in 1976, concerning allegations that [redacted] By FBIHQ airtel to Alexandria, dated 3/17/82, under above [redacted] caption, receiving offices were provided a background document captioned, [redacted] To date [redacted] has been [redacted]

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A number of [redacted] These include [redacted]

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b7C

~~SECRET~~

Airtel to SACs, Alexandria (Info), ET AL
RE: NUGAN HAND BANK;
FOREIGN POLICE COOPERATION;
OO: FBIHQ

~~SECRET~~ b6 b7C b7D

[redacted] - FUGITIVE;
[redacted] ET AL

[redacted] b6 b7C

[redacted] Investigation further indicates that [redacted]

During [redacted] related investigations, information was obtained which indicated [redacted] reportedly [redacted] Information compiled to date indicates that [redacted] Available information also indicates that [redacted] It appears that [redacted] was involved with [redacted] in various business dealings or proposed ventures from [redacted] also met with [redacted] on a number of occasions in [redacted] concerning potential business deals. [redacted] reportedly met [redacted] through [redacted] and subsequently [redacted] through [redacted] reportedly met [redacted] through retired [redacted]

b6 b7C

[redacted] b6 b7C b7D

~~SECRET~~

Airtel to SACs, Alexandria (Info), ET AL
RE: NUGAN HAND BANK;
FOREIGN POLICE COOPERATION;
OO: FBIHQ

~~SECRET~~

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[redacted] - FUGITIVE;
[redacted] ET AL

Receiving offices are requested to [redacted] as indicated below. In view of FBI interest in [redacted] it is recommended, where deemed appropriate by receiving offices, [redacted] Any pertinent information obtained concerning [redacted]

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Chicago at Crete, Illinois

[redacted] is described as a U.S. citizen, born [redacted] who was [redacted] was reportedly involved with [redacted]

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b7C

Bureau indices reveal that [redacted] is probably identical to [redacted] a white male, 69 inches tall, 160 pounds, black hair, hazel eyes, born [redacted] FBI Number [redacted] was [redacted]

b6
b7C

Chicago is requested to initiate efforts to locate and interview [redacted] concerning [redacted]

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b7C

~~SECRET~~

~~SECRET~~

b6
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[redacted] - FUGITIVE;
[redacted] ET AL

b6
b7C

Dallas at Dallas, Texas

Available information obtained by [redacted] suggests that [redacted]

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b7D

It is noted that [redacted]

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b7D

In view of the extensive FBI investigation concerning [redacted]

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Honolulu at Honolulu, Hawaii

According to [redacted]

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~~SECRET~~

Airtel to SACs, Alexandria (Info), ET AL
RE: NUGAN HAND BANK;
FOREIGN POLICE COOPERATION;
OO: FBIHQ

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b7D

~~SECRET~~

[redacted] - FUGITIVE;
[redacted] ET AL

Honolulu is requested to [redacted]

Louisville at Lexington, Kentucky

[redacted] was [redacted]

[redacted] have requested [redacted]

Louisville is requested to [redacted]

~~SECRET~~

Airtel to SACs, Alexandria (Info), ET AL
RE: NUGAN HAND BANK;
FOREIGN POLICE COOPERATION;
OO: FBIHQ

SECRET

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[redacted] - FUGITIVE;
[redacted] ET AL

San Francisco at North Beach, California

Conduct logical local directory and agency checks in effort to locate and interview [redacted] as set forth under above lead for Honolulu.

b6
b7C

Savannah at Saint Simons Island, Georgia

[redacted] born [redacted] was an associate of [redacted] reportedly [redacted]

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b7D

[redacted] have requested [redacted]

b6
b7C
b7D

Savannah is requested to interview [redacted] concerning his [redacted]

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b7C

SECRET

P 241500 MAY 83

FM LEGAL ATTACHE CANBERRA (163-4)

TO DIRECTOR FBI (163-45612) PRIORITY 051-23

BT

24 MAY 83 05 37 z

UNCLAS E F T O

NUGAN HAND BANK. FPC.

RE CANBERRA AIRTEL JULY 23, 1982, WITH ENCLOSURES; BUAIRTEL SEPT. 16, 1982; CANBERRA CABLES 9678 DATED MAY 13, 1982, AND 10581 DATED NOV. 4, 1982.

REBUAIRTEL WAS SENT IN REPLY TO REQUEST FOR SEARCH OF SEVERAL NAMES OF INDIVIDUALS BELIEVED TO BE CONNECTED WITH CAPTIONED BANK. REBUAIRTEL STATED THAT "OUR FILES DO CONTAIN INFORMATION PERTAINING TO SOME OF THE INDIVIDUALS [redacted] HOWEVER, THESE MATTERS DO NOT CONCERN THE NUGAN HAND BANK." b7D

CLARIFICATION WAS REQUESTED IN REFERENCED CANBERRA CABLES AS TO WHETHER OR NOT SUCH INFORMATION WOULD BE FORWARDED TO LEGAT FOR DISSEMINATION. NO REPLY HAS YET BEEN RECEIVED FROM THE BUREAU. BUREAU IS REQUESTED TO ADVISE.

BT

163-45612-118

JUL 18 1983

Tel to Can 7/7/83 Jem:mDK

AUG 18 1983

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED 308,506
DATE 2/22/90 BY SP5cl LDMS

Memorandum

Exec AD Adm. ___
Exec AD Inv. ___
Exec AD LES ___
Asst. Dir.:
Adm. Servs. ___
Crim. Inv. ___
Ident. ___
Insp. ___
Intell. ___
Lab. ___
Legal Coun. ___
Off. Cong. & Public Affs. ___
Rec. Mgnt. ___
Tech. Servs. ___
Training ___
Telephone Rm. ___
Director's Sec'y ___

CONFIDENTIAL

To : Mr. E. J. O'Malley

Date 6/2/83

From : T. E. DuHadway

Subject : SENATE PERMANENT SUBCOMMITTEE ON INVESTIGATIONS (SPSI) REQUEST FOR INFORMATION CONCERNING NUGAN HAND BANK SIDNEY, AUSTRALIA

Re Helterhoff to Revell memo dated 5/25/83. (U)

All information herein classified ~~"Confidential"~~ unless otherwise noted. (U)

PURPOSE:

To set forth comments and observations of the Intelligence Division as to its involvement with the Nugan Hand Bank matter as requested in the referenced memo. (U)

RECOMMENDATION:

None. For information. (U)

APPROVED:		Adm. Servs.		Laboratory	
		Crim. Inv.		Legal Coun.	
Director				Off. of Cong. & Public Affs.	
Exec. AD-Adm.		Ident.		Rec. Mgnt.	
Exec. AD-Inv.		Inspection		Tech. Servs.	
Exec. AD-LES		Intell.		Training	

DETAILS:

The Nugan Hand Bank investigation as conducted by the FBI under the Bureau's Foreign Police Cooperation (FPC) authority has consisted of investigative activity in response to specific requests

163-45619-118

1-Mr. R. Young
1-Mr. O. B. Revell
1-Mr. S. McWeeney
1-Mr. A. Adamski
1-Liaison Unit

JEM:mbk
(6)

CONFIDENTIAL
Classified by: 05
Declassify on: OADR

CONTINUED OVER

DECLASSIFIED BY SPSC1 \ Dms
ON 7/22/90 308,566

FBI/DOJ

CONFIDENTIAL

Memorandum to Mr. E. J. O'Malley from T. E. DuHadway
Re: SENATE PERMANENT SUBCOMMITTEE ON
ETC.

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b7D

On 11/15/82,

In response to inquiries from Senator Roger Jepsen, Iowa, on 9/15/82 and Congressman Bill Green, New York, on 2/15/83 regarding the FBI's investigative activity in the Nugan Hand Bank matter, a letter was forwarded to each by Assistant Director E. J. O'Malley, which stated, in part, that no information had been received indicating a violation of United States law under FBI investigative jurisdiction. The letter added that

CONFIDENTIAL

Memorandum

Exec AD Adm. ___
Exec AD Inv. ___
Exec AD LES ___
Asst. Dir.:
Adm. Servs. ___
Crim. Inv. ___
Ident. ___
Intell. ___
Laboratory ___
Legal Coun. ___
Plan. & Insp. ___
Rec. Mgnt. ___
Tech. Servs. ___
Training ___
Off. of Cong. & Public Affs. ___
Telephone Rm. ___
Director's Sec'y ___

To : Mr. O. B. Revell

Date 6/3/83

From : S. Klein

Subject : SENATE PERMANENT SUBCOMMITTEE ON INVESTIGATIONS (SPSI) REQUEST FOR INFORMATION CONCERNING NUGAN HAND BANK, SYDNEY, AUSTRALIA

PURPOSE: To provide the nature and extent of Terrorism Section investigation concerning the Nugan Hand Bank (NHB), in response to H. N. Helterhoff to Mr. Revell memorandum, dated 5/25/83 (attached).

RECOMMENDATION: That background information contained herein be provided to SPSI, except where indicated otherwise, consistent with the responses of other Sections and the Intelligence Division.

APPROVED:

Director ___	Adm. Servs. ___	Laboratory ___
Exec. AD-Adm. ___	Crim. Inv. ___	Legal Coun. ___
Exec. AD-Inv. ___	Ident. ___	Off. of Cong. & Public Affs. ___
Exec. AD-LES ___	Inspection ___	Rec. Mgnt. ___
	Intell. ___	Tech. Servs. ___
		Training ___

DETAILS: Limited FBI investigation involving the NHB has been conducted in conjunction with the ongoing investigation of [redacted] This was prompted by information obtained during [redacted] investigations, indicating [redacted]

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b7C

Attachment

163-45618-118X

JUL 20 1983

1 - Mr. Revell
1 - Mr. Gilbert
1 - Mr. Klein
1 - Mr. McWeeney
1 - Mr. Helterhoff

1 - Mr. Adamski (3 attachments)
1 - Mr. Parks (3 attachments)
1 - Mr. O'Brien
1 - Mr. Hart
1 - Mr. Hunt

RLH:dig (11)

Memorandum to Mr. O. B. Revell from S. Klein
RE: SENATE PERMANENT SUBCOMMITTEE ON INVESTIGATIONS (SPSI) REQUEST FOR INFORMATION CONCERNING NUGAN HAND BANK, SYDNEY, AUSTRALIA

[redacted] are subjects of FBI and Department of Justice investigations, primarily concerning [redacted] Investigation indicates that [redacted] To date [redacted] Additionally, [redacted]

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b7C

Information compiled to date regarding [redacted] It appears that any dealings between [redacted] were on an individual basis. Available information strongly indicates that [redacted] Although [redacted] was instrumental in [redacted] was directly involved in [redacted]

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Memorandum to Mr. O. B. Revell from S. Klein
RE: SENATE PERMANENT SUBCOMMITTEE ON INVESTIGATIONS (SPSI) REQUEST FOR INFORMATION CONCERNING NUGAN HAND BANK, SYDNEY, AUSTRALIA

Inasmuch as [redacted] related investigations are pending, it would not be appropriate to provide further detail on the foregoing at this time. Review of alleged associations between [redacted] is continuing in connection with [redacted] related investigations.

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For the information of recipients of this memorandum, [redacted]

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b7D

~~SECRET~~

Mr. Revell — 5/25/83

H. N. Helterhoff

SENATE PERMANENT SUBCOMMITTEE ON INVESTIGATIONS (SPSI)
REQUEST FOR INFORMATION CONCERNING NUGAN HAND BANK,
SIDNEY, AUSTRALIA

PURPOSE: To solicit comments and observations to respond to R. S. Young to O. B. Revell memorandum dated 5/19/83 (attached) involving the FBI's role in the investigation of the Nugan Hand Bank, Sidney, Australia.

RECOMMENDATION: That the Organized Crime Section (DEA), the Terrorism Section, and the Intelligence Division provide information as to their involvement with Nugan Hand Bank to the White-Collar Crimes Section (WCCS) to respond to the Senate Permanent Subcommittee on Investigations no later than COB 6/3/83.

DETAILS: R. S. Young to O. B. Revell memorandum dated 5/19/83 (attached) requests information in the possession of the FBI regarding Nugan Hand. An interim response dated 5/18/83 has been prepared by OCPA indicating a response to the committee's questions is being formulated and will be furnished as soon as

Enclosures - 2

1 - Mr. O'Malley
~~1 - Mr. Revell~~
1 - Mr. Young
1 - Mr. Gilbert
1 - Mr. Clarke

1 - Mr. Klein
1 - Mr. McWeeney
1 - Mr. Helterhoff
1 - Mr. Adamski

AA:ajs (10)

CONTINUED - OVER

~~2/22/90 Classified by SPSCU/QMS Declassify on: OADR~~ 308,506

~~ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE.~~

118X1

~~SECRET~~

possible. A WCCS review of this matter indicates that the FBI conducted investigation of Nugan Hand [redacted]

[redacted]

b7D

[redacted] Since the WCCS has not had any active investigation regarding Nugan Hand and does not know the status of other investigations of Nugan Hand within the FBI at this time, it is recommended that the Intelligence Division, Organized Crime Section (DEA), and the Terrorism Section provide such information so that the WCCS can provide a coordinated response to the committee through OCPA. The Organized Crime Section should coordinate its response with DEA.

Memorandum

Exec AD Adm. ___
Exec AD Inv. ___
Exec AD LES ___
Asst. Dir.:
Adm. Servs. ___
Crim. Inv. ___
Ident. ___
Insp. ___
Intell. ___
Lab. ___
Legal Coun. ___
Off. Cong. & Public Affs. ___
Rec. Mgnt. ___
Tech. Servs. ___
Training ___
Telephone Rm. ___
Director's Sec'y ___

To : Mr. Revell — Date 6/24/83

From : H. N. Helterhoff

Subject : SENATE PERMANENT SUBCOMMITTEE ON INVESTIGATIONS (SPSI) REQUEST FOR INFORMATION CONCERNING NUGAN HAND BANK, SYDNEY, AUSTRALIA

PURPOSE: To provide information requested by Office of Congressional and Public Affairs (OCPA) in R. S. Young to O. B. Revell memorandum dated 5/19/83 in behalf of Senator William V. Roth, Jr., Chairman of the Senate Permanent Subcommittee on Investigations concerning the extent of FBI investigation of the Nugan Hand Bank and its principals.

RECOMMENDATION: That OCPA prepare an appropriate response to Senator Roth concerning the FBI's investigation of the Nugan Hand Bank and its principals.

APPROVED:

Director ___	Adm. Servs. ___	Laboratory ___
Exec. AD-Adm. ___	Crim. Inv. ___	Legal Coun. ___
Exec. AD-Inv. ___	Ident. ___	Off. of Cong. & Public Affs. ___
Exec. AD-LES ___	Inspection ___	Rec. Mgnt. ___
	Intell. ___	Tech. Servs. ___
		Training ___

DETAILS: R. S. Young memorandum to O. B. Revell dated 5/19/83 requested that the Criminal Investigative Division (CID) provide information to the OCPA setting forth the FBI's role in the investigation of the Nugan Hand Bank Sidney, Australia. H. N. Helterhoff memorandum to Mr. Revell dated 5/25/83 requested replies from various sections in Division six, as well as the Intelligence Division and Drug Enforcement Administration (DEA), known to have had a logical interest in the Nugan Hand matter as to the extent of that investigative interest. Responses were received from DEA, Division five, and the other interested sections of Division six and they are attached.

Enclosures - 3

163-45612 - 118X2

CLASSIFIED MATERIAL ATTACHED

1 - Mr. Young
1 - Mr. O'Malley
1 - Mr. Revell
1 - Mr. Gilbert
1 - Mr. Clarke
1 - Mr. Klein
1 - Mr. McWeeney
1 - Mr. Helterhoff
1 - Mr. Adamski

JUL

AA:kls (10)

CONTINUED - OVER

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED 308,506
DATE 2/22/90 BY SPSCI/OMS FBI/DOJ

H. N. Helterhoff to Mr. Revell Memorandum
RE: SENATE PERMANENT SUBCOMMITTEE ON INVESTIGATIONS (SPSI) REQUEST FOR INFORMATION CONCERNING NUGAN HAND BANK, SYDNEY, AUSTRALIA

An analysis of the responses indicate the information contained in DEA files is general in nature regarding the Nugan Hand Bank and its principals. It appears to be information that is usually utilized as police intelligence information regarding the Nugan Hand Bank and its principals being involved in the drug trade. It does not appear that any substantive investigation of the Nugan Hand Bank was conducted by DEA.

The response from Division five indicates its only investigative interest in the Nugan Hand bank and its principals was [redacted]

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The Terrorism Section of Division six responded that it has conducted a limited investigation of the Nugan Hand Bank and some of its principals in conjunction with the ongoing FBI investigation of [redacted]

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The Miami Division of the FBI has advised by teletype dated 6/2/83 captioned [redacted] OO: Miami" that [redacted] The Miami Division suspects [redacted]

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The Narcotics Unit, Organized Crime Section and the Financial Crimes Unit, White-Collar Crimes Section are not now, nor have they in the past, conducted any substantive investigation of the Nugan Hand Bank.

CONFIDENTIAL

Mr. E. J. O'Malley 6/2/83

T. E. DuHadway

SENATE PERMANENT SUBCOMMITTEE ON
INVESTIGATIONS (SPSI) REQUEST
FOR INFORMATION CONCERNING NUGAN
HAND BANK
SIDNEY, AUSTRALIA

Re Helterhoff to Revell memo dated 5/25/83. (U)

All information herein classified ~~"Confidential"~~ unless otherwise noted. (U)

PURPOSE:

To set forth comments and observations of the Intelligence Division as to its involvement with the Nugan Hand Bank matter as requested in the referenced memo. (U)

RECOMMENDATION:

None. For information. (U)

DETAILS:

The Nugan Hand Bank investigation as conducted by the FBI under the Bureau's Foreign Police Cooperation (FPC) authority has consisted of investigative activity in response to specific requests

1-Mr. R. Young
1-Mr. O. B. Revell
1-Mr. S. McWeeney
1-Mr. A. Adamski
1-Liaison Unit

JEM:mbk
(6)

CONFIDENTIAL
Classified by: 05
Declassify on: OADR

CONTINUED OVER

163-43713-118X2

CONFIDENTIAL

Memorandum to Mr. E. J. O'Malley from T. E. DuHadway
Re: SENATE PERMANENT SUBCOMMITTEE ON
ETC.

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b7D

On 11/15/82,

In response to inquiries from Senator Roger Jepsen, Iowa, on 9/15/82 and Congressman Bill Green, New York, on 2/15/83 regarding the FBI's investigative activity in the Nugan Hand Bank matter, a letter was forwarded to each by Assistant Director E. J. O'Malley, which stated, in part, that no information had been received indicating a violation of United States law under FBI investigative jurisdiction. The letter added that

CONFIDENTIAL

~~SECRET~~

Mr. Revell 5/25/83

H. N. Helterhoff

SENATE PERMANENT SUBCOMMITTEE ON INVESTIGATIONS (SPSI)
REQUEST FOR INFORMATION CONCERNING NUGAN HAND BANK,
SIDNEY, AUSTRALIA

PURPOSE: To solicit comments and observations to respond to R. S. Young to O. B. Revell memorandum dated 5/19/83 (attached) involving the FBI's role in the investigation of the Nugan Hand Bank, Sidney, Australia.

RECOMMENDATION: That the Organized Crime Section (DEA), the Terrorism Section, and the Intelligence Division provide information as to their involvement with Nugan Hand Bank to the White-Collar Crimes Section (WCCS) to respond to the Senate Permanent Subcommittee on Investigations no later than COB 6/3/83.

DETAILS: R. S. Young to O. B. Revell memorandum dated 5/19/83 (attached) requests information in the possession of the FBI regarding Nugan Hand. An interim response dated 5/18/83 has been prepared by OCPA indicating a response to the committee's questions is being formulated and will be furnished as soon as

Enclosures - 2

1 - Mr. O'Malley
~~1 - Mr. Revell~~
1 - Mr. Young
1 - Mr. Gilbert
1 - Mr. Clarke
1 - Mr. Klein
1 - Mr. McWeeney
1 - Mr. Helterhoff
1 - Mr. Adamski

AA:njs (10)

CONTINUED - OVER

~~2/22/90 Classified by SPSA DMS~~
~~Declassify on: OADR~~ 308,506

162-45612-118X2

~~ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE.~~

~~SECRET~~

SECRET

possible. A WCCS review of this matter indicates that the FBI conducted investigation of Nugan Hand [redacted]

[redacted] b7D

[redacted] Since the WCCS has not had any active investigation regarding Nugan Hand and does not know the status of other investigations of Nugan Hand within the FBI at this time, it is recommended that the Intelligence Division, Organized Crime Section (DEA), and the Terrorism Section provide such information so that the WCCS can provide a coordinated response to the committee through OCPA. The Organized Crime Section should coordinate its response with DEA.

SECRET

Memorandum

Exec AD Adm. ___
Exec AD Inv. ___
Exec AD LES ___
Asst. Dir.:
Adm. Servs. ___
Crim. Inv. ___
Ident. ___
Insp. ___
Intell. ___
Lab. ___
Legal Coun. ___
Off. Cong. & Public Affs. ___
Rec. Mgnt. ___
Tech. Servs. ___
Training ___
Telephone Rm. ___
Director's Sec'y ___

To : O. B. Revell

Date 5/19/83

From : R. S. Young

Subject : SENATE PERMANENT SUBCOMMITTEE ON INVESTIGATIONS (SPSI) REQUEST FOR INFORMATION CONCERNING NUGAN HAND BANK, SIDNEY, AUSTRALIA

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PURPOSE: To furnish copy of a self-explanatory letter to Criminal Investigative Division (CID), and to recommend that CID furnish information and recommendations as to a response.

DETAILS: By self-explanatory letter dated 5/13/83, received 5/17/83, Senator Roth, Chairman, SPSI, requested information concerning the Nugan Hand Bank of Sidney, Australia, and the present whereabouts of [redacted] [redacted] The Subcommittee seeks this information for use in its continuing investigation of offshore banking practices. A copy of the letter is attached.

RECOMMENDATION: That CID determine whether we have information relevant to SPSI's request, and furnish a recommendation to Congressional Affairs Section concerning an appropriate response by the Bureau. Any questions may be addressed to SA [redacted] [redacted] Congressional Affairs Section, Room 7240, Extension 4515.

APPROVED:		
	Adm. Servs. ___	Laboratory ___
	Crim. Inv. ___	Legal Coun. ___
Director ___		Off. of Cong. & Public Affs. ___
Exec. AD-Adm. ___	Ident. ___	Rec. Mgnt. ___
Exec. AD-Inv. ___	Inspection ___	Tech. Servs. ___
Exec. AD-LES ___	Intell. ___	Training ___

Enclosure
1 - Mr. Revell - Enc.
1 - Mr. Young - Enc.
1 - Mr. Haynes - Enc.
3 - Mr. Moschella - Enc.
2 - Mr. O'Brien - Enc.
JKO:lmb (9)

SA J. K. O'Brien
Room 7240, TL#245

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED 308,506
DATE 2/22/90 BY SPSC11 DMS

103-45613 - 118X2

United States Senate

COMMITTEE ON
GOVERNMENTAL AFFAIRS
SENATE PERMANENT SUBCOMMITTEE
ON INVESTIGATIONS
WASHINGTON, D.C. 20510

May 13, 1983

The Honorable William H. Webster
Director, Federal Bureau of
Investigation
J. Edgar Hoover Building, Room 7161
Washington, D.C. 20535

Dear Judge Webster:

As you may be aware, the Permanent Subcommittee on Investigations has been conducting an investigation into the legal and illegal uses, by United States citizens, of offshore financial institutions. During the course of this investigation, the Subcommittee has examined the structure of international banking with particular attention being given to the failure of international banks. Included among the most notable of these failures is the Nugan Hand Bank of Sidney, Australia. The Subcommittee has done some investigation into the Nugan Hand Bank and will present certain testimony at our May 24 and 25, 1983 public hearings.

In furtherance of our investigation of the Nugan Hand Bank it is requested that you advise the Subcommittee of any Federal Bureau of Investigation or Drug Enforcement Administration investigation concerning either the Nugan Hand Bank or any of its principles. Of particular interest to us is the present whereabouts of [redacted]

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As mentioned above, the Subcommittee plans to hold two days of hearings in late May on the offshore banking subject. Your assistance in providing the requested information prior to those hearings would be greatly appreciated.

Sincerely,

Bill

William V. Roth, Jr.
Chairman

WVR,JR:tmm

0-93 (Rev. 4-26-78)

169

DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION
COMMUNICATION MESSAGE FORM

PAGE 1 OF 2

DATE	CLASSIFICATION	PRECEDENCE
7/7/83	UNCLAS E F T O	ROUTINE

START HERE

FM DIRECTOR FBI (163-45612)

TO LEGAL ATTACHE CANBERRA (163-41) ROUTINE

BT

UNCLAS E F T O

b7D

NUGAN HAND BANK; FPC

REURTEL TO BUREAU MAY 24, 1983, AND BUAIRTEL TO CANBERRA SEPTEMBER 16, 1982.

TO CLARIFY, THE INTENT OF THE REFERENCED BUREAU AIRTEL WAS THAT INFORMATION RELATING TO [redacted]

AS YOU ARE AWARE, [redacted]

DO NOT TYPE PAST THIS LINE

DO NOT TYPE MESSAGE BELOW THIS LINE

APPROVED BY	DRAFTED BY	DATE	ROOM	TELE EXT.
DRD/JEM	JEM:MBK (4)	7/7/83	4634	4691

163-45612-119

1-MR. R. L. HUNT
1-MRS. P. J. LARSEN
1-LIAISON UNIT

JUL 18 1983

58

AUG 13 1983

FEDERAL BUREAU OF INVESTIGATION
COMMUNICATIONS CENTER

8 1983

DO NOT FILE WITHOUT COMMUNICATIONS STAMP

SHOULD

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PLEASE PROVIDE SAME FOR CONSIDERATION OF FBIHQ.

BT

FD-36 (Rev. 5-22-78)

FBI

TRANSMIT VIA:
- [ ] Teletype
- [ ] Facsimile
- [x] Airtel

PRECEDENCE:
- [ ] Immediate
- [ ] Priority
- [ ] Routine

CLASSIFICATION:
- [ ] TOP SECRET
- [ ] SECRET
- [ ] CONFIDENTIAL
- [ ] UNCLAS E F T O
- [ ] UNCLAS

Date 7/5/83

TO: DIRECTOR, FBI

FROM: SAC, HONOLULU (163-307) (RUC)

NUGAN HAND BANK;
FOREIGN POLICE COOPERATION;
(OO: BUREAU)

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[redacted] - FUGITIVE;
[redacted]
(OO: ALEXANDRIA)

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 4-16-88 BY SP6BJA/ILR
DOCKET NO. 20369-83

Re Los Angeles airtel to Bureau, 5/27/83;
Bureau airtel to Alexandria, 6/17/83.

Enclosed for the Bureau are the original and six copies of an LHM suitable for dissemination to Legat, Canberra. Also enclosed for Los Angeles and Alexandria are two copies each of same.

3 - Bureau (Enc. 5)
(1 - Legat, Canberra) (Enc. 2)
2 - Alexandria (Enc. 2)
2 - Los Angeles (Enc. 2)
2 - Honolulu
(1 - 163-307)
(1 - 97C-313)
GMP:djh
(9)

1*

JUL 22 1983

XEROX

10 JUL 11 1983

Unrecorded Copy Filed In

Approved: ______ Transmitted ______ (Number) (Time) Per ______

U.S. Department of Justice

Federal Bureau of Investigation

In Reply, Please Refer to
File No.

Honolulu, Hawaii
July 5, 1983

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NUGAN HAND BANK

On June 30, 1983, [redacted] [redacted] Honolulu, Hawaii, telephone number [redacted] advised that, according to [redacted] at Sydney, Australia, a review of [redacted] flights departing Sydney for Honolulu for the period of May 6 to May 20, 1983, inclusive was negative for any information regarding [redacted]

On June 30, 1983, [redacted] [redacted] Honolulu, Hawaii, advised that [redacted] arrived in Honolulu on June 6, 1983 to [redacted] [redacted] While in Honolulu, [redacted] [redacted] flew out of Honolulu a week later on June 13, 1983 en route to the mainland (USA). [redacted] said [redacted] did not say what his final destination was, but he had a ticket to Los Angeles, California. [redacted] does not know [redacted] current whereabouts. [redacted] had made the statement to [redacted]

1*

2/22/90
5/10/89
SPSC110mS 308,506
SPSC110mS

DOCKET NO. 20309-83

162-43612-120

ENCLOSURE

SV0031991937

RR HQ AX

DE SV

R181937Z JUL 83

FM SAVANNAH (97A-25)(P)

TO DIRECTOR, FBI ROUTINE

ALEXANDRIA ROUTINE

BT

UNCLAS

ATTN: TERRORISM SECTION, SUPERVISOR [redacted] FBIHQ

ATTN: AX - SA A.B. LLEWELLYN

NUGAN HAND BANK; FOREIGN POLICE CORPORATION; OO: FBIHQ

RE BUREAU AIRTEL, 6/17/83, CAPTIONED "NUGAN HAND BANK AND [redacted] SAVANNAH TELEPHONE CALLS TO BUREAU SUPERVISOR [redacted] ON 6/24/83 AND 7/18/83, AND SAVANNAH CONFERENCE WITH SA [redacted] ALEXANDRIA, VIRGINIA, AT QUANTICO, ON 7/14/83.

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RECEIVED TELETYPE UNIT
18 JUL 83 19 53 z
FEDERAL BUREAU OF INVESTIGATION

This matter has been discussed with SA [redacted] AX. AX plans to travel to Savannah at appropriate time in future

120X

SEP 8 1983

SEP 20 1983

XEROX

UNRECORDED COPY FILED IN

REFERENCED BUREAU AIRTEL OF 6/17/83, REQUESTS THE SAVANNAH DIVISION TO INTERVIEW [redacted] CONCERNING HIS KNOWLEDGE OF THE NUGAN HAND BANK AND [redacted] AS EXPLAINED IN THE ABOVE CONVERSATIONS, [redacted] IS THE TARGET OF SAVANNAH CASE CAPTIONED AS ABOVE AND UNTIL RECENTLY [redacted] [redacted] SAVANNAH DIVISION IS [redacted]

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THE BUREAU AND ALEXANDRIA ARE REQUESTED THEREFORE TO CONSIDER HAVING THE CASE AGENT IN THE ABOVE CAPTIONED CASES COME TO THE SAVANNAH DIVISION AND REVIEW AVAILABLE EVIDENCE CONCERNING [redacted] IN LIEU OF HAVING THE SAVANNAH DIVISION ATTEMPT TO SUMMARIZE THE AVAILABLE INFORMATION FOR THE BUREAU AND ALEXANDRIA'S BENEFIT. PENDING A DECISION CONCERNING THIS, SAVANNAH DIVISION WILL MAKE NO EFFORT TO INTERVIEW [redacted] IN VIEW OF THE ABOVE REASONS.

BT

FD-36 (Rev. 5-22-78)

FBI

TRANSMIT VIA:	PRECEDENCE:	CLASSIFICATION:
☐ Teletype	☐ Immediate	☐ TOP SECRET
☐ Facsimile	☐ Priority	☐ SECRET
☒ AIRTEL	☐ Routine	☐ CONFIDENTIAL
		☐ UNCLAS E F T O
		☐ UNCLAS
		Date 7/27/83

TO: DIRECTOR, FBI

FROM: SAC, LOS ANGELES (163-4205) (C-1) (RUC)

SUBJECT: NUGAN HAND BANK
FPC
OO: Bureau

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Re Honolulu airtel to the Director dated 7/5/83. and Bureau airtel to Los Angeles dated 8/6/82 (LA 163-4188).

On 7/26/83, the results of [redacted]

Los Angeles considers this matter RUC'd.

2 - Bureau
1 - Los Angeles

GAP/rsr
(3)

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/22/90 BY SPSC11 DMS

45613-121

5-J. Martin
Rm. 4634

17 AUG 1 1983

Approved: ____ Transmitted ____ (Number) (Time) Per ____

AX0333 238 1752Z

PP HQ NY

DE AX

P 026 1730Z AUG 83

FM ALEXANDRIA (P)

TO DIRECTOR PRIORITY

NEW YORK PRIORITY

BT

UNCLAS

ATTENTION: CRIMINAL INVESTIGATIVE DIVISION, INTERNATIONAL TERRORISM UNIT NUMBER 3 (SUPERVISOR [redacted] (OO:NY) (AX FILE: 72-31) (NY FILE: 72-384)

(AX FILE: 97A-7)

NUGAN-HAND BANK; FOREIGN POLICE COOPERATION; (OO:FBIHQ) (AX FILE: 163-438)

RE ALEXANDRIA TELEPHONE CALL OF SA [redacted] TO SUPERVISOR [redacted] FBIHQ, ON AUGUST 25, 1983.

DURING REFERENCED CALL, FBIHQ AUTHORITY WAS GRANTED FOR SPECIAL

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Travel previously authorized by FBIHQ tel 8/9/83

RECEIVED UNIT 26 AUG 83 20 19Z FEDERAL BUREAU OF INVESTIGATION

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED 308,506 DATE 4/18/88 BY SP6BJA/KR 2/22/90 SPSC110/ms

72-2903-107

ORIGINAL FILED IN

SEP 1 1983

NOT RECORDED 28 SEP 14 1983

OCT 20

AGENTS [redacted] ALEXANDRIA DIVISION,

TO TRAVEL TO NEW YORK CITY, NEW YORK, ON MONDAY, AUGUST 29, 1983,

FOR INTERVIEW OF [redacted]

PROTECT IDENTITIES), WHO HAVE BEEN [redacted]

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ASSISTANT U.S. ATTORNEY [redacted] WASHINGTON, D.C.,

IS PLANNING TO TRAVEL WITH ALEXANDRIA AGENTS TO NEW YORK CITY FOR

THESE TWO INTERVIEWS.

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WHILE IN NEW YORK CITY, ABOVE MENTIONED ALEXANDRIA AGENTS

ALSO PLAN TO INTERVIEW [redacted] REGARDING HIS KNOWLEDGE

OF PERSONS ASSOCIATED WITH THE NUGAN HAND BANK, INCLUDING [redacted]

[redacted] TRAVEL FOR INTERVIEW OF [redacted]

WAS PREVIOUSLY APPROVED BY FBIHQ IN BUREAU TELETYPE TO ALEXANDRIA

DATED AUGUST 9, 1983, UNDER THE SECOND AND THIRD CAPTIONS ABOVE

AS WELL AS THE ADDITIONAL CAPTION, [redacted]

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SECRETARY ADVISED AUGUST 25, 1983, THAT HE WAS TO RETURN TO WORK FROM VACATION ON THE MORNING OF AUGUST 29, 1983, AND WILL BE IN HIS NEW YORK CITY OFFICE ALL OF THAT WEEK.

ALEXANDRIA WILL COORDINATE ABOVE TRAVEL AND INTERVIEWS WITH FBI-NYO. NYO IS REQUESTED TO ALERT SUPERVISOR REGARDING THE PLANNED INTERVIEW AND ADVISE SPECIAL AGENTS REGARDING PLANNED INTERVIEWS OF (ON AUGUST 25, 1983, NYO TELEPHONICALLY CONCURRED WITH AX DIVISION AGENTS INTERVIEWING NYO PREVIOUSLY CONCURRED ON INTERVIEW.)

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SAC, ALEXANDRIA CONCURS WITH NECESSITY FOR TRAVEL. THIS COMMUNICATION FORWARDED AS CONFIRMATION OF BUREAU APPROVAL FOR THIS TRAVEL.

BT

cc: Intel

R 291300 AUG 83

FM LEGAL ATTACHE CANBERRA (163-4)

TO DIRECTOR FBI (163-45612) ROUTINE 124-28

29 AUG 83 04 25

BT

UNCLAS E F T O

NUGAN HAND BANK. FPC.

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UNDER DATE OF AUGUST 22, 1983, [redacted] [redacted] U.S. EMBASSY-CANBERRA, RECEIVED A LETTER FROM [redacted] THE TEXT OF WHICH READS, AS FOLLOWS:

[redacted]

1983

5-D. DIXON

WHARTON

SEP 1983

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AS THE BUREAU IS AWARE, INVESTIGATION IN THIS MATTER HAS BEEN CONDUCTED

PAGE THREE CANBERRA 16[illegible]4 UNCLAS

HAS ADVISED THAT

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THE FOREGOING IS BEING FURNISHED TO THE BUREAU FOR ITS INFORMATION.

BT

FEDERAL BUREAU OF INVESTIGATION
FOI/PA
DELETED PAGE INFORMATION SHEET
FOI/PA# 1482505-000

Total Deleted Page(s) = 25
Page 170 ~ Referral/Direct;
Page 171 ~ Referral/Direct;
Page 172 ~ Referral/Direct;
Page 173 ~ Referral/Direct;
Page 174 ~ Referral/Direct;
Page 175 ~ Referral/Direct;
Page 176 ~ Referral/Direct;
Page 177 ~ Referral/Direct;
Page 178 ~ Referral/Direct;
Page 179 ~ Referral/Direct;
Page 180 ~ Referral/Direct;
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Page 273 ~ Referral/Direct;
Page 274 ~ Referral/Direct;

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OPTIONAL FORM NO. 10
MAY 1962 EDITION
GSA GEN. REG. NO. 27
5010-106

UNITED STATES GOVERNMENT

Memorandum

SECRET

TO : DIRECTOR, FBI

DATE: 11/7/78

FROM : LEGAT, MANILA

SUBJECT: AUSTRALIAN ROYAL COMMISSION OF INQUIRY INTO DRUGS (ARCID)
FPC
MAN 163-354

FRANK NUGAN; [redacted] dba
Nugan Hand International,
Nugan Hand Bank;
NUGAN GROUP
FPC
MAN 163-357

Nugan Hand Inc.

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APPROPRIATE AGENCIES AND FIELD OFFICES ADVISED BY ROUTING SLIP(S) OF CLASS DATE 1-4-80

CLASSIFIED AND EXTENDED BY SP2 TAT/lmb
REASON FOR EXTENSION FCIM, II
DATE OF REVIEW FOR DECLASSIFICATION 11-7-2008

1-3-80

Enclosed herewith for the Bureau are 6 copies of a page captioned "Nugan Hand International."

Also enclosed for the Bureau for transmittal to Honolulu is one copy of surveillance photo of [redacted]

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On 10/20/78 [redacted] advised that in connection with their responsibilities to [redacted]

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6 - Bureau (Enc. 7) ENCLOSURE
(1-Foreign Liaison Unit)
(2-Honolulu) sent by O-7 on 11/24/78
(1-Norfolk) (Info) 3 encs by O-8 on 11/24/78
1 - Hong Kong (Info) (Enc. 1)
2 - Manila
GFE:jmp
(9)

REC- 163-15612 NOV 1978

DECLASSIFIED BY 6383 VRT/SL/AP
ON 4-21-82

308.506

SECRET

MAN 163-354; MAN 163-357

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REQUEST OF THE BUREAU

The Bureau is requested to

HONOLULU

At Honolulu, Hawaii

SECRET

MAN 163-354; MAN 163-357

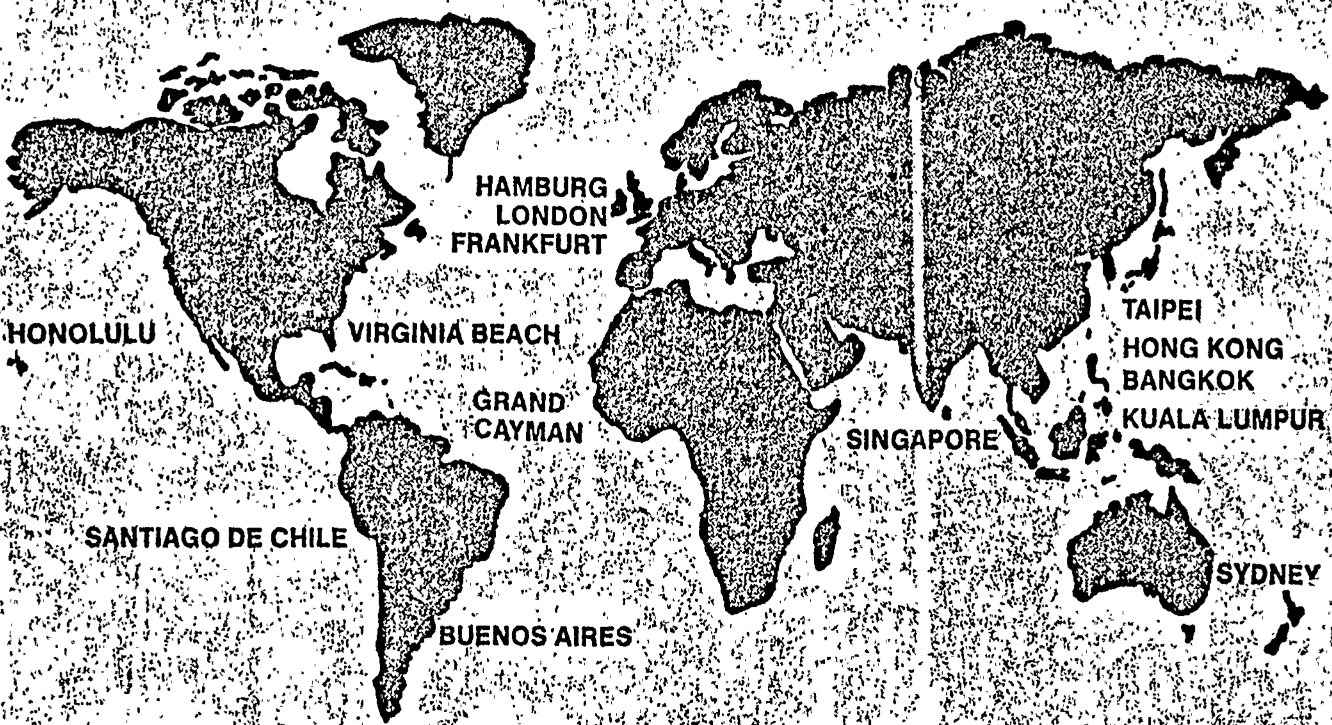
Will attempt to further [redacted] b6 b7C b7D

Manila expects to obtain additional background information on [redacted] in the near future

SECRET

Nugan Hand International

The Nugan Hand International Group provides personalised, professional services for corporations, institutions and business people.



Australia—

Nugan Hand Limited
8 Fl., Bennelong House
55 Macquarie Street
Sydney, Australia
Tel: 27 3311 Telex: 27127

OVERSEAS ASSOCIATES:

Hong Kong—
Nugan Hand (Hong Kong) Limited
Nugan Hand Bank,
Representative Office
1110 Connaught Centre,
Hong Kong
Tel: 5-262321 Telex: 83499

Germany—
Nugan Hand Bank Representative Office
Mainzer Landstr.
49, D-6000 Frankfurt Am Main, Germany
Tel: 232-790 Telex: 7722413

Singapore—
Nugan Hand Singapore (Pte.) Ltd
Suite 806, Ocean Building, Collyer Quay,
Singapore 1
Tel: 917-255 Telex: 24157

Malaysia—
Nugan Hand (Malaysia) Sdn. Bhd.
10 Fl., Oriental Plaza, Jalan Parry,
Kuala Lumpur 04-01
Tel: 209-258/201-734 Telex: 30641

Thailand—
Nugan Hand (Thailand) Limited
1010 Dusit Thani Building, Rama IV Road,
Bangkok, Thailand
Tel: 233-0407 Telex: 2314

Taipei—
Nugan Hand Inc.
1106 Shin Tai Bldg.,
106-2 Chung Shan N. Rd., Sec 2,
Taipei
Taiwan R.O.C.
Tel: 551-0450, 561-2642
Telex: 11079 DAMEX.

Chile—
Nugan Hand (Chile) Inc.
Casilla 15051, Correo 11, Santiago de Chile
Tel: 42056/383130 Telex: 40607
Cable: goyco

Argentina—
Nugan Hand (Argentina) Inc.
Lavalle 5562' Piso "D", Buenos Aires,
Argentina
Tel: 320989/329824

U.S.A. Virginia—
Nugan Hand Bank, Representative Office
108, 88th Street, Virginia Beach,
Virginia 23451, U.S.A.
Tel: (804) 422-5559

U.S.A. Hawaii—
Nugan Hand Inc.
4910 Kahala Avenue, Honolulu,
Hawaii 96816, U.S.A.
Tel: (808) 732-6000

F. A. Neubauer Bank (est. 1841)
Neuer Wall 25, 2000 Hamburg 11, Germany
Tel: (040) 365—855/365—856
Telex: 2161750 Cable: fanbank

Nugan Hand Bank
4 Fl., Bank of Nova Scotia Building, Grand Cayman,
British West Indies. Tel: 92997/92648 Telex: CP302

PRIVATE BANKERS

4-22 (Rev. 12-22-76)

FEDERAL BUREAU OF INVESTIGATION
Records Branch

_______________, 19___

- [ ] Name Searching Unit, 4543 JEH-FBI Bldg.
- [ ] Service Unit, 4654 JEH-FBI Bldg.
- [ ] Forward to File Review
- [ ] Attention ___________
- [ ] Return to E. Smith 5DA-1

Supervisor Room Ext.

Type of Search Requested:

- [ ] Restricted Search **Not** Applied
- [x] All Reference (Subversive & Nonsubversive)
- [ ] Subversive Search
- [ ] Nonsubversive Search
- [ ] Main ___________ References Only

DEC 1 1 1978

Special Instructions:

- [ ] Restricted to Locality of ___________
- [ ] Exact Name Only (On the Nose)
- [ ] Buildup
- [ ] Variations

Subject Nugan, Frank

Birthdate & Place ___________

Address ___________

Localities ___________

R# 4N4 Date 12-7 Searcher Initials 404

Prod. ___________

	FILE NUMBER	SERIAL
I	163-45612 I	A-11

FBI/DOJ

4-22 (Rev. 12-22-76)

FEDERAL BUREAU OF INVESTIGATION
Records Branch

_______________, 19___

- [ ] Name Searching Unit, 4543 JEH-FBI Bldg.
- [ ] Service Unit, 4654 JEH-FBI Bldg.
- [ ] Forward to File Review
- [ ] Attention ________________
- [ ] Return to ________________
Supervisor Room Ext.

Type of Search Requested:

- [ ] Restricted Search **Not** Applied
- [x] All Reference (Subversive & Nonsubversive)
- [ ] Subversive Search
- [ ] Nonsubversive Search
- [ ] Main ____________ References Only

DEC 11 1978

Special Instructions:

- [ ] Restricted to Locality of ____________
- [ ] Exact Name Only (On the Nose)
- [ ] Buildup
- [ ] Variations

Subject Nugan Hand Incorporated
Birthdate & Place ____________
Address ____________

Localities ____________

R# ________ Date 12-7 Searcher Initials 404

Prod. ____________

	FILE NUMBER	SERIAL
I	163-45612 I	Att.

FBI/DOJ

4-22 (Rev. 12-22-76)

FEDERAL BUREAU OF INVESTIGATION
Records Branch

________________, 19___

- [ ] Name Searching Unit, 4543 JEH-FBI Bldg.
- [ ] Service Unit, 4654 JEH-FBI Bldg.
- [ ] Forward to File Review
- [ ] Attention ________________
- [ ] Return to ________________

Supervisor Room Ext.

Type of Search Requested:

- [ ] Restricted Search **Not** Applied
- [x] All Reference (Subversive & Nonsubversive)
- [ ] Subversive Search
- [ ] Nonsubversive Search
- [ ] Main ________ References Only

DEC 11 1978

Special Instructions:

- [x] Restricted to Locality of [redacted]
- [ ] Exact Name Only (On the Nose)
- [ ] Buildup
- [ ] Variations

b6
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Subject [redacted]
Birthdate & Place
Address

Localities

R# ________ Date 12-7 Searcher Initials HOV

Prod. N

	FILE NUMBER	SERIAL
I	162-45612-I	||+
	[redacted]	
|||	63-0-41561	

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED 308,506
DATE 2/15/90 BY SPSC/LOMS

FBI/DOJ

4-22 (Rev. 12-22-76)

FEDERAL BUREAU OF INVESTIGATION
Records Branch

_______________, 19___

☐ Name Searching Unit, 4543 JEH-FBI Bldg.
☐ Service Unit, 4654 JEH-FBI Bldg.
☐ Forward to File Review
☐ Attention ________________
☐ Return to ________________
Supervisor Room Ext.

Type of Search Requested:
☐ Restricted Search **Not** Applied
☑ All Reference (Subversive & Nonsubversive)
☐ Subversive Search
☐ Nonsubversive Search
☐ Main ________ References Only

DEC 11 1978

Special Instructions:
☐ Restricted to Locality of ________
☐ Exact Name Only (On the Nose)
☐ Buildup ☐ Variations

Subject Nugan Hand International
Birthdate & Place
Address

Localities

R# ______ Date 12-7 Searcher Initials 2D4

Prod.

	FILE NUMBER	SERIAL
I	163-45612 I	H.I.+

4-22 (Rev. 12-22-76)

FEDERAL BUREAU OF IN[redacted]STIGATION
Records Branch

________________, 19____

☐ Name Searching Unit, 4543 JEH-FBI Bldg.
☐ Service Unit, 4654 JEH-FBI Bldg.
☐ Forward to File Review
☐ Attention ________________
☐ Return to ________________
Supervisor Room Ext.

Type of Search Requested:

☐ Restricted Search **Not** Applied
☑ ~~All~~ Reference (Subversive & Nonsubversive)
☐ Subversive Search
☐ Nonsubversive Search
☐ Main ________ References Only DEC 1 1[illegible]

Special Instructions:

☐ Restricted to Locality of ________________
☐ Exact Name Only (On the Nose)
☐ Buildup ☐ Variations

Subject Nugan Hand Bank
Birthdate & Place ________________
Address ________________

Localities ________________

R# ________ Date 12-7 Searcher Initials HDH

Prod. ________________

	FILE NUMBER	SERIAL
I	163-45612 I	#1

FBI/DOJ

4-22 (Rev. 12-22-76)

FEDERAL BUREAU OF IN[redacted]STIGATION

Records Branch

_______________, 19___

- ☐ Name Searching Unit, 4543 JEH-FBI Bldg.
- ☐ Service Unit, 4654 JEH-FBI Bldg.
- ☐ Forward to File Review
- ☐ Attention _______________
- ☐ Return to _______________

Supervisor Room Ext.

Type of Search Requested:

- ☐ Restricted Search **Not** Applied
- ☑ All Reference (Subversive & Nonsubversive)
- ☐ Subversive Search
- ☐ Nonsubversive Search
- ☐ Main _______________ References Only

DEC 1 1978

Special Instructions:

- ☐ Restricted to Locality of _______________.
- ☐ Exact Name Only (On the Nose)
- ☐ Buildup ☐ Variations

Subject Nugan, Group

Birthdate & Place _______________

Address _______________

Localities _______________

R# _______ Date 12-7 Searcher Initials WOH

Prod. _______________

	FILE NUMBER	SERIAL
I	163-45612	I A-1.

ALL INFORMATION CONTAINED

HEREIN IS UNCLASSIFIED 308,506

DATE 2/15/90 BY SPSCIlOmS

FBI/DOJ

4-22 (Rev. 12-22-76)

FEDERAL BUREAU OF INVESTIGATION
Records Branch

_______________, 19___

- [ ] Name Searching Unit, 4543 JEH-FBI Bldg.
- [ ] Service Unit, 4654 JEH-FBI Bldg.
- [ ] Forward to File Review
- [ ] Attention ____________
- [ ] Return to ____________
Supervisor Room Ext.

Type of Search Requested:
- [ ] Restricted Search **Not** Applied
- [ ] All Reference (Subversive & Nonsubversive)
- [ ] Subversive Search
- [ ] Nonsubversive Search
- [ ] Main ____________ References Only

DEC 11 1978

Special Instructions:
- [ ] Restricted to Locality of ____________
- [ ] Exact Name Only (On the Nose)
- [ ] Buildup
- [ ] Variations

Subject Nugan Hand Limited
Birthdate & Place ____________
Address ____________

Localities ____________

R# ______ Date 12-7 Searcher Initials 404

Prod. ✓

	FILE NUMBER	SERIAL
I	163-45612 I	1-4
I	87-0-9028	

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED 308,506 FBI/DOJ
DATE 2/15/96 BY SP5 [illegible]

FD-36 (Rev. 5-22-78)

FBI

TRANSMIT VIA:	PRECEDENCE:	CLASSIFICATION:
☐ Teletype	☐ Immediate	☐ TOP SECRET
☐ Facsimile	☐ Priority	☐ SECRET
☒ Airtel	☐ Routine	☐ CONFIDENTIAL
	☐ UNCLAS E F T O
	☐ UNCLAS

Date 12/19/78

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TO: DIRECTOR, FBI

FROM: SAC, HONOLULU (163-307) (RUC)

SUBJECT: FRANK NUGAN;
FOREIGN POLICE COOPERATION
OO: Bureau

Re Bureau letter to Honolulu, 11/24/78. u

Enclosed for the Bureau are the original and five copies of an LHM reporting investigation regarding [redacted] [redacted] in captioned matter. SU

Investigation in the enclosed LHM was conducted by SA [redacted] u

Without further background information regarding [redacted] it was not possible to determine and evaluate [redacted] reason for trying to disassociate Nugan Hand International from the Nugan Group. Direct inquiries would have to be made to determine the foregoing, and these inquiries would most probably come to the attention of [redacted] These inquiries are not being made without a specific request from Legat, Manila. SU

EX-113 REC-60 163-1

[redacted] has [redacted] SSAN as [redacted] which is different from the SSAN listed on [redacted] driver's license. u

DEC 28 1978

2 - Bureau (Enc. 6)
1 - Honolulu

WTH:mes
(3)

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 12-19-2008 BY

Approved: Transmitted (Number) (Time) Per

FBI/DOJ

It was determined from [redacted] [redacted] that the information in the LHM may be disseminated to a foreign government. (u)

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UNITED STATES DEPARTMENT OF JUSTICE

FEDERAL BUREAU OF INVESTIGATION

In Reply, Please Refer to File No.

Honolulu, Hawaii

December 19, 1978

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FRANK NUGAN: [redacted]
Doing Business As
Nugan Hand International,
Nugan Hand Bank;
NUGAN GROUP

} H

The State of Hawaii General Excise Tax Branch, Honolulu, Hawaii, lists Nugan Hand, Inc., care of Hawaiian Trust, Ltd., Post Office Box 3170, Honolulu, Hawaii, 96802, as having license to do business in the State of Hawaii as of August 5, 1974. The current business license was issued February 3, 1978. Copies of the State of Hawaii Department of Regulatory Agencies, Business Registration Division, Honolulu, Hawaii, papers concerning Nugan Hand, Inc., are attached. There was no record found at the Department of Regulatory Agencies for Nugan Hand International. U

The 1978 City Directory for Honolulu, Hawaii, lists Frank Nugan as President and [redacted] [redacted] Nugan Hand, Inc., with an address of 111 South King Street, Honolulu, telephone number 525-7520. U

The Hawaiian Trust Company, Ltd., is located at 111 South King Street, Honolulu. Telephone number [redacted] is for [redacted] Hawaiian Trust Company. U

The City Directory lists the [redacted] named in the enclosed papers as [redacted] American Trust of Hawaii, 841 Bishop Street, Honolulu, Hawaii. U

The current City Directory for Honolulu lists [redacted] Honolulu, as "retired." The Honolulu telephone directory lists an [redacted] [redacted] Honolulu, with telephone number [redacted] U

163-4561 - 2

ENCLOSURE

RE: FRANK NUGAN; [redacted]
NUGAN GROUP

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[redacted] located at [redacted] lists [redacted] as retired from [redacted] No other information regarding [redacted] is available to the public. u

For Domestic Profit Corporations

FILING FEE $10.00

MAKE REMITTANCE PAYABLE TO: DEPARTMENT OF REGULATORY AGENCIES

Your Cancelled Check Is Your Receipt

STATE OF HAWAII
DEPARTMENT OF REGULATORY AGENCIES
Business Registration Division
1010 Richards Street
Mailing Address: P. O. Box 40, Honolulu, Hawaii 96810

b6
b7C

DEC 27 1977
DEPT. OF REGULATORY AGENCIES
STATE OF HAWAII

AMENDED

ANNUAL CORPORATION EXHIBIT

OF

Corporate Name (NUGAN HAND, INC.) ~~SWISS-PACIFIC, INC.~~

Mailing Address 111 South King St., Honolulu, Hawaii 96813

For Year Ended June (Month) 30 (Day) 1977 (Year)

Authorized Capital				Paid-In Capital (Cannot Exceed Authorized Capital)		
Class	Shares	Par Share	Par Total	Class	Shares	Amount
Common	376,365	$1.00	$376,365.00	Common	276,365	$376,365.00
		$	$			$
		$	$			$

Officers and directors:

Office Held	Name in Full	Social Security Number	Residence Address
President, Secretary & Treasurer	Francis John Nugan	None	8th Flr., 55 Macquarie St Sydney N.S.W., Australia 2000
[redacted]		None	8th Flr., 55 Macquarie St Sydney, N.S.W., Australia 2000

Directors: (minimum 3)—At least one-third must be residents of Hawaii.

Name in Full	Social Security Number	Residence Address
Francis John Nugan	None	8th Flr., 55 Macquarie St Sydney, N.S.W., Australia 2000
[redacted]	None	8th Flr., 55 Macquarie St Sydney, N.S.W., Australia 2000
[redacted]		

1. Date of incorporation: August 1, 1974 General Excise Tax Number: 1011838
2. Nature of corporate business: Investment Bankers
3. Business Code Number (as shown on Federal Corporation Income Tax Return): 99-0158843
4. Total value of assets as shown on balance sheet. $709,646.00
5. Total liabilities as shown on balance sheet. (do not include capital) $None
6. Gross income received. $4,571.00
7. Dividends paid. (See Note 1) Cash $ None Stock $None
8. CAPITAL STOCK: (See Note 2)

Issued during the period
(125,945 shares preferred stock at $1.00 par value
(None shares common stock at $ N/A par value

Consideration received for stock issued during the period
($None cash
($None value of property
($125,945.00 from prior paid-in capital surplus

Distribution of consideration received
($125,945.00 to capital
($None to paid-in capital (surplus)

Acquired during the period (See Note 3)
(None shares preferred stock
(None shares common stock

Consideration paid for acquired stock
($None cash

Amended

Note 1: Dividends may be authorized and paid only from the profits and retained earnings (earned surplus) pursuant to the provisions of Section 416-91, Hawaii Revised Statutes.

Note 2: Section 485-8, provides that it shall be unlawful to offer or sell any security unless the security is exempt from registration, or unless the security is sold in an exempt transaction, or unless the security has been registered with the Commissioner of Securities of the State of Hawaii.

Note 3: Treasury stock may be acquired only pursuant to the provisions of Section 416-28.

File Number 1580

(Continued on Reverse Side)

Filing fee is 20 cents per $1000 increase, minimum fee $20

27,149

STATE OF HAWAII
DEPARTMENT OF REGULATORY AGENCIES
Business Registration Division
1010 Richards Street
Mailing Address: P. O. Box 40, Honolulu, Hawaii 96810

RECEIVED
DEC 27 1977
4:15 Pm
DEPT. OF REGULATORY AGENCIES
STATE OF HAWAII

In the matter of the increase of the authorized capital stock of

NUGAN HAND, INC.
(Corporate Name)

CERTIFICATE RE INCREASE OF AUTHORIZED CAPITAL STOCK

The undersigned duly authorized officers of the captioned Hawaii corporation, being first duly sworn under oath, depose and affirm as follows:

(line out inapplicable statement)

A. ~~That at a special meeting of the stockholders of said corporation duly called for the purpose of increasing the authorized capital stock and held at ______ on the ______ day of ______, 19___, it was voted by the holders of ______ shares out of ______ shares outstanding and having voting power to increase the authorized capital stock as set forth in paragraph C.~~

B. That all of the stockholders of the corporation entitled to vote have consented in writing, in lieu of a meeting, to increase the authorized capital stock as set forth in paragraph C.

C. The shareholders have approved the increase of the authorized capital stock of said corporation from $ 376,365.00 to $ 707,565.00 common by authorizing the issuance of 331,200 new shares of the par value of $ 1.00 each, and/or from $ N/A to $ N/A preferred by authorizing the issuance of N/A new shares of the par value of $ N/A each.

And in compliance with the requirements of law, the undersigned officers further depose and affirm:

D. That the present authorized capital stock of said corporation is $ 376,365.00 common and $ None preferred;

E. That the amount to which the capital stock of said corporation may be increased under its articles of incorporation is $ 10,000,000.00 common and $ None preferred, being $ 10,000,000.00 in the aggregate;

F. That not less than ten percent of the total authorized capital stock as increased has been paid in, in cash or property.

(It must be stated in paragraph F that not less than ten percent of the total authorized capital stock as increased has been paid in, in cash or property, or that the corporation holds cash or property of a value equal to ten percent of the total authorized capital stock as

COMMONWEALTH OF AUSTRALIA
STATE OF NEW SOUTH WALES
CITY OF SYDNEY
CONSULATE GENERAL OF THE
UNITED STATES OF AMERICA } SS

Subscribed and sworn to before me this 9th day of December, 1977

Joseph P. Malone
~~Notary Public~~ American Consul
~~Judicial Circuit, State of Hawaii~~

My Commission expires: X X X

Office held: President

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533 0024A3 427A

Rev. 3/73

(Instructions on reverse side)

Change of Corporate Name
Profit

Make two separate checks as follows:
Department of Regulatory Agencies Fee $10.00
Bureau of Conveyances Fee $2.00

STATE OF HAWAII
DEPARTMENT OF REGULATORY AGENCIES
Business Registration Division
1010 Richards Street
Mailing Address: P. O. Box 40, Honolulu, Hawaii 96810

RECEIVED
OCT 27 1977
12:55 pm

In the Matter of the Amendment of the Articles of Incorporation of SWISS-PACIFIC, INC.

CERTIFICATE OF AMENDMENT

The undersigned duly authorized officers of SWISS-PACIFIC, INC. ________, a Hawaii corporation, do hereby certify as follows:

(line out inapplicable statement)

DEPARTMENT OF REGULATORY AGENCIES
STATE OF HAWAII
Officially Recorded
On
November 3, 1977

~~A. That at a special meeting of the stockholders of said corporation duly called for the purpose of changing the name of the corporation and held at ________ on the ____ day of ________, 19__, it was voted by the holders of ________ shares out of ________ shares of stock issued, outstanding, and having voting power, to amend the Articles of Incorporation by deleting the name "________" wherever it appears in the Articles of Incorporation, and inserting in lieu thereof the name "________".~~

B. That all of the stockholders of the corporation entitled to vote have consented in writing, in lieu of a meeting, to amend the Articles of Incorporation by deleting the name " SWISS-PACIFIC, INC. " wherever it appears in the Articles of Incorporation, and inserting in lieu thereof the name " NUGAN HAND, INC. ".

IN WITNESS WHEREOF, the undersigned have hereunto set their hands this 14th day of October, 1977.

FILING FEE. CAPITAL $ ________ $ 10.—
CERTIFICATION ________ Copies $ ________
TOTAL $ ________

Office held: President/Secretary/Treasurer

[redacted]

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STATE OF HAWAII)
) ss.
CITY & COUNTY OF HONOLULU)

FRANCIS JOHN NUGAN and [redacted] being first duly sworn on oath depose and say that they are the Pres./Sec./Treas. and [redacted] respectively, of SWISS-PACIFIC, INC.; that as such officers they are duly authorized to sign the foregoing Certificate of Amendment; and that they have read the said Certificate, know the contents thereof, and that the same are true.

Office held: Pres./Sec./Treas.

[redacted]

Subscribed and sworn to before me this 14th day of October, 1977.

Carole Morey
Notary Public, 1st Judicial Circuit
State of Hawaii
My Commission expires: 4-4-80

(See reverse side for instructions)

Sd. #087 10.00 10/27/77

RECORDATION REQUESTED BY

DEPARTMENT OF REGULATORY AGENCIES
BUSINESS REGISTRATION DIVISION
ISSUE RECEIPT TO:

AFTER RECORDATION, RETURN TO:

DEPARTMENT OF REGULATORY AGENCIES
BUSINESS REGISTRATION DIVISION

RETURN BY: MESSENGER

77-101404

STATE OF HAWAII
BUREAU OF CONVEYANCES
RECORDED
LIBER 12535 PG 490

77 NOV 3 AM : 25

REGISTRAR

STATE OF HAWAII
DEPARTMENT OF REGULATORY AGENCIES
HONOLULU

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CERTIFICATE FOR FILING WITH THE REGISTRAR OF THE BUREAU OF CONVEYANCES OF THE STATE OF HAWAII AS REQUIRED BY SECTION 416-23, HAWAII REVISED STATUTES

I, the undersigned Director of Regulatory Agencies of the State of Hawaii, do hereby certify that pursuant to an amendment to the Articles of Incorporation of SWISS-PACIFIC, INC., a Hawaii corporation, the name of the said corporation was changed to NUGAN HAND, INC.

Further, I do hereby certify that I have determined that such change in the name of said corporation is not in conflict with the provisions of Section 416-12, Hawaii Revised Statutes.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of the Department of Regulatory Agencies, at Honolulu, this 2nd day of November, 1977.

Profit

Department of Regulatory Agencies Fee $10.00
Bureau of Conveyances Fee $2.00

STATE OF HAWAII
DEPARTMENT OF REGULATORY AGENCIES
Business Registration Division
1010 Richards Street
Mailing Address: P. O. Box 40, Honolulu, Hawaii 96810

RECEIVED
BUSINESS REGISTRATION DIVISION
FEB 14 12 43 PM '77
DEPARTMENT OF REGULATORY AGENCIES
STATE OF HAWAII

27,149

In the Matter of the Amendment of the Articles of Incorporation of NUGAN HAND, INC.

CERTIFICATE OF AMENDMENT

The undersigned duly authorized officers of NUGAN HAND, INC. ______, a Hawaii corporation, do hereby certify as follows:

(line out inapplicable statement)

~~A. That at a special meeting of the stockholders of said corporation duly called for the purpose of changing the name of the corporation and held at ______ on the ____ day of ______, 19__, it was voted by the holders of ______ shares out of ______ shares of stock issued, outstanding, and having voting power, to amend the Articles of Incorporation by deleting the name "______" wherever it appears in the Articles of Incorporation, and inserting in lieu thereof the name "______".~~

DEPARTMENT OF REGULATORY AGENCIES
STATE OF HAWAII
Officially Recorded
On
February 18, 1977

B. That all of the stockholders of the corporation entitled to vote have consented in writing, in lieu of a meeting, to amend the Articles of Incorporation by deleting the name "NUGAN HAND, INC." wherever it appears in the Articles of Incorporation, and inserting in lieu thereof the name "SWISS-PACIFIC, INC.".

IN WITNESS WHEREOF, the undersigned have hereunto set their hands this ______ day of ______, 19__.

[signature] President

Office held:

COMMONWEALTH OF AUSTRALIA
STATE OF NEW SOUTH WALES
CITY OF SYDNEY
CONSULATE GENERAL OF THE UNITED STATES OF AMERICA
~~STATE OF HAWAII~~) ss.

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Francis John Nugan and [redacted] being first duly sworn on oath depose and say that they are the President and [redacted] respectively, of Nugan Hand, Inc.; that as such officers they are duly authorized to sign the foregoing Certificate of Amendment; and that they have read the said Certificate, know the contents thereof, and that the same are true.

[signature] President

FILING FEE. CAPITAL $	$ 10.—
CERTIFICATION ____ Copies	$
TOTAL	$

Subscribed and sworn to before me this 7th day of January, 19 77.

[signature]
Joseph P. Malone, American Consul
Notary Public, ~~Judicial Circuit~~
~~State of Hawaii~~
My Commission expires: X X X

(See reverse side for instructions)

Rev. 8/72

RECORDATION REQUESTED BY:

DEPARTMENT OF REGULATORY AGENCIES
BUSINESS REGISTRATION DIVISION
ISSUE RECEIPT TO:

AFTER RECORDATION, RETURN TO:

DEPARTMENT OF REGULATORY AGENCIES
BUSINESS REGISTRATION DIVISION

RETURN BY: MESSENGER

77- 14649

STATE OF HAWAII
BUREAU OF CONVEYANCES
RECEIVED FOR RECORD
LIBER 12026 PG 54
1977 FEB 18 PM 12:01

Chas T. Neumann III
REGISTRAR

STATE OF HAWAII
DEPARTMENT OF REGULATORY AGENCIES
HONOLULU

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CERTIFICATE FOR FILING WITH THE REGISTRAR OF THE BUREAU OF CONVEYANCES OF THE STATE OF HAWAII AS REQUIRED BY SECTION 416-23, HAWAII REVISED STATUTES

I, the undersigned Director of Regulatory Agencies of the State of Hawaii, do hereby certify that pursuant to an amendment to the Articles of Incorporation of NUGAN HAND, INC., a Hawaii corporation, the name of the said corporation was changed to SWISS-PACIFIC, INC.

Further, I do hereby certify that I have determined that such change in the name of said corporation is not in conflict with the provisions of Section 416-12, Hawaii Revised Statutes.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the seal of the Department of Regulatory Agencies, at Honolulu, this 17th day of February, 1977.

Filing fee is 20 cents per $1000 increase, minimum fee $20

27149

STATE OF HAWAII
DEPARTMENT OF REGULATORY AGENCIES
Business Registration Division
1010 Richards Street
Mailing Address: P. O. Box 40, Honolulu, Hawaii 96810

FEB 11 1977
11:58 AM
DEPT. OF REGULATORY AGENCIES, STATE OF HAWAII

In the matter of the increase of the authorized capital stock of

NUGAN HAND, INC.
(Corporate Name)

CERTIFICATE RE INCREASE OF AUTHORIZED CAPITAL STOCK

The undersigned duly authorized officers of the captioned Hawaii corporation, being first duly sworn under oath, depose and affirm as follows:

(line out inapplicable statement)

A. ~~That at a special meeting of the stockholders of said corporation duly called for the purpose of increasing the authorized capital stock and held at ______ on the ______ day of ______, 19__, it was voted by the holders of ______ shares out of ______ shares outstanding and having voting power to increase the authorized capital stock as set forth in paragraph C.~~

B. That all of the stockholders of the corporation entitled to vote have consented in writing, in lieu of a meeting, to increase the authorized capital stock as set forth in paragraph C.

C. The shareholders have approved the increase of the authorized capital stock of said corporation from $250,420.00 to $376,365.00 common by authorizing the issuance of 125,945 new shares of the par value of $ 1.00 each, and/or from $ N/A to $ N/A preferred by authorizing the issuance of N/A new shares of the par value of $ N/A each.

And in compliance with the requirements of law, the undersigned officers further depose and affirm:

D. That the present authorized capital stock of said corporation is $ 250,420.00 common and $ None preferred;

E. That the amount to which the capital stock of said corporation may be increased under its articles of incorporation is $ 10,000,000.00 common and $ None preferred, being $ 10,000,000.00 in the aggregate;

F. That not less than ten percent of the total authorized capital stock as increased has been paid in, in cash or property.

(It must be stated in paragraph F that not less than ten percent of the total authorized capital stock as increased has been paid in, in cash or property, or that the corporation holds cash or property of a value equal to ten percent of the total authorized capital stock as increased.)

COMMONWEALTH OF AUSTRALIA
STATE OF NEW SOUTH WALES
CITY OF SYDNEY
CONSULATE GENERAL OF THE UNITED STATES OF AMERICA } S.S

Subscribed and sworn to before me this 7th day of January 19 77

[signature]
Notary Public Joseph P. Malone
American Consul

My Commission expires: X X X

[signature]
Office held: President

[redacted] b6 b7C

SSHR 9064 2500^a 811R

Rev. 3/73

(Instructions on reverse side)

Filing fee is 20 cents per $1000 increase, minimum fee $20

STATE OF HAWAII
DEPARTMENT OF REGULATORY AGENCIES
Business Registration Division
1010 Richards Street
Mailing Address: P. O. Box 40, Honolulu, Hawaii 96810

RECEIVED
AUG 6 1975 11:19 am
DEPARTMENT OF REGULATORY AGENCIES
STATE OF HAWAII

In the matter of the increase of the authorized capital stock of

NUGAN HAND, INC.
(Corporate Name)

CERTIFICATE RE INCREASE OF AUTHORIZED CAPITAL STOCK

The undersigned duly authorized officers of the captioned Hawaii corporation, being first duly sworn under oath, depose and affirm as follows:

(line out inapplicable statement)

~~A. That at a special meeting of the stockholders of said corporation duly called for the purpose of increasing the authorized capital stock and held at ______ on the ____ day of ______, 19__ it was voted by the holders of ______ shares out of ______ shares outstanding and having voting power to increase the authorized capital stock as set forth in paragraph C.~~

B. That all of the stockholders of the corporation entitled to vote have consented in writing, in lieu of a meeting, to increase the authorized capital stock as set forth in paragraph C.

C. The shareholders have approved the increase of the authorized capital stock of said corporation from $ 244,150.00 to $ 250,420.00 common by authorizing the issuance of 6,270 new shares of the par value of $ 1.00 each, and/or from $ N/A to $ N/A preferred by authorizing the issuance of N/A new shares of the par value of $ N/A each.

And in compliance with the requirements of law, the undersigned officers further depose and affirm:

D. That the present authorized capital stock of said corporation is $ 244,150.00 common and $ None preferred;

E. That the amount to which the capital stock of said corporation may be increased under its articles of incorporation is $ 10,000,000.00 common and $ None preferred, being $ 10,000,000.00 in the aggregate;

F. That not less than ten percent of the total authorized capital stock as increased has been paid in, in cash or property.

(It must be stated in paragraph F that not less than ten percent of the total authorized capital stock as increased has been paid in, in cash or property, or that the corporation holds cash or property of a value equal to ten percent of the total authorized capital stock as increased.)

Subscribed and sworn to before me this 29 day of July, 19 75.

[signature]
William H. Tienken, Consul of the United States of America.
Notary Public, ______ Judicial Circuit, State of Hawaii

My commission expires: xxx

[signature]
Office held: President

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b7C

Rev. 3/73

(Instructions on reverse side)

2025 2000a 600R

Filing fee is 20 cents per $1000 increase, minimum fee $20

27109

STATE OF HAWAII
DEPARTMENT OF REGULATORY AGENCIES
Business Registration Division
1010 Richards Street
Mailing Address: P. O. Box 40, Honolulu, Hawaii 96810

RECEIVED
SEP 16 1974
3:22 pm
DEPARTMENT OF REGULATORY AGENCIES
STATE OF HAWAII

In the matter of the increase of the authorized capital stock of

NUGAN HAND, INC.
(Corporate Name)

CERTIFICATE RE INCREASE OF AUTHORIZED CAPITAL STOCK

The undersigned duly authorized officers of the captioned Hawaii corporation, being first duly sworn under oath, depose and affirm as follows:

(line out inapplicable statement)

A. ~~That at a special meeting of the stockholders of said corporation duly called for the purpose of increasing the authorized capital stock and held at ____ on the ____ day of ____, 19__, it was voted by the holders of ____ shares out of ____ shares outstanding and having voting power to increase the authorized capital stock as set forth in paragraph C.~~

B. That all of the stockholders of the corporation entitled to vote have consented in writing, in lieu of a meeting, to increase the authorized capital stock as set forth in paragraph C.

C. The shareholders have approved the increase of the authorized capital stock of said corporation from $1,000.00 to $244,150.00 common by authorizing the issuance of 243,150 new shares of the par value of $1.00 each, and/or from $ N/A to $ N/A preferred by authorizing the issuance of N/A new shares of the par value of $ N/A each.

And in compliance with the requirements of law, the undersigned officers further depose and affirm:

D. That the present authorized capital stock of said corporation is $1,000.00 common and $ None preferred;

E. That the amount to which the capital stock of said corporation may be increased under its articles of incorporation is $ 10,000,000.00 common and $ None preferred, being $ 10,000,000.00 in the aggregate;

F. That not less than ten percent of the total authorized capital stock as increased has been paid in, in cash or property.

COMMONWEALTH OF AUSTRALIA
STATE OF NEW SOUTH WALES
CITY OF SYDNEY
CONSULATE GENERAL OF THE
UNITED STATES OF AMERICA
S.S.

...paragraph F that not less than ten percent of the total authorized capital stock as increased has been paid in, in cash or property, or that the corporation holds cash or property of a value equal to ten percent of the total authorized capital stock as increased.

Subscribed and sworn to before me this 9th day of September, 1974.

Alta Fowler
Alta Fowler

Notary Public, ~~Judicial Circuit~~ ~~State of Hawaii~~
Consul of the United States of America at Sydney, Australia
~~My commission expires~~

b6
b7C

Francis John Nugan

Rev. 3/73

(Instructions on reverse side)

P()1 1 3 SEP 16

48.63-1

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED 308,566
DATE 3/5/90 BY SPSC/LDMS

27,149

DEPARTMENT OF REGULATORY AGENCIES

STATE OF HAWAII

In the Matter of the Incorporation)
of)
NUGAN HAND, INC.)

ARTICLES OF INCORPORATION

and

AFFIDAVIT

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Honolulu, Hawaii 96813

Attorney for Incorporators

Of Counsel

P01 12 AUG 2 50.00

ARTICLES OF INCORPORATION

OF

NUGAN HAND, INC.

August 2, 1974

6000. 50. -

KNOW ALL MEN BY THESE PRESENTS:

That we, [redacted] [redacted] having united and associated ourselves together to form a corporation under the laws of the State of Hawaii, do hereby agree to the following Articles of Incorporation, that is to say:

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1. The name of the corporation, hereinafter called the "Corporation", shall be NUGAN HAND, INC.

2. The location and principal office address of the Corporation is and shall be Financial Plaza of the Pacific, 4th Floor Bank Bldg., 111 So. King St., Honolulu, Hawaii, with branch offices at such other places within said State and elsewhere in the United States of America, including territories and possessions thereof and in foreign countries as the business of the Corporation may from time to time require and as the Board of Directors of the Corporation in its discretion may designate.

3. The purposes of the Corporation or the objects for which it is organized are:

(a) To engage in the business of investment bankers, industrial loan companies, money lenders, investment companies, investment consultants, underwriters, guarantors and to deal in and make loans upon commercial or business paper and to lend money upon the security of real and personal property, goods, wares and merchandise of any kind or kinds, to make loans and

to discount contracts, promissory notes, commercial paper, debentures and securities of every kind and nature and carry out all lawful operations and transactions of the investment business.

(b) To borrow money for any business, object or purpose of the Corporation from time to time, without limit as to amount; to issue any kind of evidences of indebtedness convertible into shares of capital stock of the Corporation; to secure the payment of any evidence of indebtedness by the creation of any interest in any of the property or rights of the Corporation, or in any property owned by others when the Corporation has the right so to do, whether owned by or subject to such right of the Corporation at the time such indebtedness is incurred or thereafter.

(c) To loan to any person, corporation, trust, firm, public authority or organization of any kind any of its funds or property, with or without security, and to guarantee the loans of any of the foregoing.

(d) To purchase, hold, sell, convert, redeem, escrow, transfer, reissue or cancel shares of its own capital stock or any instruments evidencing its indebtedness or any other securities issued by it.

(e) To engage in any commercial construction, contracting, mercantile, manufacturing, industrial, trading, mining, real estate, petroleum or petroleum products business or venture of any kind, character or description whatsoever, either by itself or jointly with others, and to do all things incidental to the conduct of such business.

(f) To acquire all or any part of the property

and business, including good will, of any person, corporation, partnership or other entity, to pay as consideration therefor cash or property, including securities issued by the Corporation, to assume in connection therewith any liabilities or obligations of any such person, corporation or partnership, and to hold, conduct, use or dispose of the whole or any part of the property and business, including any good will, so acquired.

(g) To subscribe for, buy, acquire, sell, encumber and deal in and with securities of any other corporation or municipal corporation, debentures, stocks, bonds, commercial paper, and other forms of assets, rights and interests and evidences of property or indebtedness, tangible or intangible.

(h) To be a promoter, partner, joint venturer, member, associate of other business enterprises or ventures, and to the extent permitted in any other jurisdiction to be an incorporator of other corporations of any type or kind.

(i) To hold, improve, develop, sell, lease, mortgage or otherwise encumber or otherwise dispose of real estate and personal property of the Corporation or any interest therein.

(j) To do any of the foregoing either on its own account or as agent, contractor, trustee or otherwise, and by and through agents, factors, contractors or trustees, and either directly or by virtue of ownership or control of the stock or other interest in any other corporation, association or entity.

(k) To do and transact any and every kind of business which is permitted under the general corporation laws of the State of Hawaii as now in force or as hereafter

amended.

4. The capital of the Corporation shall be ONE THOUSAND DOLLARS ($1,000.00), consisting of ONE THOUSAND (1,000) shares of common stock of the par value of ONE DOLLAR ($1.00) each, with the privilege of subsequent extension thereof to an amount not to exceed TEN MILLION DOLLARS ($10,000,000.00) by the issuance of additional shares of like par value.

5. The Corporation shall have the power from time to time to create an additional class or additional classes of stock with such preferences, voting powers, restrictions and qualifications thereof as shall be fixed in the resolution authorizing the issuance thereof in accordance with law. Subject to the pre-emptive rights of the holders of common stock as set forth in Article 12, the Board of Directors is authorized to determine the terms and conditions upon which and the persons to whom authorized and unissued shares may be issued and sold.

6. There shall always be a Board of Directors consisting of not less than three (3) nor more than fifteen (15) members who shall have and exercise all of the corporate powers of the Corporation, and a President, one or more Vice-Presidents, a Secretary and a Treasurer. The Directors shall be elected and may be removed by the shareholders of the Corporation. The President, Vice-Presidents, Secretary and Treasurer, as well as any other officers that may be provided for by the By-Laws, shall be appointed by the Directors, either wholly or partly from members of the Board of Directors, or wholly or partly from persons not Directors. Any person, whether a Director or not, may hold any two (2) of said offices. The President, the Vice-Presidents, Secretary and Treasurer, as

well as any other officers that may be provided by the By-Laws, may be removed from time to time by the Directors. No Director or officer need be a shareholder of the Corporation.

7. The following persons shall comprise the Board of Directors of the Corporation and shall hold office subject to the provisions of Article 6 hereof until the first annual meeting of the Corporation to be held as provided for in the By-Laws of the Corporation and thereafter until their successors shall have been elected and shall have qualified:

Name	Mailing Address
FRANCIS JOHN NUGAN	8th Floor 55 Macquarie Street Sydney NSW Australia 2000
	8th Floor 55 Macquarie Street Sydney NSW Australia 2000
	Honolulu, Hawaii 96813

8. The following persons whose mailing addresses are as set forth below shall hold the office or offices set opposite their respective names (subject to the provisions of Article 6 hereof) henceforth and until the first annual meeting of the Corporation and thereafter until their successors shall have been appointed and shall have qualified:

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Name	Mailing Address	Office Held
FRANCIS JOHN NUGAN	Same as above	President/Treasurer/ Secretary
	Same as above	

9. The duration of the Corporation shall be perpetual.

10. The Corporation shall have all of the powers and rights and be subject to all of the liabilities provided by

law for incorporated companies, and shall be subject to and have all the benefits of all general laws to be hereafter enacted in regard to corporations. All of the property of the Corporation shall be liable for the just debts thereof but no shareholder shall be liable for the debts of the Corporation beyond the amount of what is due upon the share or shares owned by him or them.

11. The Corporation shall indemnify each person who was or is a party or is threatened to be made a party to any threatened, pending or completed action, suit or proceeding, whether civil, criminal, administrative or investigative by reason of the fact that he is or was a director, officer, employee or agent of the Corporation, or is or was serving at the request of the Corporation as a director, officer, employee or agent of another corporation, partnership, joint venture, trust or other enterprise, against expenses (including attorneys' fees), judgments, fines and amounts paid in settlement actually and reasonably incurred by him in connection with such action, suit or proceeding to the extent permitted by law or the By-Laws of the Corporation.

12. In case of any increase of the outstanding capital stock of the Corporation by the issuance or reissuance of any shares of any class, or by the issuance of any obligations or securities covertible into shares of capital stock (unless the same shall be issued or sold or granted in connection with the acquisition of the property or of substantially the entire assets of a going business or in connection with a merger or consolidation) such stock, obligations, or securities

shall, unless otherwise provided by the vote of the holders of not less than three-fourths (3/4) of the common stock issued and outstanding, before being sold or offered to others, be offered to the holders of the common stock of the Corporation as of the date of issuance as shown by the stock books of the Corporation upon such terms (which terms shall not be less favorable than the terms upon which said shares are thereafter sold to others) as shall be determined by the Board of Directors in proportion to the shares of common stock respectively held by such stockholders at such date.

IN WITNESS WHEREOF, the parties hereinabove named have hereunto set their hands this 2nd day of August, 1974.

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STATE OF HAWAII)
) SS.
CITY AND COUNTY OF HONOLULU)

On this 2nd day of August, 1974, before me personally appeared RICHARD K. INGERSOLL, EDWARD M. SANPEI and WILLIAM R. LOOMIS, to me known to be the persons described in and who executed the foregoing instrument, and acknowledged that they executed the same as their free act and deed.

Irene Yamashita
Notary Public, First Judicial Circuit, State of Hawaii.

My commission expires OCT. 30, 1976

DEPARTMENT OF REGULATORY AGENCIES

STATE OF HAWAII

In the Matter of the Incorporation	)
of	)
NUGAN HAND, INC.	)

AFFIDAVIT

CITY AND COUNTY OF HONOLULU)
) SS:
STATE OF HAWAII)

FRANCIS JOHN NUGAN, being first duly and severally sworn on oath, deposes and says:

That he is respectively and in the order named the President/Secretary/Treasurer of NUGAN HAND, INC., a corporation seeking to be incorporated under the laws of the State of Hawaii; that the authorized capital of the Corporation is in the sum of ONE THOUSAND DOLLARS ($1,000.00), divided into ONE THOUSAND (1,000) shares of common stock of the par value of ONE DOLLAR ($1.00) each; that all of the said shares have been subscribed for; that the name of the subscriber for stock in the Corporation, the number of shares subscribed for, the subscription price for said shares, and the amount paid in in cash are as follows:

Name of Subscriber	No. of Shares Subscribed For	Subscription Price for Shares	Amount Paid In in Cash
NUGAN HAND NEEDHAM, LIMITED, an Australian corporation	1,000	$1,000.00	$1,000.00

And further affiant sayeth not.

Francis John Nugan

Subscribed and sworn to before me this 2nd day of August, 1974.

Notary Public, First Judicial Circuit, State of Hawaii.

My commission expires: OCT. 30, 1976

9. Amount of stock subscriptions due, if any. $ None

10. Voting powers and stockholders:
   (a) Does each share of stock have the right to vote? Yes If not, explain voting rights:
   N/A
   (b) Date of last annual meeting January 7, 1977
   (c) State the total voting power of all stockholders as of the date of this report 376,365
   (d) State the total number of stockholders as of the date of this report One

DECLARATION

I declare, under the penalties set forth in Section 416-94, Hawaii Revised Statutes, that this exhibit, including any accompanying schedules or statements, has been examined by me, and to the best of my knowledge and belief is a true, correct, and complete exhibit, made in good faith, for the period stated.

(Signature of person or firm preparing this exhibit)

(Signature of authorized corporate officer)

Date 12/9/77 Office held President

INSTRUCTIONS

This exhibit shall be made as of December 31 of each year. If the corporation has adopted a fiscal year, it must apply in writing to the Director of Regulatory Agencies to be allowed to make the exhibit as of the end of its fiscal year. Exhibit forms may be obtained from the Director, and all of the information requested in the exhibit form must be furnished; otherwise, the exhibit will not be accepted. Failure to file the exhibit within the prescribed period will subject the corporation to a maximum penalty of $100.00 for every thirty days the delinquency continues, and if continued for a period of two years, the corporation may be dissolved by the Director.

DATE DUE: On or before the 120th day following the end of the fiscal or calendar year adopted.

FILING OF FIRST EXHIBIT:

1. Calendar Year Basis. First exhibit due as of the end of the calendar year in which corporation incorporated, provided that if the corporation incorporated after December 20 an annual exhibit will not be required for the first year.
2. Fiscal Year Basis. First exhibit due as of the first fiscal year after the date of incorporation. The fiscal year shall not exceed twelve months after the date of incorporation.

INACTIVE CORPORATION: An exhibit must be filed annually even though a corporation may have been inactive for that period.

CHANGE IN PERIOD COVERED: Authorization will be granted upon written request to change the fiscal or calendar year, provided however that upon the change the period covered shall not exceed twelve months.

ALL QUESTIONS MUST BE ANSWERED: If the answer to any question is "none" so state or enter a dash in the space provided.

DO NOT ATTACH FINANCIAL STATEMENTS.

For Domestic Profit [illegible]orations

FILING FEE $10.00

MAKE REMITTANCE PAYABLE TO: DEPARTMENT OF REGULATORY AGENCIES
Your Cancelled Check Is Your Receipt

STATE OF HAWAII
DEPARTMENT OF REGULATORY AGENCIES
Business Registration Division
1010 Richards Street
Mailing Address: P. O. Box 40, Honolulu, Hawaii 96810

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ANNUAL CORPORATION EXHIBIT

OF

Corporate Name ~~(NUGAN HAND,~~ INC.) SWISS-PACIFIC, INC.

Mailing Address 111 South King Street, Hon., HI 96813

For Year Ended June (Month) 30 (Day) 1977 (Year)

Authorized Capital				Paid-In Capital (Cannot Exceed Authorized Capital)		
Class	Shares	Par Share	Par Total	Class	Shares	Amount
Common	376,365	$1.00	$376,365.00	Common	376,365	$376,365.00
		$	$			$
		$	$			$

Officers and directors:

Office Held	Name in Full	Social Security Number	Residence Address
President, Secretary & Treasurer	Francis John Nugan	NONE	8th Flr., 55 Macquarie St. Sydney N.S.W., Australia 2000
[redacted]		NONE	8th Flr., 55 Macquarie St. Sydney, N.S.W., Australia 2000

Directors: (minimum 3)—At least one-third must be residents of Hawaii.

Name	Social Security Number	Residence Address
Francis John Nugan	NONE	8th Flr., 55 Macquarie St. Sydney N.S.W., Australia 2000
[redacted]	NONE	8th Flr., 55 Macquarie St. Sydney N.S.W., Australia 2000
[redacted]		

1. Date of incorporation: August 1, 1974 General Excise Tax Number: 1011838
2. Nature of corporate business: Investment Bankers
3. Business Code Number (as shown on Federal Corporation Income Tax Return): 99-0158843
4. Total value of assets as shown on balance sheet. $709,646.00
5. Total liabilities as shown on balance sheet. (do not include capital) $None
6. Gross income received. $4,571.00
7. Dividends paid. (See Note 1) Cash $ None Stock $ None
8. CAPITAL STOCK: (See Note 2)

Issued during the period
(None shares preferred stock at $ par value
(None shares common stock at $ par value

Consideration received for stock issued during the period
($ None cash
($ None value of property
($ None

Distribution of consideration received
($ None to capital
($ None to paid-in capital (surplus)

Acquired during the period (See Note 3)
(None shares preferred stock
(None shares common stock

Consideration paid for acquired stock
($ None cash

Note 1: Dividends may be authorized and paid only from the profits and retained earnings (earned surplus) pursuant to the provisions of Section 416-91, Hawaii Revised Statutes.

Note 2: Section 485-8, provides that it shall be unlawful to offer or sell any security unless the security is exempt from registration, or unless the security is sold in an exempt transaction, or unless the security has been registered with the Commissioner of Securities of the State of Hawaii.

Note 3: Treasury stock may be acquired only pursuant to the provisions of Section 416-28.

File Number 1580

C-1—Rev. 11/72

(Continued on Reverse Side)

9. Amount of stock subscriptions due, if any. $ None

10. Voting powers and stockholders:
    (a) Does each share of stock have the right to vote? Yes If not, explain voting rights:

    (b) Date of last annual meeting January 7, 1977
    (c) State the total voting power of all stockholders as of the date of this report 376,365
    (d) State the total number of stockholders as of the date of this report one

DECLARATION

I declare, under the penalties set forth in Section 416-94, Hawaii Revised Statutes, that this exhibit, including any accompanying schedules or statements, has been examined by me, and to the best of my knowledge and belief is a true, correct, and complete exhibit, made in good faith, for the period stated.

(Signature of person or firm preparing this exhibit)

(Signature of authorized corporate officer)

Date 10/14/77 Office held President

INSTRUCTIONS

This exhibit shall be made as of December 31 of each year. If the corporation has adopted a fiscal year, it must apply in writing to the Director of Regulatory Agencies to be allowed to make the exhibit as of the end of its fiscal year. Exhibit forms may be obtained from the Director, and all of the information requested in the exhibit form must be furnished; otherwise, the exhibit will not be accepted. Failure to file the exhibit within the prescribed period will subject the corporation to a maximum penalty of $100.00 for every thirty days the delinquency continues, and if continued for a period of two years, the corporation may be dissolved by the Director.

DATE DUE: On or before the 120th day following the end of the fiscal or calendar year adopted.

FILING OF FIRST EXHIBIT:

1. Calendar Year Basis. First exhibit due as of the end of the calendar year in which corporation incorporated, provided that if the corporation incorporated after December 20 an annual exhibit will not be required for the first year.
2. Fiscal Year Basis. First exhibit due as of the first fiscal year after the date of incorporation. The fiscal year shall not exceed twelve months after the date of incorporation.

INACTIVE CORPORATION: An exhibit must be filed annually even though a corporation may have been inactive for that period.

CHANGE IN PERIOD COVERED: Authorization will be granted upon written request to change the fiscal or calendar year, provided however that upon the change the period covered shall not exceed twelve months.

ALL QUESTIONS MUST BE ANSWERED: If the answer to any question is "none" so state or enter a dash in the space provided.

DO NOT ATTACH FINANCIAL STATEMENTS.

OPTIONAL FORM NO. 10
MAY 1962 EDITION
GSA FPMR (41 CFR) 101-11.6
UNITED STATES GOVERNMENT

Memorandum

~~SECRET~~

TO : DIRECTOR, FBI (163-45612)

DATE: 11/27/79

FROM : LEGAT, MANILA (163-357) (RUC)

b6
b7C

SUBJECT: FRANK NUGAN; [redacted] dba Nugan Hand International etc.
FPC

APPROPRIATE AGENCIES AND FIELD OFFICES ADVISED BY ROUTING SLIP(S) OF CLASS DATE 1-10-80

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE.

EXP. PROC. 28 DEC 4 1979

Re Honolulu letter dated 6/7/79, captioned, "Australian Royal Commission of Inquiry into Drugs (ARCID), FPC."

By communication dated 8/23/79, Legat, Manila, requested information from [redacted]

b7D

Referral/Consult

On 11/2/79, during a recently-completed roadtrip to [redacted] Legat contacted [redacted] advised that [redacted]

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b7D

CLASSIFIED AND EXTENDED BY SP2 TAP/lmb DEC 6 1979
REASON FOR EXTENSION FCIM, II, 1-1,3
DATE OF REVIEW FOR DECLASSIFICATION 11-27-2009

1-8-80

4 - Bureau
2 - Honolulu (183-95)
1 - Manila

DECLASSIFIED BY 6383 VET/LW ON 4-21-83 Per G1-Guideline

JHG:jst
(5)

5010-108

OPTIONAL FORM NO. 10
MAY 1962 EDITION
GSA GEN. REG. NO. 27

UNITED STATES GOVERNMENT

Memorandum

SECRET

TO : DIRECTOR, FBI DATE: 12/7/78

FROM : LEGAT, MANILA

SUBJECT: AUSTRALIAN ROYAL COMMISSION OF INQUIRY INTO DRUGS (ARCID) (S) (U)
FPC
MAN 163-354

FRANK NUGAN; [redacted] dba Nugan Hand International, Nugan Hand Bank; NUGAN GROUP (S) (U)
FPC
MAN 163-357

b6
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ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE.

163-4561

CLASSIFIED AND EXTENDED BY 6383 VRT [illegible]
REASON FOR EXTENSION 2, 3
FCIM, II, 1-2.4.2
DATE OF REVIEW FOR DECLASSIFICATION 12/7/2008

ReMANlet, 11/7/78. (U)

Enclosed herewith for the Bureau are 7 copies of a letter dated 9/11/78 from [redacted] to [redacted] (S) (U)

Enclosed letter has been furnished Legat by [redacted] [redacted] as having been handed to him in person on 9/11/78 by [redacted] [redacted] (S) (U)

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As noted in this letter, [redacted] [redacted] [redacted] It is understood that [redacted] [redacted] [redacted] [redacted] [redacted] (S) (U)

APPROPRIATE AGENCIES AND FIELD OFFICES ADVISED BY ROUTING SLIP(S) OF [illegible] DATE [illegible]

163-45612-

NOT RECORDED
36 DEC 26 1978

ENCLOSURE

6 - Bureau (Enc. 7) ENCLOSURE
(1-Foreign Liaison Unit)
(2-Honolulu)
(1-Norfolk) (Enc. 1) (Info)
1 - Hong Kong (Info) (Enc. 1) (Direct) (U)
2 - Manila
GFE:jmp
(9)

2 cc & 2 enc by O-7 to Honolulu on 12-20-78
1 cc & 2 enc to Norfolk by O-7 on 12-20-78 CS/GF (U)

DECLASSIFIED BY 6383 VEP [illegible] per [illegible] Guidelines ON 4-21-82
SP5 [illegible] 308 Sdl. 2/13/96

Original Filed in 163-45614-

SECRET

b6
b7C
b7D

ENCLOSURE

SECRET

163-45612-

b6
b7C
b7D

LEG-7 (Rev. 9-6-78)

UNITED STATES GOVERNMENT

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

Memorandum

TO : Director, FBI ( ) DATE: 1/19/79

FROM : Legal Attache, MANILA (163-357) (P)

SUBJECT: FRANK NUGAN;
[redacted]
FPC

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Reference: Honolulu airtel & LHM, 12/19/79. U

Dissemination, as outlined below, was made on dates indicated. U

APPROPRIATE AGENCIES AND FIELD OFFICES ADVISED BY ROUTING SLIP(S) OF Class DATE 1-16-80 TLB

[X] Single copies of Honolulu airtel, 12/19/79.

[ ] Pertinent information from

APPROPRIATE AGENCIES AND FIELD OFFICES ADVISED BY ROUTING SLIP (S) OF Declass DATE 4-27-82

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE.

Name and Location of Agency	Date Furnished
[redacted]	1/18/79

b7D

CLASSIFIED AND EXTENDED BY SP2 TAP
REASON FOR EXTENSION FCIM, II, 1-2.4.3
DATE OF REVIEW FOR DECLASSIFICATION 1-19-2009

163-45612 -

NOT RECORDED
17 JAN 30 1979

3 - Bureau
(1 - Foreign Liaison Desk)
2 - Manila (66-93)
GFE:jmp
(5)

SPSCILOMS 7-80
DECLASSIFIED BY C383 URT WP
ON 4-21-82 per GL Guideline
2/15/90 308,506

APPROPRIATE AGENCIES
AND F... ...ICES
A... ...UTING
S... C... CLASS
DATE 1-10-80

SECRET

R 210230Z MAR 79

FM MANILA

TLB 21 MAR 79 03 19 Z

TO DIRECTOR ROUTINE NR. 345-20

RECEIVED
FEDERAL BUREAU
OF INVESTIGATION
COMMUNICATIONS SECTION

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
EXCEPT WHERE SHOWN
OTHERWISE.

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Assoc. Dir.
Dep. AD Adm.
Dep. AD Inv.
Asst. Dir.:
Adm. Serv.
Crim. Inv.
Ident.
Intell.
Laboratory
Legal Coun.
Plan. & Insp.
Rec. Mgnt.
Tech. Servs.
Training
Public Affs. Off.
Telephone Rm.
Director's Sec'y

BT

~~CONFIDENTIAL~~

PETER JOSEPH WILCOX. FPC. MAN 163-378 SU

FRANK NUGAN; [redacted] DBA NUGAN HAND BANK: NUGAN GROUP. SU

FPC. MANFILE 163-357. HONOLULU FILE 163-307

AUSTRALIA ROYAL COMMISSION INQUIRY INTO DRUGS (ARCID). FPC MAN 163-354 SU

[redacted]

SU

DECLASSIFIED BY ... ON 4-21-82 Per ...

~~CLASSIFIED AND EXTENDED BY 1544. REASON FOR EXTENSION FCIM~~

~~II, 1-2.4.2 (NO. 1), DATE OF REVIEW FOR DECLASSIFICATION 3/21/09~~

5 MAR ... 1979

BT

Copy sent by ... to Honolulu

CLASSIFIED AND
EXTENDED BY SP2 TAT/lmb
REASON ... EXTENSION
FCIM, II, 1-2.4.3
DATE OF REVIEW FOR
DECLASSIFICATION 3-21-2001
1-8-80

163-456612

NOT RECORDED
160 APR 2 1979

56 APR 1 1979

AND FIELD OFFICES
ADVISED BY ROUTING
SLIP (S) OF ...
DATE 4-27-82

ORIGINAL FILED IN 163-...

3/28/79 ~~CONFIDENTIAL~~ ROUTINE

SECRET

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b7C

FM DIRECTOR FBI

TO LEGAL ATTACHE MANILA ROUTINE

BT

~~C O N F I D E N T I A L~~

CLASSIFIED AND EXTENDED BY SP2 TAP/lmb
REASON FOR EXTENSION FCIM, II, 1-2.4.2 1,3
DATE OF REVIEW FOR DECLASSIFICATION 3-28-2009
1-8-80

PETER JOSEPH WILCOX; FPC. MANILA (163-370); FRANK NUGAN; [redacted] DBA NUGAN HAND BANK; NUGAN GROUP; FPC. MANILA (163-357. HONOLULU (163-307).

AUSTRALIAN ROYAL COMMISSION INQUIRY INTO DRUGS (ARCID); FPC. MANILA (163-354).

BY TELETYPE DATED MARCH 28, 1979, HONOLULU ADVISED:

REFERENCE IS MADE TO MANILA TELETYPE TO BUREAU, MARCH 21, 1979; AND HONOLULU TELETYPE TO BUREAU, OCTOBER 25, 1978, CAPTIONED [redacted] [redacted] MAIL FRAUD; FRAUD BY WIRE; RICO (A); BUREAU FILE (36-2684); MANILA FILE 183-6." U

REFERENCED TELTYPE DATED MARCH 21, 1979, REQUESTS INFOR-

EMS:KBB (3) 3/28/79 5078/6 4290

1 - [redacted]

163-4501-2
NOT RECORDED
192 APR 12 1979

ORIGINAL FILED IN 163-4501-2

APPROPRIATE AGENCIES AND FIELD OFFICES ADVISED BY ROUTING SLIP(S) OF [illegible] DATE 10-10-80 TLB

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PAGE TWO DE HQ 0132 ~~C O N F I D E N T I A L~~ SECRET

MATION REGARDING PETER JOSEPH WILCOX. IT IS NOTED THAT REFER-
ENCED TELETYPE DATED OCTOBER 25, 1978, REPORTS THAT PETER JOSEPH
WILCOX CAME TO THE FBI OFFICE ON THAT DATE TO PROVIDE INFOR-
MATION REGARDING A POSSIBLE FRAUD BEING CONDUCTED IN THE
NORTHERN TERRITORY OF AUSTRALIA BY [redacted] AND OTHERS.
THIS MATTER WAS INVESTIGATED BY THE AUSTRALIAN AUTHORITIES AND
REPORTED IN MANILA FILE (183-6). (S)(U)

DURING THE ABOVE INVESTIGATION, IT WAS DEVELOPED THAT
WILCOX WAS IN AUSTRALIA IN SEPTEMBER AND OCTOBER, 1978.
WILCOX RECONTACTED THE HONOLULU OFFICE OF THE FBI AND SPOKE
WITH SA [redacted] ON SEVERAL OCCASIONS AFTER THE
INTERVIEW ON OCTOBER 25, 1978, AND OFFERED HIS SERVICES IN THE
INQUIRY REGARDING THE FRAUD IN AUSTRALIA. HE INDICATED THAT HE
WAS GOING TO TRAVEL TO LONDON FOR A HOLIDAY AROUND CHRISTMAS,
1978, (EXACT DATES UNRECALLED). HE FURTHER INDICATED THAT HE
HAD CONTACTS WITH MANY GOVERNMENT OFFICIALS IN AUSTRALIA AND
READILY NAMED THEM IN CASUAL CONVERSATION. HE FURTHER INDICATED
THAT HE OFTEN TRAVELS TO AUSTRALIA IN HIS JOB AS A CONSULTANT.
IT IS NOT KNOWN IF WILCOX HAD ANY ASSOCIATION WITH THE NUGAN
HAND BANK OR IF HE TRAVELED TO AUSTRALIA IN NOVEMBER, 1977. (S)(U)

~~SECRET~~

3

PAGE THREE DE HQ 0132 ~~C-O-N-F-I-D-E-N-T-I-A-L~~ SECRET

IT IS FELT THAT WILCOX COULD BE RECONTACTED UNDER THE PRETEXT OF INQUIRING ABOUT THE DARWIN INVESTMENT AND DEVELOPMENT INQUIRY OR HE COULD BE INTERVIEWED STRAIGHTFORWARD REGARDING HIS TRAVELS AND ASSOCIATION WITH THE NUGAN HAND BANK. S

WILCOX APPEARS TO HAVE BEEN FREE AND OPEN DURING HIS CONTACTS WITH THE FBI. NO INQUIRY WILL BE MADE OF WILCOX UNLESS REQUESTED BY AUSTRALIAN AUTHORITIES. S

WILCOX IS DESCRIBED AS A CAUCASIAN MALE, DATE OF BIRTH OCTOBER 29, 1930, HEIGHT 5'6", WEIGHT 168 POUNDS, HAIR BROWN, EYES BROWN, SOCIAL NUMBER 328-42-7625, HOME ADDRESS LUNALILO HOME ROAD, HONOLULU, HAWAII. U

~~CLASSIFIED AND EXTENDED BY 7079; FCIM, II, 1-2.4.2 (1); MARCH 27, 2009.~~

BT

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HN0554 0871002

RR HQ

DE HN 0004 0871002

R 280927Z MAR 79

FM HONOLULU (163-307) (RUC)

TO DIRECTOR ROUTINE

BT

~~CONFIDENTIAL~~

PETER JOSEPH WILCOX. FPC. MAN 163-370; FRANK NUGAN; [redacted] [redacted] DBA NUGAN HAND BANK; NUGAN GROUP. FPC. MANFILE 163-357.

HONOLULU FILE 163-307.

AUSTRALIAN ROYAL COMMISSION INQUIRY INTO DRUGS (ARCID). FPC. MAN 163-354.

RE MANILA TELETYPE TO BUREAU, MARCH 21, 1979; AND HONOLULU TELETYPE TO BUREAU, OCTOBER 25, 1978, CAPTIONED [redacted] [redacted] [redacted] MF; FBW; RICO (A); BUFILE 36-2684; MANILA FILE 183-6."

REFERENCED TELETYPE DATED MARCH 21, 1979, REQUESTS INFORMATION REGARDING PETER JOSEPH WILCOX. IT SIS NOTED THAT REFERENCED TELETYPE DATED OCTOBER 25, 1978, REPORTS THAT PETER

CLASSIFIED AND EXTENDED BY SP2TAP
REASON FOR EXTENSION FCIM, II 1-2.4.3
DATE OF REVIEW FOR DECLASSIFICATION 3-28-2009

APPROPRIATE AGENCIES AND FIELD OFFICES ADVISED BY ROUTING SLIP(S) OF
DATE 1-16-80

NOT RECORDED
18 APR 5 1979

163-45612 — 23 MAR 29 1979

ORIGINAL FILED IN

~~ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE.~~

62 MAY 7 1979

DECLASSIFIED BY 6383 SPSC1/DMS
ON 4-21-87

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JOSEPH WILCOX CAME TO THE FBI OFFICE ON THAT DATE TO PROVIDE
INFORMATION REGARDING A POSSIBLE FRAUD BEING CONDUCTED IN THE
NORTHERN TERRITORY OF AUSTRALIA BY [redacted] AND OTHERS.
THIS MATTER WAS INVESTIGATED BY THE AUSTRALIAN AUTHORITIES AND
REPORTED IN MANILA FILE 1[illegible]-5. b4

DURING THE ABOVE INVESTIGATION, IT WAS DEVELOPED THAT
WILCOX WAS IN AUSTRALIA IN SEPTEMBER AND OCTOBER, 1975. WILCOX
RECONTACTED THE HONOLULU OFFICE OF THE FBI AND SPOKE WITH
SA [redacted] ON SEVERAL OCCASIONS AFTER THE
INTERVIEW ON OCTOBER 25, 1970, AND OFFERED HIS SERVICES IN THE
INQUIRY REGARDING THE FRAUD IN AUSTRALIA. HE INDICATED THAT
HE WAS GOING TO TRAVEL TO LONDON FOR A HOLIDAY AROUND
CHRISTMAS, 1970 (EXACT DATES UNRECALLED). HE FURTHER INDICATED THAT
HE HAD CONTACTS WITH MANY GOVERNMENT OFFICIALS IN AUSTRALIA AND
READILY NAMED THEM IN CASUAL CONVERSATION. HE FURTHER INDICATED
THAT HE OFTEN TRAVELS TO AUSTRALIA IN HIS JOB AS A CONSULTANT.
IT IS NOT KNOWN IF WILCOX HAD ANY ASSOCIATION WITH THE NUGAN
HAND BANK OR IF HE TRAVELLED TO AUSTRALIA IN NOVEMBER, 1977.

IT IS FELT THAT WILCOX COULD BE RECONTACTED UNDER THE
PRETEXT OF INQUIRING ABOUT THE DARWIN INVESTMENT AND DEVELOPMENT

INQUIRY OR HE COULD BE INTERVIEWED STRAIGHTFORWARD REGARDING
HIS TRAVELS AND ASSOCIATION WITH THE NUGAN HAND BANK. S U SECRET

WILCOX APPEARS TO HAVE BEEN FREE AND OPEN DURING HIS
CONTACTS WITH THE FBI. NO INQUIRY WILL BE MADE OF WILCOX
UNLESS REQUESTED BY AUSTRALIAN AUTHORITIES. S U

WILCOX IS DESCRIBED AS A CAUCASIAN MALE, DOB OCTOBER 29, 1938,
HEIGHT 5'6", WEIGHT 160 POUNDS, HAIR BROWN, EYES BROWN,
SSAN 528-42-7525, HOME ADDRESS 500 LUNALILO HOME ROAD, HONOLULU,
HAWAII. U

~~CLASSIFIED AND EXTENDED BY 7019; FCIM, II, 1-2.4.2 (1);~~
~~MARCH 27, 2009.~~

ADMINISTRATIVE

THE BUREAU IS REQUESTED TO FORWARD THE ABOVE INFORMATION
TO LEGAT, MANILA. U

BT

0004

NNNN

SECRET

O-73 (Rev. 10-26-78)

O ___
A ___
F ___

MESSAGE RELAY VIA TELETYPE

DATE	CLASSIFICATION	PRECEDENCE
4/25/80	CONFIDENTIAL	IMMEDIATE

FM DIRECTOR FBI

TO FBI DALLAS
FBI MIAMI

- [ ] The President
- [ ] The Vice President
- [ ] White House Situation Room
  - [ ] Attn: National Security Council
- [ ] Department of the Air Force (AFOSI)
- [ ] Department of the Army
- [ ] Naval Investigative Service
- [ ] Commandant, U. S. Coast Guard
- [ ] National Security Agency (DIRNSA/NSOC (ATTN: SOO))
- [ ] Director, Defense Intelligence Agency
- [ ] Director CIA
- [ ] U. S. Secret Service (PID)
  - [ ] Attn: Executive Protective Service
- [ ] ZEN/U. S. Postal Service
  - [ ] Attn: Chief Postal Inspector
- [ ] Nuclear Regulatory Commission
- [ ] Department of Energy
- [ ] Department of Treasury
  - [ ] Attn: U. S. Customs
- [ ] Department of Treasury
  - [ ] Attn: Bureau of Alcohol Tobacco & Firearms
- [ ] Department of Transportation
  - [ ] Attn: Director of Security
- [ ] Drug Enforcement Administration
- [ ] General Services Administration (WASHDC area, specify office)
- [ ] (Other Areas, specify CITY/STATE)
- [ ] Federal Aviation Administration
- [ ] Federal Protective Service
- [ ] Secretary of State
  - [ ] Attn: Director Bureau of Intelligence & Research
  - [ ] Attn: SCA - VISA Office Room 709 - SA2
- [ ] Attorney General
- [ ] Deputy AG
  - [ ] Attn: Emergency Programs Center
- [ ] Assistant AG, Civil Rights Div.
- [ ] Assistant AG, Criminal Div.
  - [ ] Attn: Internal Security Section
  - [ ] Attn: General Crimes Section
- [ ] Assistant AG for Administration
  - [ ] Attn: Security & Administrative Services Staff
- [ ] Immigration & Naturalization Service

(SERVICE ALSO AVAILABLE TO GOVERNMENT AGENCIES NOT LISTED. CONTACT COMMUNICATIONS CENTER FOR ADDRESSING INFORMATION)

SUBJECT:

APPROVED BY	ORIGINATOR	ROOM	TELE EXT.
[illegible]	JEM/JEM	5078	4290

163-45612-4

APR 29 1980

APR 25 1980

64 MAY 13 1980

DO NOT FILE WITHOUT COMMUNICATIONS STAMP

USE OF FORM 0-73

1. Only incoming teletype messages which require transmission relay may be prepared for transmission using form 0-73. Use of form 0-73 is restricted to incoming teletype messages received within the last 3 days which require transmission relay; all other teletype messages must be prepared using form 0-93.

2. Additions such as notes and administrative data for a specific addressee are not allowed. If a note or administrative data is required for a particular addressee a separate 0-73 form must be prepared.

3. The message will be transmitted just as it appears. The person approving the message is solely responsible for assuring all necessary editing changes have been made.

PREPARATION OF FORM WHICH CONSISTS OF A PREPRINTED YELLOW.

1. Complete appropriate boxes: date, classification & precedence.
2. List addresses immediately following the "TO" or place a check mark in the appropriate boxes.
3. Type or PRINT the subject in the space provided.
4. Print or type originator's name, room number and telephone extension.
5. Indicate approval for transmission by initialing the "Approved By" box.

PREPARATION OF MESSAGE TO BE TRANSMITTED

1. Xerox 1 copy of incoming teletype message. A notation shall be made on the original incoming teletype "copy made for relay to SACS ______ , (OR LEGATS) ______ , (OR GOVERNMENT AGENCIES) ______ ."
2. Minor editing changes shall be made on the xerox as follows: using a lead pencil ONLY draw single line through the first and last lines of the heading and connect these lines from top right to bottom left forming a "Z," figure. (DO NOT OBLITERATE THE HEADING.) Use horizontal lines to delete sentences or words. Printed changes of a word or two to the text of the message may be made; however, changes to the existing text involving more than a word or two necessitate the originator to initiate a new message using form 0-93.
3. Administrative data or notes may be typed immediately following the text and will be transmitted to all addressees.
4. When using the 0-73 form to disseminate information to field offices, Legal Attaches and other Government agencies simultaneously, the text, notes and administrative data must be identical for all addressees.

P 251630Z APR 80

FM HONG KONG (55-4)

TO DIRECTOR PRIORITY ØBPAWR

BT

CONFIDENTIAL

NUGAN HAND BANK. FPC.

REBUTELS APRIL 17, 1980.

BY TELETYPE DATED 4/25/80 LEGAL ATTACHE HONG KONG ADVISED AS FOLLOWS:

FBIHQ PLEASE PASS TO MIAMI AND DALLAS BY PRIORITY

TELETYPE.

[redacted] INFORMED

APRIL 25, 1980, THAT [redacted]

[redacted]

[redacted] STATES THAT [redacted]

[redacted]

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b7D

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b7D

STATES THAT

LEADS - MIAMI AND DALLAS:

OBTAIN

DETERMINE EXACT LOCATION OF PROVIDE SUMMARY

DESCRIPTION OF

ADMINISTRATIVE: FOR INFO OF FBIHQ, MIAMI AND DALLAS:

FOR YEARS THERE HAVE BEEN NUMEROUS ALLEGATIONS THAT THE NUGAN HAND ENTERPRISES HAVE BEEN CONDUITS FOR WASHING MONEY FOR AUSTRALIAN AND PERHAPS AMERICAN ORGANIZED CRIME ELEMENTS, AS WELL AS CORRUPT SOUTHEAST ASIAN GOVERNMENT OFFICIALS AND INDIVIDUALHJ INVOLVED IN NARCOTICS TRAFFICKING. THEY WERE ALSO ALLEGED TO HAVE BEEN INVOLVED IN THE HANDLING OF U. S. COUNTERFEIT CURRENCY (THUS THE REASON FOR LEGAT'S 55 CASE DESIGNATION. INFO OBTAGJED IN HONG KONG WAS RELAYED TO U.S. SECRET SERVICE IN HONOLULU). NONE OF THESE ALLEGATIONS HAVE EVER BEEN PROVEN ALTHO FRANK NUGAN WAS UNDER INVESTIGATION BY SEVERAL LAW ENFORCEMENT AGENCIES IN AUSTRALIA WHEN HE WAS FOUND DEAD APPARENTLY OF A SELFINFLICTED GUNSHOT WOUND IN AUSTRALIA ON JANUARY 27, 1980. (U)

INQUEST TESTIMONY TO DATE INDICATES THAT FRANK NUGAN DIVERTED NUGAN HAND BANKING COMPANY FUNDS TO HIS PERSONAL USE IN THE AMOUNT OF "SEVERAL MILLION U.S. DOLLARS." THE HONG KONG SUBSIDIARY HAS APPARENTLY LST APPROXIMATELY HONG KONG DOLLARS 14 MILLION (U.S. DOLLARS 2.8 MILLION).

NUGAN HAND INTERNATIONAL, HONG KONG, AND OTHER SUBSIDIARIES ARE BELIEVED TO HAVE INVESTED MONEY FOR VARIOUS INDIVIDUALS AND ORGANIZATIONS UNDER TRUSTEE ACCOUNTS, I.E. IN WHICH THE PRINCIPALS'

PAGE FOUR CONFIDENTIAL HON 5

IDENTITIES ARE CONCEALED, AND THE OWNER IN INTEREST APPEARS ONLY AS NUGAN HAND. THE RECORDS AT DALLAS COULD BE VERY ENLIGHTENING IN THIS REGARD.

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C&E BY 877; REASON ONE; DRD APRIL 25, 2000.

BT

On 4/25/80 [redacted] [redacted] WDC, Advised The FPC Desk FBIHQ, that [redacted]

[redacted]

4/5/79 UNCLAS PRIORITY

FHQPP HNQDE HQ H0043 $HQYUQP 051209Z APR 79 SECRET

FM DIRECTOR FBI

TO FBI HONOLULU PRIORITY

BT

UNCLAS

CLASSIFIED AND EXTENDED BY 5912 TAP/
REASON FOR EXTENSION FCIM, II 1-2.4.3
DATE OF REVIEW FOR DECLASSIFICATION 4-5-2009
1-8-80

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b7C

PETER JOSEPH WILCOX; FPC (MAN 163-370); FRANK NUGAN; [redacted] DBA. NUGAN HAND BANK; NUGAN GROUP. FPC MAN 163-357. HONOLULU 163-307. (S)(U)

AUSTRALIAN ROYAL COMMISSION INQUIRY INTO DRUGS (ARCID); FPC. MANILA 163-354. (S)(U)

LEGAT, MANILA (163-370) ADVISED BY TELETYPE APRIL 5, 1979, AS FOLLOWS:

REBUCAB MARCH 28, 1979.

[redacted]

[redacted] b7D

[redacted] LEGAT WILL MAKE A SUBSEQUENT REQUEST IF NEEDED. (S)(U)

JAK:ERG 4/5/79 5027

163-45612-

NOT RECORDED
14 APR 25 1979

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE.

APPROPRIATE AGENCIES AND FIELD OFFICES ADVISED BY ROUTING SLIP(S) OF class DATE 1-10-80

DECLASSIFIED BY 6383 RT/LD
ON 4-21-82
2/15/90

G-1 Guidelines

ORIGINAL FILED IN 163-

57 MAY 1979

Dep. AD Adm.
Dep. AD Inv.
Asst. Dir.:
Adm. Serv.
Crim. Inv
Ident.
Intell.
Laboratory
Legal Coun.
Plan. & Insp.
Rec. Mgnt.
Tech. Servs.
Training
Public Affs. Off.
Telephone Rm.
Director's Sec'y

5 APR 79 03 35Z

FEDERAL BUREAU
OF INVESTIGATION
COMMUNICATIONS SECTION

~~SECRET~~

4 [illegible] APR 79

FM MANILA (163-67[illegible])

TO DIRECTOR ROUTINE [illegible] 357-[illegible]

BT

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
EXCEPT WHERE SHOWN
OTHERWISE.

b6
b7C

UNCLAS E F T O

PETER JOSEPH WILCOX; FPO. (MAN 163-67[illegible]); FRANK [illegible];
[redacted] [illegible] SUGAR LAND [illegible]; SUGAR GROUP. [illegible]
MAN 163-357. HONOLULU 163-[illegible]7.

AUSTRALIAN ROYAL COMMISSION INQUIRY INTO DRUGS (ARCID); [illegible]
MANILA 163-354.

b7D

REBUCAB, 3/[illegible]/79.

[redacted]

[redacted] LEGAT WILL [illegible] [illegible] [illegible]
NEEDED.

BT

DECLASSIFIED BY 6393 URT/NP
ON 4-21-82 per B-1 Guidelines

163-45612-

Teletyped to Honolulu a.m. 4-5-79

NOT RECORDED
160 APR 26 1979

20 APR 6 1979

APPROPRIATE AGENCIES AND FIELD OFFICES ADVISED BY ROUTING SLIP(S) OF [illegible] DATE

ORIGINAL FILED IN

CLASSIFIED AND
EXTENDED BY SP2 TAP
REASON FOR EXTENSION
FCIM, II, 1-2.2.3
DATE OF REVIEW FOR
DECLASSIFICATION 4-5-2009

1-8-80

61 MAY 3 1979

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SECRET

BT

SECRET

FD-36 (Rev. 5-22-78)

FBI

TRANSMIT VIA:
- [ ] Teletype
- [ ] Facsimile
- [ ] ______

PRECEDENCE:
- [ ] Immediate
- [ ] Priority
- [ ] Routine

CLASSIFICATION:
- [ ] TOP SECRET
- [ ] SECRET
- [ ] CONFIDENTIAL ~~SECRET~~
- [ ] UNCLAS E F T O
- [ ] UNCLAS

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AIRTEL 4/17/79 Date ______

TO: DIRECTOR, FBI (163-45612)

FROM: SAC, NORFOLK (92-556) RUC

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE.

AUSTRALIAN ROYAL COMMISSION OF INQUIRY INTO DRUGS (ARCID) (S) (U)

FRANK NUGAN; [redacted] dba
Nugan Hand International
Nugan Hand Bank
Nugan Group
FPC

CLASSIFIED AND EXTENDED BY SP2 TAP
REASON FOR EXTENSION FCIM, II, 1-2.4.3
DATE OF REVIEW FOR DECLASSIFICATION 4-17-2009

Re Manila Legat letters to Bureau, 11/7/78, and 12/7/78; Bureau airtel to Norfolk, 4/11/79. (U)

Enclosed for the Bureau are six copies of an LHM captioned as above. (U)

For the information of the Bureau, [redacted] advised that the investigator he had hired to conduct the inquiries regarding Nugan Hand Ltd. activities was [redacted] [redacted] [redacted] was hired by [redacted] to snoop around Southeast Asia in an attempt to determine if there were any wrongdoings or illegal activities on the part of Nugan Hand Ltd. In addition, [redacted] advised that he had contacted [redacted] [redacted] regarding the alleged illegal activities of Nugan Hand Ltd. (S)

REC-137 163-45612-

[redacted] advised that if he detected any illegal activities concerning drugs on the part of his company, he would be most cooperative with the FBI in furnishing this information to them. He further stated that if the FBI furnished names of individuals or companies who might be suspected of illegal activities, he would check these (S)

2 - Bureau (Enc. 6)
1 - Norfolk
RJA/nlh
(3)

ORIGINAL FILED IN

NOT RECORDED
JUN 7 1979

APPROPRIATE AGENCIES AND FIELD OFFICES ADVISED BY ROUTING SLIP(S) OF CLASS DATE 1-10-80

ENCLOSURE

62 JUN 14 1979

Approved: ______ Transmitted ______ (Number) (Time) Per ______

DECLASSIFIED BY 6383 URT/DRB
ON 4-21-82

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b7C

names against the list of distributors in the banks at [redacted] Sydney, Hong Kong and Germany. It is also felt that [redacted] would probably check the distributors listed in the bank at Grand Cayman, Bahamas. S-G

During the interview, [redacted] mentioned that he had just returned to the United States approximately two days prior to the interview. He noted that upon his re-entry to the U. S. his luggage was subjected to a rather thorough examination by Customs Officials and he inquired as to whether the investigation by the FBI was connected with the customs examination. U

[redacted] was advised that the interviewing agent had no knowledge regarding a request to give [redacted] special attention during his entries into, or exits from, the U. S. U

SECRET

UNITED STATES DEPARTMENT OF JUSTICE

SECRET

FEDERAL BUREAU OF INVESTIGATION
Norfolk, Virginia
April 17, 1979

In Reply, Please Refer to
File No.

Australian Royal Commission
of Inquiry into Drugs (ARCID)

Frank Nugan;
doing business as
Nugan Hand International,
Nugan Hand Bank,
Nugan Group
Foreign Police Cooperation

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APPROPRIATE AGENCIES
AND FIELD OFFICES
ADVISED BY ROUTING
SLIP(S) OF Class
DATE 1-18-80 TLB

On April 6, 1979, [redacted] telephones [redacted] furnished the following information:

While employed in [redacted] [redacted] in Australia, he met Frank Nugan and [redacted] [redacted] He was offered a job with them [redacted] [redacted] trip to Australia, he was again offered a job with Nugan Hand Ltd., and was also offered a part of the business. He joined the organization in [redacted] [redacted] At that time Nugan Hand Ltd., [redacted] [redacted] Nugan Hand Bank in [redacted] [redacted] is presently [redacted] [redacted]

Shortly after the beginning of his association with Nugan Hand Ltd., the allegation regarding the association of Nugan Hand Ltd. and Nugan Fruit Group became known to [redacted] He explained that Nugan Fruit Group was allegedly involved with marijuana trafficking in Griffin, New South Wales, Australia. Due to the close similarity of the names of the two organizations, Nugan Hand Ltd., and Nugan Fruit Group, and the fact that the chairman of Nugan Hand Ltd., Frank Nugan,

APPROPRIATE AGENCIES
AND FIELD OFFICES
ADVISED BY ROUTING
SLIP (S) OF
DATE 4-27-82

This document contains neither recommendations nor conclusions of the FBI. It is the property of the FBI and is loaned to your agency; it and its contents are not to be distributed outside your agency.

CLASSIFIED AND
EXTENDED BY SP2 JAP
REASON FOR EXTENSION
FCIM, II, 1-2.4.3
DATE OF REVIEW FOR
DECLASSIFICATION 4-17-2009
4-8-80

163-45612 Encl

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
EXCEPT WHERE SHOWN
OTHERWISE

308,506 SPSC/ldms
DECLASSIFIED BY 6383 URT/DP
ON 4-21-82 2/15/98 Guidelines

SECRET

ENCLOSURE

4-17-79 Encl

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Frank Nugan;

was the attorney for Nugan Fruit Group, people have assumed that [redacted] company, Nugan Hand Ltd., may have some dealings in drug trafficking. When this allegation surfaced, Frank Nugan approached [redacted] and offered [redacted] the opportunity to leave the company and disassociate himself with the outfit. [redacted] was told he could rejoin the company after the matter was resolved. [redacted] refused to leave the company, however, he did hire an investigator to determine if there was any wrongdoing by the company. [redacted] also made some inquiries of his contacts which he had established during his career as to the activities of the company. S-U

[redacted] advised that Frank Nugan, who is the chairman of the Nugan Hand Ltd., has been indicted in New South Wales, Australia for a conspiracy to defraud minor stockholders of the Nugan Fruit Group. Frank Nugan is not associated with the Nugan Fruit Group other than being their attorney or solicitor. [redacted] Nugan Fruit Group. Nugan Hand Ltd. is not in any way associated with Nugan Fruit Group. Nugan Hand Bank is in no way associated with the Nugan Fruit Group and [redacted] has satisfied himself that there are no interlocking directorships. [redacted] stated that it is his understanding the conspiracy charge against Frank Nugan came about as a result of a stacked stockholders meeting during which the major stockholders defeated the minor stockholders attempt to take over the Nugan Fruit Group company. [redacted] described the indictment as a political case. He stated that the prosecuting attorney in the matter is a member of the Labor Party in New South Wales, Australia. He stated that the Labor Party is a wing of the Communist Party and is dedicated to the devastation of corporate business. He described Frank Nugan and [redacted] as right wing politicians and therefore a natural political enemy of the prosecuting attorney. S-U

[redacted] advised that Nugan Hand Ltd. had banks in Sydney, Australia, Hong Kong and Germany. The money deposited in these banks is sometimes redeposited in the Grand Cayman, Bahamas, Nugan Hand Bank. The Grand Cayman branch is not a lending bank, but is a depository bank only. The bank makes money by buying and selling money market instruments. The Grand Cayman Bank only has assets of around S-U

~~SECRET~~

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Frank Nugan [redacted] S 4

SECRET

six million dollars and to some degree the business has been stigmatized because of the allegations in Australia. [redacted] advised that Frank Nugan has offered Australian authorities the right to review all the books and ledgers of the banks in Germany, Hong Kong, and Sydney, Australia. An exception was made regarding the Grand Cayman Bank because of Bahama bank laws. He explained that this law states in essence that information regarding depositors should not be given out to anyone without the depositors' consent. [redacted] advised that Nugan Hand Bank does not do any bank business in the United States. [redacted] listing is strictly a telephone contact for [redacted] S 4

In addition to [redacted] position as [redacted]

[redacted] repeated that he is convinced that there is no association between Nugan Hand Ltd., Nugan Fruit Group and that Nugan Hand Ltd., is not involved in any illegal activities. S 4

SECRET

LEG-7 (Rev. 9-6-78)

UNITED STATES GOVERNMENT

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

Memorandum

SECRET

TO : Director, FBI ( )

DATE: 5/11/79

FROM : Legal Attache, MANILA (163-357) (P)

SUBJECT: FRANK NUGAN; [redacted] dba
Nugan Hand International
Nugan Hand Bank
Nugan Group FPC

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Reference: Norfolk airtel and LHM 4/17/79 U

Dissemination, as outlined below, was made on dates indicated. U

[X] one copies of referenced Norfolk LHM U

APPROPRIATE AGENCIES AND FIELD OFFICES ADVISED BY ROUTING SLIP(S) OF CLASS
DATE 1-10-80
TLB

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE.

[ ] Pertinent information from

Name and Location of Agency

[redacted]

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Date Furnished
5/11/79

163-45612
NOT RECORDED
11 MAY 23 1979

308,506
DECLASSIFIED BY 6383 URT/LTD SP5C1LOMS
ON 4-21-82 2/15/90
G-1 Guideline

CLASSIFIED AND EXTENDED BY SP2 TAP/lmb
REASON FOR EXTENSION FCIM, II, 1,3
DATE OF REVIEW FOR DECLASSIFICATION 5-11-2009
1-8-80

2 - Bureau
2 - Manila (1 - 66-93)

GFE:jst
(4)

JUN 1979

073

DATE	CLASSIFICATION	PRECEDENCE
4/17/80	CONFIDENTIAL	ROUTINE

$F073ØRR HQØDE HQ #0073 $#ØYCØR 171610Z APR 80

FM DIRECTOR, FBI

TO LEGAL ATTACHE HONG KONG ROUTINE

FBI HOUSTON ROUTINE

BT

C O N F I D E N T I A L

"CHANGED" NUGAN HAND BANK; FPC

TITLE MARKED CHANGED TO DELETE CHARACTER OF NAME CHECK MATTER AND SET FPC AS THE PROPER CHARACTER.

RE HONG KONG TELETYPE APRIL 15, 1980, RELAYED TO HOUSTON.

SINCE NO DESCRIPTIVE DATA FOR [redacted] b6 b7C WAS FURNISHED IN REFERENCED TELETYPE, LEGAL ATTACHE HONG KONG SHOULD NOTE THAT A CHECK OF NCIC AND IDENTIFICATION DIVISION RECORDS IS NOT POSSIBLE. FOR THE SAME REASON A CHECK OF BUREAU INDICES IS ALSO NOT FEASIBLE.

FBI HOUSTON SHOULD REQUEST FBIHQ TO CONDUCT THE ABOVE CHECKS IF INVESTIGATION REQUESTED IN YOUR DIVISION IN REFERENCED TELETYPE PROPERLY IDENTIFIES THE ABOVE INDIVIDUAL.

DO NOT TYPE MESSAGE BELOW THIS LINE

APPROVED BY	DRAFTED BY	DATE	ROOM	TELE EXT.
	JEM/MJW (2)	4/17/80	5078/6	4290

1 - MR. MEDER

163-45612-5

DECLASSIFIED BY SP5 cil Dms
ON 2/15/90 308,506

60 MAY 13 1980

DO NOT FILE WITHOUT COMMUNICATIONS STAMP

FBI/DOJ

0-93A (7-19-77)

DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION
COMMUNICATION MESSAGE FORM

PAGE 2

CONTINUATION SHEET

PAGE TWO DE HQ 0073 C O N F I D E N T I A L

C & E BY ~~882~~, REASON (1), DRD APRIL 15, 1980.

BT

#

DO NOT TYPE PAST THIS LINE

DO NOT TYPE MESSAGE BELOW THIS LINE

RECEIVED
TELETYPE UNIT

17 APR 80 02 25z

RECEIVED
FEDERAL BUREAU
OF INVESTIGATION
COMMUNICATIONS SECTION

HO0011 1070216Z

RR HQ

DE HO

R 162305Z APR 80

FM HOUSTON (163-536) (RUC)

TO DIRECTOR ROUTINE

BT

UNCLAS

NUGAN HAND BANK; FPC

RE BUREAU TELETYPE TO HOUSTON, APRIL 15, 1980.

NO ARREST LOCATED FOR [redacted] AT HOUSTON POLICE DEPARTMENT, HARRIS COUNTY SHERIFF'S OFFICE, HOUSTON INTERCONTINENTAL AIRPORT POLICE, DEPARTMENT OF PUBLIC SAFETY, UNITED STATES CUSTOMS SERVICE, UNITED STATES SECRET SERVICE AND UNITED STATES MARSHAL'S SERVICE.

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HOUSTON INDICES NEGATIVE.

BT

30 APR 17

JUN 6 1980

MM0013 1160354Z

OO HI DL

DE MM

O25 0354Z APR 80

FM MIAMI (196-485) P

TO DIRECTOR IMMEDIATE

DALLAS IMMEDIATE

BT

C O N F I D E N T I A L

NUGAN HAND BANK; FPC.

REFERENCE BUREAU TELETYPE TO MIAMI AND DALLAS, APRIL 25, 1980; LEGAT, HONG KONG, TELETYPE TO BUREAU, APRIL 25, 1980.

THE BUREAU IS REQUESTED TO RELAY TO LEGAT, HONG KONG, THE FOLLOWING INFORMATION:

ON INSTANT DATE, [redacted] CONTACTED BY MIAMI SPECIAL AGENT AND AGREED TO HAVE RECORD REVIEWED BY FBI AGENT, HOWEVER, HE, [redacted] PREFERRED TO BE PRESENT DURING REVIEW. RECORDS LOCATED AT [redacted]

163-4512-7

[redacted] CONTACTED AND ADVISED RECORDS IN TRANSIT TO MIAMI CARE OF DIRECTOR OF CUSTOMS, MIAMI INTERNATIONAL AIRPORT, AND

b6
b7C

RECEIVED TELETYPE UNIT 26 APR 80 00 03z

DECLASSIFIED BY SPSC1/DMS ON 2/15/90 308,506

APR 28 1980

JUN 6 1980

PAGE TWO MM 196-4[illegible] C O N F I D E N T I A L

[redacted] ON AMERICAN AIRLINES AIR BILL NUMBER [redacted]
DUE TO ARRIVE MIAMI, APRIL 26, 1986, SPECIFIC TIME AND FLIGHT
NUMBER UNKNOWN.

b6
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[redacted] NOTIFIED THAT SHIPMENT WILL ARRIVE AND HAS
AGREED TO CONTACT AGENT AND CONDUCT JOINT REVIEW OF CONTENTS
SATURDAY, APRIL 26, 1986. [redacted] ADVISED MAJORITY OF
CONTENTS ARE HIS PERSONAL TEXT BOOK COMPANY MANUALS THAT HE
CARRIED WITH HIM TO HONG KONG. RECORDS DO CONTAIN SOME HUGAN
COMPANY COMMUNICATIONS AND MANAGEMENT INFORMATION SYSTEMS TYPE
INFORMATION. HE DOES NOT BELIEVE FILES CONTAIN ANY CLIENT
INFORMATION. HOWEVER, HE WILL FULLY COOPERATE.

THE BUREAU IS FURTHER REQUESTED TO ADVISE LEGAT, HONG KONG,
THAT RECORDS WILL BE REVIEWED AND A DETAILED LISTING PROVIDED BY
TELETYPE UPON COMPLETION OF REVIEW.

DALLAS DIVISION DISCONTINUE.

C & E BY [illegible], REASON 1, DRD APRIL 25, 2[illegible].

MIAMI WILL REVIEW RECORDS, APRIL 26, 1986, AND PROVIDE
DETAIL TO BUREAU WITH REQUEST FOR RELAY TO LEGAT, HONG KONG.

BT

-73 (Rev. 10-26-78)

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MESSAGE RELAY VIA TELETYPE

DATE	CLASSIFICATION	PRECEDENCE
4/15/80	CONFIDENTIAL	ROUTINE

FM DIRECTOR FBI

TO FBI HOUSTON

- [ ] The President
- [ ] The Vice President
- [ ] White House Situation Room
  - [ ] Attn: National Security Council
- [ ] Department of the Air Force (AFOSI)
- [ ] Department of the Army
- [ ] Naval Investigative Service
- [ ] Commandant, U. S. Coast Guard
- [ ] National Security Agency (DIRNSA/NSOC (ATTN: SOO))
- [ ] Director, Defense Intelligence Agency
- [ ] Director CIA
- [ ] U. S. Secret Service (PID)
  - [ ] Attn: Executive Protective Service
- [ ] ZEN/U. S. Postal Service
  - [ ] Attn: Chief Postal Inspector
- [ ] Nuclear Regulatory Commission
- [ ] Department of Energy
- [ ] Department of Treasury
  - [ ] Attn: U. S. Customs
- [ ] Department of Treasury
  - [ ] Attn: Bureau of Alcohol Tobacco & Firearms
- [ ] Department of Transportation
  - [ ] Attn: Director of Security
- [ ] Drug Enforcement Administration
- [ ] General Services Administration (WASHDC area, specify office)
- [ ] (Other Areas, specify CITY/STATE)
- [ ] Federal Aviation Administration
- [ ] Federal Protective Service
- [ ] Secretary of State
  - [ ] Attn: Director Bureau of Intelligence & Research
  - [ ] Attn: SCA - VISA Office Room 709 - SA2
- [ ] Attorney General
- [ ] Deputy AG
  - [ ] Attn: Emergency Programs Center
- [ ] Assistant AG, Civil Rights Div.
- [ ] Assistant AG, Criminal Div.
  - [ ] Attn: Internal Security Section
  - [ ] Attn: General Crimes Section
- [ ] Assistant AG for Administration
  - [ ] Attn: Security & Administrative Services Staff
- [ ] Immigration & Naturalization Service

(SERVICE ALSO AVAILABLE TO GOVERNMENT AGENCIES NOT LISTED. [ ]
CONTACT COMMUNICATIONS CENTER FOR ADDRESSING INFORMATION) [ ]
SUBJECT:

163-4561-8

APPROVED BY	ORIGINATOR	ROOM	TELE EXT.
	JEM/JEM	5078	4290

6 MAY 1980

D038207

DECLASSIFIED BY SP5 CI/DMS
ON 2/15/96 308,506

DO NOT FILE WITHOUT COMMUNICATIONS STAMP

USE OF FORM 0-73

1. Only incoming teletype messages which require transmission relay may be prepared for transmission using form 0-73. Use of form 0-73 is restricted to incoming teletype messages received within the last 3 days which require transmission relay; all other teletype messages must be prepared using form 0-93.

2. Additions such as notes and administrative data for a specific addressee are not allowed. If a note or administrative data is required for a particular addressee a separate 0-73 form must be prepared.

3. The message will be transmitted just as it appears. The person approving the message is solely responsible for assuring all necessary editing changes have been made.

PREPARATION OF FORM WHICH CONSISTS OF A PREPRINTED YELLOW.

1. Complete appropriate boxes: date, classification & precedence.
2. List addresses immediately following the "TO" or place a check mark in the appropriate boxes.
3. Type or PRINT the subject in the space provided.
4. Print or type originator's name, room number and telephone extension.
5. Indicate approval for transmission by initialing the "Approved By" box.

PREPARATION OF MESSAGE TO BE TRANSMITTED

1. Xerox 1 copy of incoming teletype message. A notation shall be made on the original incoming teletype "1 copy made for relay to SACS ______ , (OR LEGATS) ______ , (OR GOVERNMENT AGENCIES) ______ ."
2. Minor editing changes shall be made on the xerox as follows: using a lead pencil ONLY draw single line through the first and last lines of the heading and connect these lines from top right to bottom left forming a "Z," figure. (DO NOT OBLITERATE THE HEADING.) Use horizontal lines to delete sentences or words. Printed changes of a word or two to the text of the message may be made; however, changes to the existing text involving more than a word or two necessitate the originator to initiate a new message using form 0-93.
3. Administrative data or notes may be typed immediately following the text and will be transmitted to all addressees.
4. When using the 0-73 form to disseminate information to field offices, Legal Attaches and other Government agencies simultaneously, the text, notes and administrative data must be identical for all addressees.

15 APR 80 07 00z

P 151037Z APR 80

FM HONG KONG (55-4)

TO DIRECTOR PRIORITY 128-14

LEGAT MANILA (INFO) 4975 ROUTINE 027-14

BT

CONFIDENTIAL

163 45612

ATTENTION: NAME CHECK SECTION.

Name Check Section

NUGAN HAND BANK. ~~NAME CHECK MATTER.~~ FPC

~~HQ PLEASE PASS TO HOUSTON.~~

By teletype dated 4/15/80 Legat Hong Kong advised that

THE NUGAN HAND BANK IS ONE OF A NUMBER OF FINANCIAL INSTITUTIONS USING VARIATIONS OF THE NUGAN HAND NAME (FRANK NUGAN - AUSTRALIAN CITIZEN; [redacted]

THE NUGAN HAND ENTERPRISES HAVE HIRED PROMINENT PEOPLE, INCLUDING [redacted] AS REPRESENTATIVES IN THE UNITED STATES, AND ACQUIRED OLD, WELL-KNOWN, EUROPEAN BANKING INSTITUTIONS. (U)

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FOR YEARS THERE HAVE BEEN NUMEROUS ALLEGATIONS THAT THE NUGAN HAND ENTERPRISES HAVE BEEN CONDUITS FOR WASHING MONEY FOR AUSTRALIA, AND PERHAPS AMERICAN, ORGANIZED CRIME ELEMENTS, AS WELL AS CORRUPT SOUTHEAST ASIAN GOVERNMENT OFFICIALS AND INDIVIDUALS INVOLVED IN NARCOTICS TRAFFICKING. THEY WERE ALSO

ALLEGED TO BE INVOLVED IN THE HANDLING OF U. S. COUNTERFEIT CURRENCY (THUS THE REASON FOR LEGAT'S 55 CASE DESIGNATION). THE INFORMATION OBTAINED HERE WAS RELAYED TO U. S. SECRET SERVICE IN HONOLULU). NONE OF THESE ALLEGATIONS HAVE EVER BEEN PROVEN ALTHOUGH FRANK NUGAN WAS UNDER INVESTIGATION BY SEVERAL LAW ENFORCEMENT AGENCIES IN AUSTRALIA. HE WAS FOUND DEAD, APPARENTLY OF A SELF-INFLICTED GUNSHOT WOUND, IN AUSTRALIA IN JANUARY 1980 (X). (U)

THE NUGAN HAND BANK, HONG KONG, HAS RECENTLY, APPARENTLY, FAILED. INITIAL ESTIMATES OF LOSS TO DEPOSITORS IS HONG KONG 21 MILLION (US 4 MILLION). ACCOUNTS ARE PRESENTLY BEING AUDITED. (U)

b7D

PAGE THREE HON 55-4 CONFIDENTIAL

REQUEST OF FBIHQ:

~~PLEASE CHECK IDENTIFICATION DIVISION, NCIC AND BUFILES~~

~~FOR REFERENCES. SUTEL.~~

LEAD - HOUSTON AT HOUSTON:

CONTACT LOGICAL LOCAL, STATE AND FEDERAL AGENCIES TO

VERIFY ARREST AND OBTAIN DETAILS. SUTEL.

C&E BY [illegible]; REASON (1): DRD APRIL 15, 2010.

BT

RECEIVED
TELETYPE UNIT

MM0018 1210005Z

30 APR 80 00 24z

OO HQ

DE MM

O 29 2215Z APR 80

FM MIAMI (196-488) P

TO DIRECTOR IMMEDIATE

BT

C O N F I D E N T I A L

NUGAN HAND BANK; FPC.

RE BUREAU TELETYPE TO MIAMI AND DALLAS, APRIL 25, 1980;

MIAMI TELETYPE TO BUREAU, APRIL 25, 1980.

THE BUREAU IS REQUESTED TO RELAY TO LEGAT, HONG KONG, THE

FOLLOWING INFORMATION:

ON APRIL 28, 1980, [redacted] ACCOMPANIED BY

MIAMI SPECIAL AGENT, PICKED UP FROM AMERICAN AIRLINES AIR FREIGHT

THREE BOXES OF RECORDS AND PERSONAL ITEMS THAT HAD BEEN SHIPPED

TO [redacted] FROM HONG KONG.

AFTER SEPARATING PERSONAL ITEMS OF [redacted] FROM SHIPMENT,

APPROXIMATELY ONE FILE DRAWER OF RECORDS WAS REVIEWED BY SPECIAL

AGENT (SA) AND [redacted]

THE MAJORITY OF THE FILES WERE "IN HOUSE" MEMOS UNDER VARIOUS

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DECLASSIFIED BY SPSC1LDMS
ON 2/15/90 308,506

6 MAY 30 1980

TITLES AND SUBJECTS CONCERNING PROPOSALS FOR REORGANIZATION OF THE COMPANY AND ITEMS OF GENERAL BACKGROUND INFORMATION INCLUDING PUBLIC INFORMATION TYPE COMPANY BROCHURES.

NO ORIGINAL COMPANY FILES CONTAINED IN SHIPMENTS, ONLY WORKING SUBFILES USED BY [redacted] IN HIS REVIEW OF NUMEROUS COMPANY PROCEDURES. NO CLIENT FILES LOCATED. [redacted] HAD VERY LIMITED CONTACT WITH ANY NUGAN - HAND CLIENTS. MANY OF THE FILES WERE MADE UP OF ITEMS OF GENERAL BANKING AND MANAGEMENT PRACTICES THAT [redacted] CARRIED WITH HIM FROM MIAMI. MOST FILES REVIEWED CONTAIN GENERAL BUSINESS CORRESPONDENCE AND REPORTS OF VARIOUS BUSINESS TELEPHONE CONVERSATIONS.

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[redacted] HAD PRIOR BUSINESS COMMITMENTS APRIL 28 AND APRIL 29 WHICH PRECLUDE AN EARLIER DETAILED REVIEW.

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FILES SEPARATED INCLUDED WEEKLY SUMMARY OF DEPOSITS RECEIVED SHOWING CLIENT NUMBER. NO NAMES WERE REFLECTED. NO CROSS-REFERENCE CHART LOCATED CORRELATING CLIENT NUMBERS WITH NAMES.

OTHER FILES INCLUDE INFORMATION CONCERNING [redacted]

OBSERVATION OF VARIOUS AREAS WHEREIN HE AND NUGAN HAND COMPANY "IN HOUSE" ATTORNEY FELT THAT THE COMPANY WAS NOT IN COMPLIANCE WITH ESTABLISHED BANKING REGULATIONS OF HONG KONG.

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[redacted] ADVISED THAT [redacted]

[redacted] DURING THE APPROXIMATE PERIOD OCTOBER 1, 1979 THROUGH MARCH 21, 1980. HIS PURPOSE WAS TO

[redacted]

[redacted] HIS REASON FOR LEAVING WAS THAT HE COULD NOT OBTAIN SATISFACTORY ANSWERS FROM MANAGE- MENT CONCERNING THE FINANCIAL CONDITION OF THE COMPANY.

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[redacted]

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CATEGORIES OF FILES REVIEWED ARE AS FOLLOWS: DESK FILES; GENERAL FILES; PERSONNEL FILES - LOCAL, [redacted] FILE ONLY; BANKS; PROJECTS; NHI-OFFICES; NHI HONG KONG DIVISIONS AND COUNTRIES THAT NHI DEALT WITH. MANY OF THE FILES WERE EMPTY AND

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[redacted]

b7D

ADDITIONAL DETAILED INFORMATION WILL BE TRANSMITTED BY MIAMI DIVISION OF THE FBI THROUGH FBIHQ UPON COMPLETION ON APRIL 30, 1980, OF DETAILED REVIEW.

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C&E BY 7786, REASON ONE; DRD APRIL 29, 2000.

ADMINISTRATIVE

FOR THE INFORMATION OF FBIHQ, STATES HE DID NOT SEE ANY EVIDENCE OF ANY NARCOTICS OR ORGANIZED CRIME DOLLAR LAUNDERING NOR HANDLING OF ANY COUNTERFEIT U.S. CURRENCY THROUGH NUGAN HAND COMPANY WHILE HE WAS ASSOCIATED WITH IT. HOWEVER, HE WAS NOT PRIVY TO MUCH FINANCIAL INFORMATION; THEREFORE, HE RESIGNED. CLAIMS THAT MR. NUGAN AND WERE ENTREPRENEURS AND WERE NOT GOOD BANK ADMINISTRATORS. FELT THAT FRANK NUGAN DEVOTED TOO MUCH OF HIS TIME ATTEMPTING TO CLEAR UP HIS FAMILY'S BUSINESS INTERESTS IN AUSTRALIA AND THUS NEGLECTED NUGAN HAND COMPANY INTERESTS, THEREFORE CONTRIBUTING TO ITS FINANCIAL PROBLEMS.

FORMERLY WORKED FOR AND HAS BEEN INTERVIEWED AS A WITNESS IN MIAMI CASE (BUREAU SPECIAL NUMBER 12) ENTITLED

"NETWORK", MIAMI FILE 183-338. [redacted] APPEARS TO ENJOY GOOD REPUTATION IN [redacted]

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BT

RECEIVED TELETYPE UNIT

15 APR 80 07 00Z

FEDERAL BUREAU OF INVESTIGATION COMMUNICATIONS SECTION

P 151230Z APR 80

FM HONG KONG (55-4)

TO DIRECTOR PRIORITY 128-14

LEGAT MANILA (INFO) 4975 ROUTINE 007-14

BT

CONFIDENTIAL

ATTENTION: NAME CHECK SECTION.

NUGAN HAND BANK. NAME CHECK MATTER; FPC

HQ PLEASE PASS TO HOUSTON.

THE NUGAN HAND BANK IS ONE OF A NUMBER OF FINANCIAL INSTITUTIONS USING VARIATIONS OF THE NUGAN HAND NAME (FRANK NUGAN - AUSTRALIAN CITIZEN; [redacted] b6 b7C THE NUGAN HAND ENTERPRISES HAVE HIRED PROMINENT PEOPLE, INCLUDING [redacted] AS REPRESENTATIVES IN THE UNITED STATES, AND ACQUIRED OLD, WELL-KNOWN, EUROPEAN BANKING INSTITUTIONS. (U)

FOR YEARS THERE HAVE BEEN NUMEROUS ALLEGATIONS THAT THE NUGAN HAND ENTERPRISES HAVE BEEN CONDUITS FOR WASHING MONEY FOR AUSTRALIA, AND PERHAPS AMERICAN, ORGANIZED CRIME ELEMENTS, AS WELL AS CORRUPT SOUTHEAST ASIAN GOVERNMENT OFFICIALS AND INDIVIDUALS INVOLVED IN NARCOTICS TRAFFICKING. THEY WERE ALSO

DECLASSIFIED BY SP5Ct/DMS ON 2/15/90 308,506

APR 24 1980

4 MAY 20 1980

ALLEGED TO BE INVOLVED IN THE HANDLING OF U. S. COUNTERFEIT CURRENCY (THUS THE REASON FOR LEGAT'S 55 CASE DESIGNATION. THE INFORMATION OBTAINED HERE WAS RELAYED TO U. S. SECRET SERVICE IN HONOLULU). NONE OF THESE ALLEGATIONS HAVE EVER BEEN PROVEN ALTHOUGH FRANK NUGAN WAS UNDER INVESTIGATION BY SEVERAL LAW ENFORCEMENT AGENCIES IN AUSTRALIA. HE WAS FOUND DEAD, APPARENTLY OF A SELF-INFLICTED GUNSHOT WOUND, IN AUSTRALIA IN JANUARY 1980 (X)(u).

THE NUGAN HAND BANK, HONG KONG, HAS RECENTLY, APPARENTLY, FAILED. INITIAL ESTIMATES OF LOSS TO DEPOSITORS IS HONG KONG 21 MILLION (US 4 MILLION). ACCOUNTS ARE PRESENTLY BEING AUDITED. (U)

b7D

REQUEST OF FBIHQ:

PLEASE CHECK IDENTIFICATION DIVISION, NCIC AND BUFILES FOR REFERENCES. SUTEL.

LEAD - HOUSTON AT HOUSTON:

CONTACT LOGICAL LOCAL, STATE AND FEDERAL AGENCIES TO VERIFY ARREST AND OBTAIN DETAILS. SUTEL.

C&E BY ; REASON (1): DRD APRIL 15, 2010.

BT

0-73 (Rev. 10-26-78)

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MESSAGE RELAY VIA TELETYPE

DATE 4/17/80	CLASSIFICATION UNCLAS EFTO	PRECEDENCE ROUTINE

FM DIRECTOR FBI

TO LEGAL ATTACHE HONG KONG

- [ ] The President
- [ ] The Vice President
- [ ] White House Situation Room
  - [ ] Attn: National Security Council
- [ ] Department of the Air Force (AFOSI)
- [ ] Department of the Army
- [ ] Naval Investigative Service
- [ ] Commandant, U. S. Coast Guard
- [ ] National Security Agency (DIRNSA/NSOC (ATTN: SOO))
- [ ] Director, Defense Intelligence Agency
- [ ] Director CIA
- [ ] U. S. Secret Service (PID)
  - [ ] Attn: Executive Protective Service
- [ ] ZEN/U. S. Postal Service
  - [ ] Attn: Chief Postal Inspector
- [ ] Nuclear Regulatory Commission
- [ ] Department of Energy
- [ ] Department of Treasury
  - [ ] Attn: U. S. Customs
- [ ] Department of Treasury
  - [ ] Attn: Bureau of Alcohol Tobacco & Firearms
- [ ] Department of Transportation
  - [ ] Attn: Director of Security
- [ ] Drug Enforcement Administration
- [ ] General Services Administration (WASHDC area, specify office)
- [ ] (Other Areas, specify CITY/STATE)
- [ ] Federal Aviation Administration
- [ ] Federal Protective Service
- [ ] Secretary of State
  - [ ] Attn: Director Bureau of Intelligence & Research
  - [ ] Attn: SCA - VISA Office Room 709 - SA2
- [ ] Attorney General
- [ ] Deputy AG
  - [ ] Attn: Emergency Programs Center
- [ ] Assistant AG, Civil Rights Div.
- [ ] Assistant AG, Criminal Div.
  - [ ] Attn: Internal Security Section
  - [ ] Attn: General Crimes Section
- [ ] Assistant AG for Administration
  - [ ] Attn: Security & Administrative Services Staff
- [ ] Immigration & Naturalization Service

(SERVICE ALSO AVAILABLE TO GOVERNMENT AGENCIES NOT LISTED. CONTACT COMMUNICATIONS CENTER FOR ADDRESSING INFORMATION)

SUBJECT:

163-45612-10X

DE-14

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED 308,506
DATE 2/15/96 BY SP5C1/DMS

APPROVED BY	ORIGINATOR	ROOM	TELE EXT.
	JEM/JLM	5078	4290

JUN 6 1980

DO NOT FILE WITHOUT COMMUNICATIONS STAMP

FBI/DOJ

APR 23 1980

USE OF FORM 0-73

Only incoming teletype messages which require transmission relay may be prepared for transmission using form 0-73. Use of form 0-73 is restricted to incoming teletype messages received within the last 3 days which require transmission relay; all other teletype messages must be prepared using form 0-93.

2. Additions such as notes and administrative data for a specific addressee are not allowed. If a note or administrative data is required for a particular addressee a separate 0-73 form must be prepared.

3. The message will be transmitted just as it appears. The person approving the message is solely responsible for assuring all necessary editing changes have been made.

PREPARATION OF FORM WHICH CONSISTS OF A PREPRINTED YELLOW.

1. Complete appropriate boxes: date, classification & precedence.
2. List addresses immediately following the "TO" or place a check mark in the appropriate boxes.
3. Type or PRINT the subject in the space provided.
4. Print or type originator's name, room number and telephone extension.
5. Indicate approval for transmission by initialing the "Approved By" box.

17 APR 80 18 41

COMMUNICATIONS SECTION

PREPARATION OF MESSAGE TO BE TRANSMITTED

1. Xerox 1 copy of incoming teletype message. A notation shall be made on the original incoming teletype "1 copy made for relay to SACS ______ , (OR LEGATS) ______ , (OR GOVERNMENT AGENCIES) ______ ."
2. Minor editing changes shall be made on the xerox as follows: using a lead pencil ONLY draw single line through the first and last lines of the heading and connect these lines from top right to bottom left forming a "Z," figure. (DO NOT OBLITERATE THE HEADING.) Use horizontal lines to delete sentences or words. Printed changes of a word or two to the text of the message may be made; however, changes to the existing text involving more than a word or two necessitate the originator to initiate a new message using form 0-93.
3. Administrative data or notes may be typed immediately following the text and will be transmitted to all addressees.
4. When using the 0-73 form to disseminate information to field offices, Legal Attaches and other Government agencies simultaneously, the text, notes and administrative data must be identical for all addressees.

17 APR 80 02 25z.

HO0011 1070216Z

RR HQ

DE HO

R 152325Z APR 80

FM HOUSTON (163-536) (RUC)

TO DIRECTOR ROUTINE

BT

UNCLAS

HIBAU HAND BANK; FPC

~~RE BUREAU TELETYPE TO HOUSTON, APRIL 15, 1980.~~

2 BY TELETYPE DATED 4/17/80 HOUSTON ADVISED THAT

NO ARREST LOCATED FOR [redacted] AT

HOUSTON POLICE DEPARTMENT, HARRIS COUNTY SHERIFF'S OFFICE, HOUSTON INTERCONTINENTAL AIRPORT POLICE, DEPARTMENT OF PUBLIC SAFETY, UNITED STATES CUSTOMS SERVICE, UNITED STATES SECRET SERVICE AND UNITED STATES MARSHAL'S SERVICE.

HOUSTON INDICES NEGATIVE.

BT

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MM0014 1212157Z

OO HQ

DE MM

O 302130Z APR 80

FM MIAMI (196-488) P

TO DIRECTOR IMMEDIATE

BT

C O N F I D E N T I A L

NUGAN HAND BANK, FPC.

RE BUREAU TELETYPE TO DALLAS AND MIAMI, APRIL 25, 1980, AND MIAMI TELETYPES TO BUREAU, APRIL 25, 1980, AND APRIL 29, 1980.

THE BUREAU IS REQUESTED TO RELAY TO LEGAT, HONG KONG, THE FOLLOWING INFORMATION:

ON APRIL 30, 1980, SUMMARY REVIEW OF FILES COMPLETED BY SA OF MIAMI FBI AND [redacted] STATES THAT [redacted] AND COMPANY PUBLIC ACCOUNTING FIRM IN HONG KONG HAS VARIOUS NUGAN HAND BANK COMPANY (NH) INFORMATION [redacted] [redacted] FURTHER ADVISED THAT NH ALSO MAINTAINED COMPANY RECORDS IN SINGAPORE AND IN SYDNEY. SYDNEY IS HEADQUARTERS FOR NH. AFTER NUGAN'S DEATH, [redacted] SPENT CONSIDERABLE TIME IN SYDNEY ASSISTING IN SETTLING NUGAN'S ESTATE.

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30 APR 80 22 22z

DECLASSIFIED BY SPSc1/DMS
ON 2/15/90 308,506

JUN 6 1980

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FILES REFLECT A MEMO DATED FEBRUARY 25, 1980, FROM [redacted] TO [redacted] WHICH SETS FORTH VARIOUS NH HONG KONG BANKING PRACTICES THAT VIOLATE ORDINANCE OF HONG KONG BANKING COMMISSIONER. THE MEMO GOES ON TO SET FORTH AT LEAST SIX PROPOSALS TO CORRECT THIS SITUATION AND THUS LEGITIMIZE NH COMPANY'S OPERATIONS IN HONG KONG. THE PURPOSE OF MEMO IS TO RESEARCH THE PROBLEM AND CORRECT THE PROBLEM OR ELSE RECOMMEND THAT NH CEASE BANKING OPERATIONS IN HONG KONG.

ANOTHER MEMO DATED MARCH 13, 1980, EXPLAINS THE RELATIONSHIP BETWEEN NH THAILAND (NHT) AND NH LTD. (NHL) AS A STOCK OWNERSHIP RELATION ONLY WITH NHL OWNING NHT.

ANOTHER MEMO DATED FEBRUARY 26, 1980, REFLECTS [redacted] TO WHICH ONLY SHE AND [redacted] HAVE ACCESS. [redacted] DUTIES INCLUDED [redacted] NOT IN SINGAPORE.

ANOTHER MEMO DATED FEBRUARY 8, 1980, REFLECTS CLIENT NUMBER [redacted] TO BE PERSON NAMED [redacted]

PAGE THREE MM 196-488 C O N F I D E N T I A L

[redacted] THIS MEMO CONCERNED b6 b7C

INTEREST PAYMENTS TO MOLL ON A CERTIFICATE OF DEPOSIT HE HAD WITH NH BANK.

ANOTHER MEMO REFLECTS THREE DIGIT CODE NUMBERS FROM ALL NH EXECUTIVES TOGETHER WITH THEIR TITLES AND LOCATIONS IN ADDITION TO CORRECT TELEX NUMBERS FROM VARIOUS NH LOCATIONS. [redacted] b6 b7C b7D

[redacted] STATES HE WILL ASSIST IN ANY SPECIFIC INQUIRIES.

C AND E BY 887; REASON 1, DRD APRIL 30, 2000.

ADMINISTRATIVE

MIAMI DIVISION WILL [redacted]

[redacted] FILES TEMPORARILY MAINTAINED AT FBI, b6 b7C b7D

MIAMI, WILL BE RETURNED TO [redacted] UACB WITHIN TWO WEEKS FROM DATE OF THIS COMMUNICATION.

BT

#

O-73 (Rev. 10-26-78)

MESSAGE RELAY VIA TELETYPE

044

DATE	CLASSIFICATION	PRECEDENCE
4/25/80	CONFIDENTIAL	PRIORITY

FM DIRECTOR FBI

TO LEGAT HONG KONG

- [ ] The President
- [ ] The Vice President
- [ ] White House Situation Room
  - [ ] Attn: National Security Council
- [ ] Department of the Air Force (AFOSI)
- [ ] Department of the Army
- [ ] Naval Investigative Service
- [ ] Commandant, U. S. Coast Guard
- [ ] National Security Agency (DIRNSA/NSOC (ATTN: SOO))
- [ ] Director, Defense Intelligence Agency
- [ ] Director CIA
- [ ] U. S. Secret Service (PID)
  - [ ] Attn: Executive Protective Service
- [ ] ZEN/U. S. Postal Service
  - [ ] Attn: Chief Postal Inspector
- [ ] Nuclear Regulatory Commission
- [ ] Department of Energy
- [ ] Department of Treasury
  - [ ] Attn: U. S. Customs
- [ ] Department of Treasury
  - [ ] Attn: Bureau of Alcohol Tobacco & Firearms
- [ ] Department of Transportation
  - [ ] Attn: Director of Security
- [ ] Drug Enforcement Administration
- [ ] General Services Administration (WASHDC area, specify office)

  ____
- [ ] (Other Areas, specify CITY/STATE)

  ____
- [ ] Federal Aviation Administration
- [ ] Federal Protective Service
- [ ] Secretary of State
  - [ ] Attn: Director Bureau of Intelligence & Research
  - [ ] Attn: SCA - VISA Office Room 709 - SA2
- [ ] Attorney General
- [ ] Deputy AG
  - [ ] Attn: Emergency Programs Center
- [ ] Assistant AG, Civil Rights Div.
- [ ] Assistant AG, Criminal Div.
  - [ ] Attn: Internal Security Section
  - [ ] Attn: General Crimes Section
- [ ] Assistant AG for Administration
  - [ ] Attn: Security & Administrative Services Staff
- [ ] Immigration & Naturalization Service

(SERVICE ALSO AVAILABLE TO GOVERNMENT AGENCIES NOT LISTED. [ ]
CONTACT COMMUNICATIONS CENTER FOR ADDRESSING INFORMATION) [ ]

163-4562-12

SUBJECT:

SEE ATTACHED

APR 30 1980

APPROVED BY	ORIGINATOR	ROOM	TELE EXT.
	BVM	5027	2805

60 MAY 22 1980

4562

DECLASSIFIED BY SP5C1 lDMS
ON 2/15/96 308, 506

*DO NOT FILE WITHOUT COMMUNICATIONS STAMP

FBI/DOJ

USE OF FORM 0-73

1. Only incoming teletype messages which require transmission relay may be prepared for transmission using form 0-73. Use of form 0-73 is restricted to incoming teletype messages received within the last 3 days which require transmission relay; all other teletype messages must be prepared using form 0-93.

2. Additions such as notes and administrative data for a specific addressee are not allowed. If a note or administrative data is required for a particular addressee a separate 0-73 form must be prepared.

3. The message will be transmitted just as it appears. The person approving the message is solely responsible for assuring all necessary editing changes have been made.

PREPARATION OF FORM WHICH CONSISTS OF A PREPRINTED YELLOW.

1. Complete appropriate boxes: date, classification & precedence.
2. List addresses immediately following the "TO" or place a check mark in the appropriate boxes.
3. Type or PRINT the subject in the space provided.
4. Print or type originator's name, room number and telephone extension.
5. Indicate approval for transmission by initialing the "Approved By" box.

PREPARATION OF MESSAGE TO BE TRANSMITTED

1. Xerox 1 copy of incoming teletype message. A notation shall be made on the original incoming teletype "1 copy made for relay to SACS ______ , (OR LEGATS) ______ , (OR GOVERNMENT AGENCIES) ______ ."
2. Minor editing changes shall be made on the xerox as follows: using a lead pencil ONLY draw single line through the first and last lines of the heading and connect these lines from top right to bottom left forming a "Z," figure. (DO NOT OBLITERATE THE HEADING.) Use horizontal lines to delete sentences or words. Printed changes of a word or two to the text of the message may be made; however, changes to the existing text involving more than a word or two necessitate the originator to initiate a new message using form 0-93.
3. Administrative data or notes may be typed immediately following the text and will be transmitted to all addressees.
4. When using the 0-73 form to disseminate information to field offices, Legal Attaches and other Government agencies simultaneously, the text, notes and administrative data must be identical for all addressees.

MM0013 1162354Z

OO HI DL

DE MM

025 2354Z APR 80

FM MIAMI (196-488) P

TO DIRECTOR IMMEDIATE

DALLAS IMMEDIATE

BT

C O N F I D E N T I A L

NUGAN HAND BANK; FPC.

REFERENCE BUREAU TELETYPE TO MIAMI AND DALLAS, APRIL 25, 1980; LEGAT, HONG KONG, TELETYPE TO BUREAU, APRIL 25, 1980.

~~THE BUREAU IS REQUESTED TO RELAY TO LEGAT, HONG KONG, THE FOLLOWING INFORMATION:~~

BY TELETYPE 4/25/80 FBI MIAMI FURNISHED THE FOLLOWING:

ON INSTANT DATE, [redacted] CONTACTED BY MIAMI SPECIAL AGENT AND AGREED TO HAVE RECORD REVIEWED BY FBI AGENT, HOWEVER, HE [redacted] PREFERRED TO BE PRESENT DURING REVIEW. RECORDS LOCATED AT [redacted] [redacted]

[redacted] CONTACTED AND ADVISED RECORDS IN TRANSIT TO MIAMI CARE OF DIRECTOR OF CUSTOMS, MIAMI INTERNATIONAL AIRPORT, AND

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RECEIVED TELETYPE UNIT 26 APR 80 00 03Z

RECEIVED FEDERAL BUREAU OF INVESTIGATION COMMUNICATIONS SECTION

Exec. AD-Adm.
Exec. AD-LES
Asst. Dir.:
Adm. Servs.
Crim. Inv.
Ident.
Intell.
Laboratory
Rec.
Tech. Servs.
Training
Public Affs. Off.
Telephone Rm.
Director's Sec'y

PAGE TWO MM 196-488 C O N F I D E N T I A L

[redacted] ON AMERICAN AIRLINES AIR BILL NUMBER [redacted]

DUE TO ARRIVE MIAMI, APRIL 26, 1980, SPECIFIC TIME AND FLIGHT

NUMBER UNKNOWN.

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[redacted] NOTIFIED THAT SHIPMENT WILL ARRIVE AND HAS

AGREED TO CONTACT AGENT AND CONDUCT JOINT REVIEW OF CONTENTS

SATURDAY, APRIL 26, 1980. [redacted] ADVISED MAJORITY OF

CONTENTS ARE HIS PERSONAL TEXT BOOK COMPANY MANUELS THAT HE

CARRIED WITH HIM TO HONG KONG. RECORDS DO CONTAIN SOME NUGAN

COMPANY COMMUNICATIONS AND MANAGEMENT INFORMATION SYSTEMS TYPE

INFORMATION. HE DOES NOT BELIEVE FILES CONTAIN ANY CLIENT

INFORMATION. HOWEVER, HE WILL FULLY COOPERATE.

~~THE BUREAU IS FURTHER REQUESTED TO ADVISE LEGAT, HONG KONG,~~

~~THAT~~ RECORDS WILL BE REVIEWED AND A DETAILED LISTING PROVIDED BY

TELETYPE UPON COMPLETION OF REVIEW.

DALLAS DIVISION DISCONTINUE.

C & E BY ~~887~~ 4478, REASON 1, DRD APRIL 25, 2000.

~~MIAMI WILL REVIEW RECORDS, APRIL 26, 1980, AND PROVIDE~~

~~DETAIL TO BUREAU WITH REQUEST FOR RELAY TO LEGAT, HONG KONG.~~

BT

#

P 251630Z APR 80

FM HONG KONG (55-4)

TO DIRECTOR PRIORITY

BT

CONFIDENTIAL

NUGAN HAND BANK. FPC.

REBUTELS APRIL 17, 1980.

FBIHQ PLEASE PASS TO MIAMI AND DALLAS BY PRIORITY TELETYPE.

[redacted] INFORMED APRIL 25, 1980, THAT [redacted]

[redacted]

[redacted] STATES THAT [redacted]

[redacted]

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DECLASSIFIED BY SP5cil DMS
ON 2/15/90 308,506

JUN 6 1980

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STATES THAT

LEADS - MIAMI AND DALLAS:

OBTAIN

DETERMINE EXACT LOCATION OF PROVIDE SUMMARY

DESCRIPTION OF

ADMINISTRATIVE: FOR INFO OF FBIHQ, MIAMI AND DALLAS:

FOR YEARS THERE HAVE BEEN NUMEROUS ALLEGATIONS THAT THE NUGAN HAND ENTERPRISES HAVE BEEN CONDUITS FOR WASHING MONEY FOR AUSTRALIAN AND PERHAPS AMERICAN ORGANIZED CRIME ELEMENTS, AS WELL AS CORRUPT SOUTHEAST ASIAN GOVERNMENT OFFICIALS AND INDIVIDUALHJ INVOLVED IN NARCOTICS TRAFFICKING. THEY WERE ALSO ALLEGED TO HAVE BEEN INVOLVED IN THE HANDLING OF U. S. COUNTERFEIT CURRENCY (THUS THE REASON FOR LEGAT'S 55 CASE DESIGNATION. INFO OBTAGJED IN HONG KONG WAS RELAYED TO U.S. SECRET SERVICE IN HONOLULU). NONE OF THESE ALLEGATIONS HAVE EVER BEEN PROVEN ALTHO FRANK NUGAN WAS UNDER INVESTIGATION BY SEVERAL LAW ENFORCEMENT AGENCIES IN AUSTRALIA WHEN HE WAS FOUND DEAD APPARENTLY OF A SELFINFLICTED GUNSHOT WOUND IN AUSTRALIA ON JANUARY 27, 1980. (X)(u)

INQUEST TESTIMONY TO DATE INDICATES THAT FRANK NUGAN DIVERTED NUGAN HAND BANKING COMPANY FUNDS TO HIS PERSONAL USE IN THE AMOUNT OF "SEVERAL MILLION U.S. DOLLARS." THE HONG KONG SUBSIDIARY HAS APPARENTLY LST APPROXIMATELY HONG KONG DOLLARS 14 MILLION (U.S. DOLLARS 2.8 MILLION).

NUGAN HAND INTERNATIONAL, HONG KONG, AND OTHER SUBSIDIARIES ARE BELIEVED TO HAVE INVESTED MONEY FOR VARIOUS INDIVIDUALS AND ORGANIZATIONS UNDER TRUSTEE ACCOUNTS, I.E. IN WHICH THE PRINCIPALS'

IDENTITIES ARE CONCEALED, AND THE OWNER IN INTEREST APPEARS ONLY AS NUGAN HAND. THE RECORDS AT DALLAS COULD BE VERY ENLIGHTENING IN THIS REGARD.

C&E BY 887; REASON ONE; DRD APRIL 25, 2000.

BT

O-73 (Rev. 10-26-78)

O Crm Type
A DS
P LP

016

MESSAGE RELAY VIA TELETYPE

DATE	CLASSIFICATION	PRECEDENCE
4/29/80	CONFIDENTIAL	[illegible]

FM DIRECTOR FBI

TO

LEGAT HONG KONG

- [ ] The President
- [ ] The Vice President
- [ ] White House Situation Room
  - [ ] Attn: National Security Council
- [ ] Department of the Air Force (AFOSI)
- [ ] Department of the Army
- [ ] Naval Investigative Service
- [ ] Commandant, U. S. Coast Guard
- [ ] National Security Agency (DIRNSA/NSOC (ATTN: SOO))
- [ ] Director, Defense Intelligence Agency
- [ ] Director CIA
- [ ] U. S. Secret Service (PID)
  - [ ] Attn: Executive Protective Service
- [ ] ZEN/U. S. Postal Service
  - [ ] Attn: Chief Postal Inspector
- [ ] Nuclear Regulatory Commission
- [ ] Department of Energy
- [ ] Department of Treasury
  - [ ] Attn: U. S. Customs
- [ ] Department of Treasury
  - [ ] Attn: Bureau of Alcohol Tobacco & Firearms
- [ ] Department of Transportation
  - [ ] Attn: Director of Security
- [ ] Drug Enforcement Administration
- [ ] General Services Administration (WASHDC area, specify office)

____

- [ ] (Other Areas, specify CITY/STATE)

____

- [ ] Federal Aviation Administration
- [ ] Federal Protective Service
- [ ] Secretary of State
  - [ ] Attn: Director Bureau of Intelligence & Research
  - [ ] Attn: SCA - VISA Office Room 709 - SA2
- [ ] Attorney General
- [ ] Deputy AG
  - [ ] Attn: Emergency Programs Center
- [ ] Assistant AG, Civil Rights Div.
- [ ] Assistant AG, Criminal Div.
  - [ ] Attn: Internal Security Section
  - [ ] Attn: General Crimes Section
- [ ] Assistant AG for Administration
  - [ ] Attn: Security & Administrative Services Staff
- [ ] Immigration & Naturalization Service

(SERVICE ALSO AVAILABLE TO GOVERNMENT AGENCIES NOT LISTED. [ ]
CONTACT COMMUNICATIONS CENTER FOR ADDRESSING INFORMATION) [ ]

SUBJECT:

see attached

165-45612 - 14

MAY 2 1980

APPROVED BY	ORIGINATOR	ROOM	TELE EXT.
[illegible]	BVM	5027	2805

DECLASSIFIED BY SP5CL\DMS
ON 2\15\90 308,506

DO NOT FILE WITHOUT COMMUNICATIONS STAMP

JUN 6 1980

FBI/DOJ

INCONS MAY 22 1980 LUD

Outcons JUN 6 1980 B.J.T.

USE OF FORM 0-73

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30 APR 80 04 14 COMMU... SECTION

PREPARATION OF FORM WHICH CONSISTS OF A PREPRINTED YELLOW.

1. Complete appropriate boxes: date, classification & precedence.
2. List addresses immediately following the "TO" or place a check mark in the appropriate boxes.
3. Type or PRINT the subject in the space provided.
4. Print or type originator's name, room number and telephone extension.
5. Indicate approval for transmission by initialing the "Approved By" box.

PREPARATION OF MESSAGE TO BE TRANSMITTED

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4. When using the 0-73 form to disseminate information to field offices, Legal Attaches and other Government agencies simultaneously, the text, notes and administrative data must be identical for all addressees.

RECEIVED
TELETYPE UNIT

MM0018 1210005Z

00 HQ

DE MM

0 29 2215Z APR 80

FM MIAMI (196-488) P

TO DIRECTOR IMMEDIATE

BT

30 APR 80 00 24z mA

RECEIVED
FEDERAL BUREAU
OF INVESTIGATION
COMMUNICATIONS SECTION

Exec. AD-Inv.
Exec. AD-Adm.
Exec. AD-LES
Asst. Dir.:
Adm. Servs.
Crim. Inv.
Ident.
Intell.
Laboratory
Legal Coun.
Plan & Insp.
Rec. Mgnt.
Tech. Servs.
Training
Public Affs. Off.
Telephone Rm.
Director's Sec'y

~~C O N F I D E N T I A L~~

NUGAN HAND BANK; FPC.

RE BUREAU TELETYPE TO MIAMI AND DALLAS, APRIL 25, 1980;

~~MIAMI TELETYPE TO BUREAU, APRIL 25, 1980.~~ NR

BY TELETYPE 4/29/80 FBI MIAMI FURNISHED THE FOLLOWING:

~~THE BUREAU IS REQUESTED TO RELAY TO LEGAT, HONG KONG, THE FOLLOWING INFORMATION:~~

ON APRIL 28, 1980, [redacted] ACCOMPANIED BY MIAMI SPECIAL AGENT, PICKED UP FROM AMERICAN AIRLINES AIR FREIGHT THREE BOXES OF RECORDS AND PERSONAL ITEMS THAT HAD BEEN SHIPPED TO [redacted] FROM HONG KONG.

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AFTER SEPARATING PERSONAL ITEMS OF [redacted] FROM SHIPMENT, APPROXIMATELY ONE FILE DRAWER OF RECORDS WAS REVIEWED BY SPECIAL AGENT (SA) AND [redacted]

THE MAJORITY OF THE FILES WERE "IN HOUSE" MEMOS UNDER VARIOUS

TITLES AND SUBJECTS CONCERNING PROPOSALS FOR REORGANIZATION OF THE COMPANY AND ITEMS OF GENERAL BACKGROUND INFORMATION INCLUDING PUBLIC INFORMATION TYPE COMPANY BROCHURES.

NO ORIGINAL COMPANY FILES CONTAINED IN SHIPMENTS, ONLY WORKING SUBFILES USED BY [redacted] IN HIS REVIEW OF NUMEROUS COMPANY PROCEDURES. NO CLIENT FILES LOCATED. [redacted] HAD VERY LIMITED CONTACT WITH ANY NUGAN - HAND CLIENTS. MANY OF THE FILES WERE MADE UP OF ITEMS OF GENERAL BANKING AND MANAGEMENT PRACTICES THAT [redacted] CARRIED WITH HIM FROM MIAMI. MOST FILES REVIEWED CONTAIN GENERAL BUSINESS CORRESPONDENCE AND REPORTS OF VARIOUS BUSINESS TELEPHONE CONVERSATIONS.

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[redacted] HAD PRIOR BUSINESS COMMITMENTS APRIL 28 AND APRIL 29 WHICH PRECLUDE AN EARLIER DETAILED REVIEW.

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FILES SEPARATED INCLUDED WEEKLY SUMMARY OF DEPOSITS RECEIVED SHOWING CLIENT NUMBER. NO NAMES WERE REFLECTED. NO CROSS-REFERENCE CHART LOCATED CORRELATING CLIENT NUMBERS WITH NAMES.

OTHER FILES INCLUDE INFORMATION CONCERNING [redacted] OBSERVATION OF VARIOUS AREAS WHEREIN HE AND NUGAN HAND COMPANY "IN HOUSE" ATTORNEY FELT THAT THE COMPANY WAS NOT IN COMPLIANCE WITH ESTABLISHED BANKING REGULATIONS OF HONG KONG.

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[redacted] ADVISED THAT [redacted] DURING THE APPROXIMATE PERIOD OCTOBER 1, 1979 THROUGH MARCH 21, 1980. HIS PURPOSE WAS TO [redacted] HIS REASON FOR LEAVING WAS THAT HE COULD NOT OBTAIN SATISFACTORY ANSWERS FROM MANAGEMENT CONCERNING THE FINANCIAL CONDITION OF THE COMPANY.

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CATEGORIES OF FILES REVIEWED ARE AS FOLLOWS: DESK FILES; GENERAL FILES; PERSONNEL FILES - LOCAL, [redacted] FILE ONLY; BANKS; PROJECTS; NHI-OFFICES; NHI HONG KONG DIVISIONS AND COUNTRIES THAT NHI DEALT WITH. MANY OF THE FILES WERE EMPTY AND [redacted]

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PAGE FOUR MM (196-488) C O N F I D E N T I A L

ADDITIONAL DETAILED INFORMATION WILL BE TRANSMITTED BY MIAMI DIVISION OF THE FBI THROUGH FBIHQ UPON COMPLETION ON APRIL 30, 1980, OF DETAILED REVIEW.

C&E BY 7796, REASON ONE; DRD APRIL 29, 2000.

ADMINISTRATIVE

FOR THE INFORMATION OF FBIHQ, [redacted] STATES HE DID NOT SEE ANY EVIDENCE OF ANY NARCOTICS OR ORGANIZED CRIME DOLLAR LAUNDERING NOR HANDLING OF ANY COUNTERFEIT U.S. CURRENCY THROUGH NUGAN HAND COMPANY WHILE HE WAS ASSOCIATED WITH IT. HOWEVER, HE WAS NOT PRIVY TO MUCH FINANCIAL INFORMATION; THEREFORE, HE RESIGNED. [redacted] CLAIMS THAT MR. NUGAN AND [redacted] WERE ENTREPRENEURS AND WERE NOT GOOD BANK ADMINISTRATORS. [redacted] FELT THAT FRANK NUGAN DEVOTED TOO MUCH OF HIS TIME ATTEMPTING TO CLEAR UP HIS FAMILY'S BUSINESS INTERESTS IN AUSTRALIA AND THUS NEGLECTED NUGAN HAND COMPANY INTERESTS, THEREFORE CONTRIBUTING TO ITS FINANCIAL PROBLEMS.

[redacted] FORMERLY WORKED FOR [redacted] [redacted] AND HAS BEEN INTERVIEWED AS A WITNESS IN MIAMI CASE (BUREAU SPECIAL NUMBER 12) ENTITLED

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"NETWORK", MIAMI FILE 183-338. [redacted] APPEARS TO ENJOY GOOD REPUTATION IN [redacted]

[redacted]

BT

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9 MAY 80 20 25z

MM0013 1302022Z

PP HQ

DE MM

P 09 2000Z MAY 80

FM MIAMI (163-2191) P

TO DIRECTOR PRIORITY

C O N F I D E N T I A L

NUGAN HAND BANK; FPC.

RE MIAMI TEL TO BUREAU DATED MAY 7, 1980.

BUREAU IS REQUESTED TO RELAY TO LEGAT, HONG KONG THE FOLLOWING INFO: (X)(u)

ON MAY 9, 1980, [redacted] ADVISED MIAMI SA THAT HE WOULD BE UNABLE TO COMPLETE HIS RESPONSE UNTIL MONDAY, MAY 12, 1980. (X)(u)

MIAMI AT MIAMI WILL OBTAIN [redacted] RESPONSE AND FORWARD SAME TO LEGAT, HONG KONG BY MAY 12, 1980. (X)(u)

C AND E BY 1357, REASON 1, DRD MAY 9, 2000.

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BT

DECLASSIFIED BY SP5calloms
ON 2/15/90 308,506

-15

MAY 12 1980

2-73 Hong Kong
5-7-80
JHR/vow

59 MAY 23 1980

P 021440Z MAY 80

FM HONG KONG (55-4)

2 MAY 80 03 44z

TO DIRECTOR PRIORITY 156-01

COMMUNICATIONS SECTION

BT

CONFIDENTIAL

NUGAN HAND BANK. FPC.

REBUTEL MAY 1, 1980.

HQ PLEASE PASS MIAMI.

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Relayed to Miami
a.m. 5-2-80

MAY 5 1980

DECLASSIFIED BY SPSC1/DMS
ON 2/15/90

JUN 6 1980

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b6
b7C
b7D

PAGE THREE HON 55-4 CONFIDENTIAL

LEADS:

MIAMI AT MIAMI:- PLEASE PRESENT

SUTEL RESPONSES.

C&E BY ; REASON (I); DRD MAY 2, 2010.

ST

LEG-7 (R■ 2-6-78)

UNITED STATES GOVERNMENT

Memorandum

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

TO : Director, FBI ( ) DATE: 5/1/80

FROM : Legal Attache, HONG KONG (55-4) (P)

SUBJECT: NUGAN HAND BANK
FPC

Reference: Butels 4/30/80 and 5/1/80.

Dissemination, as outlined below, was made on dates indicated.

xx One copies of referenced teletype dated 4/30/80.

xx Pertinent information from referenced teletype dated 5/1/80.

Name and Location of Agency	Date Furnished
[redacted]	5/1/80

b7D

-45612-

NOT RECORDED
9 MAY 14 1980

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED 308, 506
DATE 2/15/90 BY SPSC1/OMS

2 - Bureau
~~(1 - Foreign Liaison Desk)~~
2 - Hong Kong
(1 - HON 66-94)
JFM:eim
(4)

JUN 6 1980

O-73 (Rev. 10-26-78)

O ____
A ____
P ____

022

MESSAGE RELAY VIA TELETYPE

DATE	CLASSIFICATION	PRECEDENCE
4/30/80	CONFIDENTIAL	IMMEDIATE

FM DIRECTOR FBI

TO

LEGAT HONG KONG

- [ ] The President
- [ ] The Vice President
- [ ] White House Situation Room
  - [ ] Attn: National Security Council
- [ ] Department of the Air Force (AFOSI)
- [ ] Department of the Army
- [ ] Naval Investigative Service
- [ ] Commandant, U. S. Coast Guard
- [ ] National Security Agency (DIRNSA/NSOC (ATTN: SOO))
- [ ] Director, Defense Intelligence Agency
- [ ] Director CIA
- [ ] U. S. Secret Service (PID)
  - [ ] Attn: Executive Protective Service
- [ ] ZEN/U. S. Postal Service
  - [ ] Attn: Chief Postal Inspector
- [ ] Nuclear Regulatory Commission
- [ ] Department of Energy
- [ ] Department of Treasury
  - [ ] Attn: U. S. Customs
- [ ] Department of Treasury
  - [ ] Attn: Bureau of Alcohol Tobacco & Firearms
- [ ] Department of Transportation
  - [ ] Attn: Director of Security
- [ ] Drug Enforcement Administration
- [ ] General Services Administration (WASHDC area, specify office)
  ____
- [ ] (Other Areas, specify CITY/STATE)
  ____
- [ ] Federal Aviation Administration
- [ ] Federal Protective Service
- [ ] Secretary of State
  - [ ] Attn: Director Bureau of Intelligence & Research
  - [ ] Attn: SCA - VISA Office Room 709 - SA2
- [ ] Attorney General
- [ ] Deputy AG
  - [ ] Attn: Emergency Programs Center
- [ ] Assistant AG, Civil Rights Div.
- [ ] Assistant AG, Criminal Div.
  - [ ] Attn: Internal Security Section
  - [ ] Attn: General Crimes Section
- [ ] Assistant AG for Administration
  - [ ] Attn: Security & Administrative Services Staff
- [ ] Immigration & Naturalization Service

(SERVICE ALSO AVAILABLE TO GOVERNMENT AGENCIES NOT LISTED. [ ]
CONTACT COMMUNICATIONS CENTER FOR ADDRESSING INFORMATION) [ ]

SUBJECT: SEE ATTACHED

DECLASSIFIED BY SPSC11 DMS
ON 2/15/90 308,506

163-45613-17

APPROVED BY	ORIGINATOR	ROOM	TELE EXT.
	BVM	5027	2805

MAY 7 1980

JUN 1980

02227

DO NOT FILE WITHOUT COMMUNICATIONS STAMP

INCONS MAY 30 1980

JUN 6 1980

USE OF FORM 0-73

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3. The message will be transmitted just as it appears. The person approving the message is solely responsible for assuring all necessary editing changes have been made.

PREPARATION OF FORM WHICH CONSISTS OF A PREPRINTED YELLOW.

1. Complete appropriate boxes: date, classification & precedence.
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3. Type or PRINT the subject in the space provided.
4. Print or type originator's name, room number and telephone extension.
5. Indicate approval for transmission by initialing the "Approved By" box.

PREPARATION OF MESSAGE TO BE TRANSMITTED

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RECEIVED
TELETYPE UNIT

30 APR 80 22 22z

RECEIVED
FEDERAL BUREAU
OF INVESTIGATION
COMMUNICATIONS SECTION

Exec. AD-Inv.
Exec. AD-Adm.
Exec. AD-LES
Asst. Dir.:
Adm. Servs.
Crim. Inv.
Ident.
Intell.
Laboratory
Legal Coun.
Plan & Insp.
Rec. Mgnt.
Tech. Servs.
Training
Public Affs. Off.
Telephone Rm.
Director's Sec'y

MM0014 1212157Z

OO HQ

DE MM

O 302130Z APR 80

FM MIAMI (196-488) P

TO DIRECTOR IMMEDIATE

BT

~~C O N F I D E N T I A L~~

NUGAN HAND BANK, FPC.

BY TELETYPE 4/30/80 FBI MIAMI FURNISHED THE FOLLOWING:

RE BUREAU TELETYPE TO DALLAS AND MIAMI, APRIL 25, 1980, AND MIAMI TELETYPES TO BUREAU, APRIL 25, 1980, AND APRIL 29, 1980.

THE BUREAU IS REQUESTED TO RELAY TO LEGAT, HONG KONG, THE FOLLOWING INFORMATION:

ON APRIL 30, 1980, SUMMARY REVIEW OF FILES COMPLETED BY SA OF MIAMI FBI AND [redacted] STATES THAT [redacted] AND COMPANY PUBLIC ACCOUNTING FIRM IN HONG KONG HAS VARIOUS NUGAN HAND BANK COMPANY (NH) INFORMATION [redacted] [redacted] FURTHER ADVISED THAT NH ALSO MAINTAINED COMPANY RECORDS IN SINGAPORE AND IN SYDNEY. SYDNEY IS HEADQUARTERS FOR NH. AFTER NUGAN'S DEATH, [redacted] SPENT CONSIDERABLE TIME IN SYDNEY ASSISTING IN SETTLING NUGAN'S ESTATE.

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TWO MM 196-488 C O N F I D E N T I A L

FILES REFLECT A MEMO DATED FEBRUARY 25, 1980, FROM [redacted] TO [redacted] WHICH SETS FORTH VARIOUS NH HONG KONG BANKING PRACTICES THAT VIOLATE ORDINANCE OF HONG KONG BANKING COMMISSIONER. THE MEMO GOES ON TO SET FORTH AT LEAST SIX PROPOSALS TO CORRECT THIS SITUATION AND THUS LEGITIMIZE NH COMPANY'S OPERATIONS IN HONG KONG. THE PURPOSE OF MEMO IS TO RESEARCH THE PROBLEM AND CORRECT THE PROBLEM OR ELSE RECOMMEND THAT NH CEASE BANKING OPERATIONS IN HONG KONG.

ANOTHER MEMO DATED MARCH 13, 1980, EXPLAINS THE RELATIONSHIP BETWEEN NH THAILAND (NHT) AND NH LTD. (NHL) AS A STOCK OWNERSHIP RELATION ONLY WITH NHL OWNING NHT.

ANOTHER MEMO DATED FEBRUARY 26, 1980, REFLECTS [redacted] [redacted] [redacted] TO WHICH ONLY SHE AND [redacted] HAVE ACCESS. [redacted] DUTIES INCLUDED [redacted] [redacted] [redacted] NOT IN SINGAPORE.

ANOTHER MEMO DATED FEBRUARY 8, 1980, REFLECTS CLIENT NUMBER [redacted] TO BE PERSON NAMED [redacted]

[redacted] THIS MEMO CONCERNED INTEREST PAYMENTS TO MOLL ON A CERTIFICATE OF DEPOSIT HE HAD WITH NH BANK. /

b6
b7C

ANOTHER MEMO REFLECTS THREE DIGIT CODE NUMBERS FROM ALL NH EXECUTIVES TOGETHER WITH THEIR TITLES AND LOCATIONS IN ADDITION TO CORRECT TELEX NUMBERS FROM VARIOUS NH LOCATIONS.

[redacted] STATES HE WILL ASSIST IN ANY SPECIFIC INQUIRIES.

b6
b7C
b7D

C AND E BY ~~867~~; REASON 1, DRD APRIL 30, 2000.
4178

ADMINISTRATIVE

MIAMI DIVISION WILL [redacted]
[redacted] FILES TEMPORARILY MAINTAINED AT FBI, MIAMI, WILL BE RETURNED TO [redacted] UACB WITHIN TWO WEEKS FROM DATE OF THIS COMMUNICATION.

b6
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BT

#

4

TELETYPE UNIT

7 MAY 80 15 52 Z

MM0005 1281532

PP HQ

DE MM

P 071532Z MAY 80

FM MIAMI (163-2191) P

TO DIRECTOR PRIORITY

BT

C O N F I D E N T I A L

NUGAN HAND BANK; FPC.

RE BUREAU TELETYPE TO MIAMI, MAY 2, 1980.

BUREAU IS REQUESTED TO RELAY THE FOLLOWING INFORMATION TO

LEGAT, HONG KONG:

ON MAY 7, 1980, [redacted] WAS CONTACTED CONCERNING

b6
b7C
b7D

MIAMI, AT MIAMI, WILL [redacted] AND

FORWARD SAME TO LEGAT, HONG KONG, ON MAY 9, 1980.

DECLASSIFIED BY SP5C1/PMS
ON 2/15/90 308,506

MAY 8 1980

JUN 6 1980 dsg

PAGE TWO MM (163-2191) C O N F I D E N T I A L

C&E BY 1357, REASON 1, DRD MAY 7, 2000.

BT

MMO 017 1380147Z

RR HI

DE MM

R 16 2200Z MAY 80

FM MIAMI (163-2191) P

TO DIRECTOR ROUTINE

BT

C O N F I D E N T I A L

NUGAN HAND BANK; FPC.

RE BUREAU TELETYPE TO MIAMI, MAY 2, 1980.

THE BUREAU IS REQUESTED TO RELAY TO LEGAT, HONG KONG THE FOLLOWING INFORMATION:

[redacted] HAS RESPONDED IN WRITING TO [redacted]

[redacted] RESPONSE WILL BE FORWARDED BY MAIL.

b6
b7C
b7D

17 MAY 80 03 04z

COMMUNICATIONS SECTION

22 MAY 19 1980

Relayed to Legat, Hong Kong
a.m. 5-17-80
PWK:eg

JUN 10 1980

1 cc - T. Dark
FPC 5/19/80

[redacted] HAS REQUESTED THAT [redacted]

b6
b7C
b7D

MIAMI AT MIAMI, FLORIDA WILL TRANSMIT RESPONSE BY AIRTEL TO THE BUREAU FOR FORWARDING TO LEGAT, HONG KONG.

THE BUREAU IS AGAIN REQUESTED TO RELAY TO LEGAT, HONG KONG THE ABOVE INFORMATION.

C&F BY 1357, REASON 1, DRD MAY 16, 2000.

BT

O-73 (Rev. 10-26-78)

032 DI/A

MESSAGE RELAY VIA TELETYPE

DATE	CLASSIFICATION	PRECEDENCE
5-2-80	CONFIDENTIAL	PRIORITY

FM DIRECTOR FBI

TO MIAMI

8115

- [ ] The President
- [ ] The Vice President
- [ ] White House Situation Room
  - [ ] Attn: National Security Council
- [ ] Department of the Air Force (AFOSI)
- [ ] Department of the Army
- [ ] Naval Investigative Service
- [ ] Commandant, U. S. Coast Guard
- [ ] National Security Agency (DIRNSA/NSOC (ATTN: SOO))
- [ ] Director, Defense Intelligence Agency
- [ ] Director CIA
- [ ] U. S. Secret Service (PID)
  - [ ] Attn: Executive Protective Service
- [ ] ZEN/U. S. Postal Service
  - [ ] Attn: Chief Postal Inspector
- [ ] Nuclear Regulatory Commission
- [ ] Department of Energy
- [ ] Department of Treasury
  - [ ] Attn: U. S. Customs
- [ ] Department of Treasury
  - [ ] Attn: Bureau of Alcohol Tobacco & Firearms
- [ ] Department of Transportation
  - [ ] Attn: Director of Security
- [ ] Drug Enforcement Administration
- [ ] General Services Administration (WASHDC area, specify office)
- [ ] (Other Areas, specify CITY/STATE)
- [ ] Federal Aviation Administration
- [ ] Federal Protective Service
- [ ] Secretary of State
  - [ ] Attn: Director Bureau of Intelligence & Research
  - [ ] Attn: SCA - VISA Office Room 709 - SA2
- [ ] Attorney General
- [ ] Deputy AG
  - [ ] Attn: Emergency Programs Center
- [ ] Assistant AG, Civil Rights Div.
- [ ] Assistant AG, Criminal Div.
  - [ ] Attn: Internal Security Section
  - [ ] Attn: General Crimes Section
- [ ] Assistant AG for Administration
  - [ ] Attn: Security & Administrative Services Staff
- [ ] Immigration & Naturalization Service

(SERVICE ALSO AVAILABLE TO GOVERNMENT AGENCIES NOT LISTED. CONTACT COMMUNICATIONS CENTER FOR ADDRESSING INFORMATION)

SUBJECT:

SEE ATTACHED

b6
b7C

1 -45612-20

MAY 5 1980

APPROVED BY	ORIGINATOR	ROOM	TELE EXT.
m/		5027	2805

6 Meder

2151Z WC

DECLASSIFIED BY SP5c1\0ms
ON 2/15/90 308,506

58 JUN 05 1980

DO NOT FILE WITHOUT COMMUNICATIONS STAMP

FBI/DOJ

USE OF FORM 0-73

1. Only incoming teletype messages which require transmission relay may be prepared for transmission using form 0-73. Use of form 0-73 is restricted to incoming teletype messages received within the last 3 days which require transmission relay; all other teletype messages must be prepared using form 0-93.

2. Additions such as notes and administrative data for a specific addressee are not allowed. If a note or administrative data is required for a particular addressee a separate 0-73 form must be prepared.

3. The message will be transmitted just as it appears. The person approving the message is solely responsible for assuring all necessary editing changes have been made.

PREPARATION OF FORM WHICH CONSISTS OF A PREPRINTED YELLOW.

1. Complete appropriate boxes: date, classification & precedence.
2. List addresses immediately following the "TO" or place a check mark in the appropriate boxes.
3. Type or PRINT the subject in the space provided.
4. Print or type originator's name, room number and telephone extension.
5. Indicate approval for transmission by initialing the "Approved By" box.

PREPARATION OF MESSAGE TO BE TRANSMITTED

1. Xerox 1 copy of incoming teletype message. A notation shall be made on the original incoming teletype "1 copy made for relay to SACS ______ , (OR LEGATS) ______ , (OR GOVERNMENT AGENCIES) ______ ."
2. Minor editing changes shall be made on the xerox as follows: using a lead pencil ONLY draw single line through the first and last lines of the heading and connect these lines from top right to bottom left forming a "Z," figure. (DO NOT OBLITERATE THE HEADING.) Use horizontal lines to delete sentences or words. Printed changes of a word or two to the text of the message may be made; however, changes to the existing text involving more than a word or two necessitate the originator to initiate a new message using form 0-93.
3. Administrative data or notes may be typed immediately following the text and will be transmitted to all addressees.
4. When using the 0-73 form to disseminate information to field offices, Legal Attaches and other Government agencies simultaneously, the text, notes and administrative data must be identical for all addressees.

RECEIVED
TELETYPE UNIT

P 021440Z MAY 80

FM HONG KONG (55-4)

2 MAY 80 08 44 z

TO DIRECTOR PRIORITY 1560

RECEIVED
FEDERAL BUREAU
OF INVESTIGATION
COMMUNICATIONS SECTION

BT

CONFIDENTIAL

NUGAN HAND BANK. FPC.

LEGAT, HONG KONG (55-4) ADVISED BY TELETYPE MAY 2, 1980, AS FOLLOWS:

REBUTEL MAY 1, 1980.

HQ PLEASE PASS MIAMI.

Exec. AD-
Exec. AD-Adm.
Exec. AD-LES
Asst. Dir.:
Adm. Servs.
Crim. Inv.
Ident.
Intell.
Laboratory
Legal Coun.
Plan & Insp.
Rec. Mgnt.
Tech. Servs.
Training
Public Affs. Off.
Telephone Rm.
Director's Sec'y

b6
b7C
b7D

b6
b7C
b7D

~~PAGE TWO HON 55-4 CONFIDENTIAL~~

b6
b7C
b7D

~~PAGE THREE HQ 55-4 CONFIDENTIAL~~

LEADS:

MIAMI AT MIAMI: PLEASE PRESENT [redacted]

[redacted] SUTEL RESPONSES.

C&E BY ~~S~~ 9478, REASON (1); DRD MAY 2, 2010.

BT

0-73 (Rev. 10-26-78)

013

U
A
P

MESSAGE RELAY VIA TELETYPE

DATE	CLASSIFICATION	PRECEDENCE
5/9/80	CONFIDENTIAL	PRIORITY

FM DIRECTOR FBI

TO

HONG KONG

- [ ] The President
- [ ] The Vice President
- [ ] White House Situation Room
  - [ ] Attn: National Security Council
- [ ] Department of the Air Force (AFOSI)
- [ ] Department of the Army
- [ ] Naval Investigative Service
- [ ] Commandant, U. S. Coast Guard
- [ ] National Security Agency (DIRNSA/NSOC (ATTN: SOO))
- [ ] Director, Defense Intelligence Agency
- [ ] Director CIA
- [ ] U. S. Secret Service (PID)
  - [ ] Attn: Executive Protective Service
- [ ] ZEN/U. S. Postal Service
  - [ ] Attn: Chief Postal Inspector
- [ ] Nuclear Regulatory Commission
- [ ] Department of Energy
- [ ] Department of Treasury
  - [ ] Attn: U. S. Customs
- [ ] Department of Treasury
  - [ ] Attn: Bureau of Alcohol Tobacco & Firearms
- [ ] Department of Transportation
  - [ ] Attn: Director of Security
- [ ] Drug Enforcement Administration
- [ ] General Services Administration (WASHDC area, specify office)
- [ ] (Other Areas, specify CITY/STATE)
- [ ] Federal Aviation Administration
- [ ] Federal Protective Service
- [ ] Secretary of State
  - [ ] Attn: Director Bureau of Intelligence & Research
  - [ ] Attn: SCA - VISA Office Room 709 - SA2
- [ ] Attorney General
- [ ] Deputy AG
  - [ ] Attn: Emergency Programs Center
- [ ] Assistant AG, Civil Rights Div.
- [ ] Assistant AG, Criminal Div.
  - [ ] Attn: Internal Security Section
  - [ ] Attn: General Crimes Section
- [ ] Assistant AG for Administration
  - [ ] Attn: Security & Administrative Services Staff
- [ ] Immigration & Naturalization Service

(SERVICE ALSO AVAILABLE TO GOVERNMENT AGENCIES NOT LISTED. CONTACT COMMUNICATIONS CENTER FOR ADDRESSING INFORMATION)

SUBJECT:

SEE ATTACHED

DECLASSIFIED BY SPS c/l OMS ON 2/15/90 308,506

163-45612-21

APPROVED BY	ORIGINATOR	ROOM	TELE EXT.
	JWP	5027	2805

MAY 13 1980

00162

DO NOT FILE WITHOUT COMMUNICATIONS STAMP

58 JUN 05 1980

FBI/DOJ

MAY 14 1980

USE OF FORM 0-73

1. Only incoming teletype messages which require transmission relay may be prepared for transmission using form 0-73. Use of form 0-73 is restricted to incoming teletype messages received within the last 3 days which require transmission relay; all other teletype messages must be prepared using form 0-93.

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3. The message will be transmitted just as it appears. The person approving the message is solely responsible for assuring all necessary editing changes have been made.

PREPARATION OF FORM WHICH CONSISTS OF A PREPRINTED YELLOW.

1. Complete appropriate boxes: date, classification & precedence.
2. List addresses immediately following the "TO" or place a check mark in the appropriate boxes.
3. Type or PRINT the subject in the space provided.
4. Print or type originator's name, room number and telephone extension.
5. Indicate approval for transmission by initialing the "Approved By" box.

10 MAY 80 01 36

PREPARATION OF MESSAGE TO BE TRANSMITTED

1. Xerox 1 copy of incoming teletype message. A notation shall be made on the original incoming teletype "1 copy made for relay to SACS ______ , (OR LEGATS) ______ , (OR GOVERNMENT AGENCIES) ______ ."
2. Minor editing changes shall be made on the xerox as follows: using a lead pencil ONLY draw single line through the first and last lines of the heading and connect these lines from top right to bottom left forming a "Z," figure. (DO NOT OBLITERATE THE HEADING.) Use horizontal lines to delete sentences or words. Printed changes of a word or two to the text of the message may be made; however, changes to the existing text involving more than a word or two necessitate the originator to initiate a new message using form 0-93.
3. Administrative data or notes may be typed immediately following the text and will be transmitted to all addressees.
4. When using the 0-73 form to disseminate information to field offices, Legal Attaches and other Government agencies simultaneously, the text, notes and administrative data must be identical for all addressees.

RECEIVED
TELETYPE UNIT

9 MAY 80 20 25z

RECEIVED
FEDERAL BUREAU
OF INVESTIGATION
COMMUNICATIONS SECTION

Exec. AD-Inv.
Exec. AD-Adm.
Exec. AD-LES
Asst. Dir.:
Adm. Servs.
Crim. Inv. ✓
Ident.
Intell.
Laboratory
Legal Coun.
Plan & Insp.
Rec. Mgnt.
Tech. Servs.
Training
Public Affs. Off.
Telephone Rm.
Director's Sec'y

Meder

MM0013 1302022Z

PP HQ

DE MM

P 09 2000Z MAY 80

FM MIAMI (163-2191) P

TO DIRECTOR PRIORITY

~~C O N F I D E N T I A L~~

NUGAN HAND BANK; FPC.

~~RE MIAMI TEL TO BUREAU DATED MAY 7, 1980.~~

~~BUREAU IS REQUESTED TO RELAY TO LEGAT, HONG KONG THE FOLLOWING INFO: (X)(U)~~

ON 5/9/80, MIAMI ADVISED BY TELETYPE AS FOLLOWS:

ON MAY 9, 1980, [redacted] ADVISED MIAMI SA THAT HE WOULD BE UNABLE TO COMPLETE HIS RESPONSE UNTIL MONDAY, MAY 12, 1980. (X)(U)

b6
b7C

MIAMI AT MIAMI WILL OBTAIN [redacted] RESPONSE AND FORWARD SAME TO LEGAT, HONG KONG BY MAY 12, 1980. (X)(U)

C AND E BY ~~1337,~~ REASON 1, DRD MAY 9, 2000.

BT

36 (Rev. 5-22-78)

FBI

TRANSMIT VIA:
- ☐ Teletype
- ☐ Facsimile
- ☐ Airtel

PRECEDENCE:
- ☐ Immediate
- ☐ Priority
- ☐ Routine

CLASSIFICATION:
- ☐ TOP SECRET
- ☐ SECRET
- ☐ CONFIDENTIAL
- ☐ UNCLAS E F T O
- ☐ UNCLAS

Date 5/19/80

TO: DIRECTOR, FBI

FROM: SAC, MIAMI (163-2191) (P)

CONFIDENTIAL

SUBJECT: NUGAN HAND BANK;
FPC

Re Bureau teletype to Miami dated 5/2/80, and Miami teletype to Bureau dated 5/16/80.

Enclosed for the Bureau is a five page typed response prepared by [redacted] b6 b7C

The Bureau is requested to forward enclosure to the Legat Hong Kong [redacted]

[redacted] b6 b7C b7D

Miami Division awaiting response to questions set forth in second referenced teletype concerning shipping instructions for return of records and Attkisson's legal fees.

CONFIDENTIAL
CLASSIFIED AND EXTENDED BY 1357
REASON FOR EXTENSION SCIM, II, L-2.4.2.
DATE OF REVIEW FOR DECLASSIFICATION - MAY 19, 2000.

2-Bureau (RM) (Enc. 5)
2-Miami (163-2191)
HTK/kr
(4)

ENCLOSURE

1cc + lone to Hong Kong by [illegible] 5/27/80

22 MAY 23 1980

DECLASSIFIED BY SPSc1lOmS ON 2/15/90 308,506

Approved: ______ Transmitted ______ (Number) (Time) Per ______

O-73 (Rev. 10-26-78)

085

MESSAGE RELAY VIA TELETYPE

DATE	CLASSIFICATION	PRECEDENCE
5/8/80	CONFIDENTIAL	ROUTINE

FM DIRECTOR FBI

TO LEGAL ATTACHE HONG KONG

- [ ] The President
- [ ] The Vice President
- [ ] White House Situation Room
  - [ ] Attn: National Security Council
- [ ] Department of the Air Force (AFOSI)
- [ ] Department of the Army
- [ ] Naval Investigative Service
- [ ] Commandant, U. S. Coast Guard
- [ ] National Security Agency (DIRNSA/NSOC (ATTN: SOO))
- [ ] Director, Defense Intelligence Agency
- [ ] Director CIA
- [ ] U. S. Secret Service (PID)
  - [ ] Attn: Executive Protective Service
- [ ] ZEN/U. S. Postal Service
  - [ ] Attn: Chief Postal Inspector
- [ ] Nuclear Regulatory Commission
- [ ] Department of Energy
- [ ] Department of Treasury
  - [ ] Attn: U. S. Customs
- [ ] Department of Treasury
  - [ ] Attn: Bureau of Alcohol Tobacco & Firearms
- [ ] Department of Transportation
  - [ ] Attn: Director of Security
- [ ] Drug Enforcement Administration
- [ ] General Services Administration (WASHDC area, specify office)
- [ ] (Other Areas, specify CITY/STATE)
- [ ] Federal Aviation Administration
- [ ] Federal Protective Service
- [ ] Secretary of State
  - [ ] Attn: Director Bureau of Intelligence & Research
  - [ ] Attn: SCA - VISA Office Room 709 - SA2
- [ ] Attorney General
- [ ] Deputy AG
  - [ ] Attn: Emergency Programs Center
- [ ] Assistant AG, Civil Rights Div.
- [ ] Assistant AG, Criminal Div.
  - [ ] Attn: Internal Security Section
  - [ ] Attn: General Crimes Section
- [ ] Assistant AG for Administration
  - [ ] Attn: Security & Administrative Services Staff
- [ ] Immigration & Naturalization Service

(SERVICE ALSO AVAILABLE TO GOVERNMENT AGENCIES NOT LISTED. ☐
CONTACT COMMUNICATIONS CENTER FOR ADDRESSING INFORMATION) ☐
SUBJECT:

APPROVED BY	ORIGINATOR	ROOM	TELE EXT.
	Fem / Fem	5078	4290

163-45612-23

MAY 13 1980

DO NOT FILE WITHOUT COMMUNICATIONS STAMP

DECLASSIFIED BY SPSC1 \ OMS ON 2/15/90 308,506

68 JUN 19 1980

FBI/DOJ

INCONS JUN 10 1980
OUTCONS 6/18/80

USE OF FORM 0-73

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3. The message will be transmitted just as it appears. The person approving the message is solely responsible for assuring all necessary editing changes have been made.

PREPARATION OF FORM WHICH CONSISTS OF A PREPRINTED YELLOW.

1. Complete appropriate boxes: date, classification & precedence.
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3. Type or PRINT the subject in the space provided.
4. Print or type originator's name, room number and telephone extension.
5. Indicate approval for transmission by initialing the "Approved By" box.

PREPARATION OF MESSAGE TO BE TRANSMITTED

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RECEIVED
TELETYPE UNIT

7 MAY 80 15 52 z

MM0005 1281532

PP HQ

DE MM

P 071532Z MAY 80

FM MIAMI (163-2191) P

TO DIRECTOR PRIORITY

BT

~~C O N F I D E N T I A L~~

NUGAN HAND BANK; FPC.

~~RE BUREAU TELETYPE TO MIAMI, MAY 2, 1980.~~

~~BUREAU IS REQUESTED TO RELAY THE FOLLOWING INFORMATION TO~~

~~LEGAT, HONG KONG:~~
BY TELETYPE DATED 5/7/80 MIAMI ADVISED THAT

ON MAY 7, 1980, [redacted] WAS CONTACTED CONCERNING

b6
b7C
b7D

MIAMI, AT MIAMI, WILL [redacted] AND

FORWARD SAME TO LEGAT, HONG KONG, ON MAY 9, 1980.

PAGE TWO MM (163-2191) C O N F I D E N T I A L

C&E BY ~~1537~~, REASON 1, DRD MAY 7, 2000.

BT

O-73 (Rev. 10-26-78)

036

O ___ A ___ R ___

MESSAGE RELAY VIA TELETYPE

DATE	CLASSIFICATION	PRECEDENCE
5-17-80	CONFIDENTIAL	PRIORITY

FM DIRECTOR FBI

TO LEGAT, HONG KONG

8-2
5-2
2-2

- [ ] The President
- [ ] The Vice President
- [ ] White House Situation Room
  - [ ] Attn: National Security Council
- [ ] Department of the Air Force (AFOSI)
- [ ] Department of the Army
- [ ] Naval Investigative Service
- [ ] Commandant, U. S. Coast Guard
- [ ] National Security Agency (DIRNSA/NSOC (ATTN: SOO))
- [ ] Director, Defense Intelligence Agency
- [ ] Director CIA
- [ ] U. S. Secret Service (PID)
  - [ ] Attn: Executive Protective Service
- [ ] ZEN/U. S. Postal Service
  - [ ] Attn: Chief Postal Inspector
- [ ] Nuclear Regulatory Commission
- [ ] Department of Energy
- [ ] Department of Treasury
  - [ ] Attn: U. S. Customs
- [ ] Department of Treasury
  - [ ] Attn: Bureau of Alcohol Tobacco & Firearms
- [ ] Department of Transportation
  - [ ] Attn: Director of Security
- [ ] Drug Enforcement Administration
- [ ] General Services Administration (WASHDC area, specify office)
- [ ] (Other Areas, specify CITY/STATE)
- [ ] Federal Aviation Administration
- [ ] Federal Protective Service
- [ ] Secretary of State
  - [ ] Attn: Director Bureau of Intelligence & Research
  - [ ] Attn: SCA - VISA Office Room 709 - SA2
- [ ] Attorney General
- [ ] Deputy AG
  - [ ] Attn: Emergency Programs Center
- [ ] Assistant AG, Civil Rights Div.
- [ ] Assistant AG, Criminal Div.
  - [ ] Attn: Internal Security Section
  - [ ] Attn: General Crimes Section
- [ ] Assistant AG for Administration
  - [ ] Attn: Security & Administrative Services Staff
- [ ] Immigration & Naturalization Service

(SERVICE ALSO AVAILABLE TO GOVERNMENT AGENCIES NOT LISTED. CONTACT COMMUNICATIONS CENTER FOR ADDRESSING INFORMATION)

SUBJECT:

SEE ATTACHED

163-4561-24

b6
b7C

5G MAY 20 1980

APPROVED BY	ORIGINATOR	ROOM	TELE EXT.
m/PWC	[redacted]	5027	2805

6 - Meder

60 JUN 13 1980

0113 MAY 18 1980

DECLASSIFIED BY SPSC11 DMS ON 2/15/90 308,506

DO NOT FILE WITHOUT COMMUNICATIONS STAMP

MAY 21 1980

USE OF FORM 0-73

17 MAY 80 11 00

1. Only incoming teletype messages which require transmission relay may be prepared for transmission using form 0-73. Use of form 0-73 is restricted to incoming teletype messages received within the last 3 days which require transmission relay; all other teletype messages must be prepared using form 0-93.

COMMU...

2. Additions such as notes and administrative data for a specific addressee are not allowed. If a note or administrative data is required for a particular addressee a separate 0-73 form must be prepared.

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4. When using the 0-73 form to disseminate information to field offices, Legal Attaches and other Government agencies simultaneously, the text, notes and administrative data must be identical for all addressees.

~~MMO 017 1382147Z~~

~~RR HI~~

~~DE MM~~

~~R 162202Z MAY 180~~

~~FM MIAMI (163-2191) P~~

~~TO DIRECTOR ROUTINE~~

~~BT~~

~~RECEIVED TELETYPE UNIT~~

17 MAR 80 03 04Z

C O N F I ~~D~~ E N T I A L

Ø NUGAN HAND BANK; FPC.

MIAMI OFFICE (163-2191) ADVISED BY TELETYPE MAY 17, 1980, AS FOLLOWS:

RE BUREAU TELETYPE TO MIAMI, MAY 2, 1980.

~~THE BUREAU IS REQUESTED TO RELAY TO LEGAT, HONG KONG THE FOLLOWING INFORMATION:~~

[redacted] HAS RESPONDED IN WRITING TO [redacted]

[redacted] RESPONSE WILL BE FORWARDED BY MAIL.

b6
b7C
b7D

HAS REQUESTED THAT

b6
b7C
b7D

MIAMI AT MIAMI, FLORIDA WILL TRANSMIT RESPONSE BY AIRTEL TO THE BUREAU FOR FORWARDING TO LEGAT, HONG KONG.

~~THE BUREAU IS AGAIN REQUESTED TO RELAY TO LEGAT, HONG KONG THE ABOVE INFORMATION.~~

C&E BY 4478, REASON 1, DRD MAY 16, 2000.

BT

#

O-73 (Rev. 10-26-78)

MESSAGE RELAY VIA TELETYPE

134

DATE	CLASSIFICATION	PRECEDENCE
7/17/80	EFTO UNCLAS	ROUTINE

FM DIRECTOR FBI

TO MIAMI

- [ ] The President
- [ ] The Vice President
- [ ] White House Situation Room
  - [ ] Attn: National Security Council
- [ ] Department of the Air Force (AFOSI)
- [ ] Department of the Army
- [ ] Naval Investigative Service
- [ ] Commandant, U. S. Coast Guard
- [ ] National Security Agency (DIRNSA/NSOC (ATTN: SOO))
- [ ] Director, Defense Intelligence Agency
- [ ] Director CIA
- [ ] U. S. Secret Service (PID)
  - [ ] Attn: Executive Protective Service
- [ ] ZEN/U. S. Postal Service
  - [ ] Attn: Chief Postal Inspector
- [ ] Nuclear Regulatory Commission
- [ ] Department of Energy
- [ ] Department of Treasury
  - [ ] Attn: U. S. Customs
- [ ] Department of Treasury
  - [ ] Attn: Bureau of Alcohol Tobacco & Firearms
- [ ] Department of Transportation
  - [ ] Attn: Director of Security
- [ ] Drug Enforcement Administration
- [ ] General Services Administration (WASHDC area, specify office)
- [ ] (Other Areas, specify CITY/STATE)
- [ ] Federal Aviation Administration
- [ ] Federal Protective Service
- [ ] Secretary of State
  - [ ] Attn: Director Bureau of Intelligence & Research
  - [ ] Attn: SCA - VISA Office Room 709 - SA2
- [ ] Attorney General
- [ ] Deputy AG
  - [ ] Attn: Emergency Programs Center
- [ ] Assistant AG, Civil Rights Div.
- [ ] Assistant AG, Criminal Div.
  - [ ] Attn: Internal Security Section
  - [ ] Attn: General Crimes Section
- [ ] Assistant AG for Administration
  - [x] Attn: Security & Administrative Services Staff
- [ ] Immigration & Naturalization Service

(SERVICE ALSO AVAILABLE TO GOVERNMENT AGENCIES NOT LISTED. CONTACT COMMUNICATIONS CENTER FOR ADDRESSING INFORMATION)

SUBJECT:

SEE ATTACHED

163-4561 2-25

APPROVED BY	ORIGINATOR	ROOM	TELE EXT.
	EMS:ems	4644/232	4696

JUL 18 1980

003020

119

DO NOT FILE WITHOUT COMMUNICATIONS STAMP

AUG 1980

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED 308.506
DATE 2/15/90 BY SP5C1 LOMS

FBI/DOJ

USE OF FORM 0-73

1. Only incoming teletype messages which require transmission relay may be prepared for transmission using form 0-73. Use of form 0-73 is restricted to incoming teletype messages received within the last 3 days which require transmission relay; all other teletype messages must be prepared using form 0-93.

2. Additions such as notes and administrative data for a specific addressee are not allowed. If a note or administrative data is required for a particular addressee a separate 0-73 form must be prepared.

3. The message will be transmitted just as it appears. The person approving the message is solely responsible for assuring all necessary editing changes have been made.

PREPARATION OF FORM WHICH CONSISTS OF A PREPRINTED YELLOW.

1. Complete appropriate boxes: date, classification & precedence.
2. List addresses immediately following the "TO" or place a check mark in the appropriate boxes.
3. Type or PRINT the subject in the space provided.
4. Print or type originator's name, room number and telephone extension.
5. Indicate approval for transmission by initialing the "Approved By" box.

PREPARATION OF MESSAGE TO BE TRANSMITTED

1. Xerox 1 copy of incoming teletype message. A notation shall be made on the original incoming teletype "1 copy made for relay to SACS ______ , (OR LEGATS) ______ , (OR GOVERNMENT AGENCIES) ______ ."
2. Minor editing changes shall be made on the xerox as follows: using a lead pencil ONLY draw single line through the first and last lines of the heading and connect these lines from top right to bottom left forming a "Z," figure. (DO NOT OBLITERATE THE HEADING.) Use horizontal lines to delete sentences or words. Printed changes of a word or two to the text of the message may be made; however, changes to the existing text involving more than a word or two necessitate the originator to initiate a new message using form 0-93.
3. Administrative data or notes may be typed immediately following the text and will be transmitted to all addressees.
4. When using the 0-73 form to disseminate information to field offices, Legal Attaches and other Government agencies simultaneously, the text, notes and administrative data must be identical for all addressees.

RECEIVED
TELETYPE UNIT

R 160415Z JUL 80 16 JUL 80 06 55 z

FM HONG KONG (55-4)

TO DIRECTOR ROUTINE 247-15

BT

~~UNCLAS E F T O~~

NUGAN HAND BANK; FPC.

LEGAT, HONG KONG, BY TELETYPE 7/16/80 ADVISED AS FOLLOWS:

~~FBIHQ PLEASE PASS TO MIAMI (163-2191).~~

RE MIAMI TELETYPE TO FBIHQ MAY 16, 1980 (FBIHQ TELETYPE TO HONG KONG MAY 17, 1980).

THE FOLLOWING REPLY TO THE REQUEST OF [redacted] FROM [redacted]

WAS RECEIVED BY LEGAT, HONG KONG, THROUGH [redacted]

b6
b7C
b7D

Exec. AD-Inv.
Exec. AD-Adm.
Exec. AD-LES
Asst. Dir.:
Adm. Servs.
Crim. Inv.
Ident.
Intell.
Laboratory
Legal Coun.
Plan & Insp.
Rec. Mgnt.
Tech. Servs.
Training
Public Affs. Off.
Telephone Rm.
Director's Sec'y

b6
b7C
b7D

LEGAT'S COMMENT: REFERRED TO IN PARAGRAPH ONE OF).

L E A D:

MIAMI, AT MIAMI, FLORIDA:

PLEASE SUTEL TO THE ABOVE.

BT

RECEIVED
TELETYPE UNIT

NR 0 1 2 191 [illegible]

RR HQ

DE MM

R 18 1912Z JUL 80

18 JUL 80 19 39z

RECEIVED
FEDERAL BUREAU
OF INVESTIGATION
COMMUNICATIONS SECTION

FM MIAMI (163-2191) P

TO DIRECTOR ROUTINE

BT

C O N F I ~~D E~~ N T I A L

NUGAN HAND BANK; FPC.

RE BUREAU TELETYPE TO MIAMI DATED JULY 17, 1980.

THE BUREAU IS REQUESTED TO RELAY TO LEGAT, HONG KONG, THE FOLLOWING INFORMATION:

[redacted] WAS CONTACTED JULY 18, 1980, AND HAS AGREED TO RETURN NUGAN HAND FILES EXCLUDING THOSE CONSIDERED PERSONAL PROPERTY.

b6
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METHOD OF RETURN SHIPMENT WILL BE AS FOLLOWS IF AGREEABLE WITH [redacted]

b6
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CI-3
RM. 4325
TL # 202

84 AUG [illegible] 1980

DECLASSIFIED BY SPSC/bms
ON 2/16/90 368,506

b6
b7C
b7D

ADVISED THAT

REQUESTS

THE BUREAU IS REQUESTED TO RELAY TO LEGAT, HONG KONG, THE ABOVE INFORMATION.

MIAMI, AT MIAMI, FLORIDA, WILL RELAY

C A N D E BY ~~1~~, REASON 1, DRD JULY 13, 20[illegible].

BT

PLS HOLD

R 160415Z JUL 80 16 JUL 80 0655Z

FM HONG KONG (55-4)

TO DIRECTOR ROUTINE 247-15

BT

UNCLAS E F T O

NUGAN HAND BANK; FPC.

FBIHQ PLEASE PASS TO MIAMI (163-2191).

RE MIAMI TELETYPE TO FBIHQ MAY 16, 1980 (FBIHQ TELETYPE TO HONG KONG MAY 17, 1980).

b6
b7C
b7D

THE FOLLOWING REPLY TO THE REQUEST OF [redacted] FROM [redacted]

WAS RECEIVED BY LEGAT, HONG KONG, THROUGH [redacted]

[redacted]

JUL 18 1980

119

68 AUG 1980

b6
b7C
b7D

LEGAT'S COMMENT: REFERRED TO IN PARAGRAPH

ONE OF

L E A D:

MIAMI, AT MIAMI, FLORIDA:

PLEASE SUTEL TO THE ABOVE.

BT

O-73 (Rev. 10-26-78)

MESSAGE RELAY VIA TELETYPE

DATE	CLASSIFICATION	PRECEDENCE
7/21/80	CONFIDENTIAL	ROUTINE

FM DIRECTOR FBI

TO Hong Kong

- [ ] The President
- [ ] The Vice President
- [ ] White House Situation Room
  - [ ] Attn: National Security Council
- [ ] Department of the Air Force (AFOSI)
- [ ] Department of the Army
- [ ] Naval Investigative Service
- [ ] Commandant, U. S. Coast Guard
- [ ] National Security Agency (DIRNSA/NSOC (ATTN: SOO))
- [ ] Director, Defense Intelligence Agency
- [ ] Director CIA
- [ ] U. S. Secret Service (PID)
  - [ ] Attn: Executive Protective Service
- [ ] ZEN/U. S. Postal Service
  - [ ] Attn: Chief Postal Inspector
- [ ] Nuclear Regulatory Commission
- [ ] Department of Energy
- [ ] Department of Treasury
  - [ ] Attn: U. S. Customs
- [ ] Department of Treasury
  - [ ] Attn: Bureau of Alcohol Tobacco & Firearms
- [ ] Department of Transportation
  - [ ] Attn: Director of Security
- [ ] Drug Enforcement Administration
- [ ] General Services Administration (WASHDC area, specify office)
- [ ] (Other Areas, specify CITY/STATE)
- [ ] Federal Aviation Administration
- [ ] Federal Protective Service
- [ ] Secretary of State
  - [ ] Attn: Director Bureau of Intelligence & Research
  - [ ] Attn: SCA - VISA Office Room 709 - SA2
- [ ] Attorney General
- [ ] Deputy AG
  - [ ] Attn: Emergency Programs Center
- [ ] Assistant AG, Civil Rights Div.
- [ ] Assistant AG, Criminal Div.
  - [ ] Attn: Internal Security Section
  - [ ] Attn: General Crimes Section
- [ ] Assistant AG for Administration
  - [ ] Attn: Security & Administrative Services Staff
- [ ] Immigration & Naturalization Service

(SERVICE ALSO AVAILABLE TO GOVERNMENT AGENCIES NOT LISTED. CONTACT COMMUNICATIONS CENTER FOR ADDRESSING INFORMATION)

SUBJECT:

SEE ATTACHED

DECLASSIFIED BY SPSCIL DMS
ON 2/16/90 308,506

APPROVED BY	ORIGINATOR	ROOM	TELE EXT.
[illegible]	EMS;jl	4644/5	4696/232

FEDERAL BUREAU OF INVESTIGATION
COMMUNICATIONS CENTER
JUL 22 1980

163-4561-528

DO NOT FILE WITHOUT COMMUNICATIONS STAMP

17 JUL 28 1980

59 AUG 1 1980

FBI/DOJ

USE OF FORM 0-73

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4. Print or type originator's name, room number and telephone extension.
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2. Minor editing changes shall be made on the xerox as follows: using a lead pencil ONLY draw single line through the first and last lines of the heading and connect these lines from top right to bottom left forming a "Z," figure. (DO NOT OBLITERATE THE HEADING.) Use horizontal lines to delete sentences or words. Printed changes of a word or two to the text of the message may be made; however, changes to the existing text involving more than a word or two necessitate the originator to initiate a new message using form 0-93.
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RECEIVED
TELETYPE UNIT

MMO010 2001910

RR HQ

18 JUL 80 1939z

DE MM

RECEIVED
FEDERAL BUREAU
OF INVESTIGATION
COMMUNICATIONS SECTION

R 181910Z JUL 80

FM MIAMI (163-2191) P

TO DIRECTOR ROUTINE

BT

~~C O N F I D E N T I A L~~

NUGAN HAND BANK; FPC.

~~RE BUREAU TELETYPE TO MIAMI DATED JULY 17, 1980.~~

~~THE BUREAU IS REQUESTED TO RELAY TO LEGAT, HONG KONG, THE FOLLOWING INFORMATION:~~

MIAMI BY TELETYPE DATED JULY 18, 1980 ADVISED AS FOLLOWS:

[redacted] WAS CONTACTED JULY 18, 1980, AND HAS AGREED TO RETURN NUGAN HAND FILES EXCLUDING THOSE CONSIDERED PERSONAL PROPERTY.

b6
b7C

METHOD OF RETURN SHIPMENT WILL BE AS FOLLOWS IF AGREEABLE WITH [redacted]

[redacted]

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b7D

RM 4825
TL 232

b6
b7C
b7D

ADVISED THAT

REQUESTS

~~THE BUREAU IS REQUESTED TO RELAY TO LEGAT, HONG KONG, THE ABOVE INFORMATION.~~

MIAMI, AT MIAMI, FLORIDA, WILL RELAY

C AND E BY ~~1357~~, REASON 1, DRD JULY ~~18~~, 2000.

BT

#

PLS HOLD

HAMPTON, WINTER AND GLYNN
SOLICITORS & NOTARIES
AGENTS FOR TRADE MARKS & PATENTS

GORDON HAMPTON — D. BERNARD GUNSTON
MICHAEL F. WINTER — DAVID H. GLYNN
DAVID COTTERILL

ASSISTANT SOLICITORS:
STEPHEN P. LLEWELLYN — DOROTHY M. PRITCHARD
GARY K. W. MAK — FERGUS J. ANSTOCK
GEOFFREY N. BOOTH
PAUL B. STEVENS

WHEELOCK HOUSE
11TH FLOOR
20 PEDDER STREET
HONG KONG
TELEPHONE 5 222057 (4 LINES)
5-266181 (3 LINES)
TELEX: 83987 JUS
TELEGRAMS & CABLES: ABERVALE HONG KONG
AND AT 505-507 CENTRAL BUILDING
PEDDER STREET
HONG KONG
TELEPHONE 5-234068/9
TELEGRAMS & CABLES: EXPEDITE HONG KONG

YOUR REF:
OUR REF: DC/1524/80

21st May, 1980

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Dear [redacted]

As requested, I have outlined the various matters that we have dealt with in our discussions over the last two days and I have put these points into the form of a memorandum which I think is the most convenient way of dealing with them and I enclose a copy of the memorandum.

If there is any way I can assist or if there is any additional information you would like me to put into the memorandum then please let me know.

Yours sincerely,

[redacted]

encl.

P.S. If [illegible] regarding this letter please ring 5-249037/8

~~CONFIDENTIAL~~

MEMORANDUM - NUGAN HAND BANK

To :

From :

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The problem surrounding the Nugan Hand Group of Companies is a complex one for this Group of Companies in various guises operated in at least 13 countries throughout the world, namely, Singapore, Australia, Argentina, the Cayman Islands, Chile, Germany, Hong Kong, Malaysia, the Philippines, Taiwan, Thailand, the United States of America and Saudi Arabia.

Recent events

Some of the events which have occurred have been dramatic and alarming to say the least.

In 1978 Mr. Nugan was charged with conspiracy to defraud an allegation which was due for hearing in May 1980 and then in January 1980 he was found dead. Reportedly also in January a [redacted] departed from the Group and went to the United States with confidential company papers. On 18th February 1980 the Attorney General of New South Wales, Australia, ordered an investigation in Australia. In early April 1980 investigations commenced in New South Wales by the Corporate

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ceased operations and repayments of deposits were frozen in Australia and Hong Kong. Additionally [redacted] said that a full audit was being carried out of Nugan Hand Bank and a winding-up petition was filed against the Bank in Hong Kong. On 13th April 1980, [redacted] left Singapore and on the following day investigations commenced there concerning the company's operating a banking business without a licence and [redacted] [redacted] their accountant was arrested.

On 18th April 1980 a Provisional Liquidator was appointed over Nugan Hand Ltd. in Australia and at about this time [redacted] alleged that Mr. Nugan had misappropriated vast sums of money belonging to the Group.

On 19th April 1980, a Receiver was appointed in Hong Kong over Nugan Hand Bank in Hong Kong and shortly thereafter a Provisional Liquidator was appointed in respect of the Singapore company.

On 26th April 1980, a winding-up petition was filed in Hong Kong against Nugan Hand Management Services Ltd.

On 28th April 1980, an inspector was appointed to investigate and report on the affairs of Nugan Hand (Hong Kong) Ltd. As a result of that inspection, on 2nd May 1980, a winding-up petition was filed against that company. On 17th May 1980, Nugan Hand Bank in Hong Kong was ordered by the court to be wound up.

As at 8th May 1980, various writs had been filed in Hong Kong by creditors of the Nugan Hand Companies totalling some HK$4,500,000.

Some HK$18,000,000 in claims have been lodged against Nugan Hand Bank in Hong Kong.

The banking licence of Nugan Hand Bank in the Cayman Islands has been voluntarily surrendered.

Allegations have been made that the Group has been involved in drug syndication.

Liquidation

In so far as we are concerned with Nugan Hand Bank and that company alone, it is to be noted that this company is incorporated in the Cayman Islands and the secrecy laws applied there prevent any outside enquiry being carried out successfully as to the directors and shareholders of the company. The precise links between the various companies in the Group is a matter of importance but is yet to be finally made public.

The actions which so far have been taken by individuals and government bodies are fragmented as they are basically limited to the particular country concerned. For example the jurisdiction of the Hong Kong Liquidator is limited to Hong Kong. He may be in difficulty in exercising his authority elsewhere. While the Nugan Hand Bank's board of directors (in so far as it still operates) cannot make effective decisions over matters in Hong Kong they can

still exercise their authority over the bank's affairs elsewhere. None of the officers of the bank are displaced outside Hong Kong by virtue of the Liquidator's appointment in Hong Kong.

We are of the view that the only way to properly attempt to control and protect the assets which may still exist (if any) and the records of Nugan Hand Bank is by means of liquidating this company at its source, that is, where it is incorporated.

The first obligation of a liquidator in the winding-up of a company is to take into his custody or control all the property, effects and things to which the company is entitled or may be entitled and also all the money, property, books and papers of the company. In order to accomplish this in the case of Nugan Hand Bank it is clear that the liquidator in the Cayman Islands may have to appoint agents in the various countries in which Nugan Hand Bank has or may have assets and records. These countries include Singapore (where we understand a substantial proportion of the company's books at least regarding its liabilities are presently held by the Singapore police), Hong Kong (where the liquidator already appointed here will have no doubt taken possession of these things and commenced enquiries), Australia (where we understand considerable investments were placed through Nugan Hand Limited), New York (where we understand there is a bank account at Irving Trust Company), England (where we understand a financial institution may have recently been purchased possibly called Capital Acceptances Ltd.) and W. Germany (where a substantial - possibly majority - shareholding exists in a bank called F.A. Neubauer). Clearly the liquidator will ascertain from

the books and other enquiries of the directors, past directors, employees and such like where the bank's funds were sent and he will attempt to trace these funds and get them back. Ultimately after paying his expenses, taxes and secured creditors there may be a distribution amongst the unsecured creditors by way of a dividend.

The liquidator will, of course, investigate all questions of misfeasance, breach of trust and fraud by past and present directors and officers of the bank and enforce repayment of moneys misapplied thereby. The liquidator will look into the question of fraudulent preferences between creditors and as to fraudulent trading, that is, carrying on business with intent to defraud creditors or for any fraudulent purpose and any person knowingly a party to these activities may be held personally responsible for <u>all</u> the debts of the bank. Intent to defraud can arise where a company carries on business and incurring debts knowing there is no reasonable prospect of creditors ever being paid.

The liquidator will examine publicly or privately any person who can provide information.

It is not possible at this time for us to state what are the assets and liabilities. Some of the company's records may well be in the Cayman Islands with their auditors Messrs. Price Waterhouse & Co. (so we understand from the Hong Kong liquidator).

As we see it, [redacted] is essential that a liqu[redacted]ator is appointed as soon as possible as, in our view, this is the only way an attempt can be made to protect the creditors' interests throughout the world and commence the recovery of assets.

Procedure

Given that the company is to be wound up then it is necessary to file with the court in the Cayman Islands a petition to wind up the company. The petition can be brought in the name of a selection of depositors whose deposits have matured and have not rolled over e.g. where notice has been given in due time terminating the deposit on the due date and payment has not been made. The ground for the petition will be that the company is unable to pay its debts as evidenced by :-

1. non-payment on maturity to the petitioning creditors.
2. the winding-up order in Hong Kong.
3. a statement by Mr. Hand that the company is "bankrupt".
4. a telex which the liquidator in Hong Kong has agreed to supply us but which we have not yet seen.

Immediately on filing that petition, it is permissible to apply to the court to appoint a provisional liquidator who will effectively discharge the functions of a liquidator until the time when the eventual order to wind up the company is made by the court. It is highly desirable in order to achieve the greatest effect that a provisional liquidator is appointed. When and if the winding up order is made the

provisional liquidator's appointment ceases to be provisional and he will become a fully-fledged liquidator.

Subsequently, that is probably in one to two months after presenting the petition, the court will make the winding up order. It may be that the petition will be opposed in which event this time factor may not apply and indeed may be substantially lengthened if the opposition is at all effective.

It will be essential as we see it for the provisional liquidator/liquidator in order to properly fulfill his functions to appoint agents to act on his behalf in the various countries in which assets are believed to be or may subsequently be found. In particular, we mention Hong Kong, Australia and Singapore. We believe that there will be no difficulty in the recognition in these various countries of the provisional liquidator/liquidator appointed in the Cayman Islands. Indeed we believe that he has an authority which supersedes that of the liquidator appointed solely to deal with the Hong Kong assets.

Once the winding up order is made then any disposition of the company's property after the petition is presented is void so the date of presenting the petition is most important.

The creditors [redacted]o obtain the appointment [redacted] this provisional liquidator/liquidator do not of course gain any procedence with regard to repayment of their debts. They take this action on behalf of all the creditors and all the creditors share equally according to the order of priority.

We have spoken with the accountants, [redacted] [redacted] who have offices in all the relevant countries. They have indicated that for them to act in this matter as provisional liquidator/liquidator (the Cayman Islands government has no "Official Receiver" to discharge these functions as there is in Hong Kong and elsewhere) their fees would be at minimum HK$250,000 with a possible maximum of HK$1 million. They cannot be more precise because they do not have access to the relevant records and information and it cannot be gauged at the present time the amount of work which will have to be put in to uncovering the activities which have been going on but in all fairness it is most likely that the amount of work they would have to do can be reckoned as substantial.

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Whoever petitions to wind up this company will be faced with this expense if they apply for the appointment of a provisional liquidator and in any event the liquidator can look to the petitioning creditor for his expenses if the assets of the company are insufficient to cover them. These sort of fees may clearly impose upon the petitioning creditor a very substantial financial burden.

Tactics

Accordingly, as a preliminary step it seems advisable to ascertain as much information as possible regarding the assets and liabilities of the company. If assets can be traced and they are relatively accessible then they can be used by the provisional liquidator/liquidator to pay his expenses in priority to the creditors. Though it might mean the petitioning creditors putting up expenses to begin with they can do so in the fairly safe knowledge that eventually these expenses should be repaid assuming the assets are eventually collected.

It appears to us that there is one way to ascertain what the assets and liabilities of Nugan Hand Bank might be and that is pursuant to the companies regulations in the Cayman Islands by obtaining the appointment of an inspector appointed by the Government there to look into these particular aspects. Clearly the expense involved and the work involved in obtaining this initial report will be greatly assisted by the fact that (1) the provisional liquidator in Hong Kong will already have done a considerable amount of work along the same lines, (2) some of the records are with the police in Singapore, (3) the provisional liquidator of Nugan Hand Ltd. in Australia may be prepared to assist and (4) any records with Price Waterhouse & Co. may also be inspected.

At the same time as persuading the Government in the Cayman Islands to initiate this inspection it may be possible to persuade them also, when the report is available, to wind up the company themselves and take the financial responsibility bearing in mind that it is largely their "system" which has given rise to the way in which the misappropriation of funds and the frauds which are alleged to have occurred took place. It might well be that they have not been pressured sufficiently so far to do this. Clearly if the financial burden can be shifted on to them then so much the better for all of the creditors.

If they are prepared only to go so far as to obtaining the aforesaid report and they are not prepared to wind up the company at least the creditors should be in a better position to know whether it is worthwhile to proceed.

We cannot emphasize enough that a number of difficulties exist in adopting this course but as we now represent over 80 creditors who are together owed a very substantial sum we are of the firm view that this pressure group should be enough to persuade the Government in the Cayman Islands to start the ball rolling.

Costs

If the Government is prepared to do the whole thing that is order the report and wind up the company, then the only expense involved should be as estimated hereunder :-

Our representative preparing for and visiting Cayman Islands for approximately 8 days.	-	HK$ 90,000
Legal fees in the Cayman Islands	-	15,000
Travelling expenses	-	15,000
Allowance for unexpected items	-	15,000
Total		HK$135,000

Of this sum, our present clients have contributed $60,000 and the amount owed to them by Nugan Hand Bank is approximately HK$5 million.

We shall therefore need a further HK$75,000 which represents approximately 1% of the investment of each of the depositors whom you represent.

Having obtained the report or not having obtained it as the case may be, it will then be necessary to decide whether to proceed with the winding up of the company. By presenting a petition but <u>not</u> appointing a provisional liquidator the only additional costs to those above would be the legal fees of the lawyers in the Cayman Islands to do the necessary legal work for this purpose and our fees for liaising with them. Assuming the petition is not opposed then we would estimate their fees would amount

to some HK$30,000 to HK$40,000. Assuming the petition is opposed then we can only say that the legal fees there would probably not be less than between HK$50,000 to HK$80,000. If the petition is successful then a proportion of these fees should be recovered out of any assets that there may be in the company.

If it is decided to present a petition and to appoint a provisional liquidator then on top of the fees referred to above for Messrs. [redacted] and the aforesaid $135,000 and as set out in the previous paragraph there would of necessity be the additional legal costs of the lawyers in the Cayman Islands and it would probably be reasonable to expect their fees to be HK$20,000 more than as stated above for dealing with the appointment of a provisional liquidator.

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As to our additional legal costs in dealing with these matters on your behalf then we can only estimate these as being relatively minimal depending on the amount of advice we would have to give from time to time. In this capacity we should be virtually a go-between between yourself and the lawyers in the Cayman Islands.

If at any time the liquidator runs short of funds he is entitled to look to the creditors. If no funds are forthcoming this will obviously reflect on the efforts he puts into the progress of the liquidation.

Subsequent to the winding up order there would undoubtedly be regular meetings of creditors when the liquidators would tell the creditors the present situation and decisions would be made on future conduct of the liquidation. A proxy can be appointed to attend. If lawyers in the Cayman Islands are appointed proxies then their fees would be based on their attendance at the meeting and reporting to you thereafter.

Note : the legal points herein are based on English law. We are advised by Cayman Islands lawyers that their laws are in essence the same as English law.

BAKER & McKENZIE

SOLICITORS & ATTORNEYS

A M P CENTRE

50 BRIDGE STREET

SYDNEY

AUSTRALIA

CABLE ABOGADO

TELEX 21518

TELEPHONE 231 5488

POSTAL ADDRESS BOX R 126 ROYAL EXCHANGE P O SYDNEY 2000

DX 218

OUR REF AMF:sbb
YOUR REF

May 16, 1980

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Dear

NUGAN HAND BANK

In accordance with your request I summarise the results of our investigations carried out in relation to Nugan Hand Bank.

FACTS

You have been appointed to act on behalf of a group known as the MID-EAST CHARTER GROUP which has been formed to collectively seek recovery of monies deposited with Nuagn Hand International Private Bankers and its affiliates. The Charter presently consists of 64 members, each member having executed a power of attorney in your favour for the above purpose. On April 12, 1980 Nugan Hand's offices in Al Khobar were unexpectedly vacated and no record can be found of the Charter's deposits or the location to which the deposists were sent. The 64 members of the Charter have deposited amounts totalling US$1,358,499.30

INVESTIGATIONS

We have found little evidence to date of Nugan Hand bank carrying on business in Sydney. A Nugan Hand leaflet supplied by you refers to the organisation having banking facilities in Sydney with Nugan Hand Limited.

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Messrs. [redacted] were appointed provisional liquidators of Nugan Hand Limited on April 18, 1980. It has been alleged that the company is insolvent and that various funds belonging to the Nugan Hand Group have been embezzled. Mr. Frank Nugan, a principal of the Nugan Hand Group of companies was found dead in suspicious circumstances on January 27, 1980. A Coroner's Inquest into the death of Mr. Nugan has yet to be concluded.

Although the Corporate Affairs Commission of New South Wales has been investigating the affairs of Nugan Hand Limited for a number of months the senior investigator carrying out the investigation, [redacted] has informed us that he has so far not found any evidence of the movement of monies deposited with Nugan Hand Bank. I note that you have conferred directly with [redacted] and informed him of the interests of the charter and supplied him with material brought with you from Al Khobar.

I have made arrangements for you to see [redacted] today to inform him of the interests of the charter so that those interests may be noted in so far as they become relevant to the affairs of Nugan Hand Limited.

It appears that a significant part of the business of Nugan Hand Bank was carried out in Hong Kong as monies can be deposited there without restriction. [redacted] of our Hong Kong Office has been acting on behalf of other creditors of the Nugan Hand Group and has commenced proceedings there against a number of companies in and affiliates of the Nugan Hand Group. I understand that a provisional liquidator of the Bank has been appointed in Hong Kong and that the appointment of a liquidator will be sought tomorrow in Hong Kong. I have also given you details of arrangements being made in Hong Kong to take proceedings against the Bank in the Cayman Islands.

A note of our costs to date in this matter is enclosed for your kind attention.

Yours sincerely,

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Meeting with Official Receiver
on 21st May 1980

Present :

[redacted]) Official Receiver's department

[redacted] explained his situation and related his meeting with [redacted] in Australia, the events in Saudi Arabia and the documents obtained.

[redacted] variously made the following points :-

1. they had obtained the co-operation of the FBI in securing in Miami the records [redacted] had taken to the U.S. They expected these records to be returned to Hong Kong.

2. they had had a meeting with [redacted] one of the provisional liquidators of Nugan Hand Limited but they were not very satisfied with his attitude which seemed to be to reach an early settlement by selling off available assets rather than pursuing claims to recover assets. He was appointed on the company's own petition.

3. they wanted to appoint [redacted] as their agent when visiting the Cayman Islands as they thought that armed with their authority better co-operation might be achieved with the Government there.

4. they were getting a full picture of the liabilities but not the assets. Nugan allegedly was taking the books to the Cayman Islands for auditing but he died. The books may never have got there. [redacted] cannot carry out his promised audit without the books.

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5. the lawyers in the Cayman Islands acting for Nugan Hand [redacted] are not co-operating voluntarily.

6. it is believed that the holding company in Hong Kong is the shareholder in [redacted] It is not known which companies are shareholders in Nugan Hand Bank because of the secrecy laws in Cayman Islands. There is a holding company in Australia.

7. telexes to [redacted] have not been answered.

8. there is a bank account at Irving Trust, New York. Pressure will be put on Irving Trust in Hong Kong to reveal the state of the account.

9. it is believed Nugan Hand funds were used to purchase a bank in England.

10. until [redacted] explained his story they did not know funds had been collected in Saudi Arabia.

11. there is a connection with Panama which is being investigated.

12. various declarations of trust make the beneficial interests in the companies difficult to determine.

13. they have been to Singapore to look at the accounts.

14. they were investigating whether "investments" were made in Hong Kong.

15. there might be funds in bank accounts in Hong Kong which they did not yet know of.

16. the major task was tracing where the funds have gone.

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[redacted] further explained :-

1. the ANZ deposit certificate and its importance.

2. [redacted] cheque paid to Wing On Bank a/c Nugan Hand Bank. [redacted] said he felt this sort of business was "banking" but the Commissioner of Banking disagreed.

3. he had made a statement to the Corporate Affairs Commission.

4. that he would copy all of the Saudi Arabian files and send them over.

5. that in Australia the losses were variously stated to be between $80 million and $400 million.

6. the role played in Saudi Arabia by [redacted] [redacted] how they had been to a symposium in Singapore (but in reality had attended Nugan's funeral), how Nugan's death had been explained away, how the Associated Press report of the "run" was explained.

R 230810Z JUL 80

FM HONG KONG (55-4)

TO DIRECTOR ROUTINE 254-22

BT

UNCLAS E F T O

NUGAN HAND BANK, FPC.

FBIHQ PLEASE PASS TO MIAMI (163-2191).

REBUTEL JULY 21, 1980, WHICH RELAYED MIAMI TELETYPE JULY 18, 1980.

PLEASE ADVISE [redacted] THAT [redacted] [redacted] [redacted] IS AGREEABLE TO METHOD AND TERMS OF RETURN SHIPMENT, AS SET OUT IN RETEL.

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MIAMI, AT MIAMI, FLORIDA:

AFTER [redacted] IS ADVISED AS ABOVE, PLEASE FURNISH LEGAT, HONG KONG, WITH DETAILS OF SHIPMENT.

BT

163-4561?-29

UNCLASSIFIED FBB823

PAGE 01 DHAHRA 01458 01 OF 02 271324Z POSS DUPE

RECEIVED TELETYPE UNIT

ACTION OCS-06

FEDERAL GOVERNMENT 27 JUL 80 19 30 z

INFO OCT-01 EA-12 NEA-07 ADS-00 CA-01 FEC-03 EB-08

COME-00 TRSE-00 FBIE-00 FTC-01 SY-05 SYE-00

CIAE-00 INR-10 NSAE-00 JUS-01 /055 W

------------------103201 271915Z /40

R 270510Z JUL 80

FM AMCONSUL DHAHRAN

TO SECSTATE WASHDC 8258

DEPARTMENT OF JUSTICE WASHDC

DEPARTMENT OF TREASURY WASHDC

INFO USDOC WASHDC

AMEMBASSY CANBERRA

AMCONSUL HONG KONG

AMEMBASSY JIDDA

USLO RIYADH

AMEMBASSY SINGAPORE

AMCONSUL SYDNEY

UNCLAS SECTION 1 OF 2 DHAHRAN 1458

EO 12065: N/A

TAGS: BBAK, EFIN, AS, SA, HK, SN

SUBJECT: NUGAN HAND BANK

REF: (A) DHAHRAN 0685 (NOTAL) (B) SYDNEY 2185 (C) HONG KONG 9976

(D) SINAGPORE 4618

6 Jones

29X

Treasury & Justice says no violation

SEP 24 1980

1. SUMMARY: MID-EAST CHARTER GROUP, AN INFORMAL ASSOCIATION OF AMERICAN CITIZENS EMPLOYED IN SAUDI ARABIA, HAS REQUESTED THE CONGEN'S ASSISTANCE IN IDENTIFYING USG AGENCIES WHICH IT MIGHT APPROACH IN EFFORTS TO SECURE RECOVERY OF THEIR FUNDS INVESTED WITH NUGAN HAND BANK AND TO COOPERATE IN PROCESUTING OFFICERS OF THE BANK. THIS GROUP REPRESENTS 97 AMERICANS WHO HOLD NUGAN HAND CERTIFICATES OF DEPOSITS WITH AGGREGATE VALUE OVER $2.5 MILLION. THE NUMBER OF AMERICAN VICTIMS IN THIS AREA AND THE TOTAL VALUE OF

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THEIR INVESTMENTS IN THIS REPORTEDLY FRAUDULENT BANK ARE BELIEVED TO BE CONSIDERABLY GREATER. [redacted] [redacted] EXPRESSED HOPE THAT USG AGENCIES WILL FIND GROUNDS FOR ACTION IN VIEW OF THE NUMEROUS AMERICANS IN NUGAN-HAND OPERATIONS AND THE LIKELIHOOD THAT SOME OF ITS ASSETS ARE UNDER U.S. JURISDICTION. DEPARTMENTS OF STATE AND TREASURY ARE REQUESTED TO PROVIDE CONGEN GUIDANCE FOR RESPONDING TO THE MID-EAST CHARTER GROUP. END SUMMARY

2. REFTELS ON SUBJECT BANK REVEAL WHAT APPEARS TO BE AN EXTENSIVE INTERNATIONAL FRAUD BASED ON RECEIVING DEPOSITS AND SELLING CD'S WITH OFFERS OF HIGH INTEREST EARNINGS, WITH PROCEEDS SIPHONED INTO PERSONAL ACCOUNTS AND HOLDINGS OF KEY PRINCIPALS. THIS "STING" OPERATION WAS CARRIED OUT WITH SUBSTANTIAL MEDIA AND PERSONAL CONTACT PROMOTIONS NOT UNLIKE MUTUAL FUND FRAUDS OF THE 1960'S THE MYSTERIOUS SHOOTING OF MR. NUGAN, THE DISAPPEARANCE OF [redacted] AND OTHER PRINCIPALS AND THE RESULTS OF INVESTIGATIONS BY AUTHORITIES IN AUSTRALIA, HONG KONG AND SINGAPOREINDICATE THAT PROSECUTION COULD BE DIFFICULT AND LENGTHY.

3. AMERICAN VICTIMS IN THE EASTERN PROVINCE OF SAUDI ARABIA BELIEVE THE U.S. GOVERNMENT SHOULD ASSUME A MORE ACTIVE ROLE IN TRACKING THE BANKS' PRINCIPALS AND SECURING RETURN OF THEIR MONIES. WITH THIS OBJECTIVE IN MIND HAVE APPROACHED THE CONGEN IN APRIL 1980 FOR ADVICE AND ASSISTANCE. THE CONGEN WAS ABLE TO IDENTIFY FOR THEM THE SAUDI SPONSOR DIHAN AL-AMEERY OF NUGAN HAND REPRESENTATIVES, HERE, AND IN A CALL ON LOCAL MONETARY AUTHORITIES (SAMA), LEARNED THAT THE BANK WAS NOT LICENSED TO OPERATE IN SAUDI ARABIA. THE ECONOFF SUGGESTED THEY FORM AN

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INFORMAL ORGANIZATION AND SEEK LEGAL COUNSEL. SUCH AS ORGANIZATION, CALLED THE OID-EAST CHARTER GROUP, WAS ESTABLISHED UNDER A CHARTER DATED MAY 6, MEMBERSHIP REACHED 97 AMERICANS BY JULY 15. OFFICERS WERE ELECTED, DOCUMENTS AND ASSESSMENTS WERE COLLECTED AND [redacted]

4. [redacted] VISITED AUSTRIALIA, SINGAPORE AND HONG KONG WHERE HE PROVIDED LOCAL AUTHORITIES WITH COPIES OF NUGAN HAND RECORDS AND OTHER EVIDENCE OBTAINED HERE AND GAVE ORAL TESTIMONY. IN SYDNEY HE ENGAGED ATTORNEY [redacted] OF [redacted] AND IN HONG KONG [redacted] OF [redacted] HE WAS ENCOURAGED TO LEAN IN HONG KONG THAT THE FBI ASSISTED THE KHG INTHE RETURN FROM MIAMI OF SOME OF THE BANK'S RECORDS TAKEN THERE BY A FORMER DIECTER.

PAGE 01 DHAHRA 01458 02 OF 02 271321Z POSS DUPE

ACTION OCS-06

INFO OCT-01 EA-12 NEA-07 ADS-00 CA-01 L-03 EB-08
COME-00 TRSE-00 FBIE-00 FTC-01 SY-05 SYE-00
CIAE-00 INR-10 NSAE-00 JUS-01 /055 W

------------------103203 W U1917Z /40

R 270510Z JUL 80

FM AMCONSUL DHAHRAN

TO SECSTATE WASHDC 8259

DEPARTMENT OF JUSTICE WASHDC

DEPARTMENT OF TREASURY WASHDC

INFO USDOC WASHDC

AMEMBASSY CANBERRA

AMCONSUL HONG KONG

AMEMBASSY JIDDA

USLO RIYADH

AMEMBASSY SINGAPORE

AMCONSUL SYDNEY

UNCLAS SECTION 2 OF 2 DHAHRAN 1458

5. [redacted] VISITED CONGEN AGAIN IN MID-JULY AND REQUESTED FURTHER ASSISTANCE IN ENLISTING SUPPORT OF WASHINGTON AGENCIES IN INVESTIGATING AND PROSECUTING ALLEGED NUGAN HAND CONSPIRACY TO DEFRAUD DEPOSITORS AND INVESTORS. HE PROVIDED COPIES OF VARIOUS DOCUMENTS, PRESS CLIPPINGS AND OTHER MATERIAL WHICH THE CONGEN IS AIR POUCHING TO [redacted] [redacted] AMONG ITEMS IS A JAN 20, QOIPN LIST OF THE BANK'S PERSONNEL AND CODE NUMBERS. THOSE SHOWN AT U.S. LOCATIONS ARE: [redacted] [redacted] [redacted] ANOTHER ITEM SHOWS PHOTOGRAPHS AND NAMES OF 20 KEY OFFICERS AT 12 WORLD-WIDE LOCATIONS. [redacted] FIGURE PROMINENTLY ON THAT ITEM. AN ARTICLE FROM THE

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MELBOURNE AGE OF MAY 2, 1980, REPORTS THAT A BUSINESS CARD OF FORMER CIA DIRECTOR WILLIAM COLBY WAS FOUND WITH THE BODY OF FRANCIS J. NUGAN ON JANUARY 27, 1980. THE ARTICLE ADDS MR. COLBY ACKNOWLEDGED BEING LEGAL REPRESENTATIVE FOR NUGAN HAND BANK IN THE U.S. THERE IS REFERENCE IN ANOTHER DOCUMENT OF AN ACCOUNT AT IRVING TRUST, NEW YORK. IN A MAY 31 LETTER TO THE U.S. TREASURY DEPARTMENT [redacted] IDENTIFIED THREE AMERICANS WHO REPRESENTED NUGAN HAND IN SAUDI ARABIA AND WHO ARE GEORGIA RESIDENTS (ONE, [redacted] REPORTEDLY IS OF SAINT SIMON ISLAND, GA)

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6. COMMENT: [redacted] [redacted] SEEM INTERESTED PRIMARILY IN RECOVERING THEIR DEPOSITS. IF, HOWEVER, PROGRESS IS NOT REALIZED SOON (WHICH IS LIKELY DUE TO FRAGMENTATION OF JURISDICTIONS IN THE CASE AND DIFFICULTY IN LOCATING PRINCIPALS AND ASSETS) WE BELIEVE THEY WILL RESORT TO LETTERS TO U.S. CONGRESSMEN AND MEDIA. AT THEIR REQUEST THE CONGEN PROVIDED A LIST OF CONGRESSMEN. MATERILS THEY PROVIDED (POUCHED) ON NUGAN HAND REPRESENTATION IN THE U.S. CONTAIN LEADS FOR INVESTIGATION OF POSSIBLE VIOLATION OF U.S. LAWS.

7. ACTION REQUESTED: THE CONGEN WOULD APPRECIATE GUIDANCE FOR RESPONDING TO MID-EAST CHARTER GROUP. CONGEN WOULD, IN PARTICULAR, LIKE TO KNOW OF ANY INTEREST BY TREASURY, JUSTICE OR OTHER DEPARTMENTS/ AGENCIES TO WHICH THE GROUP MIGHT SUBMIT INFORMATION AND OF THE STATUS OF TREASURY DEPARTMENTS REPLY TO [redacted] LETTER OF MAY 31 (ADDRESSED TO THE OFFICE OF THE ASSISTANT SECRETARY FOR INTERNATIONAL AFFAIRS--RETURN RECEIPT DATED JUNE 5).

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FD-93 (Rev. 4-26-78)

DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION
COMMUNICATION MESSAGE FORM

93

DATE	CLASSIFICATION	PRECEDENCE
JULY 28, 1980	UNCLAS E F T O	ROUTINE

$F093ZRR MMZDE HQ H0093 $HZYEZR 282055Z JUL 80

START HERE

FM DIRECTOR FBI

TO FBI MAIMI ROUTINE

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BT

UNCLAS E F T O

NUGAN HAND BANK, FPC

BY TELETYPE JULY 23, 1980, LEGAT, HONG KONG REQUESTED THAT YOU ADVISE [redacted] THAT [redacted] IS AGREEABLE TO METHOD AND TERMS OF RETURN SHIPMENT, AS SET OUT IN REFERENCED TELETYPE.

MIAMI, AT MIAMI, FLORIDA:

AFTER [redacted] IS ADVISED AS ABOVE, PLEASE FURNISH LEGAT, HONG KONG, WITH DETAILS OF SHIPMENT.

BT

DO NOT TYPE MESSAGE BELOW THIS LINE

DO NOT TYPE PAST THIS LINE

APPROVED BY	DRAFTED BY	DATE	ROOM	TELE EXT.
	EMS:en (2)	7/28/80	4644/5	4696

1 - [redacted]

163-45612-30

119 55

DO NOT FILE WITHOUT COMMUNICATIONS STAMP

LEG-7 (Rev. 9-6-78)

UNITED STATES GOVERNMENT

Memorandum

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

TO : Director, FBI ( )

DATE: 9/18/80

FROM : Legal Attache, HONG KONG (55-4) RUC)

SUBJECT: NUGAN HAND BANK
FPC

163-4 [illegible]

Reference: Butel 9/5/80.

Dissemination, as outlined below, was made on dates indicated.

☐ ________ copies of

☒ Pertinent information from referenced Butel.

Name and Location of Agency	Date Furnished
	9/18/80

b7D

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED 308,506
DATE 2/16/90 BY SPSC1/OMS

~~2~~ 1 - Bureau
~~(1 - (Foreign Liaison Desk)~~
2-Hong Kong (1-66-94)
REG:ymm
(4)

60 OCT 10 1980

FIVE

MM0007 2481658Z

RR HI

DE MM

R 041658Z SEP 80

FM MIAMI (163-2191) C

TO DIRECTOR ROUTINE

BT

RECEIVED TELETYPE UNIT

4 SEP 80 17 05z

FEDERAL BUREAU OF INVESTIGATION COMMUNICATIONS SECTION

C O N F I D E N T I A L

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C NUGAN HAND BANK; FPC.

RE BUREAU TEL TO MIAMI, JULY 28, 1980.

THE BUREAU IS REQUESTED TO RELAY TO LEGAT, HONG KONG THE FOLLOWING INFORMATION:

[redacted] ADVISED MIAMI SA ON SEPTEMBER 4, 1980, THAT AFTER CONFERRING WITH HIS ATTORNEY, HE PLANS TO CORRESPOND DIRECTLY VIA LETTER TO [redacted] [redacted] CONCERNING METHOD AND TERMS OF RETURN SHIPMENT OF NUGAN HAND BANK RECORDS.

THE BUREAU IS REQUESTED TO RELAY THE ABOVE-INFO TO LEGAT, HONG KONG.

163-45010-31

ADMINISTRATIVE:

By 073 to Legat HK 9/5/80

DECLASSIFIED BY SP5cl\pms ON 2/16/90 308,506

59 SEP 23 1980

PAGE TWO MM 163-2191 C O N F I D E N T I AL

INASMUCH AS [redacted] WILL NOW BE IN DIRECT CONTACT WITH HONG KONG OFFICIALS, MIAMI DIVISION IS CLOSING ITS FILE IN THIS MATTER.

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C AND E BY 1557, REASON 1, DRD SEPTEMBER 4, 2000.

BT

#

2481701 HQ 1/BB

0-73 (Rev. 10-26-78)

021

MESSAGE RELAY VIA TELETYPE

DATE	CLASSIFICATION	PRECEDENCE
SEPT. 5, 1980	CONFIDENTIAL	ROUTINE

FM DIRECTOR FBI

TO LEGAT, HONG KONG

- [ ] The President
- [ ] The Vice President
- [ ] White House Situation Room
  - [ ] Attn: National Security Council
- [ ] Department of the Air Force (AFOSI)
- [ ] Department of the Army
- [ ] Naval Investigative Service
- [ ] Commandant, U. S. Coast Guard
- [ ] National Security Agency (DIRNSA/NSOC (ATTN: SOO))
- [ ] Director, Defense Intelligence Agency
- [ ] Director CIA
- [ ] U. S. Secret Service (PID)
  - [ ] Attn: Executive Protective Service
- [ ] ZEN/U. S. Postal Service
  - [ ] Attn: Chief Postal Inspector
- [ ] Nuclear Regulatory Commission
- [ ] Department of Energy
- [ ] Department of Treasury
  - [ ] Attn: U. S. Customs
- [ ] Department of Treasury
  - [ ] Attn: Bureau of Alcohol Tobacco & Firearms
- [ ] Department of Transportation
  - [ ] Attn: Director of Security
- [ ] Drug Enforcement Administration
- [ ] General Services Administration (WASHDC area, specify office)
- [ ] (Other Areas, specify CITY/STATE)
- [ ] Federal Aviation Administration
- [ ] Federal Protective Service
- [ ] Secretary of State
  - [ ] Attn: Director Bureau of Intelligence & Research
  - [ ] Attn: SCA - VISA Office Room 709 - SA2
- [ ] Attorney General
- [ ] Deputy AG
  - [ ] Attn: Emergency Programs Center
- [ ] Assistant AG, Civil Rights Div.
- [ ] Assistant AG, Criminal Div.
  - [ ] Attn: Internal Security Section
  - [ ] Attn: General Crimes Section
- [ ] Assistant AG for Administration
  - [ ] Attn: Security & Administrative Services Staff
- [ ] Immigration & Naturalization Service

(SERVICE ALSO AVAILABLE TO GOVERNMENT AGENCIES NOT LISTED. CONTACT COMMUNICATIONS CENTER FOR ADDRESSING INFORMATION)

SUBJECT: NUGAN HAND BANK
FPC

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163-45612-32

APPROVED BY	ORIGINATOR	ROOM	TELE EXT.
BKK/	[redacted]	4825	4671

20 SEP 9 1980

COMMUNICATIONS SECTION
0638
1980

119

DECLASSIFIED BY SP5GI/DMS ON 2/16/90 308,506

DO NOT FILE WITHOUT COMMUNICATIONS STAMP

SEP 26 1980

FBI/DOJ

USE OF FORM 0-73

1. Only incoming teletype messages which require transmission relay may be prepared for transmission using form 0-73. Use of form 0-73 is restricted to incoming teletype messages received within the last 3 days which require transmission relay; all other teletype messages must be prepared using form 0-93.

2. Additions such as notes and administrative data for a specific addressee are not allowed. If a note or administrative data is required for a particular addressee a separate 0-73 form must be prepared.

3. The message will be transmitted just as it appears. The person approving the message is solely responsible for assuring all necessary editing changes have been made.

PREPARATION OF FORM WHICH CONSISTS OF A PREPRINTED YELLOW.

1. Complete appropriate boxes: date, classification & precedence.
2. List addresses immediately following the "TO" or place a check mark in the appropriate boxes.
3. Type or PRINT the subject in the space provided.
4. Print or type originator's name, room number and telephone extension.
5. Indicate approval for transmission by initialing the "Approved By" box.

PREPARATION OF MESSAGE TO BE TRANSMITTED

1. Xerox 1 copy of incoming teletype message. A notation shall be made on the original incoming teletype "1 copy made for relay to SACS ______ , (OR LEGATS) ______ , (OR GOVERNMENT AGENCIES) ______ ."
2. Minor editing changes shall be made on the xerox as follows: using a lead pencil ONLY draw single line through the first and last lines of the heading and connect these lines from top right to bottom left forming a "Z," figure. (DO NOT OBLITERATE THE HEADING.) Use horizontal lines to delete sentences or words. Printed changes of a word or two to the text of the message may be made; however, changes to the existing text involving more than a word or two necessitate the originator to initiate a new message using form 0-93.
3. Administrative data or notes may be typed immediately following the text and will be transmitted to all addressees.
4. When using the 0-73 form to disseminate information to field offices, Legal Attaches and other Government agencies simultaneously, the text, notes and administrative data must be identical for all addressees.

~~MM0007 2481558Z~~

RR HI

DE MM

R 041658Z SEP 80

FM MIAMI (163-2191) C

TO DIRECTOR ROUTINE

BT

C O N F I D E N T I A L

NUGAN HAND BANK; FPC.

RE BUREAU TEL TO MIAMI, JULY 28, 1980.

~~THE BUREAU IS REQUESTED TO RELAY TO LEGAT, HONG KONG~~ THE ~~FOLLOWING INFORMATION~~:

[redacted] ADVISED MIAMI SA ON SEPTEMBER 4, 1980, THAT AFTER CONFERRING WITH HIS ATTORNEY, HE PLANS TO CORRESPOND DIRECTLY VIA LETTER TO [redacted] [redacted] CONCERNING METHOD AND TERMS OF RETURN SHIPMENT OF NUGAN HAND BANK RECORDS.

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~~THE BUREAU IS REQUESTED~~ TO RELAY THE ~~ABOVE INFO TO LEGAT, HONG KONG~~.

ADMINISTRATIVE:

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b7C

PAGE TWO MM 163-2191 C O N F I D E N T I AL

INASMUCH AS [redacted] WILL NOW BE IN DIRECT CONTACT WITH HONG KONG OFFICIALS, MIAMI DIVISION IS CLOSING ITS FILE IN THIS MATTER.

C AND E BY 1357, REASON 1, DRD SEPTEMBER 5, 2000.

BT

#

2481701 HQ 1/BB

R 260940Z SEP 80

FM HONG KONG (55-4)

TO DIRECTOR ROUTINE 320-25

BT

C O N F I D E N T I A L

NUGAN HAND BANK; FPC

REBUTEL SEPTEMBER 5, 1980.

FBIHQ PLEASE PASS TO MIAMI (163-2191).

[redacted]

[redacted], ADVISED SEPTEMBER 25, 1980,

THAT TO DATE [redacted]

[redacted]

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PLEASE ADVISE CURRENT STATUS.

C&E BY 2~~006~~; REASON 1; DRD SEPTEMBER 26, 2000.

BT

45612-32

SEP 23 1980

DECLASSIFIED BY SPSCI/DMS 308,506
ON 2/16/90

0-73 (Rev. 10-26-78)

063

MESSAGE RELAY VIA TELETYPE

DATE	CLASSIFICATION	PRECEDENCE
9-26-80	CONFIDENTIAL	ROUTINE

FM DIRECTOR FBI

TO FBI, MIAMI

☐ The President
☐ The Vice President
☐ White House Situation Room
  ☐ Attn: National Security Council
☐ Department of the Air Force (AFOSI)
☐ Department of the Army
☐ Naval Investigative Service
☐ Commandant, U. S. Coast Guard
☐ National Security Agency (DIRNSA/NSOC (ATTN: SOO))
☐ Director, Defense Intelligence Agency
☐ Director CIA
☐ U. S. Secret Service (PID)
  ☐ Attn: Executive Protective Service
☐ ZEN/U. S. Postal Service
  ☐ Attn: Chief Postal Inspector

☐ Nuclear Regulatory Commission
☐ Department of Energy
☐ Department of Treasury
  ☐ Attn: U. S. Customs
☐ Department of Treasury
  ☐ Attn: Bureau of Alcohol Tobacco & Firearms
☐ Department of Transportation
  ☐ Attn: Director of Security
☐ Drug Enforcement Administration
☐ General Services Administration (WASHDC area, specify office)

☐ (Other Areas, specify CITY/STATE)

☐ Federal Aviation Administration
☐ Federal Protective Service
☐ Secretary of State
  ☐ Attn: Director Bureau of Intelligence & Research
  ☐ Attn: SCA - VISA Office Room 709 - SA2

☐ Attorney General
☐ Deputy AG
  ☐ Attn: Emergency Programs Center
☐ Assistant AG, Civil Rights Div.
☐ Assistant AG, Criminal Div.
  ☐ Attn: Internal Security Section
  ☐ Attn: General Crimes Section
☐ Assistant AG for Administration
  ☐ Attn: Security & Administrative Services Staff
☐ Immigration & Naturalization Service

(SERVICE ALSO AVAILABLE TO GOVERNMENT AGENCIES NOT LISTED. ☐
CONTACT COMMUNICATIONS CENTER FOR ADDRESSING INFORMATION) ☐

SUBJECT:

SEE ATTACHED.

163-4562-34

SEP 29 1980

APPROVED BY	ORIGINATOR	ROOM	TELE EXT.
	EMS:jyl	4644/5	4696/232

DECLASSIFIED BY SPSC1 DMS ON 2/16/90 308,506

DO NOT FILE WITHOUT COMMUNICATIONS STAMP

OCT 1 1980

FBI/DOJ

SEP 30 1980

USE OF FORM 0-73

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PREPARATION OF MESSAGE TO BE TRANSMITTED

1. Xerox 1 copy of incoming teletype message. A notation shall be made on the original incoming teletype "1 copy made for relay to SACS ______ , (OR LEGATS) ______ , (OR GOVERNMENT AGENCIES) ______ ."
2. Minor editing changes shall be made on the xerox as follows: using a lead pencil ONLY draw single line through the first and last lines of the heading and connect these lines from top right to bottom left forming a "Z," figure. (DO NOT OBLITERATE THE HEADING.) Use horizontal lines to delete sentences or words. Printed changes of a word or two to the text of the message may be made; however, changes to the existing text involving more than a word or two necessitate the originator to initiate a new message using form 0-93.
3. Administrative data or notes may be typed immediately following the text and will be transmitted to all addressees.
4. When using the 0-73 form to disseminate information to field offices, Legal Attaches and other Government agencies simultaneously, the text, notes and administrative data must be identical for all addressees.

R 260940Z SEP 80

FM HONG KONG (55-4)

TO DIRECTOR ROUTINE 320-25

BT

~~C O N F I D E N T I A L~~

NUGAN HAND BANK; FPC

~~REBUTEL SEPTEMBER 5, 1980.~~

~~FBIHQ PLEASE PASS TO MIAMI (163-2191).~~

BY TELETYPE DATED 9-26-80 HONG KONG ADVISED AS FOLLOWS:

[redacted]

[redacted] ADVISED SEPTEMBER 25, 1980,

THAT TO DATE [redacted]

[redacted]

PLEASE ADVISE CURRENT STATUS.

C&E BY ~~2008~~ 22/2; REASON 1; DRD SEPTEMBER 26, 2000.

~~BT~~

Exec. AD-Adm.
Exec. AD-Inv.
Exec. AD-LES
Asst. Dir.:
Adm. Servs.
Crim. Inv.
Ident.
Intell.
Laboratory
Legal Coun.
Plan. & Insp.
Rec. Mgnt.
Tech. Servs.
Training
Off. of Cong. & Public Affs.
Telephone Rm.
Director's Sec'y

b6
b7C
b7D

CL 3
R 325
TL # 232

MMO023 81 350

RR HQ LS

DE MM

R 07 350Z OCT 80

FM MIAMI (163- 9) (C)

TO DIRECTOR ROUTINE

LO ISVILLE RO TINE

BT

C O N F I D E N T I A L

ATTENTION INTD, CI-3B UNIT.

NUGAN HAND BANK, FPC.

RE MIAMI TELE TYPE TO BUREAU, SEPTEMBER 4, 1980, AND BUREAU TEL TO MM, SEPTEMBER 6, 1980.

FOR INFO OF THE B RE ND LO ISVILLE, R ND [redacted] H S SOLD HIS RESIDENCE IN MIAMI AND RELOCATED TO [redacted] [redacted] OF OCTOBER 3, 980.

FOR THE ADDITIONAL INFO OF LOUISVILLE [redacted] FORMERLY NDER CONT CT ITH S BJECT B N IN HONG NONG S M N GEMENT CONS IT NT. [redacted] LEFT HONG ONG M RCH OF 980, HE SHIPPED TO THE . S. CERT IN PERSON L ND B N FILES. PON RE EST OF [redacted] 10 1000

b6
b7C

8 OCT 80 00 30z

Exec. AD-Adm.
Exec. AD-Inv.
Exec. AD-LES
Asst. Dir.:
Adm. Servs.
Crim. Inv.
Ident.
Intell.
Laboratory
Legal Coun.
Plan & Insp.
Rec. Mgnt.
Tech. Servs.
Training
Off. of Cong.
Director's Sec'y

Copy Hong Kong 10/8/80

35

5-N

DECLASSIFIED BY SPSC11Dms
ON 2/16/90 308,506

b6
b7C

[redacted] H S RESPONDED

TO [redacted]

[redacted] AS OF SEPTEMBER 4,

1980, [redacted] AGREED TO MAKE INDEPENDENT ARRANGEMENTS DIRECT WITH

[redacted] TO RETURN SHIP ONE BOX OF RECORDS AND TO ADVISE [redacted] OF

THE METHOD AND TERMS OF THE RETURN SHIPMENT OF NUGAN HAND BANK

RECORDS. AS OF SEPTEMBER 26, 1980, IT IS APPARENT THAT [redacted]

HAS NOT BEEN IN CONTACT WITH [redacted] INASMUCH AS THE SECOND

REFERENCED TELETYPE REQUESTED MIAMI TO RECONTACT [redacted] AND

ADVISE OF THE CURRENT ST T S OF THE PLANNED RETURNED SHIPMENT OF

RECORDS.

THE BUREAU IS REQUESTED TO RELAY TO LEGAT HONG KONG THAT

AS OF OCTOBER 3, 1980, [redacted] HAS RELOCATED TO LEXINGTON,

KENTUCKY, AND THAT THE LOUISVILLE FBI OFFICE WILL MAKE EFFORTS TO

DETERMINE STATUS OF SHIPMENT.

LOUISVILLE AT LEXINGTON, KENTUCKY WILL LOCATE AND INTERVIEW

[redacted] AT [redacted] AND DETERMINE IF HE PLANS TO

RETURN SHIP RECORDS TO [redacted] AND IF HE CONTINUES TO DE SIRE TO

DE L DIRECTLY ITH [redacted] CONCERNING THE RETURN SHIPMENT. WILL

PAGE THREE MM 163-2191 C O N F I D E N T I A L

THEREAFTER ADVISE THE BUREAU OF RESULTS THAT THE REQUEST OF THE BUREAU FOR THOSE RESULTS BE FORWARDED TO LEGAT HONG KONG.

C AND E BY 1357, REASON 1, DRD OCTOBER 7, 2000.

BT

#

RECEIVED
TELETYPE UNIT

20 OCT 80 17 58 z

RECEIVED
FEDERAL BUREAU
OF INVESTIGATION
COMMUNICATIONS SECTION

L S0 21 2941750Z

RR HQ MM

DE LS

R 291750Z OCT 80

FM FBI LOUISVILLE (163-134) RUC

TO DIRECTOR, FBI ROUTINE

ATTN: INTD, CI-3B UNIT

FBI MIAMI (163-2191) ROUTINE

BT

C O N F I D E N T I A L

b6
b7C

NUGAN HAND BANK, FPC

RE MIAMI TELETYPE TO THE BUREAU, OCTOBER 7, 1980.

ON OCTOBER 15, 1980, [redacted] [redacted] ADVISED THAT WITH REGARD TO RECORDS IN QUESTION, HE CONSIDERS ALL OF THESE RECORDS TO BE PERSONAL. HOWEVER, HE HAS INVENTORIES THESE RECORDS AND HAS OR WILL FURNISH A LIST TO OFFICIALS IN HONG KONG THROUGH AND BY HIS ATTORNEY, [redacted] ADVISED THAT HE WILL AWAIT RESPONSE FROM HONG KONG WITH REGARD TO WHAT RECORDS OFFICIALS THERE CONSIDER NOT TO BE PERSONAL AND DEPENDING UPON ADVICE FROM HIS ATTORNEY, WHO WILL COORDINATE THIS

OCT 23 1980

b6
b7C

PAGE TWO DELS #001 C O N F I D E N T I A L

MATTER WITH HONG KONG OFFICIALS, AND WILL FORWARD REQUESTED RECORDS. [redacted] FEELS THAT HE REQUIRES RECORDS IN QUESTION TO GAIN REIMBURSEMENT FOR LEGAL FEES CAUSED BY CAPTIONED BANK.

C & E BY 5441, REASON 1, DRD OCTOBER 20, 2000.

BT

#001

NNNN

WORQUTT HQ2/BB

HOLD FOR ONE

FD-36 (Rev. 5-22-78)

FBI

TRANSMIT VIA:	PRECEDENCE:	CLASSIFICATION:
☐ Teletype	☐ Immediate	☐ TOP SECRET
☐ Facsimile	☐ Priority	☐ SECRET
☒ AIRTEL	☐ Routine	☐ CONFIDENTIAL
		☐ UNCLAS E F T O
		☐ UNCLAS

~~CONFIDENTIAL~~

Date 10/3/80

TO: DIRECTOR, FBI

FROM: LEGAT, HONG KONG (55-4) (P)

SUBJECT: NUGAN HAND BANK
FPC

ReHONtel to Bureau, 9/26/80.

Enclosed for FBIHQ and recipient offices is a ~~CONFIDENTIAL~~ memorandum dated 9/29/80, received from the [redacted] (U) relating to captioned matter. (U)

b7D

Honolulu, at Guam, if possible, is requested to furnish whatever information obtainable, if any, to Legat, Hong Kong, for passage [redacted] (U)

CONFIDENTIAL
~~Classified and Extended by 2008~~
~~Reason for Extension FCIM II, 1-2.4.2~~ (1)
~~Date of Review for Declassification 10/3/2010~~

~~Classified by~~ SP5E1tDms
~~Declassify on: OADR~~ 2/16/90

6 - Bureau (Enc-3) ENCLOSURE
(2 - Honolulu (Att: Guam Resident Agent)
(2 - MIami, 163-2191)
1 - Hong Kong
REG:vmm
(7)

DE-70 163-4-37

17 OCT 15 1980

AIRTEL

~~CONFIDENTIAL~~ MATERIAL ATTACHED

Approved: ______ Transmitted ______ (Number) (Time) Per ______

b6
b7C
b7D

163-45612-37

ENCLOSURE

b6
b7C
b7D

~~CONFIDENTIAL~~

O-73 (Rev. 10-26-78)

MESSAGE RELAY VIA TELETYPE

DATE	CLASSIFICATION	PRECEDENCE
10-21-80	UNCLAS E F T O	ROUTINE

FM DIRECTOR FBI

TO LEGAL ATTACHE, HONG KONG

8-2

- [ ] The President
- [ ] The Vice President
- [ ] White House Situation Room
  - [ ] Attn: National Security Council
- [ ] Department of the Air Force (AFOSI)
- [ ] Department of the Army
- [ ] Naval Investigative Service
- [ ] Commandant, U. S. Coast Guard
- [ ] National Security Agency (DIRNSA/NSOC (ATTN: SOO))
- [ ] Director, Defense Intelligence Agency
- [ ] Director CIA
- [ ] U. S. Secret Service (PID)
  - [ ] Attn: Executive Protective Service
- [ ] ZEN/U. S. Postal Service
  - [ ] Attn: Chief Postal Inspector
- [ ] Nuclear Regulatory Commission
- [ ] Department of Energy
- [ ] Department of Treasury
  - [ ] Attn: U. S. Customs
- [ ] Department of Treasury
  - [ ] Attn: Bureau of Alcohol Tobacco & Firearms
- [ ] Department of Transportation
  - [ ] Attn: Director of Security
- [ ] Drug Enforcement Administration
- [ ] General Services Administration (WASHDC area, specify office) ______
- [ ] (Other Areas, specify CITY/STATE) ______
- [ ] Federal Aviation Administration
- [ ] Federal Protective Service
- [ ] Secretary of State
  - [ ] Attn: Director Bureau of Intelligence & Research
  - [ ] Attn: SCA - VISA Office Room 709 - SA2
- [ ] Attorney General
- [ ] Deputy AG
  - [ ] Attn: Emergency Programs Center
- [ ] Assistant AG, Civil Rights Div.
- [ ] Assistant AG, Criminal Div.
  - [ ] Attn: Internal Security Section
  - [ ] Attn: General Crimes Section
- [ ] Assistant AG for Administration
  - [ ] Attn: Security & Administrative Services Staff
- [ ] Immigration & Naturalization Service

(SERVICE ALSO AVAILABLE TO GOVERNMENT AGENCIES NOT LISTED. [ ]
CONTACT COMMUNICATIONS CENTER FOR ADDRESSING INFORMATION) [ ]

SUBJECT:

SEE ATTACHED.

163-45612-38

APPROVED BY	ORIGINATOR	ROOM	TELE EXT.
	EMS:jyl	4644/5	4696/232

20 NOV 4 1980

032224

DO NOT FILE WITHOUT COMMUNICATIONS STAMP

NOV 0 5 1980

USE OF FORM 0-73

1. Only incoming teletype messages which require transmission relay may be prepared for transmission using form 0-73. Use of form 0-73 is restricted to incoming teletype messages received within the last 3 days which require transmission relay; all other teletype messages must be prepared using form 0-93.

2. Additions such as notes and administrative data for a specific addressee are not allowed. If a note or administrative data is required for a particular addressee a separate 0-73 form must be prepared.

3. The message will be transmitted just as it appears. The person approving the message is solely responsible for assuring all necessary editing changes have been made.

PREPARATION OF FORM WHICH CONSISTS OF A PREPRINTED YELLOW.

1. Complete appropriate boxes: date, classification & precedence.
2. List addresses immediately following the "TO" or place a check mark in the appropriate boxes.
3. Type or PRINT the subject in the space provided.
4. Print or type originator's name, room number and telephone extension.
5. Indicate approval for transmission by initialing the "Approved By" box.

21 OCT 80 21 31

PREPARATION OF MESSAGE TO BE TRANSMITTED

1. Xerox 1 copy of incoming teletype message. A notation shall be made on the original incoming teletype "1 copy made for relay to SACS ______ , (OR LEGATS) ______ , (OR GOVERNMENT AGENCIES) ______ ."
2. Minor editing changes shall be made on the xerox as follows: using a lead pencil ONLY draw single line through the first and last lines of the heading and connect these lines from top right to bottom left forming a "Z," figure. (DO NOT OBLITERATE THE HEADING.) Use horizontal lines to delete sentences or words. Printed changes of a word or two to the text of the message may be made; however, changes to the existing text involving more than a word or two necessitate the originator to initiate a new message using form 0-93.
3. Administrative data or notes may be typed immediately following the text and will be transmitted to all addressees.
4. When using the 0-73 form to disseminate information to field offices, Legal Attaches and other Government agencies simultaneously, the text, notes and administrative data must be identical for all addressees.

LS0001 2941750Z

RECEIVED TELETYPE UNIT

20OCT80 1758Z

RECEIVED FEDERAL BUREAU OF INVESTIGATION COMMUNICATIONS SECTION

RR HQ MM

DE LS

R 201750Z OCT 80

FM FBI LOUISVILLE (163-134) RUC

TO DIRECTOR, FBI ROUTINE

ATTN: INTD, CI-3B UNIT

FBI MIAMI (163-2191) ROUTINE

BT

b6
b7C

~~C O N F I D E N T I A L~~

NUGAN HAND BANK, FPC

BY TELETYPE DATED OCTOBER 20, 1980, SAC, LOUISVILLE ADVISED ~~RE MIAMI TELETYPE TO THE BUREAU, OCTOBER 7, 1980.~~ AS FOLLOWS:

ON OCTOBER 15, 1980, [redacted] [redacted] ADVISED THAT WITH REGARD TO RECORDS IN QUESTION, HE CONSIDERS ALL OF THESE RECORDS TO BE PERSONAL, HOWEVER, HE HAS INVENTORIES THESE RECORDS AND HAS OR WILL FURNISH A LIST TO OFFICIALS IN HONG KONG THROUGH AND BY HIS ATTORNEY, [redacted] ADVISED THAT HE WILL AWAIT RESPONSE FROM HONG KONG WITH REGARDS TO WHAT RECORDS OFFICIALS THERE CONSIDER NOT TO BE PERSONAL AND DEPENDING UPON ADVICE FROM HIS ATTORNEY, WHO WILL COORDINATE THIS

PAGE TWO DE LS #001 C O N F I D E N T I A L

MATTER WITH HONG KONG OFFICIALS, AND WILL FORWARD REQUESTED RECORDS. [redacted] FEELS THAT HE REQUIRES RECORDS IN QUESTION TO GAIN REIMBURSEMENT FOR LEGAL FEES CAUSED BY CAPTIONED BANK.

b6
b7C

C & E BY 5427 222, REASON 1, DRD OCTOBER 20 21, 2000.

BT

#001

NNNN

W OR QUIT HQ2/BB

HOLD FOR ONE

FD-36 (Rev. 5-22-78)

FBI

TRANSMIT VIA:
- [ ] Teletype
- [ ] Facsimile
- [x] Airtel

PRECEDENCE:
- [ ] Immediate
- [ ] Priority
- [ ] Routine

CLASSIFICATION:
- [ ] TOP SECRET
- [ ] SECRET
- [x] ~~CONFIDENTIAL~~
- [ ] UNCLAS E F T O
- [ ] UNCLAS

Date 2/3/81

CONFIDENTIAL

TO: DIRECTOR, FBI

FROM: WCE/[illegible], HONOLULU (163-307) (RUC)

NUGAN HAND BANK
FPC

b6
b7C

Re Legat, Hong Kong airtel to Bureau, 10/3/80, requesting investigation to determine if [redacted] visited Guam in May, 1980.

[redacted] U.S. Immigration and Naturalization Service, Agana, Guam, advised a review of records failed to reveal any information concerning either [redacted] further advised his office does not maintain records of foreign visitors who reside on Guam for only a short period of time.

Records of Guam Department of Public Safety were reviewed and no information identifiable with [redacted] was located.

163-75-12-39

[redacted] Guam Department of Customs and Quarantine, advised a thorough review of records revealed [redacted] arrived on Guam 5/23/80 on Continental Airlines Flight 656 for an indicated one day visit where she

6 1-D
5 - Bureau
(2 - Legat, Hong Kong)(55-4)
(1 - Legat, Manila)(163-354)
2 - Honolulu
(1 - 163-307)
(1 - 183-95)
DBG:djh
(7)

CONFIDENTIAL

~~CLASS. & EXT. BY~~ 456
~~REASON-FCIM II, 1-2.4.2~~ 2&3
~~DATE OF REVIEW February 3, 2001~~

DECLASSIFIED BY SPSC1/DMS
ON 2/16/90 308,506

Approved: ______ Transmitted ______ (Number) (Time) Per ______

☆ U.S. GOVERNMENT PRINTING OFFICE: 1980-305-750/5402

b6
b7C

HN 163-307

would be residing at [redacted] listed her home address at [redacted] and the purpose of the visit as "pleasure". [redacted] advised no record was located for the name [redacted]

For information, [redacted] has recently been sold and is now known as [redacted] All [redacted] records had been removed for storage at [redacted] Agana, Guam.

On 1/31/81, [redacted] [redacted] Agana, Guam, advised the hotel records for May, 1980, were apparently lost in the "mass of confusion" caused by a recent move of the hotel records to [redacted] She advised she would continue her efforts, and if the records for May, 1980 are located she would immediately advise the FBI.

In view of the above, Honolulu conducting no additional investigation at this time. However, if [redacted] records for May, 1980 are located, any additional information will immediately be furnished to the Bureau for appropriate dissemination to Legat, Hong Kong.

LEG-7 (Rev. 9-6-78)

UNITED STATES GOVERNMENT

UNITED STATES DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION

Memorandum

TO : Director, FBI ( )

DATE: 10/23/80

FROM : Legal Attache, HONG KONG (55-4) (P)

SUBJECT: NUGAN HAND BANK
FPC

Reference: Butel, 10/21/80.

Dissemination, as outlined below, was made on dates indicated.

☐ ______ copies of

☒ Pertinent information from referenced Butel.

Name and Location of Agency	Date Furnished	
[redacted]	10/23/80	b7D

- 45612 -

2 - Bureau
~~(1 - Foreign Liaison Desk)~~
2-Hong Kong
(1-66-94)
REG:vmm
(4)

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED 308, 506
DATE 2/16/90 BY SP5C11 DN

FD-36 (Rev. 5-22-64)

FBI

Date: 4/8/81

Transmit the following in ______________________
(Type in plaintext or code)

Via AIRTEL ______________________
(Priority)

TO: DIRECTOR, FBI (ATTN: FOREIGN LIAISON UNIT)

FROM: LEGAT, MANILA (163-357) (C)

SUBJECT: NUGAN HAND BANK
FPC

UB 8

Re Honolulu airtel to the Bureau, 2/3/81.

Enclosed for the Bureau are two copies of American Consulate, Sydney telegram # 1188, dated 4/3/81, classified limited official use. Telegram received at Manila 4/8/81.

Enclosure outlines interest in FBI, U.S. Customs Service and Internal Revenue Service information concerning captioned bank by court appointed liquidator of defunct Nugan Hand Companies.

Enclosure contains American Consulate, Sydney request that Department of State provide guidelines. Inasmuch as FBIHQ likely will be contacted by Department of State, enclosure is provided for Bureau assistance.

Foreign Liaison Unit requested to assure enclosure is provided to FBIHQ personnel interested in this matter.

163-45612-42

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED 308,506
DATE 2/16/90 BY SP5 ci/oms

ENCLOSURE

23 APR 15 1981

2 - Bureau (Enc. 2)
1 - Manila
JHG:jss
(3)

66 JUN 4 1981

Approved: ______________________ Sent ______________ M Per ______________
Special Agent in Charge

GPO : 1970 O - 402-735

O FDVZCZCMJA433ES 218
RR RUMJMA
DE RUEHCR #1188/1 0930445
ZNY CCCCC ZZH
R 030412Z APR 81
FM AMCONSUL SYDNEY MCN ERR
TO RUEHC/SECSTATE WASHDC 3055
INFO RUMTBK/AMEMBASSY BANGKOK 725
RUEHBAC/AMEMBASSY CANBERRA 9571
RUMJMA/AMEMBASSY MANILA 1397-98
RUMJDT/AMCONSUL HONG KONG 1175
BT
LIMITED OFFICIAL USE SYDNEY 1188

03 APR 81
TOR: 0933
CN: 00377
CHRGE: LEG
LEGATT 2
INFO CPU

BANGKOK FOR DEA
HONG KONG FOR CUSTOMS REPRESENTATIVE
MANILA FOR LEGATT

E.O. 12065: N/A
TAGS: 1 09, PEPR, FINV, AS
SUBJECT: REQUEST FOR INFORMATION ON NUGAN HAND CASE

1. THE COURT APPOINTED LIQUIDATOR OF THE DEFUNCT NUGAN HAND COMPANIES, [redacted] WISHES TO OBTAIN INFORMATION RELATING TO THOSE COMPANIES FROM US CUSTOMS, THE FBI AND THE IRS. AS A FIRST STEP, HE WOULD LIKE TO HAVE THE NAMES AND ADDRESSES OF OFFICIALS FROM THOSE AGENCIES WHO MAY BE ABLE TO ASSIST HIM. THE REQUEST, IN A LETTER FROM [redacted] (WHO IS WITH [redacted] IN SYDNEY) TO [redacted] READS AS FOLLOWS:

b6
b7C

BEGIN QUOTE:
DEAR SIR:
RE: NUHAN LIMITED (IN LIQUIDATION) FORMERLY NGUAN HAND LIMITED, YORKVILLE NOMINEES PTY LIMITED (IN LIQUIDATION), NUGAN HAND INTERNATIONAL HOLDINGS (IN LIQUIDATION)

FOLLOWING MY TELEPHONE CONVERSATION WITH YOUR OFFICE, I AM GRATEFUL FOR THE OPPORTUNITY OF MEETING WITH YOU AND DISCUSSING MATTERS IN RELATION TO THE AFFAIRS OF THE ABOVE COMPANIES OF WHICH I WAS APPOINTED OFFICIAL LIQUIDATOR BY THE NEW SOUTH WALES SUPREME COURT LAST JULY. FOR YOUR RECORDS A COPY OF THE RESPECTIVE COURT ORDERS OF MY APPOINTMENT ARE ATTACHED.

MY FUNCTION AS LIQUIDATOR IS TO ACT FOR THE ORDINARY UNSECURED CREDITORS IN THE RECOVERY OF WHATEVER ASSETS AND FUNDS ARE AVAILABLE. THIS INCLUDES INVESTIGATING THE AFFAIRS OF THE COMPANIES IN ORDER TO DISCOVER ASSETS WHICH MAY NOT BE READILY IDENTIFIED IN THE RECORDS AS WELL AS REALISING KNOWN ASSETS AND PAYING A DIVIDEND TO CREDITORS.

DURING THE COURSE OF MY PRELIMINARY INVESTIGATIONS, I HAVE BEEN INFORMED THAT VARIOUS OFFICES OF THE UNITED STATES GOVERNMENT HAVE CONDUCTED INQUIRIES INTO THE COMPANIES IN LIQUIDATION OVER A PERIOD OF YEARS PRECEDING THE WINDING UP AND IN MORE RECENT TIMES HAVE INTERVIEWED FORMER OFFICERS OF THE COMPANIES.

SPECIFICALLY, I AM REFERRING TO INQUIRIES BY THE AMERICAN CUSTOMS DEPARTMENT INTO THE MOVEMENT OF FUNDS

162-45612-42

BY THE VARIOUS NUGAN HAND COMPANIES TO AND FROM AUSTRALIA AND THROUGHOUT THE WORLD. I FURTHER UNDERSTAND THAT THIS DEPARTMENT HAS INFORMATION IN BOTH HONG KONG AND WASHINGTON IN RELATION TO THE COMPANIES WHICH MAY BE OF ASSISTANCE TO ME.

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b7C

IN ADDITION, I UNDERSTAND THAT A [redacted] A UNITED STATES CITIZEN AND ONE OF THE FORMER OFFICERS OF NULAN HAND, WAS INTERVIEWED BY THE FEDERAL BUREAU OF INVESTIGATION EARLY IN 1980 WHEN CERTAIN RECORDS OF THE COMPANY WERE IMPOUNDED BY THAT AGENCY. I AM INTERESTED TO DETERMINE WHETHER THE RESULTS OF THAT INTERVIEW AND THE RECORDS HELD CAN BE OF ASSISTANCE TO ME.

FINALLY, I HAVE BEEN INFORMED THAT THE UNITED STATES INTERNAL REVENUE SERVICE HAS ALSO CONDUCTED INQUIRIES AND THAT THE HONG KONG OFFICE OF THAT DEPARTMENT TOGETHER WITH THE WASHINGTON OFFICE HAS INFORMATION ON THE AFFAIRS OF THE COMPANIES WHICH MAY BE HELPFUL TO ME.

I TRUST THAT IN THE CIRCUMSTANCES OF THIS MATTER YOU WILL UNDERSTAND THAT I AM OBLIGED TO MAKE THIS APPROACH TO YOU IN THE INTEREST OF THOSE PARTIES FOR WHOM I ACT AS THE DEFICIENCY AT THIS STAGE MAY APPROACH A FIGURE OF $A25,000,000.

IDEALLY WHAT I AM SEEKING FROM YOU ARE THE NAMES AND ADDRESSES OF PERSONS IN HONG KONG AND AMERICA FROM THOSE OFFICES MENTIONED ABOVE AND ANY OTHERS YOU MAY CONSIDER RELEVANT WHO WOULD BE PREPARED TO SEE ME. I WOULD THEN BE ABLE TO ASCERTAIN WHAT INFORMATION MAY BE MADE AVAILABLE TO ME WHICH WOULD ASSIST IN MY OBJECTIVEW. LIKEWISE IF THERE IS ANY INFORMATION FROM MY FILES OR AVAILABLE TO ME WHICH MAY BE OF INTEREST TO YOUR GOVERNMENT OR ITS AGENCIES THEN I AM ONLY TOO HAPPY TO COOPERATE TO THE FULLEST EXTENT POSSIBLE.

MY THANKS IN ANTICIPATION OF YOUR COOPERATION.

YOUR FAITHFULLY

: END QUOTE

2. CONGEN COMMENT: WE WOULD LIKE TO SEE AS FORTHCOMING A RESPONSE AS POSSIBLE. PUBLICITY IN AUSTRALIA ON THE NUGAN HAND AFFAIR -- WITH ALLEGATIONS OF DRUG DEALINGS, FRAUD, AND CIA INVOLVEMENT -- IS HARMFUL TO US AND WE SHOULD NOT BE UNCOOPERATIVE. MOREOVER, THE UNSECURED CREDITORS ON WHOSE BEHALF THE LIQUIDATOR IS WORKING, INCLUDE AMERICAN CITIZENS.

3. WE WOULD APPRECIATE THE DEPARTMENT'S GUIDANCE ON HOW TO PROCEED. CAN CUSTOMS, THE FBI AND THE IRS PROVIDE INFORMATION ON WHOM [redacted] SHOULD CONTACT? IS THERE INFORMATION IN THESE AGENCIES THAT WOULD BE HELPFUL TO HIM? WHAT PRIVACY ACT AND FREEDOM OF INFORMATION ACT CONSIDERATIONS ARE APPLICABLE? [redacted] TOLD US HE IS WILLING TO TRAVEL TO THE US TO MEET WITH ANY US OFFICIALS WHO MAY HAVE INFORMATION. WOULD SUCH A TRIP BE ADVISABLE OR NECESSARY? IT WOULD BE HELPFUL IF [redacted] WOULD COORDINATE A RESPONSE TO THIS MESSAGE FROM THE VARIOUS CONCERNED AGENCIES.

b6
b7C

O FDVZCZCMJA433E5C118
RR RUMJMA
DE RUEHCR #1188/1 0930445
ZNY CCCCC ZZH
R 030412Z APR 81
FM AMCONSUL SYDNEY MCN ERR
TO RUEHC/SECSTATE WASHDC 3055
INFO RUMTBK/AMEMBASSY BANGKOK 725
RUEHBAC/AMEMBASSY CANBERRA 9571
RUMJMA/AMEMBASSY MANILA 1397-98
RUMJDT/AMCONSUL HONG KONG 1175
BT

03 APR 81
TOR: 0933
CN: 00377
CHRGE: LEG
LEGATT 2
INFO CPU

LIMITED OFFICIAL USE SYDNEY 1188

BANGKOK FOR DEA
HONG KONG FOR CUSTOMS REPRESENTATIVE
MANILA FOR LEGATT

E.O. 12065: N/A
TAGS:1 09,, PEPR, FINV, AS
SUBJECT: REQUEST FOR INFORMATION ON NUGAN HAND CASE

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b6
b7C

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RE: NUHAN LIMITED (IN LIQUIDATION) FORMERLY NGUAN HAND LIMITED, YORKVILLE NOMINEES PTY LIMITED (IN LIQUIDATION), NUGAN HAND INTERNATIONAL HOLDINGS (IN LIQUIDATION)

FOLLOWING MY TELEPHONE CONVERSATION WITH YOUR OFFICE, I AM GRATEFUL FOR THE OPPORTUNITY OF MEETING WITH YOU AND DISCUSSING MATTERS IN RELATION TO THE AFFAIRS OF THE ABOVE COMPANIES OF WHICH I WAS APPOINTED OFFICIAL LIQUIDATOR BY THE NEW SOUTH WALES SUPREME COURT LAST JULY. FOR YOUR RECORDS A COPY OF THE RESPECTIVE COURT ORDERS OF MY APPOINTMENT ARE ATTACHED.

MY FUNCTION AS LIQUIDATOR IS TO ACT FOR THE ORDINARY UNSECURED CREDITORS IN THE RECOVERY OF WHATEVER ASSETS AND FUNDS ARE AVAILABLE. THIS INCLUDES INVESTIGATING THE AFFAIRS OF THE COMPANIES IN ORDER TO DISCOVER ASSETS WHICH MAY NOT BE READILY IDENTIFIED IN THE RECORDS AS WELL AS REALISING KNOWN ASSETS AND PAYING A DIVIDEND TO CREDITORS.

DURING THE COURSE OF MY PRELIMINARY INVESTIGATIONS, I HAVE BEEN INFORMED THAT VARIOUS OFFICES OF THE UNITED STATES GOVERNMENT HAVE CONDUCTED INQUIRIES INTO THE COMPANIES IN LIQUIDATION OVER A PERIOD OF YEARS PRECEDING THE WINDING UP AND IN MORE RECENT TIMES HAVE INTERVIEWED FORMER OFFICERS OF THE COMPANIES.

SPECIFICALLY, I AM REFERRING TO INQUIRIES BY THE AMERICAN CUSTOMS DEPARTMENT INTO THE MOVEMENT OF FUNDS

BY THE VARIOUS NUGAN HAND COMPANIES TO AND FROM AUSTRALIA AND THROUGHOUT THE WORLD. I FURTHER UNDERSTAND THAT THIS DEPARTMENT HAS INFORMATION IN BOTH HONG KONG AND WASHINGTON IN RELATION TO THE COMPANIES WHICH MAY BE OF ASSISTANCE TO ME.

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IN ADDITION, I UNDERSTAND THAT A [redacted] A UNITED STATES CITIZEN AND ONE OF THE FORMER OFFICERS OF NULAN HAND, WAS INTERVIEWED BY THE FEDERAL BUREAU OF INVESTIGATION EARLY IN 1982 WHEN CERTAIN RECORDS OF THE COMPANY WERE IMPOUNDED BY THAT AGENCY. I AM INTERESTED TO DETERMINE WHETHER THE RESULTS OF THAT INTERVIEW AND THE RECORDS HELD CAN BE OF ASSISTANCE TO ME.

FINALLY, I HAVE BEEN INFORMED THAT THE UNITED STATES INTERNAL REVENUE SERVICE HAS ALSO CONDUCTED INQUIRIES AND THAT THE HONG KONG OFFICE OF THAT DEPARTMENT TOGETHER WITH THE WASHINGTON OFFICE HAS INFORMATION ON THE AFFAIRS OF THE COMPANIES WHICH MAY BE HELPFUL TO ME.

I TRUST THAT IN THE CIRCUMSTANCES OF THIS MATTER YOU WILL UNDERSTAND THAT I AM OBLIGED TO MAKE THIS APPROACH TO YOU IN THE INTEREST OF THOSE PARTIES FOR WHOM I ACT AS THE DEFICIENCY AT THIS STAGE MAY APPROACH A FIGURE OF $A25,000,000.

IDEALLY WHAT I AM SEEKING FROM YOU ARE THE NAMES AND ADDRESSES OF PERSONS IN HONG KONG AND AMERICA FROM THOSE OFFICES MENTIONED ABOVE AND ANY OTHERS YOU MAY CONSIDER RELEVANT WHO WOULD BE PREPARED TO SEE ME. I WOULD THEN BE ABLE TO ASCERTAIN WHAT INFORMATION MAY BE MADE AVAILABLE TO ME WHICH WOULD ASSIST IN MY OBJECTIVEW. LIKEWISE IF THERE IS ANY INFORMATION FROM MY FILES OR AVAILABLE TO ME WHICH MAY BE OF INTEREST TO YOUR GOVERNMENT OR ITS AGENCIES THEN I AM ONLY TOO HAPPY TO COOPERATE TO THE FULLEST EXTENT POSSIBLE.

MY THANKS IN ANTICIPATION OF YOUR COOPERATION.

YOUR FAITHFULLY

: END QUOTE

2. CONGEN COMMENT: WE WOULD LIKE TO SEE AS FORTHCOMING A RESPONSE AS POSSIBLE. PUBLICITY IN AUSTRALIA ON THE NUGAN HAND AFFAIR -- WITH ALLEGATIONS OF DRUG DEALINGS, FRAUD, AND CIA INVOLVEMENT -- IS HARMFUL TO US AND WE SHOULD NOT BE UNCOOPERATIVE. MOREOVER, THE UNSECURED CREDITORS ON WHOSE BEHALF THE LIQUIDATOR IS WORKING, INCLUDE AMERICAN CITIZENS.

3. WE WOULD APPRECIATE THE DEPARTMENT'S GUIDANCE ON HOW TO PROCEED. CAN CUSTOMS, THE FBI AND THE IRS PROVIDE INFORMATION ON WHOM [redacted] SHOULD CONTACT? IS THERE INFORMATION IN THESE AGENCIES THAT WOULD BE HELPFUL TO HIM? WHAT PRIVACY ACT AND FREEDOM OF INFORMATION ACT CONSIDERATIONS ARE APPLICABLE? [redacted] TOLD US HE IS WILLING TO TRAVEL TO THE US TO MEET WITH ANY US OFFICIALS WHO MAY HAVE INFORMATION. WOULD SUCH A TRIP BE ADVISABLE OR NECESSARY? IT WOULD BE HELPFUL IF [redacted] WOULD COORDINATE A RESPONSE TO THIS MESSAGE FROM THE VARIOUS CONCERNED AGENCIES.

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JUL 81

PAGE 01 SYDNEY 02265 060835Z

RECEIVED FEDERAL BUREAU OF INVESTIGATION COMMUNICATIONS SECTION

ACTION EA-12 FEDERAL GOVERNMENT

INFO OCT-01 ADS-00 INR-10 CIAE-00 EB-08 ICA-11 DODE-00
NSAE-00 COME-00 SY-05 L-03 PA-01 SYE-00 JUSE-00
FBIE-00 SP-02 SPRS-02 /055 W

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P 060556Z JUL 81

FM AMCONSUL SYDNEY

TO SECSTATE WASHDC PRIORITY 3501

INFO AMEMBASSY CANBERRA

NUGAN HAND BANK

LIMITED OFFICIAL USE SYDNEY 2265

E.O. 12065: NA

TAGS: SOPN, PEPR, EINV, AS

SUBJ: REQUEST FOR INFORMATION ON NUGAN HAND CASE

REF SYDNEY 1188

63-45612-43

JUL 9 1981

AUG 1 1981

1. THE NATIONAL TIMES (NT), A WEEKLY PERIODICAL THAT CARRIES FREQUENT ARTICLES AND SPECULATION ON THE NUGAN HAND AFFAIR, THIS WEEK CRITICIZES PRIME MINISTER FRASER'S OFFICE FOR FAILING TO COOPERATE WITH [REDACTED] THE COURT APPOINTED LIQUIDATOR, WHO IS SEEKING INFORMATION FROM THE FBI AND OTHER US AGENCIES ABOUT EHE DEFUNCT NUGAN HAND COMPANIES. THE ARTICLE, WRITTEN BY [REDACTED] UNDER THE HEADLINE "NUGAN HAND: GOVT WON'T ASK FBI", STATES THAT [REDACTED] WROTE DIRECTLY TO PRIME MINISTER FRASER IN APRIL FOR ASSISTANCE IN SECURING INFORMATION FROM THE USG. IT ALSO NOTES THAT [REDACTED] SOUGHT ASSISTANCE FROM THE US CONSULATE GENERAL IN SYDNEY, BUT SO FAR HAS RECEIVED NO RESPONSE EITHER FROM [REDACTED] OR THE CONSULATE GENERAL OTHER THAN AN ACKNOWLEDGEMENT OF HIS REQUEST.

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2. [REDACTED] DIFFICULTIES, THE NT STATES, "REFLECT THE RELUCTANCE SHOWN AT THE TOP LEVELS OF THE AUSTRALIAN

LIMITED OFFICIAL USE

GOVERNMENT TO GETTING TO THE BOTTOM OF THE NUGAN HAND AFFAIR". THE ARTICLE ALLEGES THAT INVESTIGATION OF THE NUGAN HAND CASE IN AUSTRALIA HAS BEEN TOO NARROW IN SCOPE AND "HAS STUDIOUSLY AVOIDED EXAMINING ANY CONNECTIONS BETWEEN THE BANK, AMERICAN INTELLIGENCE ACTIVITIES, AND ARMS TRAFFICKING, DESPITE THE MOUNTING EVIDENCE OF SUCH A CONNECTION". IN THIS REGARD, THE ARTICLE REFERS TO AN FBI REPORT ON NUGAN HAND OBTAINED BY THE NT LAST YEAR UNDER THE FREEDOM OF INFORMATION ACT WHICH HAD 73 OF 119 PAGES WITHHELD AND THE REMAINING PAGES HEAVILY CENSORED. A PHOTOGRAPH ACCOMPANYING THE ARTICLE SHOWS 3 OF THE HEAVILY EDITED PAGES. THE PAPER STATES THAT ACCORDING TO A COVERING LETTER ACCOMPANYING THE RELEASED DOCUMENTS, APRINCIPAL REASON FOR THIS CENSORSHIP WAS NATIONAL SECURITY GROUNDS.

3. COMMENT: WE HAVE RECEIVED NO INQUIRY FROM THE PRESS, NOR HAS [ ] BEEN BACK TO FOLLOW UP ON HIS REQUEST OF EARLY APRIL (REFTEL). HOWEVER, IT IS THREE MONTHS SINCE WE CABLED [ ] REQUEST AND WOULD LIKE TO BE IN A POSITION TO PROVIDE AN INTERIM REPLY. ANY GUIDANCE FROM THE DEPARTMENT WOULD BE APPRECIATED.

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HOROWITZ

FD-36 (Rev. 5-22-

FBI

TRANSMIT VIA:	PRECEDENCE:	CLASSIFICATION:
☐ Teletype	☐ Immediate	☐ TOP SECRET
☐ Facsimile	☐ Priority	☐ SECRET
☐ AIRTEL	☐ Routine	☐ CONFIDENTIAL
		☐ UNCLAS E F T O
		☐ UNCLAS

Date 7/31/81

TO: DIRECTOR, FBI

FROM: LEGAT, HONG KONG (55-4) (P)

SUBJECT: NUGAN HAND BANK
FPC

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For information of FBIHQ, on 7/23/81, [redacted]

[redacted] has requested [redacted]

[redacted] was advised that, pursuant to their request, [redacted] No further assurances or representations were made.

FBIHQ is requested to advise what further response is to be given to this request, if any.

ENCLOSURE

2 - Bureau (Encs. - 3)
1 - Hong Kong
REG:eim
(3)

163-

AIRTEL

T1 HK + man 11/17/81

60 DEC 14 1981

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED 308,506
DATE 2/16/90 BY SP5CLJ/DMS

Approved: ____ Transmitted ____ (Number) (Time) Per ____

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FD-36 (Rev. 5-22-78)

FBI

TRANSMIT VIA:
- [ ] Teletype
- [ ] Facsimile
- [ ] AIRTEL

PRECEDENCE:
- [ ] Immediate
- [ ] Priority
- [ ] Routine

CLASSIFICATION:
- [ ] TOP SECRET
- [ ] SECRET
- [ ] CONFIDENTIAL
- [ ] UNCLAS E F T O
- [ ] UNCLAS

Date 10/23/81

TO: DIRECTOR, FBI

FROM: LEGAT, HONG KONG (55-4) (P)

SUBJECT: NUGAN HAND BANK
FPC

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ReHONairtel 7/31/81.

Enclosed for FBIHQ is one copy of a letter dated 10/20/81, received by [redacted] [redacted] re captioned.

As seen from review of enclosure, Legat is being asked the present position with regard to this matter.

Please advise what response FBIHQ wishes Legat to make to [redacted] request.

2 - Bureau (Enc. - 1)
1 - Hong Kong
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DATE 2/16/90 BY SP5CI DMS

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ENCLOSURE

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11/17/81 UNCLAS E F T O PRIORITY

FM DIRECTOR FBI (163-45612)

TO LEGAL ATTACHE HONG KONG (55-4) PRIORITY

LEGAL ATTACHE MANILA (163-357) PRIORITY

BT

UNCLAS E F T O

NUGAN HAND BANK (NHB), FPC.

REHONAIRTEL, JULY 31, 1981.

FOR LEGAT, MANILA, INFORMATION, RE AIRTEL CONTAINED REQUEST OF [redacted]

[redacted] TO RENDER WHATEVER ASSISTANCE POSSIBLE BY THE FBI TO [redacted]

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163-45612-16

CWH:JST (3) 11/17/81 4634 4691

NOV 19 1981

1 - [redacted]
1 - [redacted]

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(SEE NOTE, PAGE 4)

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED 308,506 DATE 3/5/90 BY SP5C1TOMS

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FEDERAL BUREAU OF INVESTIGATION
COMMUNICATIONS CENTER
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Classified by SP5C1TOMS
Declassify on: OADR 308,506

PAGE TWO DE HQ 0194 ~~UNCLAS~~ E F T O SECRET

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CONCERNING NHB AND THE FBI,

ACCORDINGLY, IN RESPONSE TO REQUEST, THIS MATTER HAS BEEN REVIEWED AT FBIHQ, AND IT IS A MATTER OF FACT THAT THE FBI IS NOT, AND HAS NOT, INVESTIGATED NHB AND ITS ACTIVITIES AS

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PAGE THREE DE HQ 0194 ~~UNCLAS~~ E F T O SECRET

A SUBSTANTIVE VIOLATION. HOWEVER, AS LEGATS HONG KONG AND MANILA ARE AWARE, [redacted]

FINALLY, IF CONTACTS OF LEGATS HONG KONG AND MANILA HAVE SPECIFIC LEADS TO BE COVERED IN THE U. S. BY THE FBI REGARDING NHB'S ACTIVITIES, THEY CAN BE HANDLED UNDER FPC.

BT

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BUTEL TO LEGATS HONG KONG AND MANILA
RE: NUGAN HAND BANK (NHB)
FPC

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NOTE:

THE NHB IS ONE OF A NUMBER OF FINANCIAL INSTITUTIONS USING VARIATIONS OF THE NUGAN HAND NAME (FRANK NUGAN - AUSTRALIAN CITIZEN; [redacted] THE NUGAN HAND ENTERPRISES HAVE HIRED PROMINENT PEOPLE, INCLUDING [redacted] AS REPRESENTATIVES IN THE UNITED STATES, AND ACQUIRED OLD, WELL-KNOWN, EUROPEAN BANKING INSTITUTIONS.

FOR YEARS THERE HAVE BEEN NUMEROUS ALLEGATIONS THAT THE NUGAN HAND ENTERPRISES, WHICH ARE WORLDWIDE, HAVE BEEN CONDUITS FOR WASHING MONEY FOR AUSTRALIAN, AND PERHAPS AMERICAN, ORGANIZED CRIME ELEMENTS, AS WELL AS CORRUPT SOUTHEAST ASIAN GOVERNMENT OFFICIALS AND INDIVIDUALS INVOLVED IN NARCOTICS TRAFFICKING. THEY WERE ALSO ALLEGED TO BE INVOLVED IN THE HANDLING OF U. S. COUNTERFEIT CURRENCY. NONE OF THESE ALLEGATIONS HAVE EVER BEEN PROVEN, ALTHOUGH FRANK NUGAN WAS UNDER INVESTIGATION BY SEVERAL LAW ENFORCEMENT AGENCIES IN AUSTRALIA. HE WAS FOUND DEAD, APPARENTLY OF A SELF-INFLICTED GUNSHOT WOUND, IN AUSTRALIA IN JANUARY, 1980. [redacted] WHEREABOUTS ARE UNKNOWN.

THE NHB, HONG KONG, HAS RECENTLY FAILED. INITIAL ESTIMATES OF LOSS TO DEPOSITORS IS HONG KONG $21 MILLION (U.S. $4 MILLION). [redacted]

THIS MATTER WAS COORDINATED WITH JAMES K. HALL, SECTION CHIEF, FOIA, RECORDS MANAGEMENT DIVISION, AND [redacted] WHITE COLLAR CRIMES, CRIMINAL INVESTIGATIVE DIVISION.

CWH

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11/17/81 ~~UNCLAS~~ E F T O PRIORITY

FM DIRECTOR FBI (163-45612)

TO LEGAL ATTACHE HONG KONG (55-4) PRIORITY

LEGAL ATTACHE MANILA (163-357) PRIORITY

BT

~~UNCLAS~~ E F T O

NUGAN HAND BANK (NHB), FPC.

REHONAIRTEL, JULY 31, 1981.

FOR LEGAT, MANILA, INFORMATION, RE AIRTEL CONTAINED REQUEST

OF [redacted] TO RENDER

WHATEVER ASSISTANCE POSSIBLE BY THE FBI TO [redacted]

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163-45612-

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1 - [redacted]
1 - [redacted]

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(SEE NOTE, PAGE 4)

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PAGE TWO DE HQ 0194 UNCLAS E F T O

SECRET

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BT

BUTEL TO LEGATS HONG KONG AND MANILA
RE: NUGAN HAND BANK (NHB)
FPC

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LIMITED OFFICIAL USE FBB7?2

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FEDERAL BUREAU
OF INVESTIGATION

FEDERAL GOVERNMENT

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Legal Coun.
Rec. Mgnt.
Tech. Servs.
Training
Off. of Cong. & Public Affs.
Telephone Rm.
Director's Sec'y

INFO OCT-00 ADS-00 L-03 TRSE-00 CDC-01 FBIE-00 /016 R

DRAFTED BY EA/ANZ:RZORN:RLW

APPROVED BY EA/ANZ:FCBENNETT, JR.

L/EA:TFORTUNE (SUBS.)

TRSE/OIBPI:JLISTER

FBI:JMWALSER

A/CDC/MR:HSPIELMAN

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FM SECSTATE WASHDC

TO AMCONSUL SYDNEY PRIORITY

INFO AMEMBASSY CANBERRA

AMEMBASSY MANILA

AMCONSUL HONG KONG

163-45612-47

DEC 30 1981

LIMITED OFFICIAL USE STATE 341264

FEB 1982

E.O. 12065: N/A

TAGS: PEPR, EINV, AS

SUBJECT: RESPONSE TO REQUEST FOR FINANCIAL INFORMATION ON NUGAN HAND

REF: A) SYDNEY 1188, B) STATE 303165, C) STATE 327720

1. POST MAY PASS THE FOLLOWING INFORMATION TO [redacted]

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2. DEPARTMENT IS POUCHING TO CONGEN SYDNEY (FOR [redacted] ATTENTION) DOCUMENTS ON NUGAN HAND INC. RELEASED UNDER THE FREEDOM OF INFORMATION ACT BY THE FBI FOR TRANSMISSION TO [redacted] FBI HAS CONDUCTED

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NO/NO INVESTIGATION REGARDING NUGAN HAND FOR A VIOLATION OF US STATUTES OVER WHICH THE FBI HAS ENFORCEMENT JURISDICTION. NOR/NOR HAS THE FBI INTERVIEWED A [redacted] IN CONNECTION WITH NUGAN HAND. ON OCCASION, [redacted]

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3. TREASURY DEPARTMENT (OFFICE OF INTERNATIONAL BANKING AND PORTFOLIO INVESTMENT) HAS SOME CORRESPONDENCE RELATING TO NUGAN HAND BANK, BUT THIS MATERIAL IS UNLIKELY TO PROVIDE ANY ASSISTANCE IN LOCATING ASSETS. IT CONSISTS OF:

A) LETTERS FROM A US CITIZEN REGISTERING A REPRESENTATIVE OFFICE OF NUGAN HAND IN THE UNITED STATES AND SUBSEQUENTLY ADVISING OF CLOSURE OF THAT OFFICE. (REP OFFICES BASICALLY PERFORM LIAISON FUNCTIONS IN CONTRAST TO BRANCHES AND AGENCIES WHICH CARRY OUT MOST TYPES OF BANKING TRANSACTIONS; NUGAN HAND HAS NOT HAD BRANCH OR AGENCY OFFICES IN THE US. REGISTRATION LETTERS ESSENTIALLY PROVIDE ONLY NAMES AND ADDRESSES.) COPIES OF THESE LETTERS AND OF THE UNDERLYING REGULATIONS ARE BEING POUCHED.

B) A LETTER RECEIVED FROM A US CITIZEN, ON BEHALF OF HIMSELF AND OTHERS WHO HAD SUFFERED LOSSES IN TRANSACTIONS

ABROAD WITH NUGAN HAND, AND THE TREASURY RESPONSE. COPIES BEING POUCHED.

WHILE THE CORRESPONDENCE DESCRIBED ABOVE HAS BEEN RELEASED IN RESPONSE TO REQUESTS UNDER THE FREEDOM OF INFORMATION ACT AND THUS CAN BE CONSIDERED TO BE IN THE PUBLIC DOMAIN, WE WOULD APPRECIATE BEING ADVISED IF ITS RELEASE BY OFFICIAL LIQUIDATOR IS CONTEMPLATED.

4. DURING THE COURSE OF OUR REVIEW OF ALL DOCUMENTS IN STATE DEPARTMENT FILES PERTAINING TO THE NUGAN HAND ENTERPRISES IN CONNECTION WITH REQUESTS UNDER OUR FREEDOM OF INFORMATION ACT, WE HAVE DISCOVERED NO MATERIAL RELEVANT TO THE REQUEST BY [redacted] CONCERNING THE EXISTENCE OF ANY ASSETS OF THE LIQUIDATED OR RELATED COMPANIES.

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5. THE FOREGOING INFORMATION, PARTICULARLY THAT IN PARA 2 ABOVE, IS BEING PROVIDED TO [redacted] ON THE UNDERSTANDING THAT IT IS SOLELY FOR HIS USE IN CARRYING OUT HIS RESPONSIBILITIES AS A COURT-APPOINTED LIQUIDATOR.

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FEDERAL BUREAU OF INVESTIGATION

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PAGE 01 SYDNEY 00489 092145Z

ACTION EA-12

INFO	OCT-00	ADS-00	INR-10	SS-10	CIAE-00	EB-08	DODE-00
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FM AMCONSUL SYDNEY FEDERAL GOVERNMENT

TO SECSTATE WASHDC 4672

INFO AMEMBASSY CANBERRA

UNCLAS SYDNEY 0489

E.O. 12065: NA

TAGS: SOPN, PEPR, EINV, AS

SUBJ: SYDNEY MORNING HERALD REVIEWS STATUS OF NUGAN HAND CASE

Limited

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163-4544-48

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FEB 11 1982

50 APR 02 1982

1. SUMMARY: A SYDNEY MORNING HERALD ARTICLE, FEB 8, CREDITED TO HERALD COORESPONDENTS IN NEW YORK AND SYDNEY, REVIEWED THE STATUS OF NUGAN HAND INQUIRIES. IT REPEATS ALLEGATIONS OF CIA INVOLVEMENT AND LINKAGES TO DOPE RUNNING. REFERRING TO THE INQUIRY NOW BEING MADE BY THE AUSTRALIAN LIQUIDATOR, THE HERALD STATES THAT THE AUSTRALIANS AND US GOVERNMENTS ARE COOPERATING "TO SOME EXTENT". END SUMMARY

2. THE RELISTING OF NUGAN HAND BANK IN GRAND CAYMAN DURING THE FIRST WEEK OF FEBRUARY, THE HERALD SAID, HAS RAISED NEW HOPES IN ATTEMPTS TO UNRAVEL THE ACTIVITIES OF THE NUGAN HAND GROUP. THE BANK HAD BEEN DROPPED FROM THE CAYMAN ISLANDS REGISTERED OF COMPANIES ON SEPTEMBER 4, 1981, AND THUS FORMALLY CEASED TO EXIST. THE ARTICLE STATED THAT LIQUIDATIONOF THE BANK WILL NOW BE CARRIED OUT BY THE LIQUIDATOR OF THE NUGAN HAND OPERATION IN HONG KONG, MR. ROBIN HEARDER, A HONG KONG PUBLIC SERVANT. HE WILL BE ASSISTED BY A CAYMAN ISLANDS ACCOUNTANT.

UNCLASSIFIED

3. THE HERALD ARTICLE STATES THAT THE OPENING OF THE NUGAN HAND BANK IN THE CAYMANS AND THE CLOSURE, ABOUT THAT TIME, OF A BANK THERE THOUGHT TO BE "OPERATED AS A FRONT FOR THE UNITED STATES CENTRAL INTELLIGENCE AGENCY MAY POSSIBLY BE MORE THAN COINCIDENTAL". IT CONTINUES THAT, "THE CIA BANK HAD BEEN CLOSED FOLLOWING EMBARRASSING DISCLOSURES AT THAT TIME, CONCERNING CERTAIN OTHER CIA FRONTS FOR ITS CLANDESTINE OPERATIONS." THE ARTICLE ALSO REFERS TO ALLEGATIONS THAT A FORMER AMERICAN PARTNER IN THE GROUP, MICHAEL JON HAND, HAD BEEN ASSOCIATED WITH THE CIA, SERVING AS A "PAYMASTER". IT STATES THAT HAND DEPARTED AUSTRALIA ON A FORGED PASSPORT, WEARING A FALSE MUSTACHE AND GOATEE, ENTERED THE US VIA VANCOUVER, AND IS NOW BELIEVED TO BE RESIDING IN THE US UNDER AN ASSUMED NAME.

4. THE ARTICLE STATES THAT "DESPITE HAND'S CENTRAL POSITION IN THE NUGAN HAND GROUP, NOW BELIEVED TO HAVE HAD CONNECTIONS WITH THE MR. ASIA HEROIN AND MURDER SYNDICATE AND WITH SOUTHEAST ASIA DOPE-RUNNING GENERALY, AND THE FACT OF HIS ILLEGAL DEPARTURE, AUSTRALIAN AUTHORITIES, ACCORDING TO THE US FEDERAL BUREAU OF INVESTIGATION, APPEAR TO HAVE MADE NO SERIOUS ATTEMPT TO LOCATE HIM". THE HERALD SAID IT WAS TOLD BY FBI AND DEA OFFICIALS THAT "THE FBI HAD RECEIVED NO REQUEST FROM AUSTRALIA TO LOCATE AND INTERVIEW HAND" AND THAT THE US DRUG ENFORCEMENT AGENCY HAS RECEIVED NO REQUEST TO BE ON THE LOOKOUT FOR HIM.

5. THE ARTICLE FURTHER STATES THAT THE FBI, CIA AND US DEPARTMENTS OF CUSTOMS, TREASURY AND STATE HAVE ALL RECEIVED LETTERS SEEKING COOPERATION FROM THE AUSTRALIAN LIQUIDATOR OF THE NUGAN HAND GROUP, MR. JOHN O'BRIEN. IT CONTINUES SAYING THAT, "AFTER SEVERAL MONTHS OF PASSIVE RESISTANCE, THE AUSTRALIAN FEDERAL GOVERNMENT

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AND THE US CONSULATE ARE NOW, TO SOME EXTENT, COOPERATING WITH MR. O'BRIEN'S INQUIRIES DIRECTED TO US AGENCIES." IT ADDS THAT RESULTS THUS FAR ARE MINIMAL.

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b7C

UNCLASSIFIED FBB138

PAGE 01 SYDNEY 00683 240542Z

RECEIVED TELETYPE UNIT

ACTION EA-12

24FEB82 08 39z

FEDERAL BUREAU OF INVESTIGATION

INFO OCT-00 ADS-00 EB-08 FBIE-00 SP-02 FAIM-01 /023 W

-------------------316450 240551Z /11

R 240427Z FEB 82

FM AMCONSUL SYDNEY

TO SECSTATE WASHDC 4755

INFO AMEMBASSY MANILA

AMEMBASSY CANBERRA

AMCONSUL HONG KONG

FEDERAL GOVERNMENT

UNCLAS SYDNEY 0683

MANILA FOR LEGAT

DEPT FOR EA/ANZ

HONG KONG FOR CUSTOMS

E.O. 12065: N/A

TAGS: AINF, EINV, PEPR, AS, HK, US

SUBJECT: FBI REPORT ON NUGAN HAND COMPANIES

163-45612

MAR 1 1982

Exec. AD-Adm.
Exec. AD-Inv.
Exec. AD-LES
Asst. Dir.:
Adm. Servs.
Crim. Inv.
Ident.
Inspection
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Laboratory
Legal Coun.
Off. of Cong. & Public Affs.
Rec. Mgnt.
Tech. Servs.
Training
Telephone Rm.
Director's Sec'y

UNCLASSIFIED FBB277

PAGE 01 SYDNEY 00544 120154Z

ACTION EA-12

FEDERAL GOVERNMENT

INFO OCT-00 ADS-00 INR-10 CIAE-00 EB-08 NSAE-00 SY-05
INRE-00 FBIE-00 SP-02 FAIM-01 /038 W

------------------320241 120211Z /61

P 120120Z FEB 82

FM AMCONSUL SYDNEY

TO AMEMBASSY MANILA PRIORITY

INFO SECSTATE WASHDC PRIORITY 4698

AMEMBASSY CANBERRA

AMCONSUL HONG KONG

UNCLAS SYDNEY 0544

MANILA FOR LEGAT; DEPT FOR EA/ANZ; HONG KONG FOR CUSTOMS

E.O. 12065: NA

TAGS: WINF EINV PEPR AS HK US

SUBJ: FBI REPORT ON NUGAN HAND COMPANIES

1. FOLLOWING IS TEXT OF LETTER SENT 2/12/82 FROM THIS OFFICE [redacted] TO [redacted] AUSTRALIAN LIQUIDATOR OF NUGAN HAND COMPANIES:

"THANK YOU FOR YOUR LETTER OF FEBRUARY 10, 1982, ASKING IF I COULD CONFIRM THAT A 119-PAGE FBI REPORT ON THE NUGAN HAND COMPANIES WAS PREPARED WHOLLY [redacted]

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"UNFORTUNATELY, I DO NOT HAVE THE ANSWERS TO THESE QUESTIONS. I HAVE BEGUN INQUIRIES, HOWEVER, AND I WILL GET BACK TO YOU AS SOON AS POSSIBLE."

2. WE ARE UNSURE OF WHERE [redacted] LEARNED ALLEGED NUMBER OF PAGES IN REPORT, BUT KNOWLEDGE OF EXISTENCE OF A REPORT WAS GIVEN TO HIM IN 12/31/81 LETTER FROM THIS OFFICE WHICH WAS BASED UPON 81 STATE 341264. AS YOU RECALL, THAT CABLE SAID [redacted]

UNCLASSIFIED

b7D

3. IF NO OBJECTION PERCEIVED, WE WOULD APPRECIATE INFO THAT WILL PERMIT US TO REPLY TO TWO QUESTIONS ASKED BY

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REF: SYDNEY Ø544.

LEGATT MANILA HAS INFORMED US THAT, BECAUSE HE WAS NOT PARTY TO INTERAGENCY DISCUSSIONS RELATING TO RELEASE OF INFO TO [redacted] AUSTRALIA LIQUIDATOR OF NUGAN HAND COMPANIES, HE UNSURE HOW TO RESPOND TO [redacted] QUERIES AS GIVEN IN REFTEL. BECAUSE OF THIS, WE WOULD BE GRATEFUL IF DEPARTMENT WOULD ASSUME ACTION RESPONSIBILITY TO OBTAIN REPLY TO REFTEL.

b6
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UNCLASSIFIED

UNCLASSIFIED FBB 485
TELETYPE UNIT

PAGE 01 SYDNEY 00895 120009Z

ACTION EA-12

FEDERAL BUREAU OF INVESTIGATION

Exec. AD-Adm.
Exec. AD-Inv.
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Asst. Dir.:
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Legal Coun.
Off. of Cong. & Public Affs.
Rec. Mgnt.
Tech. Servs.
Training
Telephone Rm.
Director's Sec'y

INFO OCT-00 ADS-00 INR-10 SS-10 CIAE-00 NSC-01 NSAE-00 PA-02 INM-06 JUSE-00 DEAE-00 FBIE-00 /041 W

------------------161137 120215Z /66

P 120100Z MAR 82

FM AMCONSUL SYDNEY

TO SECSTATE WASHDC PRIORITY 4842

INFO AMEMBASSY BANGKOK

AMEMBASSY CANBERRA

AMEMBASSY MANILA

UNCLAS SYDNEY 0895

BANGKOK FOR DEA; MANILA FOR LEGAT

E.O. 12065: NA

TAGS: EINV PGOV PINT SNAR

SUBJ: NUGAN HAND ALLEGATION AGAINST GOA DEPUTY PRIMIN

1. SYDNEY PRESS MAR 12 GAVE EXTENSIVE COVERAGE TO ALLEGATION MADE PREVIOUS DAY IN FEDERAL PARLIAMENT IN CANBERRA BY OPPOSITION LEADER BILL HAYDEN THAT DEPUTY PRIMIN DOUG ANTHONY WAS INVOLVED IN SUPPRESSING A NARCOTICS BUREAU INVESTIGATION OF NUGAN HAND BANK. WHEN ANTHONY ISSUED DENIAL, HAYDEN WITHDREW ALLEGATION BUT STATED THAT MERE DENIAL DID NOT DISPOSE ALTOGETHER OF MATTER. HAYDEN ALSO REVEALED THAT AUST SECURITY INTELLIGENCE ORGANIZATION HAD BEEN ANGERED BY AND HAD REFUSED TO ANSWER QUERIES HE HAD MADE ON WHETHER CIA HAD INVESTIGATED NUGAN HAND.

163-45612-51

MAR 16 1982

2. GOVERNMENT TEMPORARILY GAGGED DEBATE ON SUBJECT WHILE
CABINET (ACCORDING TO ONE REPORT IN "THE AUSTRALIAN") ORDERED A
HASTY INVESTIGATION OF ALL FILES ON THE NUGAN HAND AFFAIR.
MINISTER KEVIN NEWMAN (IN CHARGE OF NARCOTICS BUREAU),
MEANWHILE, TABLED CORRESPONDENCE BETWEEN COMMONWEALTH AND NSW
GOVTS TO PROVE THAT GOA WANTED INVOLVEMENT OF KNOWN CRIMINALS IN

UNCLASSIFIED

NARCOTCS AND RELATED CRIME "FULLY INVESTIGATED". HE ALSO CONFIRMED THAT AUST POLICE HAD ASKED FBI TO APPROACH CIA FOR INFO ON NUGAN HAND.

3. HAYDEN'S CHARGE AGAINST ANTHONY CAME FOLLOWING TV INTERVIEW OF EX-NARCOTICS BUREAU OFFICER JOE VOLKMAN WHO CLAIMED HE RESIGNED FROM BUREAU BECAUSE OF VICTIMIZATION AND VILIFICATION HE ENCOUNTERED WHEN TRYING TO PRESS INVESTIGATION INTO ALLEGED ACTIVITIES OF NUGAN HAND INVOLVING DRUG TRAFFICKING AND LAUNDERING OF MONEY. BUT VOLKMAN DENIES GIVING ANTHONY'S NAME TO HAYDEN. ACCORDING TO VOLKMAN, A FEDERAL MINISTER NOT IN CHARGE OF NARCOTICS BUREAU (AND NOT FURTHER IDENTIFIED) GAVE ORDER THAT BUREAU SHOULD CONDUCT--IN VOLKMAN'S WORDS-- "A BENIGN INQUIRY WHERE INFORMATION WAS GATHERED BUT NOTHING ACTED ON." HE SAID A "CONSPIRACY OF SILENCE" EXISTED WITH RESPECT TO NUGAN HAND AFFAIR WHICH HE CALLED AUSTRALIA'S WATERGATE.

4. SYDNEY MORNING HERALD LOCATED AND INTERVIEWED TWO FORMER COLLEAGUES OF VOLKMAN IN NARCOTICS BUREAU WHO CONFIRMED THAT THERE WERE STANDING ORDERS IN NARCOTICS BUREAU TO KEEP NUGAN HAND INQUIRY LOW KEY. ONE OF THEM CITED A 1978 MEMO FROM BRIAN BATES, THEN BUREAU DIRECGTOR, THAT BUREAU FOR TIME BEING SHOULD NOT ENGAGE IN ACTIVE EXTERNAL INQUIRIES ON NUGAN HAND.

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LIMITED OFFICIAL USE FBB719

PAGE 01 STATE 054334

ORIGIN EA-12

2 MAR 82 17 42

FEDERAL BUREAU OF INVESTIGATION

INFO OCT-00 ADS-00 L-03 SIG-03 FBIE-00 /018 R

DRAFTED BY EA/ANZ:RZORN:RLW

FEDERAL GOVERNMENT

APPROVED BY EA/ANZ:RZORN

FBI:JWALSER

DESIRED DISTRIBUTION

FBI WASHDC

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Asst. Dir.:
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Legal Coun.
Off. of Cong. & Public Affs.
Rec. Mgnt.
Tech. Servs.
Training
Telephone Rm.
Director's Sec'y

------------------146367 021729Z /51

R 021416Z MAR 82

FM SECSTATE WASHDC

TO AMCONSUL SYDNEY

AMEMBASSY MANILA

INFO AMEMBASSY CANBERRA

AMCONSUL HONG KONG

LIMITED OFFICIAL USE STATE 054334

MANILA FOR LEGATT; HONG KONG FOR CUSTOMS

163-45612-52

E.O. 12065: N/A

MAR 5 1982

TAGS: AINF, EINV, PEPR, AS, HK, US

SUBJECT: FBI REPORT ON NUGAN HAND COMPANIES

HONG KONG
USA

REFS: A. SYDNEY 544, B. SYDNEY 683

CONTACTED LIAISON OFFICE AT FBI HEADQUARTERS SEVERAL TIMES IN EFFORT TO GET A RESPONSE TO REFS. ON FEBRUARY 26, FBI LIAISO[illegible] INFORMED US IT IS THEIR UND[illegible] STANDING THAT LEGATT MANILA, WHO WILL VISIT SYDNEY AND CANBERRA IN MID-MARCH, HAS ALREADY INFORMED THE COURT-APPOINTED LIQUIDATOR FOR THE NUGAN HAND COMPANIES THAT E IS WILLING TO MEET THE LIQUIDATOR TO EXPLAIN THE FBI

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LIMITED OFFICIAL USE

ACTIONS AND POSITION ON THIS CASE AND TO PUT THE LIQUIDATOR IN CONTACT WITH [redacted]

b7D

2. FOR MANILA: WOULD APPRECIATE IT IF LEGATT WOULD CONFIRM THE ACCURACY OF THIS UNDERSTANDING IN CABLE TO STATE SLUGGED "FOR EA/ANZ" AND TO SYDNEY.

HAIG

FD-36 (Rev. 5-22-78)

FBI

TRANSMIT VIA:
- [ ] Teletype
- [ ] Facsimile
- [ ] AIRTEL

PRECEDENCE:
- [ ] Immediate
- [ ] Priority
- [ ] Routine

CLASSIFICATION:
- [ ] TOP SECRET
- [ ] SECRET
- [ ] CONFIDENTIAL
- [ ] UNCLAS E F T O
- [ ] UNCLAS

Date 2/22/82

TO: DIRECTOR, FBI (163-45612)
ATTN: INTD, LIAISON UNIT

FROM: LEGAT, HONG KONG (55-4) (P)

SUBJECT: NUGAN HAND BANK
FPC

b6
b7C
b7D

ReButel 11/17/82.

Enclosed for information of recipients is letter dated 2/19/82, received from [redacted] [redacted] which advises of the travel to New York and Miami of the Official Receiver in re captioned matter, [redacted]

As seen [redacted] has requested the FBI Miami Office be apprised of his travel to that area during the period 2/28-3/4/82.

4 - Bureau (Encs. - 2)
(1 - Liaison Unit, INTD)
(1 - Miami, 163-2191, Info.)
1 - Hong Kong
REG:eim
(5)

12 1982

AIRTEL

Approved: ______ Transmitted ______ (Number) (Time) Per ______

b6
b7C
b7D

163-4502-53

AIRTEL

2/22/82

TO: DIRECTOR, FBI (163-45612)
ATTN: INTD, LIAISON UNIT

FROM: LEGAT, HONG KONG (55-4) (P)

SUBJECT: NUGAN HAND BANK
FPC

ReButel 11/17/82.

Enclosed for information of recipients is letter dated 2/19/82, received from [redacted] [redacted] which advises of the travel to New York and Miami of the Official Receiver in re captioned matter, [redacted]

b6
b7C
b7D

As seen, [redacted] has requested the FBI Miami Office be apprised of his travel to that area during the period 2/28-3/4/82.

4 - Bureau (Encs. - 2)
(1 - Liaison Unit, INTD)
(1 - Miami, 163-2191, Info.)
1 - Hong Kong
RLG:eim
(5)

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED 308,506
DATE 2/16/96 BY SP5CL/OMS

AIRTEL

LIMITED OFFICIAL USE 983

PAGE 01 SYDNEY 00796 050202Z

ACTION EA-12

RECEIVED TELETYPE UNIT 5 MAR 82 02 43 Z FEDERAL BUREAU OF INVESTIGATION FEDERAL GOVERNMENT

INFO OCT-00 ADS-00 EB-08 L-03 FBIE-00 /023 W

------------------274006 050216Z /63

R 050035Z MAR 82

FM AMCONSUL SYDNEY

TO AMEMBASSY MANILA

INFO SECSTATE WASHDC 4803

AMEMBASSY CANBERRA

AMCONSUL HONG KONG

LIMITED OFFICIAL USE SYDNEY 0796

MANILA FOR LEGAT; DEPT FOR EA/ANZ; HONG KONG FOR CUSTOMS

E.O. 12065: NA

TAGS: AFIN EINV PEPR AS HK US

SUBJ: FBI REPORT ON NUGAN HAND COMPANIES

REF MANILA 05631

163-45612-54

MAR 8 1982

b6
b7C

HAVE ARRANGED APPOINTMENT WITH LIQUIDATOR [redacted]

FOR 10 AM ON FRI, MAR 19, AT OFFICES OF [redacted]

[redacted] SYDNEY. IF DATE OR TIME INCONVENIENT, [redacted]

STANDS READY TO ALTER EITHER.

Routing Slip
0-7 (Rev. 3-6-81)

(Copies to Offices Checked)

TO: SAC:

- [ ] Albany
- [ ] Albuquerque
- [ ] Alexandria
- [ ] Anchorage
- [ ] Atlanta
- [ ] Baltimore
- [ ] Birmingham
- [ ] Boston
- [ ] Buffalo
- [ ] Butte
- [ ] Charlotte
- [ ] Chicago
- [ ] Cincinnati
- [ ] Cleveland
- [ ] Columbia
- [ ] Dallas
- [ ] Denver
- [ ] Detroit
- [ ] El Paso
- [ ] Honolulu
- [ ] Houston
- [ ] Indianapolis
- [ ] Jackson
- [ ] Jacksonville
- [ ] Kansas City
- [ ] Knoxville
- [ ] Las Vegas
- [ ] Little Rock
- [ ] Los Angeles
- [ ] Louisville
- [ ] Memphis
- [x] Miami
- [ ] Milwaukee
- [ ] Minneapolis
- [ ] Mobile
- [ ] Newark
- [ ] New Haven
- [x] New Orleans
- [ ] New York City
- [ ] Norfolk
- [ ] Oklahoma City
- [ ] Omaha
- [ ] Philadelphia
- [ ] Phoenix
- [ ] Pittsburgh
- [ ] Portland
- [ ] Richmond
- [ ] Sacramento
- [ ] St. Louis
- [ ] Salt Lake City
- [ ] San Antonio
- [ ] San Diego
- [ ] San Francisco
- [ ] San Juan
- [ ] Savannah
- [ ] Seattle
- [ ] Springfield
- [ ] Tampa
- [ ] Washington Field
- [ ] Quantico
- [ ] SAC, New Rochelle (MRA)
- [ ] SAC, Brooklyn-Queens (MRA)

TO: LEGAT:

- [ ] Bern
- [ ] Bogota
- [ ] Bonn
- [ ] Hong Kong
- [ ] London
- [ ] Manila
- [ ] Mexico City
- [ ] Ottawa
- [ ] Panama City
- [ ] Paris
- [ ] Rome
- [ ] Tokyo

3/3/82
(Date)

RE: NUGAN HAND BANK
FPC

Re attached Hong Kong airtel to Director 2/22/82. (HONfile 55-4)

- [ ] For information
- [ ] Retention optional
- [x] For appropriate action
- [ ] Surep, by ______
- [ ] The enclosed is for your information. If used in a future report, [ ] conceal all sources, [ ] paraphrase contents.
- [ ] Enclosed are corrected pages from report of SA ______ dated ______.

Remarks: Re FBIHQ Supervisor [redacted] telephone call to SA [redacted], Miami, 3/2/82, and SA [redacted] New York, 3/3/82.

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Any inquiries received from [redacted] regarding this matter should be directed to FBIHQ, Attention: Liaison Unit, Intelligence Division.

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED 308,506
DATE 2/16/90 BY SPSCI 10MS

FILE

5/24H

Enc. 2
Bufile 163-45612
Urfile MM 163-2191

1 - Liaison Unit

CWH:jst (4)

LIMITED OFFICIAL USE FBB891

RECEIVED
TELETYPE UNIT

PAGE 01 MANILA 05631 040638Z

ACTION EA-12

4 MAR 82 06 57 z

FEDERAL BUREAU
OF INVESTIGATION

FEDERAL GOVERNMENT

INFO OCT-00 ADS-00 EB-08 L-03 FBIE-00 /023 W

------------------235310 040645Z /12

R 040630Z MAR 82

FM AMEMBASSY MANILA

TO SECSTATE WASHDC 1067

INFO AMEMBASSY CANBERRA

AMCONSUL SYDNEY

AMCONSUL HONG KONG

Exec. AD-Adm.
Exec. AD-Inv.
Exec. AD-LES
Asst. Dir.:
Adm. Servs.
Crim. Inv.
Ident.
Inspection
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Laboratory
Legal Coun.
Off. of Cong. & Public Affs.
Rec. Mgnt.
Tech. Servs.
Training

LIMITED OFFICIAL USE MANILA 05631

HONG KONG FOR CUSTOMS

E.O. 12065: N/A

TAGS: AINF, EINV, PEPR, AS, HK, US

SUBJECT: FBI REPORT ON NUGAN HAND COMPANIES

113-45612-55

REF: STATE 54334

MAR 9 1982

1. LEGAT HAS ADVISED [redacted] [redacted] THAT HE IS WILLING TO MEET WITH COURT-APPOINTED LIQUIDATOR. LEGAT HAS NOT HAD CONTACT WITH LIQUIDATOR AND DESIRES THAT [redacted] RELATIVE TO TIME FRAME OF PENDING VISIT, MAKE APPROPRIATE APPOINTMENT. [redacted]

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LIMITED OFFICIAL USE FBI061

RECEIVED
TELETYPE UNIT

PAGE 01 SYDNEY 01095 290407Z

29 MAR 82 04

ACTION EA-12

FEDERAL BUREAU
OF INVESTIGATION

INFO OCT-00 ADS-00 SY-05 L-03 CTME-00 TRSE-00 SYE-00
FBIE-00 /020 W

FEDERAL GOVERNMENT

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Exec. AD Adm.
Exec. AD-Inv.
Exec. AD-LES
Asst. Dir.:
Adm. Servs.
Crim. Inv.
Ident.
Inspection
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Laboratory
Legal Coun.
Off. of Cong. & Public Affs.
Rec. Mgnt.
Tech. Servs.
Training
Telephone Rm.
Director's Sec'y

P 290243Z MAR 82

FM AMCONSUL SYDNEY

TO SECSTATE WASHDC PRIORITY 4930

INFO AMEMBASSY CANBERRA

AMCONSUL HONG KONG

AMEMBASSY MANILA

LIMITED OFFICIAL USE SYDNEY 1095

HONG KONG FOR CUSTOMS; MANILA FOR LEGAT

E.O. 12065: NA

TAGS: AINF, EINV, PEPR AS HK US

SUBJ: FBI MEETING WITH NUGAN HAND LIQUIDATOR

REF MANILA 5631

163-45612-56

163-45612

12 APR 1 1982

1. LEGAT MANILA AND FUTURE LEGAT CANBERRA MET IN SYDNEY ON MAR.22 WITH NUGAN HAND LIQUIDATOR [redacted] AND REPRESENTATIVE OF NSW CORPORATE AFFAIRS COMMISSION TO DISCUSS ALLEGED 119-PAGE FBI REPORT ON N-H. LEGATS INFORMED THIS OFFICE THAT THEY BELIEVE THEY CONVINCED [redacted] THAT MASS OF HEAVILY-CENSORED FBI DOCUMENTS IN HIS POSSESSION REPRESENT NOT A COMPREHENSIVE REPORT ON H-H BUT RATHER AN ACCUMULATION OF MESSAGES RELATING TO RATHER PERIPHERAL MATTERS (E.G., NAMECHECKS AND INTERNAL ADMINISTRATIVE DOCUMENTATION), MUCH OF WHICH PROBABLY WOULD BE OF NO CONTINUING INTEREST TO ANYINE.

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2. [redacted] AND CORPORATE AFFAIRS REPRESENTATIVE ASKED FEW QUESTIONS AND SEEMED QUITE WILLING TO ACCEPT

LIMITED OFFICIAL USE

LEGAT MANILA'S EXPLANATIONS. LESS WILLING WAS A REPRESENTATIVE OF THE TASK FORCE INVESTIGATING N-H WHO, WITHOUT FORKNOWLEDGE OF EITHER THE LEGATS OR THIS OFFICE, HAD APPARENTLY ALSO BEEN INVITED TO SIT IN ON THE MEETING. HIS CONSTRIUBTION TO THE MEETING WAS A RATHER INTENSIVE CROSS-EXAMINATION.

3. DETAILS OF MEETING WERE PUBLISHED MAR 28 IN NATIONAL TIMES AND IN SYDNEY SUN-HERALD. ARTICLES ARE ESSSENTIALLY ACCURATE IN THEIR RECOUNTING OF THE MEETING, ALTHOUGH SOME SLIGHT ERRORS OF FACT AND EMPHASIS HAVE BEEN NOTED. WHAT SEEMS EVIDENT FROM THE ARTICLES IS THAT SUCH INFO AS HAS BEEN SUPPLIED BY USG IS BEING USED BY N-H INVESTIGATORS AS LEVER TO PRY ADDITIONAL INFO FROM AGENCIES OF THE GOA AND THE NSW GOVT. MUCH IS MADE IN THE ARTICLES OF DISCREPANCIES BETWEEN WHAT N-H INVESTIGATORS HAVE BEEN TOLD BY FBI AND WHAT THEY HAVE BEEN TOLD BY COMMONWEALTH AND NSW POLICE AUTHORITIES. LOCAL POLICE, APPARENTLY, ARE STONEWALLING INVESTIGATORS BY DENYING THAT THEY HOLD FBI REPORTS WHICH LEGATS SAY THEY MUST HAVE.

4. IT IS MATTER OF REGRET THAT N-H INVESTIGATORS CHOOSE TO DO THEIR WHIPSAWING IN THE PUBLIC PRESS, USING DIRECT QUOTATIONS FROM CORRESPONDENCE ORIGINATING IN THIS OFFICE AS WELL AS THE STATEMENTS OF THE LEGATS. ALTHOUGH ALL OF THIS WAS UNCLASSIFIED, WE CONSIDERED IT "PRIVILEGED". THUS WE SHALL VERY LIKELY TREAT FUTURE REQUESTS FOR ASSISTANCE FROM [redacted] AND OTHER N-H INVESTIGATORS WITH CONSIDERABLY MORE CIRCUMSPECTION THAT THOSE [illegible]CEIVED IN THE [illegible]ST.

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DEPT (EA/ANZ

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RECEIVED TELETYPE UNIT

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FEDERAL BUREAU OF INVESTIGATION

FEDERAL GOVERNMENT

PAGE 01 SYDNEY 01160 010637Z

ACTION EA-12

INFO	OCT-00	ADS-00	INR-10	CIAE-00	EB-08	NSAE-00	COME-00
	SY-05	L-03	CTME-00	PA-02	FBIE-00	/040 W	

------------------163375 010642Z /15

P R 010455Z APR 82

FM AMCONSUL SYDNEY

TO SECSTATE WASHDC PRIORITY 4960

INFO AMEMBASSY CANBERRA

AMCONSUL HONG KONG

AMEMBASSY MANILA

UNCLAS SYDNEY 1160

HONG KONG FOR CUSTOMS

MANILA FOR LEGAT

E.O. 12065: N/A

TAGS: AING EINV PEPR AS HK US

SUBJECT: NUGAN HAND LIQUIDATOR TIPPED TO SUE

BANK, FPC

REF: SYDNEY 1095

163-45612-57

163-45612

Exec. AD-Adm. ___
Exec. AD-Inv. ___
Exec. AD-LES ___
Asst. Dir.:
Adm. Servs. ___
Crim. Inv. ___
Ident. ___
Inspection ___
Intell. ___
Laboratory ___
Legal Coun. ___
Off. of Cong. & Public Affs. ___
Rec. Mgnt. ___
Tech. Servs. ___
Training ___
Telephone Rm. ___
Director's Sec'y ___

info O'Hara, S. Weinberger, E. S

RM 6959

APR 2 1982

1. APRIL 1 ISSUE OF "THE AUSTRALIAN", NATIONAL DAILY PAPER, STATES THAT NUGAN HAND LIQUIDATOR [redacted] IS EXPECTED TO REVEAL PLANS TO SUE MORE THAN 60 COMPANIES AND INDIVIDUALS FOLLOWING A REPORT HE PLANS TO MAKE TO N-H CREDITORS WHICH WILL BE DISTRIBUTED NEXT WEEK. [redacted] HIMSELF IS REFUSING TO DISCUSS MATTER PENDING DISTRIBUTION OF THE REPORT. HE WILL HOLD A CREDITORS' MEETING IN SYDNEY ON APRIL 16.

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2. ARTICLE CHARACTERIZES USG POLICY AS ONE OF NON-COOPERATION IN ATTEMPTS MADE TO INVESTIGATE ALLEGED LINK BETWEEN N-H AND CIA. IT STATES [redacted] PLANNING TO INTERVIEW FORMER CIA DIRECTOR

UNCLASSIFIED

WILLIAM COLBY WHO HAS HIMSELF LODGED A CREDITOR'S CLAIM AGAINST N-H FOR NONPAYMENT FOR SERVICES RENDERED.

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UNCLASSIFIED FBB111

PAGE 01 SYDNEY 01718 110423Z

ACTION EA-12

INFO OCT-00 ADS-00 INR-10 CIAE-00 EB-08 DODE-00 NSAE-00
COME-00 TRSE-00 PA-02 INM-06 ICAE-00 JUSE-00 DEAE-00
FBIE-00 SP-02 SPRS-02 /042 W

------------------127250 110450Z /10

P 110406Z MAY 82

FM AMCONSUL SYDNEY

TO SECSTATE WASHDC PRIORITY 5185

INFO AMEMBASSY BANGKOK

AMEMBASSY CANBERRA

AMCONSUL HONG KONG

AMEMBASSY MANILA

UNCLAS SYDNEY 1718

BANGKOK FOR DEA; HONG KONG FOR CUSTOMS; MANILA FOR LEGAT

E.O. -2065: NA

TAGS: AINF, EINV PEPR PINR SNAR AS HK US

SUBJ: NSW PREMIER SEEKS WIDENED NUGAN-HAND INVESTIGATION

this was a major WCC case

REF CANBERRA 03791

SYDNEY DAILY TELEGRAPH 5/11/82 REPORTS THAT NSW PREIMER NEVILLE WRAN AGREED MAY 10 TO REQUEST BY PRIMIN FRASER THAT JOINT FEDERAL-STATE ROYAL COMMISSION INTO DRUGS (STEWART COMMISSION) HAVE TERMS OF REFERENCE WIDENED TO INCLUDE ACTIVITIES OF FORMER NUGAN HAND BANK. LETTER TO PRIMIN IS SAID TO URGE THAT STEWART COMMISSION NOT CONFINE ITS INVESTIGATION OF N-H TO ALLEGATIONS THAT BANK ENGAGED IN DRUG TRAFFICKING, BUT ALSO LOOK INTO CLAIMS THAT IT HAD LINKS TO FOREIGN INTELLIGENCE SERVICES, ORGANIZED CRIME FIGURES, AND INTERNATIONAL TRADE IN ARMS. WRAN SUGGESTED THAT EXACT TERMS OF REFERENCE BE SETTLED AT SPECIAL MEETING OF FEDERAL AND STATE ATTORNEYS GENERAL.

b6
b7C

UNCLASSIFIED

LIMITED OFFICIAL USE FMB725

Exec. AD-Adm.
Exec. AD-Inv.
Exec. AD-LES
Asst. Dir.:
Adm. Servs.
Crim. Inv.
Ident.
Inspection
Intell.
Laboratory
Legal Coun.
Off. of Cong. & Public Affs.
Rec. Mgnt.
Tech. Servs.
Training
Telephone Rm.
Director's Sec'y

PAGE 01 SYDNEY 01908 270115Z

ACTION EA-12

27 MAY 82

FEDERAL BUREAU OF INVESTIGATION

INFO OCT-00 ADS-00 EB-08 COME-00 L-03 CTME-00 TRSE-00
FBIE-00 /023 W

------------------010410 270117Z /72

P 262309Z MAY 82

FM AMCONSUL SYDNEY

TO SECSTATE WASHDC PRIORITY 5273

INFO AMEMBASSY CANBERRA

AMCONSUL HONG KONG

FEDERAL GOVERNMENT

LIMITED OFFICIAL USE SYDNEY 1908

CANBERRA ALSO FOR LEGAT; HONG KONG FOR CUSTOMS

E.O. 12065: NA

TAGS: AINF EINV PEPR AS HK US

MAY 28 1982

SUBJ: QUERY ON NUGAN HAND: FOLLOW-UP ON GUIDANCE REQUEST

REF SYDNEY 1625

WE ARE BEGINNING TO BE CONCERNED ABOUT ABSENCE OF REPLY FROM DEPARTMENT TO REFTEL. DEPARTMENT WILL RECALL THAT PREVIOUS REQUEST FOR INFO FROM N-H LIQUIDATOR, [redacted] GOT SOMEHOW MISFILED OR OVERLOOKED, WITH RESULT THAT REPLY TO HIM TOOK SEVERAL MONTHS. THIS FACT LEAKED TO PRESS AND GAVE USG--AND IN PARTICULAR THIS OFFICE--ADVERSE PUBLICITY. IT IS HOPED THAT CURRENT QUERY FROM NSW CORPORATE AFFAIRS COMMISSION CAN GET SPEEDIER REPLY.

[redacted]

b6
b7C

VV FBA538

RR RUEHFB

DE RUEHBY 5363 1550808

ZNY CCCCC ZZH

R 040108Z JUN 82

FM AMEMBASSY CANBERRA

TO DIRECTOR FBI WASHDC

BT

C O N F I D E N T I A L

SECRET

FOI/PA # 308,506

12356
10/4/90

LIMITED OFFICIAL USE CANBERRA 05363

FBI FOR DIRECTOR (163-45612)

FROM LEGATT CANBERRA

E.O. 12065: N/A

TAGS: ASEC

SUBJECT: NUGAN HAND BANK

#307,158
#308,506
Classified by SP-5 ciDHF
Declassify on: OADR
10/4/90
* See Notation on Pg. 2.

1. REFERENCE MANILA AIRTEL (MAN 163-357) DATED 4/8/81 AND BUTEL TO MANILA 11/17/81.

163-45612-60

2. THIS MATTER CONTINUES TO BE OF GREAT INTEREST TO AUSTRALIAN AUTHORITIES AND HAS SPARKED MUCH CRITICISM ON THE PART OF THE MINORITY LABOR PARTY AS IT RELATES TO THE ADMINISTRATION OF AUSTRALIAN PRIME MINISTER MALCOLM FRASER.

Referral/Consult

TT Can 6/15/82

3. ALLEGATIONS THAT THE FBI HAS CONDUCTED EXTENSIVE INVESTIGATION AND HAS COMPILED A 119-PAGE REPORT, AS WELL

Referral/Consult

(S-1)(S)

AS [redacted] OF SUBJECT BANK [redacted]

CONTINUE.

SECRET

4. [redacted] HAVE REQUESTED THAT, IF

POSSIBLE, [redacted]

b7D

5. BUREAU REQUESTED TO PROMPTLY ADVISE IF SUCH A

DOCUMENT IS FEASIBLE. NESEN

BT

5363

NNNNXQOG

120

1 1

6/18/82 | UNCLAS | ROUTINE

$SΞRR RUEHBACΞDE RUEHFB H*H0120 1692205ΞYUΞR 182034Z JUN 82

FM DIRECTOR FBI 163-45612

TO AMEMBASSY CANBERRA

ATTN: LEGAL ATTACHE {163-45612}

BT

UNCLAS

NUGAN HAND BANK.

REURTEL JUNE 4, 1982.

IT IS FEASIBLE TO PREPARE SUCH A LETTER REGARDING CAPTIONED MATTER OVER THE SIGNATURE OF ASSISTANT DIRECTOR, INTELLIGENCE DIVISION, FBIHQ. FURNISH ANY ADDITIONAL DETAILS, AS WELL AS ADDRESSEE.

BT

163-45612-61

CWH

CWH:JST {2} 6/18/82 4634 4691

1 - LIAISON UNIT

OK/CWH

AUG 12 1982

59 AUG

FEDERAL BUREAU OF INVESTIGATION
COMMUNICATIONS CENTER

JUN 18 1982

VV FBA747

RR RUEHFB

DE RUFHBY 6617 1900522

ZNY CCCCC

R 090521Z JUL 82

FM AMEMBASSY CANBERRA

TO RUEHFB/DIRECTOR FBI WASHDC

RUMJNG/AMCONSUL HONG KONG

RUEHCR/AMCONSUL SYDNEY

BT

C O N F I D E N T I A L

LIMITED OFFICIAL USE CANBERRA 06617

FBI FOR ASSISTANT DIRECTOR, INTELLIGENCE DIV. (163-45612)

AND FOREIGN LIAISON UNIT

HONG KONG FOR LEGAL LIAISON OFFICER

E.O. 12065: N/A

TAGS: ASEC

SUBJECT: NUGAN HAND BANK (CBR 163-4)

REFS: A) SYTEL 6/4/82; B) BUTEL 6/17/82

Referral/Consult

1. BY WAY OF BACKGROUND, THE ACTIVITIES OF CAPTIONED BANK ARE THE SUBJECT OF AN AUSTRALIAN ROYAL COMMISSION OF INQUIRY INTO DRUGS (ARCID) WHICH IS ATTEMPTING TO DETERMINE THE EXTENT OF ILLEGAL ACTIVITY OF THIS ORGANIZATION BEFORE ITS RECENT BANKRUPTCY. THE BANK WAS ORGANIZED IN THE 1970'S BY FRANK NUGAN, AN AUSTRALIAN, AND [redacted] WHO IS FURTHER DESCRIBED [redacted]

~~SECRET~~

b6
b7C
Referral/Consult

(S-1)(S)

2. THERE HAVE BEEN ALLEGATIONS INTER ALIA THAT [redacted]

(S-1)(S)

3. APPARENTLY, AS THE RESULT OF A FOI REQUEST BY AN AUSTRALIAN JOURNALIST IN WASHINGTON, SOME 119 PAGES OF HEAVILY-EXCISED MATERIAL WAS MADE AVAILABLE. THIS HAS BEEN REFERRED TO IN THE MEDIA AS A "REPORT." IN FACT, IT IS UNDERSTOOD TO BE NOTHING MORE THAN [redacted] IN OTHER WORDS, [redacted]

b7D

4. THE AUSTRALIAN PRESS CONTINUES TO PUBLISH INNUENDOS THAT THE U.S. GOVERNMENT MAY BE WITHHOLDING INFORMATION IN THIS MATTER.

5. RECENTLY, THE NATIONAL TIMES, A SYDNEY PUBLICATION STATED: "IN OTHER DEVELOPMENTS, THE FBI HAS TOLD THE NATIONAL TIMES THAT IT HAS NEW MATERIAL ON NUGAN HAND THAT IT IS NOT PREPARED TO RELEASE UNDER THE FREEDOM OF INFORMATION ACT."

6. IT GOES ON TO STATE: "GETTING AHOLD OF THIS NEW MATERIAL WILL PROVIDE AUSTRALIAN AUTHORITIES WITH AN INTERESTING TEST OF THEIR TIES WITH THE FBI."

7. IN MY CONTACTS WITH

b7D

8. REFERENCED LEGAT TELEGRAM 6/4/82 TO THE DIRECTOR REQUESTED BUREAU TO ADVISE IF A LETTER COULD BE FORTH-COMING FROM AN OFFICER OF THE BUREAU STATING, IN FACT,

b6
b7C
b7D

10. SUCH A LETTER SHOULD BE ADDRESSED TO

BT

6617

NNNNXPT

VV FBA410

PP RUEHFB

DE RUEHBY 6751 1942238

ZNY CCCCC ZZH

P 132238Z JUL 82

FM AMEMBASSY CANBERRA

TO DIRECTOR FBI WASHDC PRIORITY

BT

C O N F I D E N T I A L

LIMITED OFFICIAL USE CANBERRA 06751

FBI FOR ASSISTANT DIRECTOR, INTELLIGENCE DIV. (163-45612)

AND FOREIGN LIAISON UNIT, FROM LEGAT CANBERRA

E.O. 12065: N/A

TAGS: ASEC

SUBJECT: NUGAN HAND BANK (CBR 163-4)

REF: MYTEL 7/9/82 (CANBERRA 6617).

1. EMBASSY REQUESTS THAT YOUR RESPONSE TO REQUEST SET OUT IN REFTEL BE COORDINATED WITH DEPARTMENT OF STATE, EA/ANZ DESK, WHICH IS MONITORING DEVELOPMENTS IN THIS MATTER. NESEN

BT

6751

VV FBA209

RR RUEHFB

DE RUEHBY 7673 2210248

ZNY CCCCC ZZH

R 090228Z AUG 82

FM AMEMBASSY CANBERRA

TO DIRECTOR FBI WASHDC

BT

C O N F I D E N T I A L

SP-5 ci/BHF 10/19/90 #308,506

LIMITED OFFICIAL USE CANBERRA 07673

FBI FOR ASSISTANT DIRECTOR, INTELLIGENCE DIV. (163-45612)

AND FOREIGN LIAISON UNIT, FROM LEGAT CANBERRA

E.O. 12356: DECL: N/A

TAGS: ASEC

SUBJECT: NUGAN HAND BANK (CBR 163-4)

REF: MYTEL 7/9/82 (CBR 6617)

163-45612-62

1. I HAVE BEEN ADVISED THAT THE AUSTRALIAN ROYAL COMMISSION OF INQUIRY INTO DRUGS (ARCID) IS PRESENTLY CONSIDERING THE ADOPTION OF "NEW TERMS OF REFERENCE" IN CAPTIONED MATTER, WITH SOME INDICATIONS THE ASPECT OF INQUIRY DEALING WITH PURPORTED REPORTS OF THE NUGAN HAND BANK OSTENSIBLY MADE BY THE FBI MAY BE DROPPED ALTOGETHER IF ARCID RECEIVES A REPLY FROM THE FBI IN THE IMMEDIATE FUTURE. TT Canberra 9/13/82

2. BUREAU IS REQUESTED TO ADVISE STATUS OF THIS REPLY BY CABLE.

3. IF LETTER ALREADY PREPARED AND BEING FORWARDED BY POUCH, BUREAU IS REQUESTED TO REPLY WITH TEXT OF LETTER SO THAT LEGAT MAY ADVISE INTERESTED OFFICIALS WHO MAY BE ABLE TO SUCCESSFULLY CONVINCE ARCID TO DESIST IN ITS EFFORTS TO LOCATE AND REVIEW THE ALLEGED BUREAU REPORTS RELATING TO NUGAN HAND. CABLED TEXT REQUESTED SINCE POUCH TRANSIT TIME HAS BEEN FROM 3 TO 4 WEEKS. NESEN

BT

7673

FD-93 (Rev. 4-26-78)

DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION
COMMUNICATION MESSAGE FORM

DATE	CLASSIFICATION	PRECEDENCE
8/13/82	UNCLAS E F T O	PRIORITY

$SIPP RUEHBYIY23 H0105 2252250IYEIP 132039Z AUG 82

FM DIRECTOR FBI {163-45612}

TO AMEMBASSY CANBERRA

ATTN: LEGAL ATTACHE {163-4}

BT

UNCLAS E F T O

NUGAN HAND BANK, FPC.

REURTEL AUGUST 9, 1982.

b6
b7C
b7D

CWH:JST {2} 8/13/82 4634 4691

1 - LIAISON UNIT

b6
b7C

163-45612-63

AUG 17 1982

FEDERAL BUREAU OF INVESTIGATION
COMMUNICATIONS CENTER

AUG 13 1982

SEP 3 1982

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED 308,506
DATE 2/16/90 BY SP5CFI/DMS

b7D

BT

Memorandum

Exec AD Adm. ___
Exec AD Inv. ___
Exec AD LES ___
Asst. Dir.:
Adm. Servs. ___
Crim. Inv. ___
Ident. ___
Insp. ___
Intell. ___
Lab. ___
Legal Coun. ___
Off. Cong. & Public Affs. ___
Rec. Mgnt. ___
Tech. Servs. ___
Training ___
Telephone Rm. ___
Director's Sec'y ___

To : Mr. O. B. Revell Date 8/31/82

From : Mr. J. W. Greenleaf

b6
b7C

Subject : INTELLIGENCE OVERSIGHT BOARD MATTERS
REQUEST FOR INFORMATION CONCERNING
NUGAN HAND, LTD.

Nugan Hand Bank

PURPOSE:

To provide the substance of a request telephonically received from [redacted] Counsel, President's Intelligence Oversight Board (IOB), The White House, concerning any derogatory information the FBI may have developed relative to former U.S. intelligence community officials involved in a business relationship with Nugan Hand, Ltd., a now defunct private Australian banking concern allegedly involved in a world-wide swindle of investors.

UNRECORDED COPY

DETAILS:

On 8/27/82, Unit Chief [redacted] OPR, Inspection Division, received a telephone call from [redacted] Counsel, President's IOB, The White House. [redacted] advised he was calling the Inspection Division relative to a recent newspaper article which appeared in the Wall Street Journal on 8/24/82, relative to Nugan Hand, Ltd. (attached). In summary, [redacted] noted that the lengthy article referred to the fact that Nugan Hand, Ltd., was allegedly involved in a major swindle that spanned several continents and bilked investors out of millions of dollars. He further stated that the article indicated that numerous former high-ranking officials of the U.S. intelligence community were alleged to be in the employ of Nugan Hand, Ltd., and in particular noted that William Colby, former Director of the CIA, was on retainer for this company. He also indicated that there was a reference in the article to the fact that several U.S. agencies, mainly the CIA, the FBI and the U.S. Customs Service, all have information on Nugan Hand, Ltd., and have refused to release it to help the current criminal and civil investigations being conducted by the Australian Government.

163-45612-64

[redacted] advised that within the mandate of the IOB, as it relates to the intelligence community, he was contacting the FBI in an effort to determine if any derogatory information had

3 - ENCLOSURE

SEP 28 1982

Encls.
1 - Mr. Revell
1 - Mr. O'Malley
1 - Mr. Mintz
1 - Mr. Greenleaf
1 - OPR
1 - 163-45612
JWF:cag (7)

XEROX
SEP 28 1982

59 OCT 1 1982

CONTINUED - OVER

See CID Addendum Page 4

308,506 FBI/DOJ

been developed during the course of any FBI investigation conducted relative to Nugan Hand, Ltd., which indicates misconduct or criminality on the part of any of the named former intelligence officials in the Wall Street Journal, including Mr. Colby. During the telephone conversation, [redacted] stressed on several occasions that he was not interjecting the IOB into any substantive criminal matter which the FBI may be investigating concerning Nugan Hand, Ltd., but wished to be advised of any derogatory information if available concerning former officials of the U.S. intelligence community, which would come under the purview of the IOB. b6 b7C

[redacted] was advised at the conclusion of the telephone conversation that an appropriate review would be conducted of available FBI Headquarters records concerning Nugan Hand, Ltd., and in particular as it relates to his request for information concerning misconduct or criminality on the part of any former U.S. intelligence community officials. b6 b7C

A review of FBI Headquarters indices concerning Frank Nugan, [redacted] and Nugan Hand, Ltd., has revealed one main file reference titled "Nugan Hand Bank; FPC," Bureau file 163-45612. In addition, there are several "see references" to these individuals which are not directly related to this matter. b6 b7C

A review of Bureau file 163-45612 reveals that the bulk of the FPC inquiries conducted by the FBI in this file [redacted] b7D

By Bureau teletype to the U.S. Embassy, Canberra, attention Legat, dated 8/13/82 (attached), FBI Headquarters responded to [redacted] concerning b7D

[redacted] It is also noted this Bureau's main file has been processed under FOIPA and released to certain individuals who are apparently victims of Nugan Hand Bank and certain of these

CONTINUED - OVER

Memorandum From Mr. Greenleaf to Mr. Revell
Re: Intelligence Oversight Board Matters
Request for Information Concerning
Nugan Hand, Ltd.

articles have apparently been released to the Australian press and apparently to U.S. media sources.

RECOMMENDATIONS:

1. That Criminal Investigative Division (CID) and Intelligence Division (INTD) conduct appropriate review to determine if any substantive investigations have been or are presently being conducted concerning Nugan Hand, Ltd., and in particular whether any of the named former U.S. intelligence community officials are involved in these investigations.

APPROVED: Adm. Servs. Crim. Inv. Laboratory Legal Coun. Off. of Cong. & Public Affs. Rec. Mgnt. Tech. Servs. Training

2. That upon completion of CID and INTD responses, appropriate contact be made with [redacted] to advise him of any information as related to his request.

b6
b7C

APPROVED:

Director ____	Adm. Servs. ____	Laboratory ____
	Crim. Inv. ____	Legal Coun. ____
		Off. of Cong. & Public Affs. ____
Exec. AD-Adm. ____	Ident. ____	Rec. Mgnt. ____
Exec. AD-Inv. ____	Inspection ____	Tech. Servs. ____
Exec. AD-LES ____	Intell. ____	Training ____

ADDENDUM OF CRIMINAL INVESTIGATIVE DIVISION RKM:rar 9/16/82

The Criminal Investigative Division (CID) conducted an appropriate review, to include a survey of all CID personnel, regarding Nugan Hand, Ltd. No information other than what was set forth by the Inspection Division was developed and no substantive investigations of Nugan Hand, Ltd., are known to have been conducted.

0-19 (Rev. 10-9-81)

Australian Mystery

Fall of a Banking Firm Spotlights the Roles Of High U.S. Officials

Frank Nugan's Violent Death Opens Lid on Odd Traffic In Dope, Foreign Funds

Politicians Charge Cover-Up

By JONATHAN KWITNY
Staff Reporter of THE WALL STREET JOURNAL

SYDNEY, Australia—At 4 a.m. Sunday, Jan. 27, 1980, a police sergeant and a constable, according to their testimony, were patrolling a lonely stretch of highway 90 miles from here when they spotted the parking lights of a Mercedes on an old road off in the woods. Inside the car, slumped across the front seat in a puddle of blood, was the body of a 37-year-old man with a new rifle in his hands.

They searched his pockets and found the business card of William Colby, the former U.S. director of central intelligence. On the back of the card was the itinerary of a trip Mr. Colby planned to make to Asia in the next month. The two policemen also found a Bible with a meat-pie wrapper interleaved at page 252; on the wrapper were scribbled the names of Mr. Colby and U.S. Rep. Bob Wilson of California, then the ranking Republican on the House Armed Services Committee.

All this might sound like the beginning of a Hollywood spy movie, but the studios would have to assign their most imaginative scriptwriters to produce a tale as startling as the real-life events that have followed that grisly discovery more than two years ago. The body was quickly identified as that of Frank Nugan, the chairman of a group of companies affiliated with the private Australian banking concern of Nugan Hand Ltd. Since then, investigations have pieced together a picture of an amazing swindle that spanned six continents and bilked investors out of millions of dollars.

A Political Issue

More perplexing yet, evidence has turned up that Nugan Hand bank was deeply involved in moving funds about the world for big international heroin dealers and also might have been involved in the shady world of international arms traffic. To cap it off, the offices of Nugan Hand and its affiliates were loaded with former high-ranking U.S. military and intelligence officials (see story on Page 22).

This has convinced many Australians that the company was involved in secret work for the U.S. government. Despite official denials from Washington, the issue has refused to die and has become one of the central debating points in Australian politics.

The issue of U.S. investment may be hard to resolve because many of the essential records were destroyed. Within hours after the discovery of Frank Nugan's body, telephones began ringing urgently all over the world. One was on the desk in Manila of three-star U.S. Gen. LeRoy J. Manor, the recently retired chief of staff for all U.S. forces in Asia and the Pacific. After his retirement, Gen. Manor had been on secret duty for the Air Force and at the time of Mr. Nugan's death he was helping run Nugan Hand's Philippine office.

Ransacking the Files

According to Nugan Hand's public-relations man, Tony Zorilla, Gen. Manor called him and told him to stop the wire services from reporting Mr. Nugan's death. Mr. Zorilla says he replied that this would be unethical and impossible, and he refused. (Gen. Manor would describe his activities with Nugan Hand only in general terms, and he wouldn't discuss this incident.)

Halfway around the world, Rear Adm. Earl P. "Buddy" Yates, the recently retired chief of staff for strategic planning for U.S. forces in Asia and the Pacific, heard the news and immediately jetted to Sydney, Nugan Hand's main office. Adm. Yates was the president of Nugan Hand, though he lived in Virginia Beach, Va. En route to Sydney, he met Nugan Hand's vice chairman, Michael Hand, a highly decorated Green Beret during the Vietnam War and a former U.S. intelligence operative, coming from London. They raced to the Nugan Hand office and with a few other insiders began ransacking the files.

According to witnesses, enough records to fill a small room were fed to a shredder. Others were packed in cartons, with everyone helping, and carried at night to the back room of a butcher shop owned by Robert W. Gehring, a former Army sergeant in Vietnam. Mr. Gehring worked for Maurice Bernard Houghton, a mysterious Texan who has owned several bar-restaurants in Sydney and who had played an active role in Nugan Hand's affairs since its inception in 1973.

A Lawyer's Advice

Mr. Houghton not only joined the rape of the files, but also brought his lawyer, Michael Moloney, to direct it. According to the testimony of Stephen K. A. Hill, a Nugan Hand director who joined the record-rifling that week, Mr. Moloney urged the group on by warning, "I am fully aware of what has been going on. You all face jail terms of up to 16 years."

According to Mr. Hill's testimony, the burly Mr. Hand then broke in to say that if Mr. Moloney's orders to sanitize the files before the law arrived weren't followed, "terrible things" would happen—"Your wives will be cut up and returned to you in bits and pieces."

Mr. Moloney in a recent interview said, "Sure, I advised Hand to take documents out of the office. I was told there were serious deficiencies in the accounts. Everything I did I talked about with Yates first." (Adm. Yates refuses to discuss any part of his activities with Nugan Hand.)

A few months later, on April 11, 1980, Nugan Hand went into liquidation. And the secrets that were so frantically being destroyed after Frank Nugan's death began to be reconstructed. Exposed to view, like maggots, were dozens of affiliated corporations, with little or no real assets, that Nugan Hand had set up to help its clients avoid taxes and move money overseas secretly and often illegally. Mr. Nugan had boasted that $1 billion a year passed through these companies.

Still unanswered is the question of why so many high-ranking U.S. military and intelligence officials were working for the company. The CIA has denied involvement, and the State Department says that Nugan Hand wasn't in any way a U.S. government operation. But liquidators of the company and various Australian law-enforcement officers express anger and bewilderment that the CIA, the FBI and the U.S. Customs Service, all of which have information on Nugan Hand, have refused to release it to help in the current criminal and civil investigations.

Exec AD Adm. ___
Exec AD Inv. ___
Exec AD LES ___
Asst. Dir.:
Adm. Servs. ___
Crim. Inv. ___
Ident. ___
Insp. ___
Intell. ___
Lab. ___
Legal Coun. ___
Off. Cong. & Public Affs. ___
Rec. Mgnt. ___
Tech. Servs. ___
Training ___
Telephone Rm. ___
Director's Sec'y ___

The Washington Post ___
Daily News (New York) ___
The New York Times ___
The Wall Street Journal 1
The Chicago Tribune ___
The Los Angeles Times ___
The Christian Science Monitor ___

Date 8-24-82

Page 11

FBI DC.

163-45617-604

ENCLOSURE

"It has obvious overtones that somebody is covering something up," says the [illegible] appointed Australian liquidator, Joh[illegible]. O'Brien.

From its base in Sydney, Nugan Hand had opened at least 22 offices around the world, including four in the U.S. After the company failed, anguished messages poured in from individuals who had invested money at above-market interest rates in securities sold by Nugan Hand and who now stood to lose everything. Liquidators say the official shortfall could reach $50 million. The victims include many Americans, not only on the U.S. mainland but also at construction sites in Saudi Arabia and at military bases and legations throughout the Far East, Hawaii and the Philippines.

Nugan Hand carried out its operations with intense secrecy. Cables and interoffice messages were in code and often were marked "Destroy After Perusal." Company employees and customers were referred to by coded serial numbers rather than by name, and even references to foreign currencies were disguised: "Oats" stood for Swiss francs, "grains" for U.S. dollars and so forth down to "berries" for Portuguese escudos.

An Impossible Job

This, plus the obvious phoniness of many of the transactions carried on between companies within the Nugan Hand galaxy, makes the job of settling claims by creditors almost impossible. "We wouldn't be able to pay 1%" on outstanding claims, says a liquidator. Many creditors aren't even bothering to file claims, apparently fearful that to do so would expose them to prosecution for illegal deals carried out with the aid of Nugan Hand.

Currently, liquidators in Sydney, Hong Kong and the Cayman Islands are investigating the company, and often working at cross purposes, seeking to recover assets. Criminal investigations are being conducted by the New South Wales attorney general's office and by a joint task force of the New South Wales police and the Commonwealth police. The Royal Commission on Drugs ran into Nugan Hand so much that it has recommended a separate Royal Commission be appointed just for Nugan Hand.

In June 1980, Mr. Hand, disguised and using a phony passport, flew to the U.S., apparently via Fiji and Vancouver. He hasn't been seen since.

Leaving Australia about the same time as Mr. Hand was his closest friend and adviser, Bernie Houghton. Mr. Houghton has a broad acquaintanceship with many high-ranking U.S. officials. As a civilian, he daringly traded goods all over the Southeast Asian war zone in the 1960s. Though his restaurant is in a sleazy district of Sydney that swarms with prostitutes and sex shows, he has frequently welcomed congressmen, CIA officials and military brass there. A few weeks before Mr. Nugan died, Mr. Houghton played host, at a luncheon and later a dinner, to five members of the House Armed Services Committee who were in Australia to inspect defense and intelligence-gathering facilities. He arranged for Rep. Wilson and another congressman and their wives to dine with Mr. Hand. Last year, Mr. Houghton returned to Australia. Authorities have questioned him at length, but he avoids reporters.

Exhuming a Body

With the scandal refusing to die down in Australia, reports began to circulate that the body in Frank Nugan's grave wasn't his and that he was still alive and hiding out in the U.S. Once he was reported having been seen in a bar in Atlanta. Finally, in February 1981, officials ordered the body exhumed. With gruesome diligence, Australian TV covered the event by interviewing practically everyone in the area. ("Some are dry, some are wet," one gravedigger told interviewers, complaining that Mr. Nugan was "wet" and therefore "very messy.") A dentist definitely identified the remains as those of Mr. Nugan.

Stymied by the lack of progress in the investigation of the company's affairs, the National Times, an Australian newspaper, petitioned the FBI under the U.S. Freedom of Information Act for information it had on Nugan Hand. The newspaper was told that of some 151 pages of material in FBI files, it could see 71. But when the papers arrived, they resembled a collection of Rorschach tests, with page after page blacked out in heavy ink and bearing the notation "B-1," indicating that disclosure would endanger U.S. "national defense or foreign policy." What was left was a few pages of more or less routine information, such as a copy of a Nugan Hand subsidiary's petition for incorporation in Hawaii.

In response to more-official Australian demands, the State Department sent a two-man FBI delegation to Sydney in April, 1982. But the two men stonewalled, telling law-enforcement officials that the FBI had already given its information to an appropriate Australian agency; they wouldn't say which agency or re-release the material. Australian state and national police investigating Nugan Hand say that they have never received the information. The only other likely recipient would appear to be the Australian Security Intelligence Organization (ASIO), a secret counterspy group that long has worked closely with the CIA.

By law, ASIO can give information only to Prime Minister Malcolm Fraser or his attorney general. Mr. Fraser says the U.S. has assured him that it had no connections with Nugan Hand, and he has rejected opposition-party demands for a top-level probe. But the continuing parliamentary debate could rekindle the once-hot political controversy over vital CIA bases here. These bases monitor U.S. satellites watching the U.S.S.R. and China and direct the U.S.'s nuclear submarines.

The opposition Labor Party—which now leads Mr. Fraser's Liberal Party in some polls—has openly questioned whether the CIA, through ASIO, helped topple Australia's last Labor government, led by Prime Minister Gough Whitlam. The CIA has denied this. Now suspicions have been raised that Nugan Hand, which handled enormous amounts of money, may have been used to channel funds to favored Australian political candidates.

When Vice President George Bush visited Australia this April, Labor Party leader Bill [illegible]n—a strong possibility to become prime minister—used his 30-minute meeting with Mr. Bush mostly to press for the release of details on the Nugan Hand and Whitlam affairs. Mr. Bush only gave his assurances that the CIA wasn't involved in either matter. Mr. Bush was the CIA director in 1976, succeeding Mr. Colby, who later was to become a lawyer for Nugan Hand.

A Secret Wiretap

Suspicions of a government cover-up could be heightened by a disclosure by Australian liquidator O'Brien. He says that he has learned from the government-run telephone company that Frank Nugan's phone conversations were secretly recorded the last two years of his life on a device installed at the phone company, apparently by a government agency. Mr. O'Brien says phone-company officials have told him that the tapes—which might solve the mystery of who was behind Nugan Hand—aren't at the company anymore.

Wiretap authority is tightly restricted in Australia, being allowed only in cases involving national security or narcotics investigations, according to the Commonwealth attorney general's office. State and national police have reported that they don't know anything about a wiretap on Mr. Nugan. Again, this points the finger at ASIO.

Australian immigration records show that a senior ASIO official, Leo Carter, vouched for Nugan Hand's ubiquitous Mr. Houghton when he entered Australia in February 1972 with an expired visa. On ASIO's word, Mr. Houghton received a visa allowing him to come and go from Australia at will. Mr. Carter has died, and ASIO won't comment on this.

Presumably the most sensitive of Nugan Hand's records were shredded right after Mr. Nugan died. But the remaining records hold many suggestions that the company may have been much more than a banking venture.

Notes on Troop Movements

The records contain long, periodically filed reports about military and political activities, mostly in Kampuchea (Cambodia), but also in Laos, Vietnam and Thailand. There is no concrete evidence that Nugan Hand was an active participant in U.S. covert operations. However, these detailed reports of troop movements appear unconnected to any banking or business activity. They were prepared by Nugan Hand's Bangkok representative, John Owen, a former career British navy officer, and bear notations that they were to be shown to Mr. Hand.

The files also show that Nugan Hand worked on big international arms deals, though it isn't clear what, if anything, was shipped.

For example, there were proposed transactions in 1979 for a partnership with Prince Panya Souvanna Phouma, the son of the former Laotian leader Souvanna Phouma. In one letter, Prince Panya took three pages to list available weapons, including missiles, light and heavy armor, tanks, combat helicopters and F-104 fighter planes and naval patrol planes. Nugan Hand memos indicate that the weapons were intended for delivery to Indonesia and Thailand. They also discuss creation of a private, freight-oriented airline in Southeast Asia (to be called "Sky of Siam") and other deals with Prince Panya.

Memos show that in 1975 Mr. H[illegible] was arranging the sale to Rhodesia of [illegible]lless rifles, mortars, grenade launchers and machine guns, and was negotiating for shipments of Rhodesian ivory.

Mr. Houghton has acknowleged in testimony that in 1979 he met in Switzerland with Edwin Wilson, the former CIA officer who is now in U.S. custody, who is charged with selling explosives in Libya and who is alleged to have supplied trained men, arms and technology to Libya and other military buyers. A former Nugan Hand representative in Thailand, Neil Evans, has testified that Mr. Wilson went to Bangkok to discuss arms deals with Mr. Hand. Other Nugan Hand officials, however, deny Mr. Evans's story.

There seems no denying, however, that Nugan Hand was heavily involved with drug dealers. Murray Stewart Riley, a former Sydney policeman now serving time in Australia for attempting to import a boatload of marijuana from Thailand, was a regular customer of the firm. So were Riley's associates, who deposited drug money in Sydney and withdrew it in Asia.

Riley's business was brought to the bank, according to extensive testimony, by Harry Wainwright, a former San Francisco criminal lawyer who fled to Australia in 1973 after being indicted in the U.S. for income-tax evasion.

Testifying from prison two years ago for an Australian Royal Commission looking into drug trafficking, Riley said his heroin and marijuana imports were intended for the U.S. market. In the words of the commission, he said "that he had been informed that Nugan Hand offered a facility to transfer funds from Hong Kong to the United States."

Over $1 Million of Drugs

Investigators say that they have traced about $250,000 that Riley moved to Asia via Nugan Hand and that they believe the final figure will exceed $1 million. They say they believe that much of the heroin was transshipped to the U.S. in containers that were repaired by a dockside welding firm in Australia that was closely tied to the dope dealers. They believe that another heroin ring tied to Nugan Hand used a similar system.

Apart from their connection with the bank, Michael Hand and Frank Nugan had been viewed suspiciously by narcotics agents for some time. As early as 1973, the Australian Narcotics Bureau began a file on Mr. Hand based on reports that he and K.L. "Bud" King, a former pilot for Air America airline had been flying dope in from Asia to an Australian airstrip. Air America was a Vietnam war-era airline, with close connections with the CIA. U.S. drug-enforcement officials now acknowledge that the airline also occasionally ran heroin out of Southeast Asia's famed "Golden Triangle" poppy-growing area.

The landing strip involved in the 1973 Australian Narcotics Bureau report was on a real-estate development promoted by American singer Pat Boone and financed by millionaire shipping magnate D.K. Ludwig. Mr. Hand had worked as a salesman for the project for several years after he came to Australia in 1967 and later asserted that he had made a fortune from his land sales.

The report of the illegal drug flights came from a lawyer representing M[illegible] King's housekeeper. Mr. King, who als[o] worked for the Boone-Ludwig project, has since died in a fall. The report was released recently among many files turned over to the Australian parliament by three former narcotics officers who contend that the narcotics bureau covered up information on Nugan Hand drug dealings.

Meeting an Opium Overlord

Other released files include information on alleged Nugan Hand drug deals supplied by Andrew Lowe, formerly Sydney's biggest heroin dealer (by his own assessment), who recently completed a prison sentence for his dope deals. Mr. Lowe has testified that he arranged a meeting between Mr. Hand and Khun Sa, the Golden Triangle's biggest opium overlord, though there is no way to verify that.

Through meticulous police work, however, Australian officials have documented in a still unreleased report a series of transactions tying Nugan Hand not only to drug dealing but also possibly to a series of contract murders in which the so-called Mr. Asia heroin syndicate eliminated at least three persons who were informing Australian police about drug activities. Authorities say the "Mr. Asia" group's heroin circulated in the U.S. and elsewhere and brought at least $100 million cash to the syndicate's operators.

According to testimony from dope ring and bank insiders, corroborated by telephone logs and other evidence, the syndicate was linked to the bank through a two-man Sydney law firm that represented both Mr. Nugan and various members of the heroin syndicate. The firm's senior partner, John Aston, used Nugan Hand for personal financial dealings and helped bring in other clients. And the law office was used as a drop-off point for bank clients who wished to remain secret; they left parcels of cash or other items at the law office for pick-up by bank representatives.

One parcel that bank director George Shaw, a major operational figure at Nugan Hand, says he picked up at the law office March 26, 1979, contained $285,000 in small bills. This money has been traced through a laundering process involving a soft-drink firm that Nugan Hand had acquired (apparently because it dealt in big quantities of cash) and through accounts at different banks in Hong Kong, New York, Boston and Singapore.

Eventually the $285,000 was paid out in checks from Nugan Hand's Singapore office to a man identified by British police as the Singapore leader of the heroin syndicate. The payout was personally arranged by Mr. Hand in Singapore as instructed in a coded telex from Mr. Nugan in Sydney. This complicated money movement was a standard procedure Nugan Hand used for clients wishing to evade Australia's strict currency control laws, designed to keep capital at home.

Admirals, Generals, Ex-CIA Men Took Prominent Roles in Nugan Hand Bank

By a WALL STREET JOURNAL *Staff Reporter*

SYDNEY, Australia—Enough top-ranking U.S. military and intelligence officers worked for Nugan Hand to run a small-sized war. The list includes:

ADM. EARL "BUDDY" YATES, a 1943 graduate of the U.S. Naval Academy, Legion of Honor winner in Vietnam, and commander of the aircraft carrier USS John F. Kennedy. Then he was the chief of staff for plans and policy of the U.S. Pacific Command, in charge of all strategic planning from California to the Persian Gulf, until his retirement in July 1974. He became the president of Nugan Hand bank early in 1977, recruited by Maurice Bernard Houghton, who apparently is an old friend.

GEN. LEROY J. MANOR, the chief of staff for the entire Pacific Command until he retired in July 1978 to undertake new duties that the Air Force says are so secret that it can't talk about them. These duties are generally known to have included negotiating the 1979 agreement with the Philippine government for continuance of the U.S. military bases there (which Gen. Manor used to command) and investigating the failed hostage rescue raid in Iran in 1980 (an assignment that apparently stemmed from his having designed and commanded the 1970 raid on a North Vietnamese prison camp that failed to find any U.S. prisoners). A much-decorated Air Force three-star general, he also had been the special assistant to the Joint Chiefs of Staff at the Pentagon for "counterinsurgency and special activities." He joined Nugan Hand's Manila office, allegedly to run it (which he denies), in 1979.

GEN. EDWIN F. BLACK a 1940 graduate of West Point. He entered the Office of Strategic Services (OSS), which later became the CIA, and was the OSS commander in Berlin. He was the chief administrative aide to and frequent chess opponent of Allen Dulles, who became the head of the CIA. He was the wartime boss and then tennis partner of Richard Helms, who also became the head of the CIA. He was on the National Security Council staff under President Eisenhower and later the commander of all U.S. troops in Thailand during the Vietnam war, before becoming assistant Army chief of staff for the Pacific. He retired in 1970 to become executive vice president of the Freedoms Foundation in Valley Forge, Pa., a group promoting conservative politics. He also worked for LTV Corp., an important CIA contractor. In 1977, he became the president of Nugan Hand Inc., Hawaii, and special representative of the overall organization, making frequent trips to Asia. He says he was recruited by Adm. Yates anc another admiral.

GEN. ERLE COCKE JR., whose entry in Who's Who in America says that during World War II he was "prisoner of war three times, actually 'executed' by a German firing squad and delivered the *coup de grace* but survived 1945." He held various posts with the Defense Department and as an executive with Delta and then Peruvian airlines. He is a former national commander of the American Legion, honorary commander of the Nationalist Chinese Air Force and holder of the French Legion of Honor and top medals from Spain, the Philippines and Italy. Now listed as a retired general with the U.S. National Guard and a consultant. His consulting office served as Nugan Hand's Washington office.

WILLIAM COLBY, the U.S. director of central intelligence, 1973-76. He ran intelligence programs in Vietnam during the war. In 1979 and 1980, as a lawyer with the Wall Street firm of Reid & Priest, he worked for Nugan Hand on a variety of matters—tax problems; the Foreign Corrupt Practices Act; an abortive project to relocate Indochinese refugees on an island in either the Caribbean or the Pacific; an attempt to take over a Florida bank; the operations of Nugan Hand's mysterious Panama branch, and the problems surrounding Mr. Nugan's death. Mr. Colby submitted $46,000 in bills, which weren't paid. A $10,000 check for his retainer was issued but never cashed.

WALTER McDONALD, a career CIA officer since 1975 and deputy director in charge of economic research from 1972 to 77. Then, while still in the CIA, he helped his former boss, onetime CIA Director James Schlesinger, set up and run the U.S. Energy Department. He served on the National Foreign Intelligence Board, the senior advisory group in the intelligence community. He announced his retirement in 1979, went into consulting and almost immediately by his own account began spending most of his time with Nugan Hand, traveling in the U.S. and Europe with Mr. Nugan and talking with him daily.

GUY PAUKER, a Rand Corp. staff member who has advised the CIA and other government agencies since the 1950s, although he denies reports that he is a career employee of the CIA. Mr. McDonald, whom he calls his "good friend," once said that Mr. Pauker has long had frequent personal access to White House national security advisers, including Henry Kissinger and Zbigniew Brzezinski. Mr. Pauker went to work as a consultant to Nugan Hand after Adm. Yates introduced him to Messrs. Nugan and Hand. He, in turn, introduced them to Mr. McDonald. Mr. Pauker says he wasn't involved in any completed deals for the bank.

DALE HOLMGREN, a former U.S. Army officer in Taiwan who became manager of flight services for Civil Air Transport, a CIA-run airline in the Far East. He then went into business in Taiwan. He opened the Nugan Hand branch in Taipei in 1978 as a one-man representative. Adm. Yates once said that Mr. Holmgren had long worked with the U.S. military in Taiwan to develop "within the social structure of the Chinese in Taipei a close relationship with the U.S. military forces and the business and government community." Adm. Yates also said that Mr. Holmgren had worked for Nugan Hand without pay at least for a while because he had an independent income.

ROBERT "RED" JANSEN, a former CIA station chief in Bangkok who advised Thai governments through almost daily meetings with the prime minister in the early 1970s, according to persons close to them. He worked for Nugan Hand there in 1978, although he apparently severed his relationship that year (according to a colleague, because he was warned by the U.S. embassy that his presence at the bank had aroused suspicion). Mr. Jansen apparently has an unlisted number and couldn't be reached for comment.

11/17/81 ~~UNCLAS~~ E F T O SECRET PRIORITY

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FM DIRECTOR FBI (163-45612)

TO LEGAL ATTACHE HONG KONG (55-4) PRIORITY

LEGAL ATTACHE MANILA (163-357) PRIORITY

BT

~~UNCLAS~~ E F T O

NUGAN HAND BANK (NHB), FPC.

REHONAIRTEL, JULY 31, 1981.

FOR LEGAT, MANILA, INFORMATION, RE AIRTEL CONTAINED REQUEST

OF [redacted]

[redacted] TO RENDER

WHATEVER ASSISTANCE POSSIBLE BY THE FBI TO [redacted]

[redacted]

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1 - [redacted]

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(SEE NOTE, PAGE 4)

163-45612-64

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE.

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FEDERAL BUREAU OF INVESTIGATION COMMUNICATIONS CENTER
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ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED 308,566
DATE 3/5/90 BY SPSx1/Dms

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~~Declassify on: OADR~~ 308,506

DO NOT FILE WITHOUT COMMUNICATIONS STAMP

ENCLOSURE

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CONCERNING NHB AND THE FBI,

ACCORDINGLY, IN RESPONSE TO REQUEST, THIS MATTER HAS BEEN REVIEWED AT FBIHQ, AND IT IS A MATTER OF FACT THAT THE FBI IS NOT, AND HAS NOT, INVESTIGATED NHB AND ITS ACTIVITIES AS

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A SUBSTANTIVE VIOLATION. HOWEVER, AS LEGATS HONG KONG AND MANILA ARE AWARE, [redacted]

[redacted]

[redacted]

FINALLY, IF CONTACTS OF LEGATS HONG KONG AND MANILA HAVE SPECIFIC LEADS TO BE COVERED IN THE U. S. BY THE FBI REGARDING NHB'S ACTIVITIES, THEY CAN BE HANDLED UNDER FPC.

BT

BUTEL TO LEGATS HONG KONG AND MANILA
RE: NUGAN HAND BANK (NHB)
FPC

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NOTE:

THE NHB IS ONE OF A NUMBER OF FINANCIAL INSTITUTIONS USING VARIATIONS OF THE NUGAN HAND NAME (FRANK NUGAN - AUSTRALIAN CITIZEN; [redacted] THE NUGAN HAND ENTERPRISES HAVE HIRED PROMINENT PEOPLE, INCLUDING [redacted] [redacted] AS REPRESENTATIVES IN THE UNITED STATES, AND ACQUIRED OLD, WELL-KNOWN, EUROPEAN BANKING INSTITUTIONS.

FOR YEARS THERE HAVE BEEN NUMEROUS ALLEGATIONS THAT THE NUGAN HAND ENTERPRISES, WHICH ARE WORLDWIDE, HAVE BEEN CONDUITS FOR WASHING MONEY FOR AUSTRALIAN, AND PERHAPS AMERICAN, ORGANIZED CRIME ELEMENTS, AS WELL AS CORRUPT SOUTHEAST ASIAN GOVERNMENT OFFICIALS AND INDIVIDUALS INVOLVED IN NARCOTICS TRAFFICKING. THEY WERE ALSO ALLEGED TO BE INVOLVED IN THE HANDLING OF U. S. COUNTERFEIT CURRENCY. NONE OF THESE ALLEGATIONS HAVE EVER BEEN PROVEN, ALTHOUGH FRANK NUGAN WAS UNDER INVESTIGATION BY SEVERAL LAW ENFORCEMENT AGENCIES IN AUSTRALIA. HE WAS FOUND DEAD, APPARENTLY OF A SELF-INFLICTED GUNSHOT WOUND, IN AUSTRALIA IN JANUARY, 1980. [redacted] WHEREABOUTS ARE UNKNOWN.

THE NHB, HONG KONG, HAS RECENTLY FAILED. INITIAL ESTIMATES OF LOSS TO DEPOSITORS IS HONG KONG $21 MILLION (U.S. $4 MILLION). [redacted]

THIS MATTER WAS COORDINATED WITH JAMES K. HALL, SECTION CHIEF, FOIA, RECORDS MANAGEMENT DIVISION, AND [redacted] WHITE COLLAR CRIMES, CRIMINAL INVESTIGATIVE DIVISION.

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8/13/82 UNCLAS E F T O PRIORITY

FM DIRECTOR FBI (163-45612)

TO AMEMBASSY CANBERRA

ATTN: LEGAL ATTACHE (163-4)

BT

UNCLAS E F T O

NUGAN HAND BANK, FPC.

REURTEL AUGUST 9, 1982.

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8/13/82 UNCLAS E F T O PRIORITY

FM DIRECTOR FBI (163-45612)

TO AMEMBASSY CANBERRA

ATTN: LEGAL ATTACHE (163-4)

BT

UNCLAS E F T O

NUGAN HAND BANK, FPC.

REURTEL AUGUST 9, 1982.

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Mr. O. B. Revell 8/31/82

Mr. J. W. Greenleaf

INTELLIGENCE OVERSIGHT BOARD MATTERS
REQUEST FOR INFORMATION CONCERNING
NUGAN HAND, LTD.

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PURPOSE:

To provide the substance of a request telephonically received from [redacted] Counsel, President's Intelligence Oversight Board (IOB), The White House, concerning any derogatory information the FBI may have developed relative to former U.S. intelligence community officials involved in a business relationship with Nugan Hand, Ltd., a now defunct private Australian banking concern allegedly involved in a world-wide swindle of investors.

DETAILS:

On 8/27/82, Unit Chief [redacted] OPR, Inspection Division, received a telephone call from [redacted] Counsel, President's IOB, The White House. [redacted] advised he was calling the Inspection Division relative to a recent newspaper article which appeared in the Wall Street Journal on 8/24/82, relative to Nugan Hand, Ltd. (attached). In summary, [redacted] noted that the lengthy article referred to the fact that Nugan Hand, Ltd., was allegedly involved in a major swindle that spanned several continents and bilked investors out of millions of dollars. He further stated that the article indicated that numerous former high-ranking officials of the U.S. intelligence community were alleged to be in the employ of Nugan Hand, Ltd., and in particular noted that William Colby, former Director of the CIA, was on retainer for this company. He also indicated that there was a reference in the article to the fact that several U.S. agencies, mainly the CIA, the FBI and the U.S. Customs Service, all have information on Nugan Hand, Ltd., and have refused to release it to help the current criminal and civil investigations being conducted by the Australian Government.

[redacted] advised that within the mandate of the IOB, as it relates to the intelligence community, he was contacting the FBI in an effort to determine if any derogatory information had

Encls.
1 - Mr. Revell
1 - Mr. O'Malley
1 - Mr. Mintz
1 - Mr. Greenleaf
1 - OPR
1 - 163-45612
IMF:cag (7)

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CONTINUED - OVER

been developed during the course of any FBI investigation conducted relative to Nugan Hand, Ltd., which indicates misconduct or criminality on the part of any of the named former intelligence officials in the Wall Street Journal, including Mr. Colby. During the telephone conversation, [redacted] stressed on several occasions that he was not interjecting the IOB into any substantive criminal matter which the FBI may be investigating concerning Nugan Hand, Ltd., but wished to be advised of any derogatory information if available concerning former officials of the U.S. intelligence community, which would come under the purview of the IOB. b6 b7C

[redacted] was advised at the conclusion of the telephone conversation that an appropriate review would be conducted of available FBI Headquarters records concerning Nugan Hand, Ltd., and in particular as it relates to his request for information concerning misconduct or criminality on the part of any former U.S. intelligence community officials. b6 b7C

A review of FBI Headquarters indices concerning Frank Nugan, [redacted] and Nugan Hand, Ltd., has revealed one main file reference titled "Nugan Hand Bank; FPC," Bureau file 163-45612. In addition, there are several "see references" to these individuals which are not directly related to this matter. b6 b7C

A review of Bureau file 163-45612 reveals that the bulk of the FPC inquiries conducted by the FBI in this file [redacted] b7D

By Bureau teletype to the U.S. Embassy, Canberra, attention Legat, dated 8/13/82 (attached), FBI Headquarters responded to [redacted] concerning [redacted] b7D

[redacted] It is also noted this Bureau's main file has been processed under FOIPA and released to certain individuals who are apparently victims of Nugan Hand Bank and certain of these

Memorandum From Mr. Greenleaf to Mr. Revell
Re: Intelligence Oversight Board Matters
Request for Information Concerning
Nugan Hand, Ltd.

articles have apparently been released to the Australian press and apparently to U.S. media sources.

RECOMMENDATIONS:

1. That Criminal Investigative Division (CID) and Intelligence Division (INTD) conduct appropriate review to determine if any substantive investigations have been or are presently being conducted concerning Nugan Hand, Ltd., and in particular whether any of the named former U.S. intelligence community officials are involved in these investigations.

2. That upon completion of CID and INTD responses, appropriate contact be made with [redacted] to advise him of any information as related to his request.

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0-19 (Rev. 10-9-81)

Australian Mystery

Fall of a Banking Firm Spotlights the Roles Of High U.S. Officials

Frank Nugan's Violent Death Opens Lid on Odd Traffic In Dope, Foreign Funds

Politicians Charge Cover-Up

By JONATHAN KWITNY
Staff Reporter of THE WALL STREET JOURNAL

SYDNEY, Australia—At 4 a.m. Sunday, Jan. 27, 1980, a police sergeant and a constable, according to their testimony, were patrolling a lonely stretch of highway 90 miles from here when they spotted the parking lights of a Mercedes on an old road off in the woods. Inside the car, slumped across the front seat in a puddle of blood, was the body of a 37-year-old man with a new rifle in his hands.

They searched his pockets and found the business card of William Colby, the former U.S. director of central intelligence. On the back of the card was the itinerary of a trip Mr. Colby planned to make to Asia in the next month. The two policemen also found a Bible with a meat-pie wrapper interleaved at page 252; on the wrapper were scribbled the names of Mr. Colby and U.S. Rep. Bob Wilson of California, then the ranking Republican on the House Armed Services Committee.

All this might sound like the beginning of a Hollywood spy movie, but the studios would have to assign their most imaginative scriptwriters to produce a tale as startling as the real-life events that have followed that grisly discovery more than two years ago. The body was quickly identified as that of Frank Nugan, the chairman of a group of companies affiliated with the private Australian banking concern of Nugan Hand Ltd. Since then, investigations have pieced together a picture of an amazing swindle that spanned six continents and bilked investors out of millions of dollars.

A Political Issue

More perplexing yet, evidence has turned up that Nugan Hand bank was deeply involved in moving funds about the world for big international heroin dealers and also might have been involved in the shady world of international arms traffic. To cap it off, the offices of Nugan Hand and its affiliates were loaded with former high-ranking U.S. military and intelligence officials (see story on Page 22).

This has convinced many Australians that the company was involved in secret work for the U.S. government. Despite official denials from Washington, the issue has refused to die and has become one of the central debating points in Australian politics.

The issue of U.S. investment may be hard to resolve because many of the essential records were destroyed. Within hours after the discovery of Frank Nugan's body, telephones began ringing urgently all over the world. One was on the desk in Manila of three-star U.S. Gen. LeRoy J. Manor, the recently retired chief of staff for all U.S. forces in Asia and the Pacific. After his retirement, Gen. Manor had been on secret duty for the Air Force and at the time of Mr. Nugan's death he was helping run Nugan Hand's Philippine office.

Ransacking the Files

According to Nugan Hand's public-relations man, Tony Zorilla, Gen. Manor called him and told him to stop the wire services from reporting Mr. Nugan's death. Mr. Zorilla says he replied that this would be unethical and impossible, and he refused. (Gen. Manor would describe his activities with Nugan Hand only in general terms, and he wouldn't discuss this incident.)

Halfway around the world, Rear Adm. Earl P. "Buddy" Yates, the recently retired chief of staff for strategic planning for U.S. forces in Asia and the Pacific, heard the news and immediately jetted to Sydney, Nugan Hand's main office. Adm. Yates was the president of Nugan Hand, though he lived in Virginia Beach, Va. En route to Sydney, he met Nugan Hand's vice chairman, Michael Hand, a highly decorated Green Beret during the Vietnam War and a former U.S. intelligence operative, coming from London. They raced to the Nugan Hand office and with a few other insiders began ransacking the files.

According to witnesses, enough records to fill a small room were fed to a shredder. Others were packed in cartons, with everyone helping, and carried at night to the back room of a butcher shop owned by Robert W. Gehring, a former Army sergeant in Vietnam. Mr. Gehring worked for Maurice Bernard Houghton, a mysterious Texan who has owned several bar-restaurants in Sydney and who had played an active role in Nugan Hand's affairs since its inception in 1973.

A Lawyer's Advice

Mr. Houghton not only joined the rape of the files, but also brought his lawyer, Michael Moloney, to direct it. According to the testimony of Stephen K. A. Hill, a Nugan Hand director who joined the record-rifling that week, Mr. Moloney urged the group on by warning, "I am fully aware of what has been going on. You all face jail terms of up to 16 years."

According to Mr. Hill's testimony, the burly Mr. Hand then broke in to say that if Mr. Moloney's orders to sanitize the files before the law arrived weren't followed, "terrible things" would happen—"Your wives will be cut up and returned to you in bits and pieces."

Mr. Moloney in a recent interview said, "Sure, I advised Hand to take documents out of the office. I was told there were serious deficiencies in the accounts. Everything I did I talked about with Yates first." (Adm. Yates refuses to discuss any part of his activities with Nugan Hand.)

A few months later, on April 11, 1980, Nugan Hand went into liquidation. And the secrets that were so frantically being destroyed after Frank Nugan's death began to be reconstructed. Exposed to view, like maggots, were dozens of affiliated corporations, with little or no real assets, that Nugan Hand had set up to help its clients avoid taxes and move money overseas secretly and often illegally. Mr. Nugan had boasted that $1 billion a year passed through these companies.

Still unanswered is the question of why so many high-ranking U.S. military and intelligence officials were working for the company. The CIA has denied involvement, and the State Department says that Nugan Hand wasn't in any way a U.S. government operation. But liquidators of the company and various Australian law-enforcement officers express anger and bewilderment that the CIA, the FBI and the U.S. Customs Service, all of which have information on Nugan Hand, have refused to release it to help in the current criminal and civil investigations.

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Insp. ____
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Lab. ____
Legal Coun. ____
Off. Cong. & Public Affs. ____
Rec. Mgnt. ____
Tech. Servs. ____
Training ____
Telephone Rm. ____
Director's Sec'y ____

The Washington Post ____
Daily News (New York) ____
The New York Times ____
The Wall Street Journal __1__
The Chicago Tribune ____
The Los Angeles Times ____
The Christian Science Monitor ____

Date 8-24-82

Page 11 FBI DC

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED 308,506
DATE 2/16/90 BY SPSC11 OMS

"It has obvious overtones that somebody is covering something up," says the court-appointed Australian liquidator, John O'Brien.

From its base in Sydney, Nugan Hand had opened at least 22 offices around the world, including four in the U.S. After the company failed, anguished messages poured in from individuals who had invested money at above-market interest rates in securities sold by Nugan Hand and who now stood to lose everything. Liquidators say the official shortfall could reach $50 million. The victims include many Americans, not only on the U.S. mainland but also at construction sites in Saudi Arabia and at military bases and legations throughout the Far East, Hawaii and the Philippines.

Nugan Hand carried out its operations with intense secrecy. Cables and interoffice messages were in code and often were marked "Destroy After Perusal." Company employees and customers were referred to by coded serial numbers rather than by name, and even references to foreign currencies were disguised: "Oats" stood for Swiss francs, "grains" for U.S. dollars and so forth down to "berries" for Portuguese escudos.

An Impossible Job

This, plus the obvious phoniness of many of the transactions carried on between companies within the Nugan Hand galaxy, makes the job of settling claims by creditors almost impossible. "We wouldn't be able to pay 1%" on outstanding claims, says a liquidator. Many creditors aren't even bothering to file claims, apparently fearful that to do so would expose them to prosecution for illegal deals carried out with the aid of Nugan Hand.

Currently, liquidators in Sydney, Hong Kong and the Cayman Islands are investigating the company, and often working at cross purposes, seeking to recover assets. Criminal investigations are being conducted by the New South Wales attorney general's office and by a joint task force of the New South Wales police and the Commonwealth police. The Royal Commission on Drugs ran into Nugan Hand so much that it has recommended a separate Royal Commission be appointed just for Nugan Hand.

In June 1980, Mr. Hand, disguised and using a phony passport, flew to the U.S., apparently via Fiji and Vancouver. He hasn't been seen since.

Leaving Australia about the same time as Mr. Hand was his closest friend and adviser, Bernie Houghton. Mr. Houghton has a broad acquaintanceship with many high-ranking U.S. officials. As a civilian, he daringly traded goods all over the Southeast Asian war zone in the 1960s. Though his restaurant is in a sleazy district of Sydney that swarms with prostitutes and sex shows, he has frequently welcomed congressmen, CIA officials and military brass there. A few weeks before Mr. Nugan died, Mr. Houghton played host, at a luncheon and later a dinner, to five members of the House Armed Services Committee who were in Australia to inspect defense and intelligence-gathering facilities. He arranged for Rep. Wilson and another congressman and their wives to dine with Mr. Hand. Last year, Mr. Houghton returned to Australia. Authorities have questioned him at length, but he avoids reporters.

Exhuming a Body

With the scandal refusing to die down in Australia, reports began to circulate that the body in Frank Nugan's grave wasn't his and that he was still alive and hiding out in the U.S. Once he was reported having been seen in a bar in Atlanta. Finally, in February 1981, officials ordered the body exhumed. With gruesome diligence, Australian TV covered the event by interviewing practically everyone in the area. ("Some are dry, some are wet," one gravedigger told interviewers, complaining that Mr. Nugan was "wet" and therefore "very messy.") A dentist definitely identified the remains as those of Mr. Nugan.

Stymied by the lack of progress in the investigation of the company's affairs, the National Times, an Australian newspaper, petitioned the FBI under the U.S. Freedom of Information Act for information it had on Nugan Hand. The newspaper was told that of some 151 pages of material in FBI files, it could see 71. But when the papers arrived, they resembled a collection of Rorschach tests, with page after page blacked out in heavy ink and bearing the notation "B-1," indicating that disclosure would endanger U.S. "national defense or foreign policy." What was left was a few pages of more or less routine information, such as a copy of a Nugan Hand subsidiary's petition for incorporation in Hawaii.

In response to more-official Australian demands, the State Department sent a two-man FBI delegation to Sydney in April, 1982. But the two men stonewalled, telling law-enforcement officials that the FBI had already given its information to an appropriate Australian agency; they wouldn't say which agency or re-release the material. Australian state and national police investigating Nugan Hand say that they have never received the information. The only other likely recipient would appear to be the Australian Security Intelligence Organization (ASIO), a secret counterspy group that long has worked closely with the CIA.

By law, ASIO can give information only to Prime Minister Malcolm Fraser or his attorney general. Mr. Fraser says the U.S. has assured him that it had no connections with Nugan Hand, and he has rejected opposition-party demands for a top-level probe. But the continuing parliamentary debate could rekindle the once-hot political controversy over vital CIA bases here. These bases monitor U.S. satellites watching the U.S.S.R. and China and direct the U.S.'s nuclear submarines.

The opposition Labor Party—which now leads Mr. Fraser's Liberal Party in some polls—has openly questioned whether the CIA, through ASIO, helped topple Australia's last Labor government, led by Prime Minister Gough Whitlam. The CIA has denied this. Now suspicions have been raised that Nugan Hand, which handled enormous amounts of money, may have been used to channel funds to favored Australian political candidates.

When Vice President George Bush visited Australia this April, Labor Party leader Bill Hayden—a strong possibility to become prime minister—used his 30-minute meeting with Mr. Bush mostly to press for the release of details on the Nugan Hand and Whitlam affairs. Mr. Bush only gave his assurances that the CIA wasn't involved in either matter. Mr. Bush was the CIA director in 1976, succeeding Mr. Colby, who later was to become a lawyer for Nugan Hand.

A Secret Wiretap

Suspicions of a government cover-up could be heightened by a disclosure by Australian liquidator O'Brien. He says that he has learned from the government-run telephone company that Frank Nugan's phone conversations were secretly recorded the last two years of his life on a device installed at the phone company, apparently by a government agency. Mr. O'Brien says phone-company officials have told him that the tapes—which might solve the mystery of who was behind Nugan Hand—aren't at the company anymore.

Wiretap authority is tightly restricted in Australia, being allowed only in cases involving national security or narcotics investigations, according to the Commonwealth attorney general's office. State and national police have reported that they don't know anything about a wiretap on Mr. Nugan. Again, this points the finger at ASIO.

Australian immigration records show that a senior ASIO official, Leo Carter, vouched for Nugan Hand's ubiquitous Mr. Houghton when he entered Australia in February 1972 with an expired visa. On ASIO's word, Mr. Houghton received a visa allowing him to come and go from Australia at will. Mr. Carter has died, and ASIO won't comment on this.

Presumably the most sensitive of Nugan Hand's records were shredded right after Mr. Nugan died. But the remaining records hold many suggestions that the company may have been much more than a banking venture.

Notes on Troop Movements

The records contain long, periodically filed reports about military and political activities, mostly in Kampuchea (Cambodia), but also in Laos, Vietnam and Thailand. There is no concrete evidence that Nugan Hand was an active participant in U.S. covert operations. However, these detailed reports of troop movements appear unconnected to any banking or business activity. They were prepared by Nugan Hand's Bangkok representative, John Owen, a former career British navy officer, and bear notations that they were to be shown to Mr. Hand.

The files also show that Nugan Hand worked on big international arms deals, though it isn't clear what, if anything, was shipped.

For example, there were proposed transactions in 1979 for a partnership with Prince Panya Souvanna Phouma, the son of the former Laotian leader Souvanna Phouma. In one letter, Prince Panya took three pages to list available weapons, including missiles, light and heavy armor, tanks, combat helicopters and F-104 fighter planes and naval patrol planes. Nugan Hand memos indicate that the weapons were intended for delivery to Indonesia and Thailand. They also discuss creation of a private, freight-oriented airline in Southeast Asia (to be called "Sky of Siam") and other deals with Prince Panya.

Memos show that in 1975 Mr. Hand was arranging the sale to Rhodesia of re[illegible]ss rifles, mortars, grenade launchers and machine guns, and was negotiating for shipments of Rhodesian ivory.

Mr. Houghton has acknowleged in testimony that in 1979 he met in Switzerland with Edwin Wilson, the former CIA officer who is now in U.S. custody, who is charged with selling explosives in Libya and who is alleged to have supplied trained men, arms and technology to Libya and other military buyers. A former Nugan Hand representative in Thailand, Neil Evans, has testified that Mr. Wilson went to Bangkok to discuss arms deals with Mr. Hand. Other Nugan Hand officials, however, deny Mr. Evans's story.

There seems no denying, however, that Nugan Hand was heavily involved with drug dealers. Murray Stewart Riley, a former Sydney policeman now serving time in Australia for attempting to import a boatload of marijuana from Thailand, was a regular customer of the firm. So were Riley's associates, who deposited drug money in Sydney and withdrew it in Asia.

Riley's business was brought to the bank, according to extensive testimony, by Harry Wainwright, a former San Francisco criminal lawyer who fled to Australia in 1973 after being indicted in the U.S. for income-tax evasion.

Testifying from prison two years ago for an Australian Royal Commission looking into drug trafficking, Riley said his heroin and marijuana imports were intended for the U.S. market. In the words of the commission, he said "that he had been informed that Nugan Hand offered a facility to transfer funds from Hong Kong to the United States."

Over $1 Million of Drugs

Investigators say that they have traced about $250,000 that Riley moved to Asia via Nugan Hand and that they believe the final figure will exceed $1 million. They say they believe that much of the heroin was transshipped to the U.S. in containers that were repaired by a dockside welding firm in Australia that was closely tied to the dope dealers. They believe that another heroin ring tied to Nugan Hand used a similar system.

Apart from their connection with the bank, Michael Hand and Frank Nugan had been viewed suspiciously by narcotics agents for some time. As early as 1973, the Australian Narcotics Bureau began a file on Mr. Hand based on reports that he and K.L. "Bud" King, a former pilot for Air America airline had been flying dope in from Asia to an Australian airstrip. Air America was a Vietnam war-era airline, with close connections with the CIA. U.S. drug-enforcement officials now acknowledge that the airline also occasionally ran heroin out of Southeast Asia's famed "Golden Triangle" poppy-growing area.

The landing strip involved in the 1973 Australian Narcotics Bureau report was on a real-estate development promoted by American singer Pat Boone and financed by millionaire shipping magnate D.K. Ludwig. Mr. Hand had worked as a salesman for the project for several years after he came to Australia in 1967 and later asserted that he had made a fortune from his land sales.

The report of the illegal drug flights came from a lawyer representing Mr. King's housekeeper. Mr. King, who also worked for the Boone-Ludwig project, has since died in a fall. The report was released recently among many files turned over to the Australian parliament by three former narcotics officers who contend that the narcotics bureau covered up information on Nugan Hand drug dealings.

Meeting an Opium Overlord

Other released files include information on alleged Nugan Hand drug deals supplied by Andrew Lowe, formerly Sydney's biggest heroin dealer (by his own assessment), who recently completed a prison sentence for his dope deals. Mr. Lowe has testified that he arranged a meeting between Mr. Hand and Khun Sa, the Golden Triangle's biggest opium overlord, though there is no way to verify that.

Through meticulous police work, however, Australian officials have documented in a still unreleased report a series of transactions tying Nugan Hand not only to drug dealing but also possibly to a series of contract murders in which the so-called Mr. Asia heroin syndicate eliminated at least three persons who were informing Australian police about drug activities. Authorities say the "Mr. Asia" group's heroin circulated in the U.S. and elsewhere and brought at least $100 million cash to the syndicate's operators.

According to testimony from dope ring and bank insiders, corroborated by telephone logs and other evidence, the syndicate was linked to the bank through a two-man Sydney law firm that represented both Mr. Nugan and various members of the heroin syndicate. The firm's senior partner, John Aston, used Nugan Hand for personal financial dealings and helped bring in other clients. And the law office was used as a drop-off point for bank clients who wished to remain secret; they left parcels of cash or other items at the law office for pick-up by bank representatives.

One parcel that bank director George Shaw, a major operational figure at Nugan Hand, says he picked up at the law office March 26, 1979, contained $285,000 in small bills. This money has been traced through a laundering process involving a soft-drink firm that Nugan Hand had acquired (apparently because it dealt in big quantities of cash) and through accounts at different banks in Hong Kong, New York, Boston and Singapore.

Eventually the $285,000 was paid out in checks from Nugan Hand's Singapore office to a man identified by British police as the Singapore leader of the heroin syndicate. The payout was personally arranged by Mr. Hand in Singapore as instructed in a coded telex from Mr. Nugan in Sydney. This complicated money movement was a standard procedure Nugan Hand used for clients wishing to evade Australia's strict currency control laws, designed to keep capital at home.

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Ad[illegible]rals, Generals, Ex-CIA [illegible]en Took Prominent Roles in Nugan Hand Bank

By a WALL STREET JOURNAL *Staff Reporter*

SYDNEY, Australia—Enough top-ranking U.S. military and intelligence officers worked for Nugan Hand to run a small-sized war. The list includes:

ADM. EARL "BUDDY" YATES, a 1943 graduate of the U.S. Naval Academy, Legion of Honor winner in Vietnam, and commander of the aircraft carrier USS John F. Kennedy. Then he was the chief of staff for plans and policy of the U.S. Pacific Command, in charge of all strategic planning from California to the Persian Gulf, until his retirement in July 1974. He became the president of Nugan Hand bank early in 1977, recruited by Maurice Bernard Houghton, who apparently is an old friend.

GEN. LEROY J. MANOR, the chief of staff for the entire Pacific Command until he retired in July 1978 to undertake new duties that the Air Force says are so secret that it can't talk about them. These duties are generally known to have included negotiating the 1979 agreement with the Philippine government for continuance of the U.S. military bases there (which Gen. Manor used to command) and investigating the failed hostage rescue raid in Iran in 1980 (an assignment that apparently stemmed from his having designed and commanded the 1970 raid on a North Vietnamese prison camp that failed to find any U.S. prisoners). A much-decorated Air Force three-star general, he also had been the special assistant to the Joint Chiefs of Staff at the Pentagon for "counterinsurgency and special activities." He joined Nugan Hand's Manila office, allegedly to run it (which he denies), in 1979.

GEN. EDWIN F. BLACK a 1940 graduate of West Point. He entered the Office of Strategic Services (OSS), which later became the CIA, and was the OSS commander in Berlin. He was the chief administrative aide to and frequent chess opponent of Allen Dulles, who became the head of the CIA. He was the wartime boss and then tennis partner of Richard Helms, who also became the head of the CIA. He was on the National Security Council staff under President Eisenhower and later the commander of all U.S. troops in Thailand during the Vietnam war, before becoming assistant Army chief of staff for the Pacific. He retired in 1970 to become executive vice president of the Freedoms Foundation in Valley Forge, Pa., a group promoting conservative politics. He also worked for LTV Corp., an important CIA contractor. In 1977, he became the president of Nugan Hand Inc., Hawaii, and special representative of the overall organization, making frequent trips to Asia. He says he was recruited by Adm. Yates and another admiral.

GEN. ERLE COCKE JR., whose entry in Who's Who in America says that during World War II he was "prisoner of war three times, actually 'executed' by a German firing squad and delivered the *coup de grace* but survived 1945." He held various posts with the Defense Department and as an executive with Delta and then Peruvian airlines. He is a former national commander of the American Legion, honorary commander of the Nationalist Chinese Air Force and holder of the French Legion of Honor and top medals from Spain, the Philippines and Italy. Now listed as a retired general with the U.S. National Guard and a consultant. His consulting office served as Nugan Hand's Washington office.

WILLIAM COLBY, the U.S. director of central intelligence, 1973-76. He ran intelligence programs in Vietnam during the war. In 1979 and 1980, as a lawyer with the Wall Street firm of Reid & Priest, he worked for Nugan Hand on a variety of matters—tax problems; the Foreign Corrupt Practices Act; an abortive project to relocate Indochinese refugees on an island in either the Caribbean or the Pacific; an attempt to take over a Florida bank; the operations of Nugan Hand's mysterious Panama branch, and the problems surrounding Mr. Nugan's death. Mr. Colby submitted $46,000 in bills, which weren't paid. A $10,000 check for his retainer was issued but never cashed.

WALTER McDONALD, a career CIA officer since 1975 and deputy director in charge of economic research from 1972 to 77. Then, while still in the CIA, he helped his former boss, onetime CIA Director James Schlesinger, set up and run the U.S. Energy Department. He served on the National Foreign Intelligence Board, the senior advisory group in the intelligence community. He announced his retirement in 1979, went into consulting and almost immediately by his own account began spending most of his time with Nugan Hand, traveling in the U.S. and Europe with Mr. Nugan and talking with him daily.

GUY PAUKER, a Rand Corp. staff member who has advised the CIA and other government agencies since the 1950s, although he denies reports that he is a career employee of the CIA. Mr. McDonald, whom he calls his "good friend," once said that Mr. Pauker has long had frequent personal access to White House national security advisers, including Henry Kissinger and Zbigniew Brzezinski. Mr. Pauker went to work as a consultant to Nugan Hand after Adm. Yates introduced him to Messrs. Nugan and Hand. He, in turn, introduced them to Mr. McDonald. Mr. Pauker says he wasn't involved in any completed deals for the bank.

DALE HOLMGREN, a former U.S. Army officer in Taiwan who became manager of flight services for Civil Air Transport, a CIA-run airline in the Far East. He then went into business in Taiwan. He opened the Nugan Hand branch in Taipei in 1978 as a one-man representative. Adm. Yates once said that Mr. Holmgren had long worked with the U.S. military in Taiwan to develop "within the social structure of the Chinese in Taipei a close relationship with the U.S. military forces and the business and government community." Adm. Yates also said that Mr. Holmgren had worked for Nugan Hand without pay at least for a while because he had an independent income.

ROBERT "RED" JANSEN, a former CIA station chief in Bangkok who advised Thai governments through almost daily meetings with the prime minister in the early 1970s, according to persons close to them. He worked for Nugan Hand there in 1978, although he apparently severed his relationship that year (according to a colleague, because he was warned by the U.S. embassy that his presence at the bank had aroused suspicion). Mr. Jansen apparently has an unlisted number and couldn't be reached for comment.

The Washington Post ___
Daily News (New York) ___
The New York Times ___
The Wall Street Journal 22
The Chicago Tribune ___
The Los Angeles Times ___
The Christian Science Monitor ___

Date 8-24-82

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DECLASSIFICATION AUTHORITY DERIVED FROM:
FBI AUTOMATIC DECLASSIFICATION GUIDE
DATE 11-26-2012

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Director, FBI

SAC, Alexandria

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FOI/PA # 284,655
APPEAL #
CIVIL ACT. #
E.O. # 12,356
DATE 11/25/88 INITIALS DMS
2/16/90 DMS
(S-1) appropriate agency

(AX 58-222)

2/14/90
11/25/88
Classified By SP5CW/DMS
Declassify on: OADR 284,655
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(AX 97-7)

Enclosed for the information of Alexandria (AX) are two (2) copies each of newspaper articles appearing in The Sydney Morning Herald on 9/25/80, 9/26/80, 9/27/80, and 9/29/80, and The Wall Street Journal on 8/24/82, 8/25/82, and 8/26/82, concerning Frank Nugan, Michael Hand, and the Nugan Hand Bank.

As indicated in enclosed articles, there have been numerous allegations that the Nugan Hand Bank, an Australian enterprise with branches worldwide, has been a conduit for washing money for organized crime elements and individuals involved in narcotics trafficking. The bank, or its principals, have also reportedly been involved with tax fraud schemes (providing paper losses for clients) and gun running in Southeast Asia. A number of retired United States (U.S.) military officials of General and Admiral rank, and retired Central Intelligence Agency (CIA) officials, worked for Nugan/Hand.

Enclosures (16)

DUPLICATE YELLOW

163-45612

1 - Mr. Helterhoff
1 - Mr. Klein
1 - Mr. Adamski
1 - Mr. Van Balen
1 - Mr. Hart
1 - Mr. Hunt
1 - 163-45612 file
1 - ~~AX 58-222 file~~ RLH
1 - ~~AX 97-7 file~~
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163-45612 RLH

Airtel to SAC, Alexandria
RE: [redacted]

(AX 58-111)

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(AX 97-7)

For the information of AX, FBIHQ has received inquiries, from various branches and agencies of our Federal Government, concerning the extent of Federal Bureau of Investigation (FBI) investigation of Nugan Hand Bank, its principals, and former U.S. officials associated with that bank. Review of FBIHQ indices reveals that FBI investigation in these matters [redacted]

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However, the following connections between Nugan Hand Bank principals and subjects of captioned investigations were noted:

[redacted] is reportedly [redacted]

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Enclosed Wall Street Journal articles indicate that Houghton has acknowledged meetings with Wilson in Switzerland in 1979. Wilson also reportedly met with Hand in Bangkok to discuss arms deals.

[redacted] former employee, [redacted] has advised that [redacted] met with [redacted]

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Airtel to SAC, Alexandria
RE: [redacted]
(AX 58-222)

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[redacted] has advised that [redacted] stated that [redacted]
[redacted] also advised that [redacted] had introduced [redacted]

The Sydney Morning Herald reflects that in 1969 four U.S. citizens working for Air America were shareholders with [redacted] in a property and tourism venture in Australia. Although a connection between [redacted] at that time has not been established, it is noted that [redacted]

As previously mentioned, there are [redacted]

AX is requested to pursue, during the course of captioned investigations, the involvement of captioned subjects with the Nugan Hand Bank and its principals. It appears that [redacted] [redacted] Any inquiries directed to [redacted]

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Airtel to SAC, Alexandria
RE: [redacted]
(AX 58-222)

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(AX 97-7)

NOTE: FBIHQ indices reflect the following references concerning Frank Nugan, [redacted], and Nugan Hand Bank: 163-45612, 87-0-9028, and 64-175 sub. 303 serial 391. There are also numerous 190 references concerning FOI requests. WCC Financial Crimes Unit Chief [redacted] and Supervisor [redacted] Division 5 Foreign Liaison Unit, [redacted] Supervisor [redacted] on [illegible]/82, and 6/30/82, respectively, regarding status of FBI investigations on Nugan Hand Bank matters.

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2 The Sydney Morning Herald, Thurs. Sept 25 1980 2

The last 20 frantic days of Frank Nugan's life

Desperate bid to save bank empire

Before Frank Nugan shot himself last January, he lived a frantic 20 final days of international dealings, long-haul jet flights and brief stays at top hotels.

The shot from his high-powered rifle, in a car parked on a lonely dirt track near Lithgow, wiped out much of the story of his last 20 days; but it is known that life had started to go very wrong for the outwardly confident and successful young captain of finance.

The merchant bank he founded with Michael Hand had immense problems which were to surface only after his death.

His last days seem to have been spent in desperate efforts to prop up the collapsing empire.

But he also was nagged by allegations made at the NSW Royal Commission hearings into Drug Trafficking that the Nugan Hand bank was being used to transfer money for international drug deals.

The diary of his last days begins in Sydney.

January 7, 1980: Frank Nugan goes to Bondi police station and picks up a shooter's licence.

He had handled firearms as a school cadet, but shooting was not one of his hobbies.

Also on January 7, members of the influential US House of Representatives Defence Subcommittee flew to Sydney from Auckland.

Mr Bob Wilson, of California, is the ranking Republican on this committee, which monitors the $US154 billion American military budget.

Among notes found on Frank Nugan's body 20 days later was one with Congressman Wilson's name on it. Underneath his name was the name Bill Colby. Mr Colby is a former chief of the CIA, who took up a Washington law practice after President Gerald Ford dismissed him. Mr Colby worked as a legal adviser to the Nugan Hand bank.

Congressman Wilson says he knows Michael Hand, the partner in Frank Nugan's bank, but asserts he never met Frank Nugan.

On the night of January 7 the Congressman and his colleagues dined at Sydney's Texas Tavern with Mr Bernie Houghton, the Saudi Arabian representative of Nugan Hand.

Before Mr Houghton entered merchant banking he owned the Texas Tavern and Harpoon Harry's, bars popular with US soldiers on leave during the Vietnam war. During his ownership of these establishments, Mr Houghton earned a reputation in the Kings Cross area as a colourful character, who had two permanent bodyguards and rough and tumble associates.

Mr Houghton also established business dealings with two respected Sydney businessmen, the property developers Sir Paul Strasser and Mr John Charody.

In 1974, all of them were directors of a company called 325 George Street Pty Ltd. Both Sir Paul and Mr Charody guaranteed a mortgage for Houghton's company, Argus Investments. In 1976 this company went into liquidation. After this date there does not appear to be any further connection between the property developers and Mr Houghton.

He vanished from Sydney after Frank Nugan's death and is believed to be in the US with Michael Hand. Police say a number of Saudi Arabian depositors with the bank are anxious to speak to him about the security of their investments.

Congressman Wilson has said he knew Mr Houghton through some of his constituents in San Diego. He has claimed it was not odd that his important committee had dinner with Mr Houghton. "It was our first night in Sydney and nothing had been scheduled," the Congressman said.

January 8: Frank Nugan goes to a Sydney gun shop and buys the .30 calibre US military rifle later used in his suicide.

The gun shop salesman was to tell the Lithgow Coroners' Court it was one of his quickest sales.

January 9: Frank Nugan and

PAGE 2 THE INSIDE REPORT

his wife, Charlotte Lee, board a jet for the United States.

Dsepite his ominous purchise of the day before, hope was still alive in Frank Nugan.

His mission in the United States was to get control of a bank in Florida whose name has not been revealed.

Witnesses at the inquest said he was confident of clinching the deal. As evidence of this confidence, he arranged a long lease of a $1,000-a-week home

He had indicated to associates that this was going to be the place where he started a new life away from the rigours of Sydney and allegations about the Nugan Hand bank. He wanted to enjoy life with his wife, who is from the Deep South of the US, and his three young children.

It was Charlotte who had weaned him off the bottle of whisky a day and turned him towards "born again" Christian principles.

But problems still festered. Charlotte strenuously objected to his choice of a colour in a $400,000 remodelling of their $1 million home at Vaucluse. It was Frank's choice of sickly browns and heavy drapes that led to a lively international telephone argument between the couple last year.

January 20: Frank Nugan departs for Europe and a meeting with his partner Michael Hand in Geneva, the Florida deal not yet settled. His wife remains in the United States.

January 21: The two bankers talk over dinner at Geneva's luxurious Hotel du Rhone.

According to Michael Hand's evidence at the inquest Frank Nugan talked about conspiracy charges pending against him (Frank Nugan) in a Sydney court of petty sessions. These charges centred on allegations that he conspired to stack a shareholders meeting of the Nugan Group Pty Ltd, the Griffith-based fruit wholesaling organisation.

(Charges are still pending against Mr Ken Nugan, the

UNCLASSIFIED

Frank Nugan, Australian lawyer, and Michael Hand, US soldier, got together in 1973 with $1 million and set up the Nugan Hand merchant bank.

In seven years their Sydney-based bank spanned the world and had a $1,000 million-a-year turnover.

Early this year Frank Nugan committed suicide, and the bank crashed.

Investigators say that more than $50 million in deposits with the bank may be missing.

Allegations have been made that the bank financed drug deals, was involved in gun-running and had links with the US Central Intelligence Agency (CIA).

Michael Hand has vanished; a number of former employees of the bank have gone to ground.

Now a joint NSW-Federal police task force is sifting through the Nugan Hand coded accounts, secret lists and dubious deals.

In a series of articles beginning today, ANDREW WATSON throws light on the last days of Frank Nugan, how the bank did business, its links with the drug world and the CIA.

brother of Frank Nugan and head of the Nugan Group Pty Ltd. It is a separate company from the Nugan Hand bank).

Frank Nugan told his partner that he would beat the charges and talked of settling down in Florida after the bank deal.

Michael Hand's mission in Geneva was to get approval from the United Nations High Commissioner for Refugees of a resettlement scheme for Vietnamese refugees. The bank's plan was to settle the refugees on a British-controlled island near the Caymans in the Carribean. The Caymans were the headquarters for the bank's offshore of tax-evasion schemes.

January 22: Frank Nugan flies to Hamburg from Geneva.

Later he told Michael Hand that he had clinched two very bit deals for the bank there. Investigators have not been able to work out what they were, but there is talk in police circle that they involved gun-running.

It appears to have been a last-ditch effort to pull fast money into the bank to try to cover up Frank Nugan's huge indebtedness to his own bank.

At the inquest Michael Hand said Frank Nugan had perpetrated a "gross fraud" on the bank.

In an affidavit in the Equity Court he said the bank had a $4 million deficiency.

January 25: Frank Nugan arrives at Sydney airport from Hamburg to be met by the bank's solicitor, Mr Quenton George.

Mr George started to drive his boss to the office but Nugan said he wanted to go home first.

Nugan arrived in the office at 11.30 am and staff noted that he looked drawn but in good spirits. He called a meeting of top bank staff for the following morning, a Saturday.

January 26: A casually dressed Frank Nugan begins the meeting of top bank staff in the Macquarie Street office at 9am.

After half an hour he called the meeting off, saying: "I don't know where I am, but wherever it is it's night and not morning."

He had promised to lend his Mercedes car to Quenton George for a wedding. He called off the arrangement with the words: "I don't want to be without my car. I want to go somewhere tonight. I have to go somewhere tonight."

Nobody has discovered where that was.

Sunday, January 27: On a lonely dirt track, a lovers' lane for couples from Lithgow, Frank Nugan shoots himself in his parked car in the early hours of the morning.

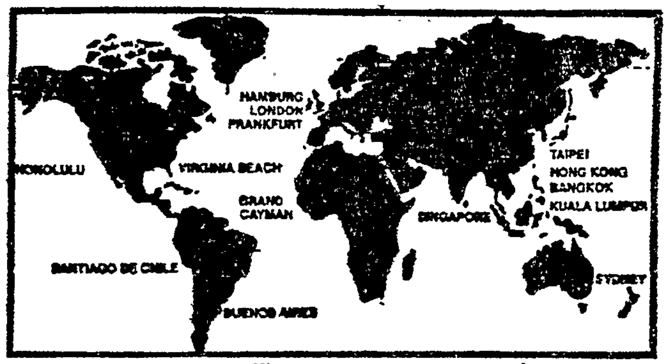
TOMORROW: The Nugan Hand money chase

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Ambitious schemes never eventuated

Chase after an elusive 'big deal'



Nugan Hand offices and representatives.

Frank Nugan: INVESTMENT NH BANKERS the final quest

Frank Nugan is shaving in his Macquarie Street office overlooking Sydney Harbour. Suddenly he summons an adviser to discuss his latest project for the Nugan Hand Bank.

"What do you know about rubber?" he demands.

"Nothing," the aide replies.

"Well, find out everything you can about rubber by this afternoon, because this guy is coming in from Malaysia," Nugan booms. "We're going to corner the world rubber market."

The shaving resumes.

Former Nugan Hand Bank employees now joke about the project. "He thought he could take on Goodyear, Michelin and Bridgestone," one says.

But at the time — late last year — Frank Nugan's "world rubber market" project wasn't a gag-line from The Secret Life of Walter Mitty.

The "guy" coming to see Frank Nugan was Dr Nor, head of the Malaysian Government's semi-autonomous rubber buyer, the Rubber Industry Smallholders' Development Authority (RISDA). The Nugan Hand Bank flew Dr Nor to the United States and had him shown about by the bank's representative, Admiral Earl Yates.

But Nugan's rubber dream collapsed when Dr Nor abandoned the tour and parted from Admiral Yates in Honolulu.

Former bank employees said Frank Nugan cursed Dr Nor and moved on to another project.

In the six months before his suicide in January, Frank Nugan was constantly hopping between jets and hotels in dogged pursuit of The Big Deal that would bail out the bank.

There were at least three trips to North America, one to South America, and a couple to Europe and Asia. Bank staff were told a big deal would be clinched; nothing happened.

Another will-o'-the-wisp project included talks with the Indonesian Government's Pertamina oil company, aimed at cornering the Indonesian oil market.

Last year Mr Guy Pauker, a part-time US consultant to Nugan Hand and an Asian specialist with the US Government-financed Rand Corporation, arranged a series of introductions for Frank Nugan with the Indonesian Government and Pertamina officials. The deal never came off.

The same fate awaited Frank Nugan's scheme for a $100 million coal and mineral exploration project in the Philippines.

Another Asian scheme hinged on peruading the Thai Government to save petrol by setting up ethanol distillation plants. The bank planned to handle the Thai Government's fund-raising for this multi-million dollar project. Nothing came of it.

"It was all a big marketing hype," a former bank employee said. "Frank Nugan and Michael Hand (co-founder of the bank) would do anything to turn a dollar."

Since Michael Hand vanished two months ago, NSW Corporate Affairs Commission and police investigators are trying to find out exactly how the dollars were "turned."

The former employee said: "There was no doubt the bank was into gun-running. It was generally known that one of their client companies was trying to sell rifles, helicopters and gun-ships to Taiwan."

The liquidator of the failed banking group, Mr John O'Brien, says he has received gun-boat plans and specifications in mail addressed to the bank.

The former employee also said he was puzzled why the bank never became involved in traditional areas of merchant bank activity such as equity funding and debt (mortgage) financing.

"They paid commissions of up to 2.5 per cent on unsecured investments of $1 million or more," he said. "But other money market operators would only be paying about 0.25 per cent.

"With Nugan Hand's commission to its operators it meant they were paying in excess of 12 per cent for money. You can't survive on that."

When asked why the commissions were so high, he responded: "That's the $50 million question."

(Investigators believe more than $50 million is missing from the bank's world-wide network of companies.)

Given the bank's unusual methods, why did people invest? One reason was that the Nugan Hand offered higher interest rates than its competitors. Another attraction, for some investors, seems to be the extraordinary measures the bank took to protect the confidentiality of its clients' dealings.

If "hot" money is to be laundered through overseas placement of funds, secrecy is vital.

A confidential bank manual states: "The telephone and/or telex are the least secure methods of conveying information. Every time representatives advise completion of deposit business, codes must be used"

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Missing man had top US links, Strasser claims

A missing employee of the failed Nugan Hand bank obtained an earlier job in Sydney with the help of references from a otp Washington politician and United States generals, according to the Sydney bussinessman Sir Paul Strasser.

The employee, Mr Bernie Houghton, worked for Sir Paul Strasser as a salesman for Sir Paul's building company, Parkes Development, in the early 1970s.

Sir Paul said Mr Houghton, a US citizen, later worked as a manager in 325 George Street Pty Ltd, part of Sir Paul's group of companies, until Sir Paul had a falling out with him in 1974 over the company's management.

He left, Sir Paul said, after Sir Paul became dissatisfied with the level of profits he was achieving.

"When Bernie Houghton came to me and said he wanted a job he gave me the name of a top politician in Washington and some generals," Sir Paul said.

He had since thought it possible that Mr Houghton was connected with the CIA.

Sir Paul said he had never met the former chairman of the failed bank, Mr Frank Nugan. He said stories in the Communist Party newspaper Tribune attempting to link him to the bank were "very upsetting."

"I never in my life met Frank Nugan and I never in my life had business dealings with him," Sir Paul said.

And with a loud laugh he added: "When a communist paper attacks you on its front page it's almost an Order of Australia."

Operative's disappearance

Another overseas operative of the failed Nugan Hand bank has vanished.

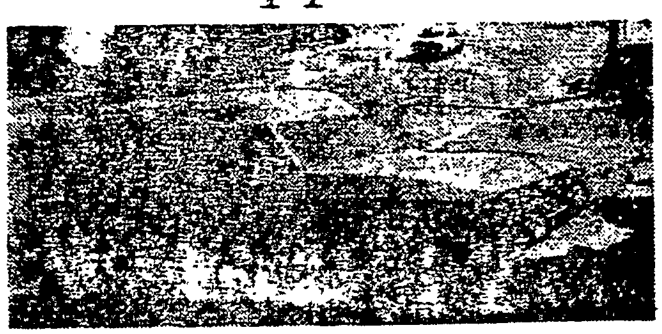
Mr Michael John Miller, the bank's Kuwait representative, left an address in Coogee four months ago and has not been seen since.

His white Falcon panelvan is still sitting in the driveway of his former home.

Former associates say Mr Miller, who is about 30, was engaged to work as the bank's Kuwait representative just before Nugan Hand's chairman, Mr Frank Nugan, committed suicide in January.

When Mr Miller disappeared he left behind confidential Nugan Hand bank documents, a $700 telephone bill and personal papers.

Among these was a $40,000 account with the Hongkong and Shanghai Banking Corporation in Hong Kong. It shows two substantial deposits from banks in Luxembourg.



Mr Miller's panel van outside his former home.

Former associates of Mr Miller are at a loss to explain his disappearance. But he told one that he was trying to obtain travel documents to go overseas.

Mr Miller also told this person that he was once a mercenary in Rhodesia.

A number of other Nugan Hand operatives also vanished shortly after Mr Frank Nugan's suicide. Police and Corporate Affairs Commission Investigators are still searching for Mr Michael Hand, the bank's co-founder, and Mr Bernie Houghton, the bank's representative in Saudi Arabia.

The Sydney Morning Herald, Sat, Sept 27, 1980 2

List of names holds only clues

Bank, drug trade links suspected

PAGE 2 THE INSIDE REPORT

Among the papers found after Frank Nugan's suicide was a list of names including those of three known drug dealers.

The names are Ken Dooley (an alias used by Ken Derley), Reg Parkin and James Sweetman.

Another name on the list is P. J. Hayward, thought to be Paul Hayward, the former Newtown Rugby League player, who has pleaded guilty in Thailand to the possession of eight kilograms of heroin.

Two hundred names are on list, which was found among personal papers in the Mercedes in which Frank Nugan took his life. Those listed are believed to have been debtors to the failed Nugan Hand Bank.

Other information pointing to a link between the bank and drug-dealing was given to the NSW Royal Commission into Drug Trafficking last year.

The Royal Commissioner, Mr Justice Woodward, concluded that there was sufficient evidence "to raise serious misgivings as to the group's involvement with criminals and to justify a full inquiry into its affairs and the parts played therein by Nugan and Hand."

How did a merchant banker whose bank had a $1,000 million turnover, and who had a string of international offices and a $1 million home at Vaucluse become involved in the Royal Commission into Drug Trafficking?

The answer seems to lie in the special services offered by the Nugan Hand Bank — secret coded accounts, off-shore banking facilities and the laundering of money through a network of Nugan Hand-controlled "shelf" companies.

The big unanswered question

The third in a series of articles by ANDREW WATSON on Frank Nugan and the Nugan Hand bank's operations.

of the great Nugan Hand collapse is whether the bank was used to channel drug money.

One starting point in attempts to unravel the mystery is Frank Nugan's secret list and the name "Ken Dooley" with the notation, "19,267.92 loan".

Ken Dooley, alias Ken Derley, was convicted for his part in the unsuccessful attempt to bring into Australia on the yacht Anoa 4.8 tonnes of marihuana, said to have a retail value of $50 million.

The Anoa deal involved the purchase of the yacht in Hong Kong, paying Thai army officials a $50,000 bribe and purchasing the 4.8 tonnes of marihuana in Thailand.

The NSW Royal Commission was given three versions of the financing of the deal by Murray Riley, a former NSW detective-sergeant, jailed for his part in importing the marihuana. The Royal Commission rejected his evidence on the financing.

Another person involved in this deal was Reg Parkin, who also convicted. His name appears twice on Frank Nugan's list. One entry states: "Parkin Reg nil". The other simply lists his name.

A fascinating entry on Frank Nugan's list is "Sweetman J. nil". Police have concluded that this is James Randolph Sweetman, described by police as a known "major drug dealer".

Sweetman is believed to have gone to the United States to avoid being called to give evidence before either the Federal or NSW Royal Commission hearings. But it must be remembered that Frank Nugan was a regular traveller to North America.



In 1970 Sweetman was convicted of selling and possessing amphetamines after $500,000 worth of amphetamines were stolen from the Sydney warehouse of May and Baker. Convicted with him was Stanley John Smith, a known criminal.

Frank Nugan's list also contains an entry of $210,000 against the name of a person described by police as an associate of Karl Bonnette. During the NSW Royal Commission hearing, Bonnette was described as "The Godfather."

Bonnette describes himself as self-employed, dealing in cars, boats, diamonds and gold. He is a frequent traveller to the United States and has had business dealings with some of the Anoa crew.

During the NSW Royal Commission evidence, it emerged that Frank Nugan was a friend of Harry Wainwright, a former US laywer who migrated to Australia in 1968. Wainwright was described to the commission as an alleged associate of known Mafia leaders in the days when he practised as a lawyer in the United States.

The Royal Commsion's report noted that it received "some information" implicating Wainwright and Danny Stein, a known US Mafia boss, in the financing of the Anoa marihuana plot.

The Royal Commission said it could not establish anything directly linking Wainwright and the Nugan Hand Bank with the Anoa drug deal. But the Commission concluded there should be a full investigation of both Wainwright and the bank.

The Commission report found Mr Wainwright's explanation of his deposits and transfers with Nugan Hand "unconvincing". "There is no evidence to suggest to what other use Wainwright may have put the funds," the report said.

Mr Wainwright explained his transactions as necessary to "pay expenses on overseas trips and to lend to friends".

In 1973 Mr Wainwright was charged in the United States with "conspiracy involving tax avoidance." But before indictments were filed against him, he returned to Australia and took out Australian citizenship.

The Royal Commission established that during 1975 and 1976 he was investing funds with the Nugan Hand Bank. But bank records do not show the extent of these deposits and withdrawals.

Wainwright's dealings with the bank were personally handled by Frank Nugan and they became friends. They played chess together.

Michael Hand, a co-founder of the bank, was also a friend of Wainwright. But during evidence he gave to the Royal Commission he said he did not have detailed knowledge of Nugan's relationship with Wainwright or the bank's dealings with him.

The commission's report concluded that Hand was "not as "ignorant of the affairs of the Nugan Hand group in Sydney as he suggested."

The full story of the bank's involvement with the drug trade will probably never be known. Detailed records were never kept of many transactions and clients were given numbered cards to operate secret accounts.

The master lists of codes and names have disappeared since Frank Nugan's suicide and Michael Hand's disappearance two months ago.

It seems Frank Nugan kept many of the details in his head. And when he shot himself in January he obliterated them as effectively as any shredder could.

or peculiarities for handling his account." This was then forwarded to Frank Nugan in Sydney or Michael Hand in Singapore.

Another intriguing facility offered to clients was through the Montevideo Shipping Co Ltd. Staff members were instructed in the confidential bank manual that if clients "for reasons of security" wanted to send funds to a non-Nugan Hand Bank account they should use Montevideo's account with the Wing-On Bank in Hong Kong.

Under this laundering operation the funds were then passed to the designated bank in Hong Kong in a separate transaction.

Another attraction for clients was the group's activities in the tax-free Cayman islands. They offered to run Cayman-registered companies by mail for clients and provided advice on setting up Cayman-based tax avoidance and evasion schemes.

One of these operations involved the establishment of trust accounts in countries gazetted by the Taxation Office as tax-havens. Normally a person wishing to set-up a trust fund in a gazetted tax-haven has to get approval from the Commissioner of Taxation.

The bank's manual recommends a blatant breach of this requirement by stating: "It appears that the only way around the problem is to remit the funds firstly to a non-gazetted country then to the selected tax haven."

Clients were given a coded card enabling them to transact business at the bank's worldwide offices "without the client identifying himself by name"

"Under no circumstances should a staff member inquire as to the client's name or the nature of his business," the manual states.

Cards held by sensitive clients carried a red dot and could be signed with a code word

The manual suggests codenames such as "Louis Watch," "Oscar" or "Fizzy" These codenames were then brought together in Hong Kong, Singapore and Sydney. Investigators have not yet found a master list.

The manual says: "All envelopes emanating from Hong Kong have no company markings or return addresses on the outside, in an effort to ensure privacy for the client."

Despite these measures, bank employees were under a permanent instruction to write a comprehensive analysis "of any client's individual requirements

The Australian Commissioner of Taxation is now investigating the bank's irregular tax operations in Hong Kong and the Caymans.

Officials are also investigating allegations that the bank claimed to have "men in the Taxation Department". Allegations have been made to the Australian Federal Police that these men accepted bribes to approve Nugan Hand tax schemes.

Some of these bizarre transactions by the bank were outlined to Mr Justice Woodward during the NSW Royal Commission into Drug Trafficking. The Commission was investigating links between the bank and known international drug dealers.

Mr Justice Woodward reached the conclusion that there has been sufficient evidence put before him to raise serious misgivings as to the group's involvement with criminals and to justify a full inquiry into its affairs and the parts played therein by Nugan and Hand.

TOMORROW: The Drug Link.

UNCLASSIFIED

2 The Sydney Morning Herald, Monday, Sept 29, 1980 2

Federal Police undermined by US intelligence tip-off

A curious collection of links with the CIA

PAGE 2 THE INSIDE REPORT

This is the final article in a four-part series by ANDREW WATSON investigating the collapsed Nugan Hand financial empire. Today, a curious collection of links with the CIA through the employees and contacts of Michael Hand. Hand and Nugan fitted into the intelligence world just as easily as they dealt with criminals, drug dealers and gun-runners.

How did merchant bankers in Sydney come to know the movements in the United States of a secret investigation team sent by the Australian Federal Police?

Last year the Federal Royal Commission into Drug Trafficking sent the detectives to investigate the Nugan Hand bank group in America.

They followed up leads suggesting that the bank was involved in drug trafficking and had links with the US Central Intelligence Agency (CIA).

Within days of their return to Australia the police were amazed to receive a phone call from Michael Hand, a co-founder of the bank. He asked why they had not got in touch with the bank in Sydney and gone to its Sydney office to inspect records.

Police sources say the US trip was a closely guarded secret and only senior US intelligence and Federal Bureau of Investigation officers knew about it.

"The Nugan Hand bank must have had very hot contacts in the States to know anything about it," a senior police source said.

"Obviously they had been given a tip off by somebody in US intelligence circles."

It seems that the Nugan Hand bank, and some members of its world-wide staff, fitted into the shadowy world of intelligence just as easily as they operated deals, no questions asked, for suspected criminals in Sydney.

Michael Hand had his first taste of US intelligence work during the Vietnam War. He worked with the Green Berets and the Special Intelligence Service in sensitive zones.

During these years, Michael Hand met Bernie Houghton, a US citizen and a quixotic character then running the Texas Tavern in Kings Cross.

According to police, Houghton had contacts in US intelligence during the Vietnam War. He became the bank's Saudi Arabian representative.

Both Hand and Houghton vanished two months ago. They are believed to be in the United States.

When Michael Hand wasn't soldiering in Vietnam he turned his mind to business in Australia. He went into property speculation on the NSW North Coast and established Australasian and Pacific Holdings Ltd in 1969.

Shareholders with Hand in this property and tourism venture were four US citizens working for Air America — a CIA-controlled company.



As Nugan and Hand moved into merchant banking they consistently recruited staff with either a US intelligence or military background.

Their representative in Hawaii was General Ed Black, a retired commander of US forces in Thailand and Vietnam in the 1960s. During World War II, Ed Black was with the Office of Strategic Command (the forerunner of the CIA).

Their bank president in the tax-free Cayman Islands was Admiral Earl Yates (retired), a former senior aide to the US Secretary of the Navy.

The Bank's Washington lawyer was Mr William Colby, the former chief of the CIA, dismissed by President Gerald Ford. Mr Colby's name was found among Frank Nugan's possessions when police investigated Nugan's suicide this year.

Mr Colby says he knew both Hand and Nugan. But the relationship was strictly lawyer-to-client and he has declined to outline exactly what that covers. He says he worked for the bank from early 1979.

Before Colby rose to be head of the CIA his agency duties included leadership of the Phoenix Program, an operation intended to terrorise Viet Cong supporters.

During Hand's tour of duty in Vietnam he was seconded to one of the Phoenix Program's terror squads.

Is all of this more than mere coincidence? Why would the CIA be interested in the Nugan Hand bank?

In 1975 the offshore, Cayman-based Castle Bank closed its offices. The bank was being used by the CIA as a conduit for millions of dollars earmarked for CIA operations in Cuba and Latin America.

The Castle Bank was also used for tax dodges by prominent US citizens — businessmen, entertainers, organised-crime figures.

Suddenly in 1976 the Nugan Hand bank registered in the Cayman Islands. There is speculation that it filled the gap left by the hasty closure of the Castle Bank.

Certainly the Nugan Hand bank has been linked with CIA-style activities such as gun-running and the illicit channelling of funds.

An incident in 1977 helps to shed some light on the bank's American connection. Mr John Dowd, the NSW Liberal MP

and the shadow Attorney-General, had a visit from a US businessman called "Jack."

Mr Dowd recalls that his visitor said he had come from Hawaii to discuss a "deep cover" job with Frank Nugan. His background was in US intelligence and he deals in oil commodities. The term "deep cover" was never clearly explained to Mr Dowd.

"Jack" approached Mr Dowd after reading reports about the bank in Sydney newspapers.

Mr Dowd said "Jack" told him the bank was trying to break into the gambling resorts of Las Vegas and Phoenix (Arizona).

"Jack" told Mr Dowd that the bank was moving "enormous amounts" of money around the world.

Another puzzle is Mr Walt McDonald, a former CIA petroleum expert and Nugan Hand consultant until February of this year. He resigned shortly after Frank Nugan's suicide in January.

Mr McDonald was also a personal friend of the CIA's specialist on the Soviet Union, Mr John Paisley. In September, 1978, Paisley's badly decomposed body was found floating in Chesapeake Bay near Washington. His abandoned yacht contained highly secret CIA communication gear.

Police believe he committed suicide. But, as with Frank Nugan's death, little forensic evidence was obtained immediately after the body was discovered.

Another highly respected consultant to the bank was Mr Guy Pauker, an employee of the CIA-linked Rand Corporation. Mr Pauker is an expert on Indonesian affairs and arranged introductions for Frank Nugan with officials in the Indonesian Government.

Another link with the CIA comes through Mr Dale Holmgreen, the bank's Taiwan representative. Before joining the bank he filled in his working day as flight services manager for Civil Air Transport, another CIA proprietary operation.

It was a strange visit to Mr Dowd. But even stranger is the fact that detailed notes made by Mr Dowd of this 1977 interview were posted to him from Hong Kong this year.



Michael Hand

"I have no idea how anybody could have known about the interview or got the notes," Mr Dowd said.

The curious collection of links certainly points to a CIA connection. Exactly what it was may never emerge.

Nugan Hand 'used' ANZ

A senior official of the ANZ Bank said yesterday he thought that the failed Nugan Hand Bank tried to make out that it had "a special relationship" with the ANZ Bank.

Mr Max Sandow, NSW manager of the ANZ Bank, was commenting on a confidential Nugan Hand Bank manual that instructed staff to refer Nugan Hand Bank clients only to the ANZ Bank for financial references.

The Nugan Hand manual also instructed staff to refer prospective clients to specific ANZ Bank officials in the United States, South-East Asia and Sydney.

It said: "All of the aforementioned people at the appropriate banks are willing and able to give references as required."

Another section of the manual said the ANZ Bank "will know more about the activities of NH (Nugan Hand) International than any other banks in Australia."

Mr Sandow said: "I think it was in the interest of Nugan Hand that it tried to make out it had a special relationship with us.

"Unfortunately we can't stop someone compiling a manual that implies such a relationship. And we can't stop it naming our people in its manual."

Mr Sandow said the Nugan Hand bank account with the ANZ Bank was treated as any other bank account.

"There was no special treatment of its account," he said.

"In fact because of things mentioned in the marketplace about Nugan Hand we kept it at arms' length."

Mr Sandow thinks the Nugan Hand bank account with the tempt to use the ANZ Bank's reputation to draw in prospective clients.

The ANZ Bank has a number of offices in South-East Asia, and Mr Sandow said: "It would look good for Nugan Hand to make out it was part of that operation."

The Nugan Hand manual also claims that the bank was a large customer of the ANZ Bank. Mr Sandow said he could not comment on the size of the Nugan Hand account because of the confidentiality of the customer-to-client relationship

THE WALL STREET JOURNAL

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PAGE 1

Australian Mystery

Fall of a Banking Firm Spotlights the Roles Of High U.S. Officials

Frank Nugan's Violent Death Opens Lid on Odd Traffic In Dope, Foreign Funds

Politicians Charge Cover-Up

By JONATHAN KWITNY
Staff Reporter of THE WALL STREET JOURNAL

SYDNEY, Australia—At 4 a.m. Sunday, Jan. 27, 1980, a police sergeant and a constable, according to their testimony, were patrolling a lonely stretch of highway 90 miles from here when they spotted the parking lights of a Mercedes on an old road off in the woods. Inside the car, slumped across the front seat in a puddle of blood, was the body of a 37-year-old man with a new rifle in his hands.

They searched his pockets and found the business card of William Colby, the former U.S. director of central intelligence. On the back of the card was the itinerary of a trip Mr. Colby planned to make to Asia in the next month. The two policemen also found a Bible with a meat-pie wrapper interleaved at page 252; on the wrapper were scribbled the names of Mr. Colby and U.S. Rep. Bob Wilson of California, then the ranking Republican on the House Armed Services Committee.

This is the first of a series of articles.

All this might sound like the beginning of a Hollywood spy movie, but the studios would have to assign their most imaginative scriptwriters to produce a tale as startling as the real-life events that have followed that grisly discovery more than two years ago. The body was quickly identified as that of Frank Nugan, the chairman of a group of companies affiliated with the private Australian banking concern of Nugan Hand Ltd. Since then, investigations have pieced together a picture of an amazing swindle that spanned six continents and bilked investors out of millions of dollars.

A Political Issue

More perplexing yet, evidence has turned up that Nugan Hand bank was deeply involved in moving funds about the world for big international heroin dealers and also might have been involved in the shady world of international arms traffic. To cap it off, the offices of Nugan Hand and its affiliates were loaded with former high-ranking U.S. military and intelligence officials (see story on Page 22).

This has convinced many Australians that the company was involved in secret work for the U.S. government. Despite official denials from Washington, the issue has refused to die and has become one of the central debating points in Australian politics.

The issue of U.S. investment may be hard to resolve because many of the essential records were destroyed. Within hours after the discovery of Frank Nugan's body, telephones began ringing urgently all over the world. One was on the desk in Manila of three-star U.S. Gen. LeRoy J. Manor, the recently retired chief of staff for all U.S. forces in Asia and the Pacific. After his retirement, Gen. Manor had been on secret duty for the Air Force and at the time of Mr. Nugan's death he was helping run Nugan Hand's Philippine office.

Ransacking the Files

According to Nugan Hand's public-relations man, Tony Zerilla, Gen. Manor called him and told him to stop the wire services from reporting Mr. Nugan's death. Mr. Zorilla says he replied that this would be unethical and impossible, and he refused. (Gen. Manor would describe his activities with Nugan Hand only in general terms, and he wouldn't discuss this incident.)

Halfway around the world, Rear Adm. Earl P. "Buddy" Yates, the recently retired chief of staff for strategic planning for U.S. forces in Asia and the Pacific, heard the news and immediately jetted to Sydney, Nugan Hand's main office. Adm. Yates was the president of Nugan Hand, though he lived in Virginia Beach, Va. En route to Sydney, he met Nugan Hand's vice chairman, Michael Hand, a highly decorated Green Beret during the Vietnam War and a former U.S. intelligence operative, coming from London. They raced to the Nugan Hand office and with a few other insiders began ransacking the files.

According to witnesses, enough records to fill a small room were fed to a shredder. Others were packed in cartons, with everyone helping, and carried at night to the back room of a butcher shop owned by Robert W. Gehring, a former Army sergeant in Vietnam. Mr. Gehring worked for Maurice Bernard Houghton, a mysterious Texan who has owned several bar-restaurants in Sydney and who had played an active role in Nugan Hand's affairs since its inception in 1973.

A Lawyer's Advice

Mr. Houghton not only joined the rape of the files, but also brought his lawyer, Michael Moloney, to direct it. According to the testimony of Stephen K. A. Hill, a Nugan Hand director who joined the record-rifling that week, Mr. Moloney urged the group on by warning, "I am fully aware of what has been going on. You all face jail terms of up to 16 years."

According to Mr. Hill's testimony, the burly Mr. Hand then broke in to say that if Mr. Moloney's orders to sanitize the files before the law arrived weren't followed, "terrible things" would happen—"Your wives will be cut up and returned to you in bits and pieces."

Mr. Moloney in a recent interview said, "Sure, I advised Hand to take documents out of the office. I was told there were serious deficiencies in the accounts. Everything I did I talked about with Yates first." (Adm. Yates refuses to discuss any part of his activities with Nugan Hand.)

A few months later, on April 11, 1980, Nugan Hand went into liquidation. And the secrets that were so frantically being destroyed after Frank Nugan's death began to be reconstructed. Exposed to view, like maggots, were dozens of affiliated corporations, with little or no real assets, that Nugan Hand had set up to help its clients avoid taxes and move money overseas secretly and often illegally. Mr. Nugan had boasted that $1 billion a year passed through these companies.

Still unanswered is the question of why so many high-ranking U.S. military and intelligence officials were working for the company. The CIA has denied involvement, and the State Department says that Nugan Hand wasn't in any way a U.S. government operation. But liquidators of the company and various Australian law-enforcement officers express anger and bewilderment that the CIA, the FBI and the U.S. Customs Service, all of which have information on Nugan Hand, have refused to release it to help in the current criminal and civil investigations.

"It has obvious overtones that somebody is covering something up," says the court-appointed Australian liquidator, John W. O'Brien.

From its base in Sydney, Nugan Hand had opened at least 22 offices around the world, including four in the U.S. After the company failed, anguished messages poured in from individuals who had invested money at above-market interest rates in securities sold by Nugan Hand and who now stood to lose everything. Liquidators say the official shortfall could reach $50 million. The victims include many Americans, not only on the U.S. mainland but also at construction sites in Saudi Arabia and at military bases and legations throughout the Far East, Hawaii and the Philippines.

4

Nugan Hand carried out its operations with intense secrecy. Cables and interoffice messages were in code and often were marked "Destroy After Perusal." Company employees and customers were referred to by coded serial numbers rather than by name, and even references to foreign currencies were disguised: "Oats" stood for Swiss francs, "grains" for U.S. dollars and so forth down to "berries" for Portuguese escudos.

An Impossible Job

This, plus the obvious phoniness of many of the transactions carried on between companies within the Nugan Hand galaxy, makes the job of settling claims by creditors almost impossible. "We wouldn't be able to pay 1%" on outstanding claims, says a liquidator. Many creditors aren't even bothering to file claims, apparently fearful that to do so would expose them to prosecution for illegal deals carried out with the aid of Nugan Hand.

Currently, liquidators in Sydney, Hong Kong and the Cayman Islands are investigating the company, and often working at cross purposes, seeking to recover assets. Criminal investigations are being conducted by the New South Wales attorney general's office and by a joint task force of the New South Wales police and the Commonwealth police. The Royal Commission on Drugs ran into Nugan Hand so much that it has recommended a separate Royal Commission be appointed just for Nugan Hand.

In June 1980, Mr. Hand, disguised and using a phony passport, flew to the U.S., apparently via Fiji and Vancouver. He hasn't been seen since.

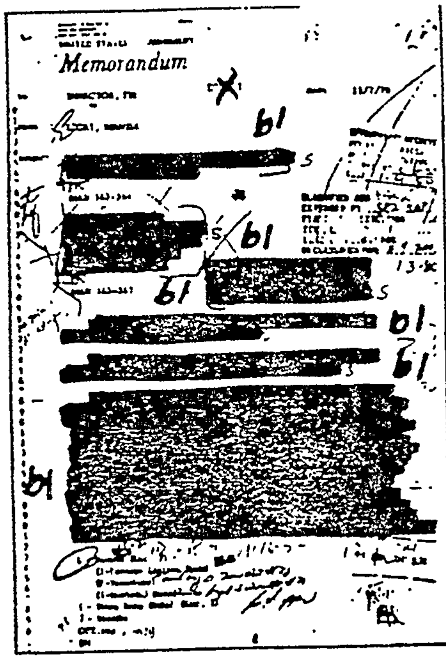
Leaving Australia about the same time as Mr. Hand was his closest friend and adviser, Bernie Houghton. Mr. Houghton has a broad acquaintanceship with many high-ranking U.S. officials. As a civilian, he daringly traded goods all over the Southeast Asian war zone in the 1960s. Though his restaurant is in a sleazy district of Sydney that swarms with prostitutes and sex shows, he has frequently welcomed congressmen, CIA officials and military brass there. A few weeks before Mr. Nugan died, Mr. Houghton played host, at a luncheon and later a dinner, to five members of the House Armed Services Committee who were in Australia to inspect defense and intelligence-gathering facilities. He arranged for Rep. Wilson and another congressman and their wives to dine with Mr. Hand. Last year, Mr. Houghton returned to Australia. Authorities have questioned him at length, but he avoids reporters.

Exhuming a Body

With the scandal refusing to die down in Australia, reports began to circulate that the body in Frank Nugan's grave wasn't his and that he was still alive and hiding out in the U.S. Once he was reported having been seen in a bar in Atlanta. Finally, in February 1981, officials ordered the body exhumed. With gruesome diligence, Australian TV covered the event by interviewing practically everyone in the area. ("Some are dry, some are wet," one gravedigger told interviewers, complaining that Mr. Nugan was "wet" and therefore "very messy.") A dentist definitely identified the remains as those of Mr. Nugan.

Stymied by the lack of progress in the investigation of the company's affairs, the National Times, an Australian newspaper, petitioned the FBI under the U.S. Freedom of Information Act for information it had on Nugan Hand. The newspaper was told that of some 151 pages of material in FBI files, it could see 71. But when the papers arrived, they resembled a collection of Rorschach tests, with page after page blacked out in heavy ink and bearing the notation "B-1," indicating that disclosure would endanger U.S. "national defense or foreign policy." What was left was a few pages of more or less routine information, such as a copy of a Nugan Hand subsidiary's petition for incorporation in Hawaii.

In response to more-official Australian demands, the State Department sent a two-man FBI delegation to Sydney in April, 1982. But the two men stonewalled, telling law-enforcement officials that the FBI had already given its information to an appropriate Australian agency; they wouldn't say which agency or re-release the material. Australian state and national police investigating Nugan Hand say that they have never received the information. The only other likely recipient would appear to be the Australian



Security Intelligence Organization (ASIO), a secret counterspy group that long has worked closely with the CIA.

By law, ASIO can give information only to Prime Minister Malcolm Fraser or his attorney general. Mr. Fraser says the U.S. has assured him that it had no connections with Nugan Hand, and he has rejected opposition-party demands for a top-level probe. But the continuing parliamentary debate could rekindle the once-hot political controversy over vital CIA bases here. These bases monitor U.S. satellites watching the U.S.S.R. and China and direct the U.S.'s nuclear submarines.

The opposition Labor Party—which now leads Mr. Fraser's Liberal Party in some polls—has openly questioned whether the CIA, through ASIO, helped topple Australia's last Labor government, led by Prime Minister Gough Whitlam. The CIA has denied this. Now suspicions have been raised that Nugan Hand, which handled enormous amounts of money, may have been used to channel funds to favored Australian

When Vice President George Bush visited Australia this April, Labor Party leader B[illegible] Hayden—a strong possibility to bec[illegible] prime minister—used his 30-minute meeting with Mr. Bush mostly to press for the release of details on the Nugan Hand and Whitlam affairs. Mr. Bush only gave his assurances that the CIA wasn't involved in either matter. Mr. Bush was the CIA director in 1976, succeeding Mr. Colby, who later was to become a lawyer for Nugan Hand.

A Secret Wiretap

Suspicions of a government cover-up could be heightened by a disclosure by Australian liquidator O'Brien. He says that he has learned from the government-run telephone company that Frank Nugan's phone conversations were secretly recorded the last two years of his life on a device installed at the phone company, apparently by a government agency. Mr. O'Brien says phone-company officials have told him that the tapes—which might solve the mystery of who was behind Nugan Hand—aren't at the company anymore.

Wiretap authority is tightly restricted in Australia, being allowed only in cases involving national security or narcotics investigations, according to the Commonwealth attorney general's office. State and national police have reported that they don't know anything about a wiretap on Mr. Nugan. Again, this points the finger at ASIO.

Australian immigration records show that a senior ASIO official, Leo Carter, vouched for Nugan Hand's ubiquitous Mr. Houghton when he entered Australia in February 1972 with an expired visa. On ASIO's word, Mr. Houghton received a visa allowing him to come and go from Australia at will. Mr. Carter has died, and ASIO won't comment on this.

Presumably the most sensitive of Nugan Hand's records were shredded right after Mr. Nugan died. But the remaining records hold many suggestions that the company may have been much more than a banking venture.

Notes on Troop Movements

The records contain long, periodically filed reports about military and political activities, mostly in Kampuchea (Cambodia), but also in Laos, Vietnam and Thailand. There is no concrete evidence that Nugan Hand was an active participant in U.S. covert operations. However, these detailed reports of troop movements appear unconnected to any banking or business activity. They were prepared by Nugan Hand's Bangkok representative, John Owen, a former career British navy officer, and bear notations that they were to be shown to Mr. Hand.

The files also show that Nugan Hand worked on big international arms deals, though it isn't clear what, if anything, was shipped.

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For example, there were proposed transactions in 1979 for a partnership with Prince Panya Souvanna Phouma, the son of the former Laotian leader Souvanna Phouma. In one letter, Prince Panya took three pages to list available weapons, including missiles, light and heavy armor, tanks, combat helicopters and F-104 fighter planes and naval patrol planes. Nugan Hand memos indicate that the weapons were intended for delivery to Indonesia and Thailand. They also discuss creation of a private, freight-oriented airline in Southeast Asia (to be called "Sky of Siam") and other deals with Prince Panya.

Memos show that in 1975 Mr. Hand was arranging the sale to Rhodesia of recoilless rifles, mortars, grenade launchers and machine guns, and was negotiating for shipments of Rhodesian ivory.

Mr. Houghton has acknowleged in testimony that in 1979 he met in Switzerland with Edwin Wilson, the former CIA officer who is now in U.S. custody, who is charged with selling explosives in Libya and who is alleged to have supplied trained men, arms and technology to Libya and other military buyers. A former Nugan Hand representative in Thailand, Neil Evans, has testified that Mr. Wilson went to Bangkok to discuss arms deals with Mr. Hand. Other Nugan Hand officials, however, deny Mr. Evans's story.

There seems no denying, however, that Nugan Hand was heavily involved with drug dealers. Murray Stewart Riley, a former Sydney policeman now serving time in Australia for attempting to import a boatload of marijuana from Thailand, was a regular customer of the firm. So were Riley's associates, who deposited drug money in Sydney and withdrew it in Asia.

Riley's business was brought to the bank, according to extensive testimony, by Harry Wainwright, a former San Francisco criminal lawyer who fled to Australia in 1973 after being indicted in the U.S. for income-tax evasion.

Testifying from prison two years ago for an Australian Royal Commission looking into drug trafficking, Riley said his heroin and marijuana imports were intended for the U.S. market. In the words of the commission, he said "that he had been informed that Nugan Hand offered a facility to transfer funds from Hong Kong to the United States."

Over $1 Million of Drugs

Investigators say that they have traced about $250,000 that Riley moved to Asia via Nugan Hand and that they believe the final figure will exceed $1 million. They say they believe that much of the heroin was transshipped to the U.S. in containers that were repaired by a dockside welding firm in Australia that was closely tied to the dope dealers. They believe that another heroin ring tied to Nugan Hand used a similar system.

Apart from their connection with the bank, Michael Hand and Frank Nugan had been viewed suspiciously by narcotics agents for some time. As early as 1973, the Australian Narcotics Bureau began a file on Mr. Hand based on reports that he and K.L. "Bud" King, a former pilot for Air America airline had been flying dope in from Asia to an Australian airstrip. Air America was a Vietnam war-era airline, with close connections with the CIA. U.S. drug-enforcement officials now acknowledge that the airline also occasionally ran heroin out of Southeast Asia's famed "Golden Triangle" poppy-growing area.

The landing strip involved in the 1973 Australian Narcotics Bureau report was on a real-estate development promoted by American singer Pat Boone and financed by millionaire shipping magnate D.K. Ludwig. Mr. Hand had worked as a salesman for the project for several years after he came to Australia in 1967 and later asserted that he had made a fortune from his land sales.

The report of the illegal drug flights came from a lawyer representing Mr. King's housekeeper. Mr. King, who also worked for the Boone-Ludwig project, has since died in a fall. The report was released recently among many files turned over to the Australian parliament by three former narcotics officers who contend that the narcotics bureau covered up information on Nugan Hand drug dealings.

Meeting an Opium Overlord

Other released files include information on alleged Nugan Hand drug deals supplied by Andrew Lowe, formerly Sydney's biggest heroin dealer (by his own assessment), who recently completed a prison sentence for his dope deals. Mr. Lowe has testified that he arranged a meeting between Mr. Hand and Khun Sa, the Golden Triangle's biggest opium overlord, though there is no way to verify that.

Through meticulous police work, however, Australian officials have documented in a still unreleased report a series of transactions tying Nugan Hand not only to drug dealing but also possibly to a series of contract murders in which the so-called Mr. Asia heroin syndicate eliminated at least three persons who were informing Australian police about drug activities. Authorities say the "Mr. Asia" group's heroin circulated in the U.S. and elsewhere and brought at least $100 million cash to the syndicate's operators.

According to testimony from dope ring and bank insiders, corroborated by telephone logs and other evidence, the syndicate was linked to the bank through a two-man Sydney law firm that represented both Mr. Nugan and various members of the heroin syndicate. The firm's senior partner, John Aston, used Nugan Hand for personal financial dealings and helped bring in other clients. And the law office was used as a drop-off point for bank clients who wished to remain secret; they left parcels of cash or other items at the law office for pick-up by bank representatives.

One parcel that bank director George Shaw, a major operational figure at Nugan Hand, says he picked up at the law office March 26, 1979, contained $285,000 in small bills. This money has been traced through a laundering process involving a soft-drink firm that Nugan Hand had acquired (apparently because it dealt in big quantities of cash) and through accounts at different banks in Hong Kong, New York, Boston and Singapore.

Eventually the $285,000 was paid out in checks from Nugan Hand's Singapore office to a man identified by British police as the Singapore leader of the heroin syndicate. The payout was personally arranged by Mr. Hand in Singapore as instructed in a coded telex from Mr. Nugan in Sydney. This complicated money movement was a standard procedure Nugan Hand used for clients wishing to evade Australia's strict currency control laws, designed to keep capital at home.

THE WALL STREET JOURNAL

Admirals, Generals, Ex-CIA Men Took Prominent Roles in Nugan Hand Bank

By a WALL STREET JOURNAL Staff Reporter

SYDNEY, Australia—Enough top-ranking U.S. military and intelligence officers worked for Nugan Hand to run a small-sized war. The list includes:

ADM. EARL "BUDDY" YATES, a 1943 graduate of the U.S. Naval Academy, Legion of Honor winner in Vietnam, and commander of the aircraft carrier USS John F. Kennedy. Then he was the chief of staff for plans and policy of the U.S. Pacific Command, in charge of all strategic planning from California to the Persian Gulf, until his retirement in July 1974. He became the president of Nugan Hand bank early in 1977, recruited by Maurice Bernard Houghton, who apparently is an old friend.

GEN. LEROY J. MANOR, the chief of staff for the entire Pacific Command until he retired in July 1976 to undertake new duties that the Air Force says are so secret that it can't talk about them. These duties are generally known to have included negotiating the 1979 agreement with the Philippine government for continuance of the U.S. military bases there (which Gen. Manor used to command) and investigating the failed hostage rescue raid in Iran in 1980 (an assignment that apparently stemmed from his having designed and commanded the 1970 raid on a North Vietnamese prison camp that failed to find any U.S. prisoners). A much-decorated Air Force three-star general, he also had been the special assistant to the Joint Chiefs of Staff at the Pentagon for "counterinsurgency and special activities." He joined Nugan Hand's Manila office, allegedly to run it (which he denies), in 1979.

GEN. EDWIN F. BLACK a 1940 graduate of West Point. He entered the Office of Strategic Services (OSS), which later became the CIA, and was the OSS commander in Berlin. He was the chief administrative aide to and frequent chess opponent of Allen Dulles, who became the head of the CIA. He was the wartime boss and then tennis partner of Richard Helms, who also became the head of the CIA. He was on the National Security Council staff under President Eisenhower and later the commander of all U.S. troops in Thailand during the Vietnam war, before becoming assistant Army chief of staff for the Pacific. He retired in 1970 to become executive vice president of the Freedoms Foundation in Valley Forge, Pa., a group promoting conservative politics. He also worked for LTV Corp., an important CIA contractor. In 1977, he became the president of Nugan Hand Inc., Hawaii, and special representative of the overall organization, making frequent trips to Asia. He says he was recruited by Adm. Yates and another admiral.

GEN. ERLE COCKE JR., whose entry in Who's Who in America says that during World War II he was "prisoner of war three times, actually 'executed' by a German firing squad and delivered the *coup de grace* but survived 1945." He held various posts with the Defense Department and as an executive with Delta and then Peruvian airlines. He is a former national commander of the American Legion, honorary commander of the Nationalist Chinese Air Force and holder of the French Legion of Honor and top medals from Spain, the Philippines and Italy. Now listed as a retired general with the U.S. National Guard and a consultant. His consulting office served as Nugan Hand's Washington office.

WILLIAM COLBY, the U.S. director of central intelligence, 1973-76. He ran intelligence programs in Vietnam during the war. In 1979 and 1980, as a lawyer with the Wall Street firm of Reid & Priest, he worked for Nugan Hand on a variety of matters—tax problems; the Foreign Corrupt Practices Act; an abortive project to relocate Indochinese refugees on an island in either the Caribbean or the Pacific; an attempt to take over a Florida bank; the operations of Nugan Hand's mysterious Panama branch, and the problems surrounding Mr. Nugan's death. Mr. Colby submitted $46,000 in bills, which weren't paid. A $10,000 check for his retainer was issued but never cashed.

WALTER McDONALD, a career CIA officer since 1975 and deputy director in charge of economic research from 1972 to 77. Then, while still in the CIA, he helped his former boss, onetime CIA Director James Schlesinger, set up and run the U.S. Energy Department. He served on the National Foreign Intelligence Board, the senior advisory group in the intelligence community. He announced his retirement in 1979, went into consulting and almost immediately by his own account began spending most of his time with Nugan Hand, traveling in the U.S. and Europe with Mr. Nugan and talking with him daily.

GUY PAUKER, a Rand Corp. staff member who has advised the CIA and other government agencies since the 1950s, although he denies reports that he is a career employee of the CIA. Mr. McDonald, whom he calls his "good friend," once said that Mr. Pauker has long had frequent personal access to White House national security advisers, including Henry Kissinger and Zbigniew Brzezinski. Mr. Pauker went to work as a consultant to Nugan Hand after Adm. Yates introduced him to Messrs. Nugan and Hand. He, in turn, introduced them to Mr. McDonald. Mr. Pauker says he wasn't involved in any completed deals for the bank.

DALE HOLMGREN, a former U.S. Army officer in Taiwan who became manager of flight services for Civil Air Transport, a CIA-run airline in the Far East. He then went into business in Taiwan. He opened the Nugan Hand branch in Taipei in 1978 as a one-man representative. Adm. Yates once said that Mr. Holmgren had long worked with the U.S. military in Taiwan to develop "within the social structure of the Chinese in Taipei a close relationship with the U.S. military forces and the business and government community." Adm. Yates also said that Mr. Holmgren had worked for Nugan Hand without pay at least for a while because he had an independent income.

ROBERT "RED" JANSEN, a former CIA station chief in Bangkok who advised Thai governments through almost daily meetings with the prime minister in the early 1970s, according to persons close to them. He worked for Nugan Hand there in 1978, although he apparently severed his relationship that year (according to a colleague, because he was warned by the U.S. embassy that his presence at the bank had aroused suspicion). Mr. Jansen apparently has an unlisted number and couldn't be reached for comment.

[Aust]ralian Mystery

[U].S. Servicemen Are Big Losers in Failure Of Nugan Hand Bank

Laborers at Mideast Projects Also Suffer; an Iranian Is Down to 'Last Pennies'

A General's Smart Friends

By JONATHAN KWITNY
Staff Reporter of THE WALL STREET JOURNAL

SYDNEY, Australia—The close relationship of so many former high-ranking U.S. military and intelligence officials with Nugan Hand Ltd., a private Australian bank, has aroused deep suspicions among some Australians that the company was in some way an instrument of the U.S. government.

Undoubtedly, the prestige of these men was a powerful help in getting business for the bank. Retired Adm. Earl "Buddy" Yates, the former chief of staff for plans and policy of the U.S. Pacific Command, became the president of Nugan Hand in 1977. Occupying the bank's Manila office was former three-star Gen. Leroy J. Manor, the former chief of staff for the entire Pacific Command. Former Gen. Edwin F. Black was the president of Nugan Hand Inc., Hawaii. Former Gen. Erle Cocke Jr., the former national commander of the American Legion, handled Washington, D.C., matters for the firm, and William Colby, the former director of the CIA, performed legal work for it.

This is the second of a series of articles.

Aggressive Salesmen

Nugan Hand went into liquidation in April 1980. Earlier, on Jan. 27, Frank Nugan, the Australian co-founder of the firm, was found shot to death in his car, apparently a suicide. Later, in June, the other principal, Bronx-born Michael Hand, a former Green Beret and covert U.S. agent, fled from Australia in disguise and hasn't been seen since. Continuing investigations have proved that the bank and some of its business customers were engaged in illegal activities from tax fraud to moving drug money.

The U.S. State Department has issued a denial that the government was in any way involved in the operations of Nugan Hand. But this does little to ease the pain of hundreds of individual investors who had put their savings into various ventures sponsored by the bank. Over the previous three years, aggressive salesmen had taken deposits all over the world, promising yields well above prevailing interest rates. Since the bank's failure, claims for more than $20 million have come into a court-appointed liquidator's office in Hong Kong. This doesn't include claims to another liquidator, based in Australia. All told, the official shortfall could come close to $50 million.

Gen. Manor's Role

On the very day in January that Mr. Nugan was shot, Col. H. Kirby Smith, the chief judge of the U.S. Air Force Seventh Judiciary Circuit at Clark Air Force Base in the Philippines, handed $20,000 to Gen. Manor, Nugan Hand's man in Manila. He received Nugan Hand certificate of deposit No. 11531, promising 15.125% interest. Col. Smith's claim says that he didn't hear of Mr. Nugan's death until the bank collapsed in April. It was "somewhat of a shock," he says, adding, "$20,000 is a lot to a military man and his wife."

Col. Jimmy Maturo, since retired, was stationed in Hawaii when he deposited a total of $27,000 on the recommendation of his old boss, Gen. Manor, he says. Col. Maturo deposited the last $16,000 on March 12, 1980, long after Nugan Hand insiders began preparing for the bank's demise. "There are plenty of others (victims) around town," Col. Maturo said recently in Hawaii, adding a harsh comment about the "rats" who ran the company.

Though the Philippines branch apparently wasn't authorized under Philippine law to take deposits, claims from Philippine residents have piled up at the liquidator's office in Hong Kong, which says that two of the documented claims were for $1 million each.

In a recent telephone interview, Gen. Manor at first insisted that he "had nothing to do with Nugan Hand Bank." Faced with evidence, he said he "was brought in just to learn," and hung up. Records show that he worked for the bank at least as early as October 1979. Tony Zorilla, Nugan Hand's public-relations man, says that Gen. Manor managed the Philippine office. Gen. Manor's partner there, Wilfred P. Gregory, says that Gen. Manor kept offices for a while both at Nugan Hand and at the U.S. embassy, a few blocks away. Told all this in a second call, Gen. Manor replied, "Many things in your line of reasoning are in error." Asked what they were, he broke off the interview again and wouldn't say more.

Visits on Paydays

Adm. Yates declined to discuss Nugan Hand, even after he was told that the evidence seemed to put him at the center of its operations. "You print whatever you want," Adm. Yates said. "I've never had any success in dealing with reporters."

In the three months immediately after Mr. Nugan's death, the bank appeared to be trying aggressively to bag all the cash it could despite the growing prospects of its liquidation. A rich source of funds was Saudi Arabia, where the bank hauled in an estimated $5 million to $10 million from Americans who worked there. The Americans got their salaries in cash and then had no place to invest it because Saudi banks pay only nominal interest.

As described in a claim letter from Tom Rahill, an American working in Dhahran, Saudi Arabia, "Representatives would visit Aramco construction camps in Saudi Arabia shortly after each monthly payday. We 'investors' would turn over Saudi riyals to be converted at the prevailing dollar exchange rate and receive a Nugan Hand dollar certificate. . . . The moneys, we were told, were to be deposited in the Nugan Hand Hong Kong branch for investments in various 'secured' government bonds."

Aramco and other large U.S. concerns, including Bechtel, Henry C. Beck Co. of Dallas and University Industries Inc. of San Diego, are said by investors to have permitted sales solicitations of employees by the bank and let salesmen hold meetings on company property and use company bulletin boards.

"The companies were passing down to their employees that this was being made available, and they could put their money in and get 18%," says Linda Geyer, now of San Diego. When she lived in Saudi Arabia in 1979, her husband—who died recently of cancer—invested and lost $41,481 with Nugan Hand, and her son, John H. Geyer, invested and lost $32,500. Both men worked as plumbers with University Industries on a construction job run by the Beck company's Beck Arabia unit.

"Everybody said, well, Beck, they're not going in with just any old guy," says Mrs. Geyer. Nugan Hand's man in the area was Maurice Bernard Houghton, a Texan who was a close friend of Mr. Hand. Mrs. Geyer says that Mr. Houghton "only worked in cash. He left Beck, Bechtel and Aramco with so much money he could hardly even carry the case. One time he needed two briefcases. He used to brag about it. Some people I know lost $100,000 or $200,000 easy."

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El Raji, the Money Changer

According to testimony that Mr. Houghton has given to Australian authorities, he was allowed into Saudi Arabia on sponsorship of the Beck company. Bill Millican, Beck International's U.S. director, says that he has "heard of" Mr. Houghton but that he doesn't know whether Beck sponsored him. "I'm not prepared to talk about it," he says. U.S. spokesmen for Bechtel and University Industries say they know nothing about Mr. Houghton.

Mr. Houghton has said that he also took money from U.S. Air Force personnel in Saudi Arabia. Highly critical of the banks there, he has said that he took the bags of cash he collected to a local money changer named El Raji, who converted it to $1,000 Thomas Cook traveler's checks. He sent the checks to Singapore, where most of them were apparently cashed by Mr. Hand, who was running the operation there, or by a colleague.

At least one victim, Edward F. Pietro, wrote for help to Gen. Black, the president of Nugan Hand, Hawaii. Mr. Pietro had served with Gen. Black in World War II, and he says the names of Gen. Black and Adm. Yates on Nugan Hand's brochures were an important factor in his decision to put $4,900 into Nugan Hand on April 8, 1980, just three days before it filed for liquidation. He says that Mr. Nugan, then dead two months, was "described as quite healthy" by the salesman.

Gen. Black wrote back that he was "truly sorry about the death of Frank Nugan and the subsequent liquidation of his banking empire," but offered no sympathy to Mr. Pietro and no apology.

A Smart Friend

In a recent interview, Gen. Black—who also is a former executive director of the Freedoms Foundation in Valley Forge, Pa.—said he hadn't obtained any deposits for the bank because "my friends were too smart to go putting their money out of the country for hidden interest. If somebody had given me money and this happened, I'd feel personally liable to pay them back if it was a friend of mine. But I don't feel guilty because some guy got swindled. My name isn't well known enough around the world to convince many people" to invest.

Apparently one smart friend of Gen. Black was Rear Adm. L.R. "Joe" Vasey, who preceded Adm. Yates in his strategic planning job and now runs a political-research organization from Honolulu. Adm. Vasey says that both Adm. Yates and Gen. Black tried to get him to invest but that he declined.

Less wise, or at least less lucky, was Saeed Moosavi Nejad, 52, an Iranian lawyer who with his wife and four young children had sought refuge in the U.S. from the Iranian revolution in 1979. Mr. Nejad told his story, in a pathetic letter written in his best English to the Nugan Hand liquidator and later repeated it for this newspaper. In the Washington, D.C., office of retired Brig. Gen. Erle Cocke, Mr. Nejad gave $30,000—"only a saving made almost within the last 25 years in order to live"—to Nugan Hand representative George Farris, a former Green Beret friend of Mr. Hand. Mr. Farris had promised Mr. Nejad 14% interest on his nest egg compared with the 11% he was making at a local bank.

Mr. Nejad can't find a job now. He performs occasional Islamic weddings and otherwise lives off $400 a month his oldest son makes at a Gino's fast-food restaurant. He is suing Mr. Farris in District of Columbia federal court. "I have spent my last pennies," he says.

Gen. Cocke says that he never knew that his good friend Adm. Yates had registered him with the Treasury Department as "person in charge" of Nugan Hand's Washington office. He says he thought Nugan Hand was just renting space from his public-relations business, though he agrees that they shared a phone number, address and receptionist. He agrees also that he visited Nugan Hand in Hong Kong, welcomed Messrs. Nugan, Hand and Houghton in Washington, and arranged high-level White House contacts for Adm. Yates and Mr. Nugan to help their efforts to move Indochinese refugees to a Caribbean island and salvage surplus U.S. military equipment.

Gen. Cocke denies Mr. Nejad's allegations that the general constantly reassured him about the safety of his deposit, though Gen. Cocke agrees that they met and talked often after the money was invested. He agrees that after the collapse he gave Mr. Nejad the name of a Hong Kong lawyer to represent him and that it was Nugan Hand's former in-house counsel (Elizabeth Thomson, who declined to talk to a reporter). He agrees that he told Mr. Nejad early on that he had more than $30,000 of his own money invested in Nugan Hand. He says he lost it. Mr. Nejad says Gen. Cocke told him that the deposit was retrieved after the collapse and that Mr. Nejad could get his money, too, if he went to Hong Kong and hired Miss Thomson. Mr. Nejad says he couldn't afford the trip.

Gen. Cocke says he is still friendly with Mr. Farris, who is back at Fort Bragg, N.C., the Green Beret headquarters, "doing some consulting"—Mr. Farris won't say on what.

A Doctor's Dilemma

A heavy loser was an Australian couple, Dr. and Mrs. John K. Ogden, who not only put $758,000 into Nugan Hand but also gave Mr. Nugan power of attorney over their affairs. After Mr. Nugan's death, Dr. Ogden says he was frightened and went to Mr. Hand, who "kept reassuring me that all was well and there was no reason to take my money back." Now, at age 62, he has returned to medical practice. Meanwhile, Australian tax officers are auditing him on the $758,000 the couple had invested.

The Australian investigations of Nugan Hand customers aren't as heartless as they might seem. Many of the firm's transactions, with business customers particularly, were "wash sales" carried out solely to establish paper losses for tax purposes. Clients would invest money in various ventures Nugan Hand set up. Fees, usually 22%, were deducted, and the rest would be "lent" back to the client with a separate letter forgiving repayment.

The result is utter confusion over who is a debtor. Particularly involved are 252 clients on a list found with Mr. Nugan's body; many are Australian celebrities.

"I'm taking action against people for their own money," says the Australian liquidator, John O'Brien. "They gave it to Nugan, he put it in another company and lent it back to them. Well I say, that it's our (the creditors') money, and I want it back." The courts haven't spoken yet, and Mr. O'Brien hopes that many clients will settle his claims in order to keep the source of their income secret.

Overdrawn Bank Account

Mr. O'Brien has seized some assets, such as Mr. Nugan's $1.3 million home that the company paid for. The Hong Kong official liquidator found only bank accounts that were overdrawn by a net $685,000. Of the $17.2 million listed on Nugan Hand's books as assets, some $16.8 million turned out to be IOUs from Nugan Hand insiders or companies they controlled, according to the Hong Kong liquidators.

The one big institutional loser was Wing-On Bank in Hong Kong, which guaranteed—and has paid off—Nugan Hand's debts to Chase Manhattan Bank and other institutions that demanded guarantees from recognized banks before they took advantage of Nugan Hand's high interest rates. Wing-On itself supposedly was guaranteed against loss by blue-chip securities held in Sydney, but the blue chips were sold gradually after 1977 and replaced by intracompany IOUs, leaving Wing-On short by more than $3 million at the end.

Some $1.5 million in insurance on Mr. Nugan's life proved uncollectible because a coroner's inquest ruled his death a suicide. The evidence all points to that conclusion, based on where the body was found and the angle of the fatal bullet. Fingerprints and photographs weren't taken at the site so the testimony of the lawmen who found the body can't be corroborated.

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Australian Mystery

Banker Frank Nugan In Final Days Faced A Charge of Fraud

But His Violent Death Came As Surprise to Associates; His Partner Disappears

A Phone Call From 'Charlie'

By JONATHAN KWITNY
Staff Reporter of THE WALL STREET JOURNAL

SYDNEY, Australia—There were several reasons why Frank Nugan might have wanted to kill himself. But in January 1980, none of them were apparent to outsiders.

The 37-year-old co-founder of the Nugan Hand Ltd. private banking empire seemingly had the world on a string. His bank was taking in millions of dollars and had recently brought in a young American with impressive banking credentials to take some of the load off his shoulders. Mr. Nugan was negotiating to buy a multimillion-dollar estate for his family. And he apparently had whipped a serious drinking problem.

This is the last of a series of articles.

But as the fateful date of Jan. 26 approached, Mr. Nugan was confronted with two serious legal problems that threatened possible ruin for his bank. In retrospect, moreover, there were signs of eccentric behavior that should have served as warnings.

Mr. Nugan had stopped spending most of his time at Nugan Hand's Sydney headquarters, and he was making more first-class jet trips around the world on missions his associates didn't always understand.

A Walk With Jesus

He began going to church daily and scrawling mystical notes in his Bible, which was always with him. A sample: "I place this day my life, my work, my loved ones in the Lord's hands. He is so good and it will be a good day I believe, I believe it will be a glorious, magical, miraculous day. He is with me now, Jesus walks with me now. Visualize 100,000 customers worldwide. Prayerize. Actualize."

One of Mr. Nugan's legal problems grew out of a 1977 scandal that had developed over a fruit and vegetable business run by Mr. Nugan's brother, Ken, in their home town, Griffith, 400 miles inland from Sydney. Several large insurance companies had acquired a 40% interest in the business during a 1973 stock sale to raise $700,000 for a new cannery. Later, they complained that Ken Nugan was diverting funds.

Auditors discovered big cash payoffs to people apparently linked to narcotics traffic. Ken Nugan explained that the payments were to fruit farmers who wanted to remain anonymous for tax reasons and so were using dope dealers' names as pseudonyms. Amid much publicity, Ken Nugan fired the auditors and kicked the insurance men off the company's board.

This was done at two rowdy shareholder meetings at which drunks and thugs with newly issued 10-share stockholdings packed the hall and swayed procedural votes. Frank Nugan had helped orchestrate his brother's strategy, and state officials filed fraud charges against both brothers and a private detective they hired.

Sitting With a General

During the two years of court hearings to see if the charges would be tried, one of the men often at Frank Nugan's side was U.S. Gen. Edwin F. Black, who, after his retirement as assistant Army chief of staff for the Pacific, had taken a job as the president of Nugan Hand Hawaii. Gen. Black says that he attended the court hearings just to satisfy his curiosity.

Frank Nugan protested loudly that Nugan Hand was unconnected to the fruit and vegetable business. But when Nugan Hand Ltd. collapsed in April 1980, a few months after Frank Nugan's death, John O'Brien, a liquidator, said in his initial report that a "third party"—known to be Ken Nugan—had received $1.6 million that his brother had diverted from Nugan Hand. Some $1.1 million of this is credited as having been paid back. Nugan Hand funds also are believed to have been used to pay the Nugans' legal bills.

There were few details in the records. Immediately after his brother died, Ken Nugan went through Frank's office and, witnesses say, removed armfuls of files. A Nugan Hand director, Stephen K.A. Hill, has said that Ken also transferred a lot of Nugan Hand's stock in the fruit company to the wives of Frank Nugan and Michael Hand, the vice chairman of the bank. Mr. Hill says he argued vainly that the stock should be an asset of the bank.

Less than a month before he died, Frank Nugan learned that he and his brother would be tried for fraud, a development that seemed sure to affect his bank's reputation. (Ken Nugan's trial now is scheduled for later this year, after many delays.)

A Problem With Price Waterhouse

The other problem probably weighing on Frank Nugan's mind on the night of Jan. 26 was the refusal of Price Waterhouse & Co.'s Bahamas office to sign Nugan Hand bank's books for the year ended June 30, 1979. (The Bahamian office covers the Cayman Islands, where the bank had legal headquarters because of the soft regulatory climate there.) Mr. Hill had taken the books to the Caymans in October 1979. The local Price Waterhouse partners had approved the books for the two previous years, but this time there was a new Price Waterhouse auditor on hand, Clive Jennings. He balked. "There were significant gaps in the records," Mr. Jennings says.

Mr. Hill has testified that he rewrote the accounts annually on instructions from Frank Nugan. He said that in 1979 his boss ordered $4 million in customer accounts written off as having been paid in cash, off the books. To clean the bank's books, these accounts were assigned to Nugan Hand Panama, which Mr. Hill said was mostly a reservoir for phony accounts needed to balance the books. Most of the bank's $17 million in stated assets were really IOUs from companies that were secretly affiliated with it, and these bogus assets were altered as needed to even the numbers.

Mr. Hill has testified that he was accompanied on the 1979 meeting with Price Waterhouse by U.S. Adm. Earl "Buddy" Yates, a former high-ranking officer in the U.S. Pacific Command who had become president of Nugan Hand bank in 1977. Mr. Jennings and his supervising partner, Richard Harris, who signed the 1978 books but was away during Mr. Hill's visit in 1979, say that Adm. Yates was present in 1977 and 1978 but not in 1979. Mr. Jennings says that in 1979 he sent Nugan Hand's emissary, Mr. Hill, home with a list of information he needed, "and that was the last I ever heard from him."

With the problem unsolved, Mr. Nugan himself went to the Caymans on Jan. 14-16, 1980, just 10 days before his death. Cayman banking authorities had notified him that if Price Waterhouse hadn't certified the June 1979 books by Jan. 31, Nugan Hand bank itself would be decertified there. This would damage Nugan Hand's relationships with major commercial banks throughout the world. Already, because of the lapse in audits, authorities in Singapore had asked on Dec. 5 to see the books, and Mr. Hand was trying to hold them off.

Messrs. Jennings and Harris say they went to Mr. Nugan's hotel room on Jan. 14 and asked for the necessary information, and he told them, "You'll never guess what happened. I came all the way from Australia with the answers to your questions, but then I left the file back in Australia." They say it would have been a bulky file. They say that Mr. Nugan called his secretary in Sydney and told her to send the file. It never arrived.

Hiring a New President

Mr. Nugan was losing his grip on himself and his job. In October 1979, an American, Donald Beazley, had been brought in as president of the entire Nugan Hand group of companies. Mr. Beazley was Nugan Hand's first real banker. A former Federal Reserve bank examiner, he had become the president of Great American Banks Inc. of North Miami, Fla., when Marvin Warner, its chief executive, had left to become President Carter's ambassador to Switzerland. When Mr. Warner returned, Mr. Beazley left to run Nugan Hand. Mr. Beazley is now the president of Gulfstream Bank in Boca Raton, Fla., whose one-bank holding company is listed on the New York Stock Exchange.

Mr. Beazley has told interviewers—including this newspaper—that he never really was the president of Nugan Hand, but "was just there on an interim basis (to) see if I liked what I would find." He has said that he left when Nugan Hand couldn't produce a satisfactory balance sheet, and Mr. Nugan died.

That isn't the way he talked, however, in October 1979, when Nugan Hand's representatives from all over the world were flown at huge expense to Sydney for a conference. Mr. Beazley was presented as the new boss. Messrs. Nugan and Hand said that they would be reduced to broad policy makers and that all orders would come from Mr. Beazley from now on.

'Mike, Frank and Buddy'

Mr. Beazley, after being praised by Adm. Yates as "the finest banker in the United States under the age of 35," said, "It is a privilege and an honor for me to be president (of) this company." He said he had "had the opportunity to see it grow" during a close 2½-year relationship with "Mike and Frank and Buddy," and added that "the decision that I would want to be associated with this group" was "one of the best ones I've ever made, decision-wise."

He told the group, "You're fortunate to have probably one of the best money-market operators that I've ever seen and certainly one of the highest-qualified tax desk departments or divisions of firms that I've ever seen." This, even though Nugan Hand's money-market operations were consistent and intentional money losers, as the bank built up deposits by offering investors much higher interest rates than Nugan Hand got by investing the money for its own account. (The Hong Kong liquidator's office has calculated that from 1976 to its demise, Nugan Hand *lost* $7.9 million in trading securities.) And many of Mr. Nugan's tax schemes later proved to be scarcely veiled frauds.

Hearing his words of praise for Nugan Hand repeated from transcripts of tape recordings obtained by this newspaper and verified, Mr. Beazley said that it was possible that he had said such things but that he couldn't remember. He also conceded the possible accuracy of reports that as late as March 1980, he had assured people that the bank was solid and would continue.

Lots of Gold Braid

Other leading lights at the October 1979 meeting were Adm. Yates; Gen. LeRoy J. Manor, the retired chief of staff for the U.S. Pacific Command; Walter McDonald, the recently retired deputy CIA director, and Guy Pauker, a consultant to various U.S. defense and security agencies. Gen. Manor helped run Nugan Hand's Philippine office, and Messrs. McDonald and Pauker had become consultants to the firm.

Everyone praised Nugan Hand lavishly and pledged tireless efforts to make it grow. But there were some disquieting notes, too. Representatives from Malaysia, Taiwan and Singapore openly expressed their uneasiness about what the Malaysia man called "our present non-official banking activities." All of these countries and others in the region, including Australia, have tight restrictions on taking money out of the country, which was Nugan Hand's main attraction in luring customers. Nugan Hand was allowed into many countries only under the belief that it was an international trade broker.

Nobody mentioned the books that Price Waterhouse had refused to certify two weeks earlier. Mr. Nugan seemed his charming, chipper self. But he, like his bank, was unraveling.

That summer his American-born wife, Lee, had returned to her parents in Nashville, Tenn., taking the couple's two children. Records show that she ran up $21,200 in bills on her American Express card in nine months. Australian liquidator John O'Brien says that she also took $1 million in traveler's checks with her. She has resisted numerous attempts by this reporter to interview her.

Close friends of Mr. Nugan say that he wanted her back. He went on the wagon, ending a bottle-a-day Scotch habit, and he took off nearly 50 pounds—all in six months. He spent money in manic style. He paid about $500,000 to remodel the couple's lavish waterfront home (sand reportedly was hauled in for a beach) to impress his wife. But by several accounts she was revolted by its poor taste when she saw it on a brief trip home to attend a wedding at Christmas of 1979.

Mr. Nugan flew back to the U.S. with her on Jan. 9, 1980, but then was off alone to Florida, the Caymans and Switzerland, where he and Mr. Hand reportedly sought United Nations money for their refugee project. He apparently talked to former CIA Director William Colby and made plans to see him the next month. He told people that he was moving to the U.S. and entered negotiations to buy a Florida condominium.

He was back in Sydney Jan. 25. The next day he agreed to close another purchase: a $2.2 million country estate he had dickered over for months—828 landscaped acres and a mansion—"the finest in Australia," he told its owners, a family named Darling. The deal was never consummated. That night he died.

Mr. Hand and the other Americans running the bank appeared to witnesses genuinely surprised by his death—and the sudden desperateness of the situation. Some big withdrawals were made about this time. Maurice Bernard Houghton, a mysterious Texan who played a big part in the bank's affairs, withdrew $150,000 in February; he has said that he used the money on company business in Europe. Mr. Beazley withdrew $200,000, which was transferred to his account in Florida; he says he can't remember what the money was for, but that he has repaid it though he can't prove it.

Gen. Manor Leaves Manila

When Nugan Hand's liquidation came on April 11, 1980, Wilfred Gregory of the firm's Manila office says that he and Gen. Manor were warned by their lawyer, William Quasha, to leave the Philippines or they "could wind up in jail." Mr. Gregory says that he stayed but that Gen. Manor left overnight. Gen. Manor, now running a retired officers' association in Virginia, wouldn't say when or why he left the Philippines, and Mr. Quasha says he can't discuss his advice to a client. Mr. Gregory kept the office for consulting work; he won't name his clients. His new partner was Philippine President Ferdinand Marcos's brother-in-law Ludwig Rocka, until Mr. Rocka's recent death.

Also as Nugan Hand's liquidation began, Mr. Houghton notified several of the firm's agents in Saudi Arabia to get out fast. There had been a similar evacuation in January when Mr. Nugan died, but when things cooled off the salesmen returned. In April they barely escaped. "The situation became somewhat violent," Mr. Houghton has recalled. "The bank branch . . . was severely damaged by the depositors after Mike Murphy (the bank representative) left," he said.

In June 1980, Michael Hand, the firm's founder, went into hiding in an apartment next to a butcher shop owned by Robert W. Gehring. Mr. Gehring, a former U.S. Army sergeant, worked for Bernie Houghton. The three men had been close since Vietnam War days; Mr. Hand had been a Green Beret and then an undercover operative, and Mr. Houghton was a big trader throughout Southeast Asia. Mr. Gehring's shop was the place where many of Nugan Hand's records were secreted after Mr. Nugan's death. Mr. Gehring has testified that Mr. Houghton asked him to help Mr. Hand flee from Australia.

Mr. Gehring says that he conned an employee, Alan Winter, into lending him his birth certificate, which Mr. Gehring then used to obtain a passport in Mr. Winter's name without his knowledge. Mr. Hand's U.S. passport had been seized the previous December by the U.S. consul in Singapore because the Bronx-born American had become an Australian citizen. He had been warned that Australian immigration officials were under orders not to let him leave under his own passport.

The Phony Passport

Mr. Gehring has testified that a mysterious American named "Charlie," identified only as an old Army buddy of Mr. Hand's, showed up in Australia, created a disguise for Mr. Hand and arranged for him to travel to the U.S., via Fiji and Vancouver. Mr. Hand left in mid-June, and Mr. Gehring says he concealed his friend's departure for a week. Mr. Hand left his wife Helen behind, and she is still living in Australia.

So far, the only charges by Australian authorities in the Nugan Hand affair are against Michael Moloney, Mr. Houghton's lawyer, and Patricia Swan, Mr. Nugan's secretary, for allegedly obstructing justice during the destruction of records after Mr. Nugan died. Mr. Moloney concedes in an interview that he advised Mr. Hand to remove the firm's records, but he says he is being made a scapegoat in the case. Mrs. Swan has refused to be interviewed.

In February 1981, Mr. Gehring has testified, he was visiting his brother in San Francisco when he got a call from "Charlie." They arranged for Mr. Gehring to go to a pay telephone booth at the airport. At the appointed time, a call came from Mr. Hand, whereabouts unidentified. He asked about his wife and friends and said he wasn't using Mr. Winter's identity anymore. That is the last time anyone says he has talked to Mr. Hand.

44

(5-22-78)

FBI

TRANSMIT VIA:
- ☐ Teletype
- ☐ Facsimile
- XXX AIRTEL

PRECEDENCE:
- ☐ Immediate
- ☐ Priority
- ☐ Routine

CLASSIFICATION:
- ☐ TOP SECRET
- ☐ SECRET
- ☐ CONFIDENTIAL
- ☐ UNCLAS E F T O
- ☐ UNCLAS

Date 7/23/82

TO: DIRECTOR, FBI (163-45612)

FROM: LEGAT, CANBERRA (163-4) (P)

NUGAN HAND BANK
FPC

b7D

Enclosed for the Bureau are copies of a letter under date of 7/14/82 from [redacted]

The FPC desk is requested to furnish any information which may be disseminated to the Joint Task Force [redacted]

Canberra continuing.

7 ENCLOSURE

ENCLOSURE ATTACHED

(3) - Bureau (encs.)
(1 - Foreign Liaison Unit)
1 - Canberra
LLL:ims
(4)

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED 308, 506
DATE 2/16/90 BY SPSciloms

163-45612-65

AUG 12 1982

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TO SECSTATE WASHDC 5726

INFO AMEMBASSY CANBERRA

AMCONSUL MELBOURNE

AMCONSUL PERTH

FEDERAL GOVERNMENT

A. Adamski INFO RM 3841

R. McHarque INFO RM 3849

UNCLAS SYDNEY 3233

CANBERRA ALSO FOR LEGAT

UE-69

163-4 = 65X.

F-83

EO 12356: N/A

TAGS: EINV, PEPR, AS, US

SUBJ: MORE "EXPOSES" ON NUGAN HAND

Adamski SK

1. THE NUGAN HAND AFFAIR - WITH ALLEGATIONS OF DRUG DEALING, MONEY LAUNDERING, AND CIA INVOLVEMENT - CONTINUES TO RECEIVE HIGHLY VISIBLE COVERAGE IN THE AUSTRALIAN PRESS. THERE ARE ALSO INDICATIONS THAT NUGAN HAND INVESTIGATIONS IN NSW MAY BECOME ENMESHED IN LOCAL POLITICS. RECENT ITEMS OF INTEREST INCLUDE THE FOLLOWING.

2. THE WEEKLY NATIONAL TIMES (SEPT 12-18), IN A PAGE 3 ARTICLE BY MARIAN WILKINSON, LINKS FORMER CIA OFFICER TOM CLINES WITH NUGAN HAND. THE ARTICLE STATES THAT UNTIL 1978 CLINES WAS "DIRECTOR OF TRAINING IN CLANDESTINE SERVICES, THE 'DIRTY TRICKS' DEPARTMENT OF THE CIA." IT STATES THAT ACCORDING TO IMMIGRATION RECORDS CLINES VISITED AUSTRALIA SIX WEEKS AFTER NUGAN HAND WENT INTO LIQUIDATION AND LEFT TWO DAYS LATER WITH BERNIE HOUGHTON, A LEADING NUGAN HAND FIGURE. THE ARTICLE CITES THIS AS "YET ANOTHER INDICATION THAT NUGAN HAND, WITH ITS EX-US MILITARY

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AND [illegible]LLIGENCE PERSONNEL, PLAYED A KEY ROLE IN THE TWILIGHT BUSINESS WORLD RUN BY FORMER AND CURRENT CIA OFFICERS - SOMETHING WHICH WILL CAUSE A GREAT DEAL OF ALARM IN CANBERRA." THE ARTICLE ALSO NOTED ALLEGATIONS OF CLINES INVOLVEMENT WITH TED WILSON, AND STATES THAT NUGAN HAND IS "IRREVOCABLY BEING DRAWN INTO" THE WILSON INVESTIGATION.

3. ANOTHER NATIONAL TIMES ARTICLE ON PAGE 3, FILED BY JOE TRENTO IN WASHINGTON, ALLEGES THAT THE DEFUNCT NUGAN HAND BANK "FUNNELLED MONEY TO CIA CONTRACT OPERATIVE AND MERCENARY MITCHELL WERBELL III." IT PROVIDES DETAILS OF A CHECK MADE OUT TO WERBELL FROM EARL YATES, FORMER PRESIDENT OF NUGAN HAND AND A RETIRED US ADMIRAL. "ACCORDING TO A FORMER DEPUTY DIRECTOR OF THE CIA WHO DID NOT WANT HIS NAME USED," THE ARTICLE STATES, "WERBELL CONDUCTED OPERATIONS FOR US INTELLIGENCE ON A REGULAR BASIS AND WE PAID HIM ON OCCASIONS THROUGH THE NUGAN HAND BANK."

4. INVESTIGATIONS OF NUGAN HAND WITHIN NEW SOUTH WALES ALSO CONTINUES TO MAKE HEADLINES, AND MAY BECOME MORE OF A POLITICAL ISSUE. ACCORDING TO A FRONT PAGE SYDNEY MORNING HEARLD STORY ON SEPTEMBER 13, NSW ATTORNEY GENERAL FRANK WALKER STATED THAT "PEOPLE ASSOCIATED WITH THE COLLAPSED NUGAN HAND BANK USED THE STATE LABOR PARTY MACHINE TO PUT PRESSURES ON HIM OVER HIS INVESTIGATION OF THE BANK'S CRIMINAL ACTIVITIES." THIS BEGAN IN 1977, HE SAID, WITH THE START OF HIS INVESTIGATIONS. THE ARTICLE FURTHER STATES THAT AN UNIDENTIFIED ALP OFFICIAL DENIED WALKER'S ALLEGATIONS AND ACCUSED HIM OF FACTIONAL PARTY POLITICS. THE ARTICLE ALSO REPORTS THAT JOHN DOWD, LEADER OF THE NSW LIBERAL OPPOSITION, SAID THAT HE TOO "HAD BEEN SUBJECTED TO CONSIDERABLE PR[illegible]LARLY WHEN H[illegible]RST MADE ALLEG-

5. THE LATEST DEVELOPMENT IN NSW INVESTIGATIONS WAS THE

TABLING IN THE STATE PARLIAMENT IN LATE AUGUST OF THE SIXTH INTERIM REPORT OF THE CORPORATE AFFAIRS COMMISSION'S INVESTIGATION. THE REPORT INCLUDED EVIDENCE INDICATING THAT NUGAN HAND LAUNDERED MONEY FOR THE MR. ASIA HEROIN SYNDICATE. REPORTEDLY FURTHER CAC REPORTS WILL EXAMINE THE DRUG LINK MORE CLOSELY.

HOROWITZ

Serial 65X removed, third agency rule - USDS

FD-36 (Rev. 5-22-78)

FBI

TRANSMIT VIA:
- [ ] Teletype
- [ ] Facsimile
- [X] AIRTEL

PRECEDENCE:
- [ ] Immediate
- [ ] Priority
- [ ] Routine

CLASSIFICATION:
- [ ] TOP SECRET
- [ ] SECRET
- [ ] CONFIDENTIAL
- [ ] UNCLAS E F T O
- [ ] UNCLAS

~~CONFIDENTIAL~~ Date 9/2/82

TO: DIRECTOR, FBI

FROM: SAC, LOS ANGELES (163-4205) (C-1) (P)

SUBJECT: NUGAN HAND BANK
FPC

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b7D

Re Bureau airtel to Los Angeles 8/6/82, entitled [redacted] (C)

Enclosed for the Bureau are the original and one copy of a request for investigation which was forwarded to the Los Angeles Office [redacted] (C)

Bureau is requested to forward to appropriate offices for desired investigation.

2 - Bureau (Enc. 2) ENCLOSURE
2 - Los Angeles ENCLOSURE ATTACHED

GAP/rsr
(4)

163-[illegible] 65X1

17 SEP 13 1982

~~Classified by SESC10MS~~
~~Declassify on: OADR~~ 3/5/90 308,SGL

Responded to By Bureau to Los Angeles Co. [illegible] 10-22-82 [illegible] Nugan Hand Bank

127

Approved: ______ Transmitted ______ (Number) (Time) Per ______

U.S. GOVERNMENT PRINTING OFFICE : 1982 O - 369-895

CLASSIFIED INFORMATION ENCLOSED

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ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED 308,506
DATE 2/26/90 BY SPS cl lams

34

ENCLOSURE

163-4561A-65X1

DOC LAB NOTE

ENVELOPE

EMPTY

August 5, 1982

LOCAL & STATE BY LIAISON

Dear

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b7D

Sincerely yours,

163-[illegible]-6512

ENCLOSURE

Edward J. O'Malley
Assistant Director
Intelligence Division

NOV 12 1982

Enclosure

Exec AD Adm.	
Exec AD Inv.	
Exec AD LES	
Asst. Dir.:	
Adm. Servs.	
Crim. Inv.	1 - Australian Royal Commission of Inquiry into Drugs
Ident.	
Insp.	
Intell.	1 - Legat, Canberra (127) (Via Bumail)
Lab.	
Legal Coun.	1 - Legat, Hong Kong (INFO) (Via Bumail)
Off. Cong. & Public Affs.	1 - Mr. E. J. O'Malley
Rec. Mgnt.	1 - Liaison Unit
Tech. Servs.	163-45612
Training	CWH:jst (8)
Telephone Rm.	
Director's Sec'y	MAIL ROOM

1 -

Department of State
Washington, D. C.

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b7C

(SEE NOTE, PAGE 2)

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NOTE:

The Nugan Hand Bank (NHB) is one of a number of financial institutions using variations of the Nugan Hand name (Frank Nugan - Australian citizen; [redacted] The Nugan Hand enterprises have hired prominent people, including a [redacted] [redacted] as representatives in the United States, and acquired old, well-known, European banking institutions. The activities of this bank are the subject of an Australian Royal Commission of Inquiry into Drugs (ARCID), which is attempting to determine the extent of illegal activity of this organization before its bankruptcy over a year ago. In addition, this matter has become a "political football" in Australia, involving much invective between the incumbent political party and their political opponents. (G-1)(U)

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For years there have been numerous allegations that the Nugan Hand enterprises, which are worldwide, have been conduits for washing money for Australian, and perhaps American, organized crime elements, as well as corrupt Southeast Asian Government officials and individuals involved in narcotics trafficking. They were also alleged to be involved in the handling of U. S. counterfeit currency. None of these allegations have ever been proven, although Frank Nugan was under investigation by several law enforcement agencies in Australia. He was found dead, apparently of a self-inflicted gunshot wound, in Australia, in January, 1980. [redacted] whereabouts are unknown. (G-1)(U)

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This response was coordinated with [redacted] FOI/PA, RMD; [redacted] White Collar Crime Unit, Criminal Investigative Division; and [redacted] U. S. Department of State.

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APPROVED:			
	Adm. Servs. ____	Laboratory ____
	Crim. Inv. ____	Legal Coun. ____
Director ____		Off. of Cong. & Public Affs. ____
Exec. AD-Adm. ____	Ident. ____	Rec. Mgnt. ____
Exec. AD-Inv. ____	Inspection ____	Tech. Servs. ____
Exec. AD-LES ____	Intell. [handwritten initials]	Training ____

8/5/82

To: Legat, Canberra (163-4)

From: Director, FBI (163-45612)

NUGAN HAND BANK
FPC

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Reurtel 7/9/82.

Enclosed for Legat, Canberra, is the original letter to [redacted] over the signature of Assistant Director E. J. O'Malley, Intelligence Division (INTD), FBIHQ, per Legat's request in retel. (G-1)(u)

For information, FOI/PA Section, Records Management Division, continues to receive requests for the Nugan Hand file from Australian media representatives.

Also, on 7/30/82, [redacted] telephonically advised [redacted]

Enclosures. 2

MAILED 10
AUG 12 1982
FBI

163-45612-65 13

APPROVED:

Director	Adm. Servs.	Laboratory
Exec. AD-Adm.	Crim. Inv.	Legal Coun.
Exec. AD-Inv.	Ident.	Off. of Cong. & Public Affs.
Exec. AD-LES	Inspection	Rec. Mgnt.
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		Training

ALL INFORMATION CONTAINED
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DATE 2/20/90 BY SP5C11DMS

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Off. Cong. & Public Affs.
Rec. Mgnt.
Tech. Servs.
Training
Telephone Rm.
Director's Sec'y

1 - Legat, Hong Kong (INFO) (Enc. 1)
1 - Mr. E. J. O'Malley
1 - Mr. C. W. Hart
163-45612

CWH:jst (6)

MAIL ROOM

Airtel

September 16, 1982

Director FBI (163-45612)

Legal Attache Canberra (163-4)

C

NUGAN HAND BANK
FPC

Reurairtel July 23, 1982, with enclosures from [redacted] b7D
[redacted] (G-1)(u)

Bufiles contain no additional pertinent information concerning the Nugan Hand Bank or the persons [redacted]
[redacted] b7D

The Nugan Hand Bank has not been the subject of an investigation by the FBI. Our files do contain information pertaining to some of the individuals in [redacted] b7D however, these matters do not concern the Nugan Hand Bank.(G-1)(u)

As you are aware, [redacted] b7D

NOTE: This answer was coordinated with SA [redacted] Intelligence Division. b6 b7C

163-45612-66

1 - Mr. Moore, Attn: Mrs. Auriti
1 - Mrs. Larson
1 - Mr. Slezak
1 - Mr. Martin, Room (4634)
1 - Mr. Hunt, Room (8504)
PJL/GRS:jdm

L ROOM

DECLASSIFICATION AUTHORITY DERIVED FROM:
FBI AUTOMATIC DECLASSIFICATION GUIDE
DATE 12-31-2012

~~CONFIDENTIAL~~ FEB 79 0

FEDERAL GOVERNMENT

PAGE 01 SYDNEY 03606 160617Z

ACTION EA-12

INFO OCT-00 COPY-01 ADS-00 INR-10 SS-10 CIAE-00 EB-08
NSAE-00 L-03 PA-01 INM-06 CA-01 ANAE-00 JUSE-00
FBIE-00 /052 W

------------------153335 160707Z /22

P R 160439Z OCT 82

FM AMCONSUL SYDNEY

TO SECSTATE WASHDC PRIORITY 5864

INFO AMEMBASSY CANBERRA

~~CONFIDENTIAL~~ SYDNEY 3606 3-45612-67

PASS FBI

STATE FOR EA/ANZ

CANBERRA ALSO FOR LEGATT

b7D

EO. 12356: DECL: OADR

TAGS: PEPR, EINV, SNAR, US, AS

SUBJ: NUGAN HAND:

QUOTE:

~~CONFIDENTIAL~~

~~CONFIDENTIAL~~

PAGE 02 SYDNEY Ø36Ø6 16Ø617Z

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3. COMMENT: WE ARE ADVISED BY THE LEGATT CANBERRA THAT

~~CONFIDENTIAL~~

Mr. O. B. Revell 9/24/82

Mr. J. W. Greenleaf

INTELLIGENCE OVERSIGHT BOARD MATTERS
REQUEST FOR INFORMATION CONCERNING
NUGAN HAND, LTD.

Re Mr. Greenleaf to Mr. Revell memorandum dated 8/31/82, and letter to Mr. Greenleaf from Robert F. Turner, Counsel, Intelligence Oversight Board (IOB), dated 8/31/82 (attached).

PURPOSE:

To advise of telephonic contact by Mr. Robert F. Turner, Counsel, IOB, to Mr. Greenleaf on 9/23/82, inquiring as to the status of the FBI's review of captioned matter.

DETAILS:

On 9/23/82, Mr. Robert F. Turner, Counsel, IOB, telephonically contacted Mr. Greenleaf relative to the status of the FBI's internal review of any investigations concerning captioned matter and in particular whether or not the Bureau has conducted any investigations which have developed derogatory information concerning former U.S. intelligence community officials who were allegedly involved with Nugan Hand, Ltd., a new defunct private Australian banking concern allegedly involved in a world-wide swindle of investors. Mr. Turner was advised this matter was presently under a review by our Criminal Intelligence Division and a response would be forthcoming in the near future.

RECOMMENDATION:

None. For information.

163-45612

Enclosure
1 - Mr. Revell
1 - Mr. O'Malley
1 - Mr. Mintz
1 - Mr. Greenleaf
1 - OPR
1 - 163-45612
IMF:cag (7)

NOT RECORDED
OCT 1982

ENCLOSURE

LA052 5 3002121Z

RR HQ

DE LA 012

R 272121Z OCT 82

FM LOS ANGELES (L73-4205)(C-L)(P)

TO DIRECTOR (ROUTINE)

BT

UNCLAS

NUGAN HAND BANK; FPC; OO: BUREAU

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RE LOS ANGELES TELETYPE TO THE DIRECTOR DATED OCTOBER 26, 1982.

ON OCTOBER 27, 1982, [redacted] ADVISED THAT [redacted]

BT

NOV 2 1982

DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION
COMMUNICATION MESSAGE FORM

DATE	CLASSIFICATION	PRECEDENCE
10/29/82	UNCLAS E F T O	PRIORITY

/$SIPP RUEHBYIY23 d0133 3022355IYEIP 2921217 OCT 82

FdIPPE LAIDE HQ d0133 $dIYEIP 2921217 OCT 82

FM DIRECTOR FBI

TO AMEMBASSY CANBERRA

ATTN: LEGAL ATTACHE

FBI LOS ANGELES {INFO} ROUTINE

BT

UNCLAS E F T O

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NUGAN HAND BANK, FPC.

REBUTEL TO CANBERRA OCTOBER 22, 1982.

THE FOLLOWING INFORMATION RECEIVED FROM LOS ANGELES OFFICE BY TEL OCTOBER 27, 1982, IS SET FORTH FOR YOUR INFORMATION:

"ON OCTOBER 27, 1982, [redacted]

[redacted] ADVISED [redacted]

[redacted]

DO NOT TYPE MESSAGE BELOW THIS LINE

DO NOT TYPE PAST THIS LINE

APPROVED BY	DRAFTED BY	DATE	ROOM	TELE EXT.
EJO	JEM:JST {4}	10/29/82	4634	4691

1 - MR. A. ADAMSKI
1 - MS. E. M. SMITH
1 - MR. J. E. MARTIN

1-5-45612-70

{SEE NOTE, PAGE 4}

NOV 2 1982

FEDERAL BUREAU OF INVESTIGATION
COMMUNICATIONS SECTION
0255Z

2

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PAGE TWO DE HQ 0133 UNCLAS E F T O

IN LIGHT OF THE FOREGOING INFORMATION, LEGAT, CANBERRA, IS REQUESTED TO

IN THE PAST, THE FBI HAS RECEIVED

2

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PAGE THREE DE HQ 0133 UNCLAS E F T O

REGARDING THE TIME FRAME

BUTEL TO LEGAT, CANBERRA
RE: NUGAN HAND BANK
FPC

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NOTE:

LEGAT, CANBERRA, REQUESTED TO [redacted]

[redacted] THE LEGAT WAS ALSO REQUESTED TO [redacted]

4/-

(Rev. 3-31-82)

MESSAGE RELAY VIA TELETYPE
(RESTRICTED USE)

Date: Oct 27, 1982 PRECEDENCE: ☐ IMMEDIATE ☐ PRIORITY ☒ ROUTINE

FM: DIRECTOR, FBI

TO: Legat - Canberra

- ☐ White House/WH/
- ☐ Bureau of Alcohol Tobacco Firearms/BATF/
- ☐ Central Intelligence Agency/CIA/
- ☐ CIA DCD/DCD/
- ☐ Dept. of Energy HQS/DOEHQ/
- ☐ Dept. of Energy Germantown DIV/DOE/
- ☐ Dept. of Justice/DOJ/
- ☐ Dept. of State/DOS/
- ☐ Dept. of the Army/DA/
- ☐ Dept. of Treasury/DOT/
- ☐ Defense Intelligence Agency/DIA/
- ☐ Director National Security Agency/NSA/
- ☐ Director Naval Investigative Service/DIRNAVINSERV/
- ☐ Drug Enforcement Admin./DEA/
- ☐ FAA Washington HQ/FAA/
- ☐ HQ AFOSI Bolling AFBDC/AFOSI/
- ☐ INSCOM Ft. Meade/INSCOM/
- ☐ Nuclear Regulatory Commission/NRC/
- ☐ U.S. Customs Service/UCS/
- ☐ U.S. Immigration & Naturalization Service/INS/
- ☐ U.S. Secret Service/USSS/
- ☐ Other:

BT

Classification: UNCLAS

Addressee Internal Distribution

For:

163-45612-7

Subject:

ALL INFORMATION CONTAINED
~~HEREIN IS UNCLASSIFIED~~ 308,506
DATE 2/20/90 BY SP5Cu1DmS

☒ See Attached

Approved By:	Originator:	Tele Ext.	Room/Div.:
DRD/ [signature]	JEM: CDF	4691	4634/5

FEDERAL BUREAU OF INVESTIGATION
COMMUNICATIONS CENTER

DO NOT FILE WITHOUT COMMUNICATIONS STAMP

FBI/DOJ

USE AND PREPARATION OF FORM 0-73

Restrictions on Use

1. Only incoming teletype messages within the categories listed in MIOG Section 16-1.7 pages 1251 & 1252 may be prepared using form 0-73.

2. Use of Form 0-73 is restricted to incoming teletype messages received at FBIHQ Communications Center within the last **72** hours.

3. Addressees must be Bureau Offices (LEGAT/Field) or other Government Agencies. **Geographical location must be indicated if other Government Agency is located outside the Washington, D.C. area.**

4. Editing of message text is restricted to typed or printed changes of a word or two. Changes to the existing text involving more than a word or two will require the originator to initiate a new message using Form 0-93. Administrative data may be added immediately following the text and must be identical for all addressees.

5. Teletype meesages received by the Communications Center that do not meet the above criteria shall be returned to the originator for preparation using Form 0-93.

Preparation of 0-73 Form (Yellow)

1. **Date & Precedence -** Type or print date and indicate precedence by checking the appropriate box.

2. **Addressee(s) -** Type or print addressee(s) immediately following the "TO:" or place a check mark in the appropriate box. Note: When using block "Other," indicate geographical location if addressee(s) is located outside Washington, D.C. If addressee(s) is a military installation, the name of the base, fort, or station must be listed to ensure delivery.

3. **Classification -** Type or print the classification and if appropriate the caveat and warning notices.

4. **Addressee Internal Distribution -** Complete when the originator wishes the message to be distributed to a known entity within a Headquarters Agency (i.e. Division, Section, Unit, etc.). List the addressee(s) abbreviation and the internal distribution, i.e. a message to Dept. of State, Dept. of Justice, and Defense Intelligence Agency; list on the "For" line(s) as follows:
   Example: For: DOS For SY/TAG; DOJ for Asst. AG Criminal Div.; DIA For DSOP.
   Messages which do not list internal distribution shall be delivered to the agency headquarters where their analyst will effect in-house distribution.

5. **Subject -** Type or print the subject in the space provided or check "see attached" if subject is identical to attached message.

6. **Originator's Boxes -** Type or print the originator's name, telephone extension, room number, and division.

7. **Approved By Box -** Indicate approval for transmission by initialing the approved by box. Note: The person approving the message is solely responsible for assuring all necessary editing changes are accurate and are legible.

Preparation of Message To Be Transmitted

1. **Duplicate Copy & Notations -** Xerox 1 copy of the incoming teletype message. A notation shall be made on the original incoming teletype indicating one copy made for relay to SACS ________, (or LEGATS) ________, (or Government Agencies) ________.

2. **Editing of Duplicate Copy (Heading) -** Using a lead pencil ONLY draw single lines through the first and last lines of the message heading; connect these lines from top right to bottom left forming a "Z" figure. **(Do Not Obliterate the Heading)**

3. **Editing Changes to the Text -** (See Restrictions on Use, item 4)

4. **Administrative Data -** Type or print administrative data immediately following the text.

LA0506 3000233Z

RR HQ

DE LA 045

R 260233Z OCT 82 FM LOS ANGELES (163-4205) (C-1) (P)

TO DIRECTOR ROUTINE

BT

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NUGAN HAND BANK; FPB; OO: BUREAU

The Los Angeles Office provided the following on 10/27/82.

REBUTEL TO LOS ANGELES OCTOBER 22, 1982.

FOR INFO OF THE BUREAU, [redacted]

[redacted]

[redacted] WAS ADVISED [redacted]

[redacted]

PAGE TWO (LA 163-4205) UNCLAS

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BT
#1

0-93 (Rev. 4-26-78)

DEPARTMENT OF JUSTICE
FEDERAL BUREAU OF INVESTIGATION
COMMUNICATION MESSAGE FORM

DATE	CLASSIFICATION	PRECEDENCE
10/22/82	UNCLAS E F T O	PRIORITY

$SIPP RUEHBYI423 H0158 2960253I4EIP 222138Z OCT 82

FHIPP LAIDE HQ H0158 $HI4EIP 222138Z OCT 82

FM DIRECTOR FBI

TO AMEMBASSY CANBERRA

ATTN: LEGAL ATTACHE

FBI LOS ANGELES PRIORITY

BT

UNCLAS E F T O

NUGAN HAND BANK; FPC

RELAAIRTEL TO BUREAU SEPTEMBER 2, 1982.

THE ABOVE-CAPTIONED MATTER HAS BEEN A POINT OF DISCUSSION BETWEEN REPRESENTATIVES OF THE STATE DEPARTMENT (SD) AND FBIHQ. SD WILL ADVISE EMBASSY, CANBERRA, AND CONSULATE, SYDNEY, THAT THE DEPARTMENT [redacted]

[redacted] (G-1)(u)

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163-4561-72

THE ABOVE INFORMED THE SD REPRESENTATIVE THAT THE FBI HAS [redacted] (G-1)(u)

DO NOT TYPE MESSAGE BELOW THIS LINE

DO NOT TYPE PAST THIS LINE

APPROVED BY	DRAFTED BY	DATE	ROOM	TELE EXT.
E10/n9	JEM:MBK (3)	10/22/82	4634	4691

1-MS. E. M. SMITH
1-LIAISON UNIT

SEE NOTE PAGE 5

16 NOV 8 1982

(OK TO SEND) SML

034516
OCT

DO NOT FILE WITHOUT COMMUNICATIONS STAMP

FBI/DOJ

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PAGE TWO 423 UNCLAS EE***PAGEW* TWO 423 0158 UNCLAS E F T O

THE SD WAS ALSO ADVISED THAT THE FBI

PURSUANT TO THE ABOVE, THE LEGAT, CANBERRA, IS REQUESTED

TO

AS LEGAT, CANBERRA, AND LOS ANGELES {LA} OFFICE ARE AWARE,

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PAGE THREE 423 0158 UNCLAS E F T O

THE REFERENCED LA AIRTEL CONTAINED A REQUEST FROM

LA IS REQUESTED TO ADVISE

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PAGE FOUF*R 423 0158 UNCLAS E F T O

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BUTEL TO LA AND LEGAT, CANBERRA
RE: NUGAN HAND BANK

NOTE:

LEGAT, CANBERRA, AND LAO ADVISED [redacted]

LA IS REQUESTED TO ADVISE [redacted]

THE LEGAT IS REQUESTED TO [redacted]

AIRTEL

10/29/82

Director, FBI

Legal Attache Canberra

NUGAN HAND BANK
FPC

Re Legat, Canberra, airtel to Bureau 7/23/82.

Enclosed for the Legat, Canberra, is a copy of two Washington Post articles dated 10/28/82, regarding Kevin P. Mulcahy, described as a former CIA technician.

b7D

Enclosures: 2

MAILED 10

FBI

1-Liaison Unit

JEM:mbk
(4)

AD Inv.
AD Adm.
LES
Crim. Invs.
Ident.
Intell.
Laboratory
Legal Coun.
Plan. & Insp.
Rec. Mgnt.
Tech. Servs.
Training
Public Affs. Off.
Telephone Rm.
Director's Sec'y

MAIL ROOM

308,506
2/20/90 BY SP5CJ l pms

Wine Bottles, Keys Found in Mulcahy's Room

By Margaret Engel
Washington Post Staff Writer

EDINBURG, Va., Oct. 27—The tangled, secretive world of Kevin P. Mulcahy, a former CIA technician set to testify against former CIA agents about arms deals to terrorist nations, ended with a whimper Monday night against the locked door of a rented cottage in this tiny Blue Ridge mountain town.

A case of 12 empty fifths of Gallo Rhine wine bottles he apparently had consumed over the last six days was left inside his cabin along with his pickup truck keys, inadvertently locked inside when he was asked to leave the motel Sunday afternoon after being accused of shooting bird shot from a 12-gauge shotgun through a window in his room.

The 39-year-old Springfield man, who was credited with triggering the CIA's investigation of alleged arms dealing and terrorist training in Libya by Edwin P. Wilson and other former CIA agents, was found wedged between the screen and front door of cottage No. 1 at the Mountain View Motel Court, where rooms rent for $50 a week or $150 a month. Mulcahy had told the motel manager when he arrived about 1 p.m. on Oct. 20 and rented a cottage under his own name that he was a reporter working on a story about migrant labor problems. He said he was planning to stay three weeks.

Clad only in street clothes—a gray sports coat and slacks that were dropped to his knees—Mulcahy was discovered at 8:01 a.m. by Linda Messick, 25, an occupant of cottage No. 4, as she walked her son Jonathan to the school bus on his 5th birthday.

"He was in a hunched-up position with his arms crossed," she said. "I didn't touch him or nothing. I just screamed."

The death of Kevin Mulcahy, a man who for years had been obsessed with the investigation of an alleged rogue operation of ex-CIA

See MULCAHY, A6, Col. 1

Washington Post.
10-29-82

162 - 45612 - 73

Mulcahy Death Not Expected to Affect Wilson Case

By Al Kamen and Laura A. Kiernan
Washington Post Staff Writers

Government prosecutors said yesterday that the death Tuesday of a potential witness against former CIA agent Edwin P. Wilson will have no effect on their ability to prosecute Wilson next month.

Wilson, who is being held at an undisclosed location on $60 million bond, is scheduled to go to trial in federal courts in Alexandria, Washington and Houston on charges that he shipped weapons and explosives to Libyan ruler Col. Muammar Qaddafi. The first trial, in Alexandria, is slated to begin Nov. 15.

The witness, Kevin P. Mulcahy, a former CIA technician who later worked for Wilson, died Tuesday of unknown causes outside his motel room near Edinburg, Va., about 90 miles west of Washington.

"Kevin's death, as sad and as tragic as it is, is not going to affect our prosecution in any way," said Assistant U.S. Attorney E. Lawrence Barcella Jr., who has supervised the government's investigation into Wilson's activities since 1976.

Since that investigation began, the government has amassed extensive documentary evidence concerning Wilson's alleged business dealings with Libya and has obtained the cooperation of several of Wilson's key associates.

With the development of that evidence, Mulcahy's role as a witness in the case has diminished considerably, law enforcement sources said. It had been expected that Mulcahy's principal role would be as a witness only at the trial in Washington, and not in court proceedings in the other cities.

Others familiar with the case against Wilson said they believed that Mulcahy's death might cause the prosecutors problems with using as evidence documents that Mulcahy took from Wilson's office here and turned over to the CIA in September 1976.

One problem might arise, the sources said, in proving that the documents did in fact come from Wilson's office. If that cannot be established, and the documents are not verifiable without Mulcahy's testimony, then it is possible the court could prohibit the government from using them as evidence against Wilson.

It was not clear yesterday which, if any, of those documents prosecutors intended to try to use in the trial. Barcella declined to comment on whether any evidence would be affected by Mulcahy's death.

Mulcahy first tipped the CIA in September 1976 to alleged arms dealing and terrorist training in Libya by Wilson and other former CIA employees. Wilson was indicted in federal court in Washington in April 1980 on charges of shipping explosives and timing devices to Libya and conspiring to assassinate a Libyan dissident on behalf of Qaddafi. U.S. District Court Judge John H. Pratt ordered a trial on the explosives charges to begin here Nov. 22, but has not set a trial date on the conspiracy charges. Mulcahy, according to informed sources, was not going to be a witness in the conspiracy case, but did have firsthand information about the explosives shipments in 1976 and may have been called to testify in that part of the case.

The charges against Wilson in Alexandria involve four handguns Wilson allegedly ordered associates to purchase here and turn over to a Libyan official in Bonn in the spring of 1979, almost three years after Mulcahy left Wilson's employment. One of those handguns was used a year later in the assassination of a Libyan dissident in Bonn, according to investigators.

The Houston indictment charged Wilson with shipping 20 tons of C-4 plastic explosives to Libya in October 1977, more than a year after Mulcahy left Wilson's operations.



Associated Press

Linda Messick found Mulcahy's body as she walked her son Jonathan to school bus.

Wine Bottles, Truck Keys Found in Mulcahy's Room



Associated Press

KEVIN P. MULCAHY ... truck keys locked

MULCAHY, From A1

officials and who won notoriety after a New York Times Magazine article detailed his personal pursuit of the operation, brought immediate speculation that his death was related to his upcoming testimony. A horde of newspaper and television reporters descended on this town, about 90 miles west of Washington, where they apparently found not intrigue, but rather a man who had lost control of his life.

Preliminary autopsy reports today concluded that Mulcahy was suffering from bronchial pneumonia and advanced emphysema, but further tests will be run, said Dr. James C. Beyer, Fairfax County medical examiner. He said the exact cause of death will not be known for "days or weeks." He said he had no reason to suspect foul play, though it had not been ruled out. He also said exposure had not been ruled out. He said a "relatively low" blood alcohol level of .11 was found in Mulcahy's body.

Della Morris, 71, a year-long resident of a cottage next to the one Mulcahy rented, said Mulcahy stayed in his cottage alone throughout the week, except for two trips when he returned carrying boxes of what she believed to be alcohol. Morris said Mulcahy also received a visit Oct. 21 from two well-dressed women in their 50s who arrived in a tan automobile and stayed for about 20 minutes. Police officials said they were trying to find the women.

After motel owner David Stalker Jr., 35, told Mulcahy he would have to leave, Stalker piled Mulcahy's belongings into the cab of his blue 1968 Chevrolet pickup truck at about 5:30 p.m. Stalker then left for his father's business, the Hamilton Motel, five miles south on Rte. 11. Though Stalker said Mulcahy had told him that he was leaving for the University of Virginia Hospital in Charlottesville to get a second opinion on recently diagnosed cancer, Mulcahy did not leave. Police later found the keys to his truck on the bed inside the locked Cottage No. 1.

Shenandoah County Deputy Sheriff Gary Dalton said that when Stalker later opened the room for police he was surprised to see the truck keys on the bed. "So that's why he didn't leave," Dalton quoted Stalker as saying. "Mr. Stalker just routinely locked the door," said his attorney Phil Grabill Jr. "It wasn't a question of locking him out or anything."

"He was staggering," Morris said of Mulcahy after he was put out. "He kept slumping over the steering wheel and would lean on the horn every so often. Then he started hollering for David, David for about 20 minutes. He kept fading off. I didn't hear no more of him the whole night."

Because Morris said she was once chastised by Stalker for reporting a barking dog to police, she said she did not call police as the honking continued. "He [Stalker] told me not to put my nose in his business," Morris said. When Messick found Mulcahy's body the next morning, she asked Morris—the only motel resident with a phone—to call police, and she did.

Rain, which had fallen throughout the day, continued until midnight, sheriff's deputies said, and temperatures dropped to the low 40s in the early morning. When Deputy Dalton arrived at the scene at 8:05 a.m. "the body was rigid and extremely cold," he said.

In Mulcahy's truck county police said they found found five suitcases, a quilt, a carton of Camel cigarettes, the 12-gauge shotgun and a canvas windbreaker containing an unopened bottle of wine. Three of the suitcases were filled with documents from the CIA, notes and tape recordings, said Shenandoah County Commonwealth's Attorney William H. Logan Jr. The three suitcases were given to the FBI, he said. The truck was towed to the local high school bus garage to wait for Mulcahy's relatives to retrieve it, said Deputy Rick Rinker.

Mulcahy had frequented this area of the Shenandoah Valley before because he had friends in the area, but Stalker told police Mulcahy had never stayed at the Mountain View before. On the day he checked in, Stalker, skeptical of Mulcahy's claims of being a reporter, asked the sheriff's office to check whether the tenant was wanted for any crime. The check proved negative.

In a county best known for farming and garment factories and where "the biggest problems we have are dead cows," according to Sheriff Marshall Robinson, the unorthodox death of the former CIA technician has caused the biggest uproar since a major fire earlier this year.

"Our investigation has turned up nothing," said Logan. "There is no foul play involved."

LA0506 3000233Z

RR HQ

DE LA 045

R 0260233Z OCT 82FM LOS ANGELES (163-4205)(C-1)(P)

TO DIRECTOR ROUTINE

BT

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NUGAN HAND BANK; FPC; OO: BUREAU

REBUTEL TO LOS ANGELES OCTOBER 22, 1982.

FOR INFO OF THE BUREAU,

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cc to 0-73 - placed to Legat-Canberra on 10/27/82

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THE NUGAN HAND BANK MATTER IS AT THE STAGE WHERE LOS ANGELES

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11/9/82 ~~UNCLAS~~ E F T O ~~SECRET~~ PRIORITY

$SEPP RUEHBYEDE RUEHFB #0211 3140355EYEEP 092305Z NOV 82

FM DIRECTOR FBI (163-45612)

TO AMEMBASSY CANBERRA

ATTN: LEGAL ATTACHE

FBI LOS ANGELES (INFO)

BT

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~~UNCLAS~~ E F T O

NUGAN HAND BANK, FPC.

RECANTEL TO FBIHQ NOVEMBER 5, 1982 (NO COPY TO LOS ANGELES);
BUTEL TO CANBERRA OCTOBER 29, 1982.

FOR INFORMATION OF LOS ANGELES, RECANTEL, IN ESSENCE, ADVISED THAT

163-45612-75

LEGAT, CANBERRA, SHOULD INSURE

JEM:JST (5) 11/9/82 4634 4691

1 - MR. A. ADAMSKI
1 - MR. R. L. HUNT
1 - MS. E. M. SMITH
1 - LIAISON UNIT

(SEE NOTE, PAGE 4)

~~Classified by SP5~~
~~Declassify on: OADR~~ 308.506

CAN OK PER RPC

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PAGE TWO DE RUEHFB 0211 ~~UNCLAS~~ E F TO* O ~~SECRET~~

ON NOVEMBER 9, 1982,

ADVISED

FOR INFORMATION OF RECIPIENTS,

LEGAT IS REQUESTED TO

~~SECRET~~

PAGE THREE DE RUEHFB 0211 ~~UNCLAS~~ E F T O ~~SECRET~~

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BUTEL TO LEGAT, CANBERRA AND LOS ANGELES
RE: NUGAN HAND BANK
FPC

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NOTE:

LEGAT REQUESTED TO [redacted]

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VV FBA149

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FM AMEMBASSY CANBERRA

TO RUEHC/SECSTATE WASHDC 5698

RUEHFB/DIRECTOR FBI WASHDC

BT

~~C O N F I D E N T I A L~~ CANBERRA 09909

DECLASSIFICATION AUTHORITY DERIVED FROM:
FBI AUTOMATIC DECLASSIFICATION GUIDE
DATE 01-02-2013

RECEIVED
TELETYPE UNIT
19 OCT 82 04 17 Z
FEDERAL BUREAU
OF INVESTIGATION

FEDERAL GOVERNMENT

E.O. 12356: DECL: OADR

TAGS: AS, US

SUBJECT: NUGAN-HAND

REF: (A) SYDNEY 3606, (B) CANBERRA 9604, (C) FBICAB
5 OCT 82 TITLED SECORD ET AL.

1. (C) - ENTIRE TEXT.

2. DEPUTY SECRETARY IN PM'S OFFICE OCTOBER 18 RAISED
WITH

16 NOV 18 1982

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3. EMBASSY URGES THAT, IF POSSIBLE, [redacted]

[redacted]

4. EMBASSY SUGGESTS THAT, [redacted]

[redacted]

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FD-36 (Rev. 5-22-78)

FBI

TRANSMIT VIA:	PRECEDENCE:	CLASSIFICATION:
☐ Teletype	☐ Immediate	☐ TOP SECRET
☐ Facsimile	☐ Priority	☐ SECRET
☒ AIRTEL	☐ Routine	☐ CONFIDENTIAL
		☐ UNCLAS E F T O
		☐ UNCLAS

Date 9/24/82

TO: DIRECTOR, FBI (163-45612)

FROM: LEGAT, CANBERRA (163-4) (P)

OCT 21 1982

NUGAN HAND BANK
FPC

b7D

Re Canberra airtel and enclosures 7/23/82.

Enclosed for the Bureau are copies of [redacted]

As previously requested in our airtel of [redacted]

Inquiries continuing at Canberra.

104 ENCLOSURE

③ - Bureau (encs.)
(1 - Foreign Liaison Unit)
1 - Canberra
LLL:ims
(4)

163-45612-77X

12/15 OCT 19 1982

Approved: ______ Transmitted ______ (Number) (Time) Per ______

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED 308.506
DATE 2/20/90 BY SP5cil/pms

NNNNVV FBA732

PP RUEHFB FEDERAL GOVERNMENT

DE RUEHBY 9604 2810628

ZNY CCCCC ZZH

P 080626Z OCT 82

FM AMEMBASSY CANBERRA

TO RUEHFB/DIRECTOR FBI WASHDC PRIORITY

RUEHC/SECSTATE WASHDC 8595

RUEHCR/AMCONSUL SYDNEY 2632

BT

RECEIVED TELETYPE UNIT 8 OCT 82 06 06z FEDERAL BUREAU OF INVESTIGATION

C O N F I D E N T I A L CANBERRA 09604

FBI FOR FPC DESK (163-45612) AND FOR BRIBERY DESK FOR PASSAGE TO SAC, ALEXANDRIA, FROM LEGAT CANBERRA (CBP 163-4)

STATE FOR EA/ANZ

E.O. 12356: DECL: OADR

TAGS: ANIF

SUBJECT: NUGAN HAND BANK. FPC.

DECLASSIFIED BY 1678/KFR ON 6/17/85

REF: (C) BUCAB OCTOBER 5, 1982, TITLED [redacted] [redacted] BRIBERY.

OO: ALEXANDRIA. [redacted] ET AL. RA - LIBYA. OO: ALEXANDRIA."

163-45612-77X1

b6
b7C

JAN 7 1983

REFS: SYDNEY 1095; CANBERRA 5363

Dickson
Hunt
Handled thru Liaison Unit

1. (U) AS BUREAU HAS PREVIOUSLY BEEN ADVISED, NUGAN HAND MATTER IS [redacted]

b7D

2. (X) ALLEGATIONS HAVE PERSISTED THAT THE BUREAU HAD CONDUCTED AN INVESTIGATION OF THE BANK AND ITS ACTIVITIES. I WAS ADVISED THAT, IN FACT, [redacted]

b7D

3. (X) REBUCAB INDICATES INQUIRIES ARE UNDERWAY TO DETERMINE THE RELATIONSHIP BETWEEN THE SUBJECTS OF ALEXANDRIA'S CASES AND NUGAN HAND. UNLESS THESE RELATIONSHIPS HAVE BEEN DISCOVERED WITHIN THE LAST FEW DAYS, IT APPEARS THAT [redacted]

b7D

4. (X) THE NUGAN HAND BANK RECORDS IN AUSTRALIA ARE BELIEVED TO BE IN POSSESSION OF [redacted]

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5. (X) I FEEL THAT

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6. (X) I SUGGEST THAT IF

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7. (X) BUREAU IS REQUESTED TO ADVISE.

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BT

9604

SECRET

1-Congress al Affairs Unit
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FEDERAL GOVERNMENT

October 19, 1982

Honorable Roger Jepsen
United States Senate
Washington, D.C. 20510

Dear Senator Jepsen:

Reference is made to a communication from your office dated September 15, 1982, which forwarded articles from "The Wall Street Journal," dated August 24, 1982, and August 26, 1982, concerning the Nugan Hand Bank, Ltd., Sydney, Australia, a private Australian banking institution, indicating it is currently the subject of an investigation by various Australian police agencies.

A review of the central files of the FBI concerning the Nugan Hand Bank, Ltd., disclosed that to date no information has been received indicating a violation of United States laws under the investigative jurisdiction of the FBI.

The FBI,

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(UWT)

MAILED 2
OCT 20 1982
FBI

78

Exec AD Inv. ____
Exec AD Adm. ____
Exec AD LES ____
Asst. Dir.:
Adm. Servs. ____
Crim. Inv. ____
Ident. ____
Intell. ____
Laboratory ____
Legal Coun. ____
Plan. & Insp. ____
Rec. Mgnt. ____
Tech. Servs. ____
Training ____
Public Affs. Off. ____
Telephone Rm. ____
Director's Sec'y ____

1-Assistant Attorney General
Office of Legislative Affairs
Department of Justice

JEM:mbk (10)

Classified by SPSCRIMS
Declassify on: OADR

(SEE NOTE, PAGE 3)

NOTE IS CLASSIFIED CONFIDENTIAL

MAIL ROOM

SECRET

SECRET

Honorable Roger Jepsen
United States Senate

Should allegations of criminal activity relating to the Nugan Hand Bank, Ltd., come to the attention of the FBI which indicate a violation of United States law under the Bureau's jurisdiction, appropriate investigation will be vigorously pursued.

Sincerely yours,

Edward J. O'Malley
Assistant Director
Intelligence Division

SECRET

~~SECRET~~

CONFIDENTIAL

Honorable Roger Jepsen

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NOTE:

This response to the Office of Senator Jepsen, Iowa, advises that

CONFIDENTIAL

18

1 7

11/18/82 ~~UNCLAS~~ E F T O ~~SECRET~~ ROUTINE

$F181ERR LA MM WFEDE HQ H0181 $HEYEER 182312Z NOV 82

MF

FM DIRECTOR FBI {163-45612}

TO FBI WASHINGTON FIELD ROUTINE

FBI LOS ANGELES ROUTINE

AMEMBASSY CANBERRA

ATTN: LEGAL ATTACHE

FBI MIAMI {INFO} ROUTINE

BT

~~UNCLAS~~ E F T O ~~SECTION 1 OF 2~~

NUGAN HAND BANK, FPC

ON NOVEMBER 15, 1982, [redacted]

[redacted]

b7D

163-45612-79

EJD/wd JEM:MBK {6} 11/18/82 4634 4691

1-MR. A. ADAMSKI
1-MR. R. L. HUNT
1-MR. J. ALDHIZER
1-MS. E. M. SMITH
1-MR. J. E. MARTIN

1-MR. E. J. O'MALLEY

16 NOV 24 1982

SEE NOTE, PAGE 8

~~Classified by SP5C1 Oms~~
~~Declassify on: OADR~~ 308,506

~~SECRET~~

FEDERAL BUREAU OF INVESTIGATION
COMMUNICATIONS CENTER
0418ZCC
NOV 19 1982

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2

PAGE TWO Y23 0181 ~~UNCLAS~~ E F T O ~~SECRET~~

FOR ITS PART, THE FBI HAS

~~SECRET~~

ADVISED THAT

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THE FOLLOWING BACKGROUND IS SET FORTH FOR YOUR INFORMATION AND ASSISTANCE:

THE NUGAN HAND BANK {NHB} IS ONE OF A NUMBER OF FINANCIAL INSTITUTIONS USING VARIATIONS OF THE NUGAN HAND NAME {FRANK NUGAN - AUSTRALIAN CITIZEN; THE NUGAN HAND ENTERPRISES HAVE HIRED PROMINENT PEOPLE, INCLUDING A AS REPRESENTATIVES IN THE UNITED STATES, AND ACQUIRED OLD, WELL-KNOWN, EUROPEAN BANKING INSTITUTIONS. THE ACTIVITIES OF THIS BANK ARE THE SUBJECT OF AN AUSTRALIAN ROYAL COMMISSION OF INQUIRY INTO DRUGS {ARCID}, WHICH IS ATTEMPTING TO DETERMINE THE EXTENT OF ILLEGAL ACTIVITY OF THIS ORGANIZATION BEFORE ITS BANKRUPTCY OVER A YEAR

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AGO. IN ADDITION, THIS MATTER HAS BECOME A "POLITICAL FOOTBALL" IN AUSTRALIA, INVOLVING MUCH INVECTIVE BETWEEN THE INCUMBENT POLITICAL PARTY AND THEIR POLITICAL OPPONENTS.

FOR YEARS THERE HAVE BEEN NUMEROUS ALLEGATIONS THAT THE NUGAN HAND ENTERPRISES, WHICH ARE WORLDWIDE, HAVE CONDUITS FOR WASHING MONEY FOR AUSTRALIAN, AND PERHAPS AMERICAN, ORGANIZED CRIME ELEMENTS, AS WELL AS CORRUPT SOUTHEAST ASIAN GOVERNMENT OFFICIALS AND INDIVIDUALS INVOLVED IN NARCOTICS TRAFFICKING. THEY WERE ALSO ALLEGED TO BE INVOLVED IN THE HANDLING OF U.S. COUNTERFEIT CURRENCY. NONE OF THE ALLEGATIONS HAVE EVER BEEN PROVEN, ALTHOUGH FRANK NUGAN WAS UNDER INVESTIGATION BY SEVERAL LAW ENFORCEMENT AGENCIES IN AUSTRALIA. HE WAS FOUND DEAD, APPARENTLY OF A SELF-INFLICTED GUNSHOT WOUND, IN AUSTRALIA, IN JANUARY, 1980. [redacted] WHEREABOUTS ARE UNKNOWN. (G-1)(u)

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ALSO, IN RESPONSE TO VARIOUS INQUIRIES REGARDING ANY FBI INVESTIGATION OF THE NUGAN HAND MATTER, THE FOLLOWING RESPONSE HAS BEEN PROVIDED:

A REVIEW OF THE CENTRAL FILES OF THE FBI CONCERNING THE NUGAN HAND BANK, LTD., DISCLOSED THAT NO SUBSTANTIVE INVESTIGATION HAS BEEN CONDUCTED OR IS CURRENTLY BEING CONDUCTED BY THE FBI.

WASHINGTON FIELD IS REQUESTED TO

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PAGE SIX 423 0181 ~~UNCLAS~~ E F T O ~~SECRET~~

THE BUREAU ALSO DESIRES A COPY OF ANY COMMUNICATIONS PREPARED FOR OTHER FIELD OFFICES.

LOS ANGELES IS REQUESTED TO ADVISE

LEGAT, CANBERRA, IS REQUESTED TO REMAIN ALERT FOR ANY INFORMATION THAT WOULD IMPACT ON THE NUGAN HAND INVESTIGATION, PARTICULARLY AS IT MAY RELATE TO OR AFFECT THE INQUIRIES UNDERWAY IN THE U.S.

THE FOREGOING IS PROVIDED FOR THE INFORMATION OF MIAMI

SPECIFIC DETAILS WHEN LEARNED WILL BE /

~~SECRET~~

7 . 7 .

~~SECRET~~

FM DIRECTOR FBI (163-45612)

TO FBI WASHINGTON FIELD ROUTINE

FBI LOS ANGELES ROUTINE

AMEMBASSY CANBERRA

ATTN: LEGAL ATTACHE

FBI MIAMI (INFO) ROUTINE

BT

~~UNCLAS~~ E F T O SECTION 2 OF 2

NUGAN HAND BANK, FPC

PROVIDED.

BT

~~SECRET~~

~~SECRET~~

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BUTEL TO WFO, LA, LEGAT, CANBERRA, AND MM
RE: NUGAN HAND BANK, FPC

NOTE:

WASHINGTON FIELD IS ADVISED [redacted]

WFO IS REQUESTED [redacted]

[redacted] USDS, HAS BEEN INFORMED AND UPDATED ON THIS MATTER.

THE NOVEMBER 16, 1982, [redacted]

~~SECRET~~

RECEIVED
TELETYPE UNIT
23 Nov 82 20 15z
FEDERAL BUREAU
OF INVESTIGATION

VZCZCWFO 190

OO HQ AT HN LA MM PX

DE WF 8096 3271944

ZNR UUUUU

O 231942Z NOV 82

FM FBI WASHINGTON FIELD (163-4792)(P)(C-6)

TO DIRECTOR FBI (163-45612) IMMEDIATE

FBI ATLANTA ROUTINE

FBI HONOLULU ROUTINE (VIA FBIHQ)

FBI LOS ANGELES ROUTINE

FBI MIAMI ROUTINE

FBI PHOENIX ROUTINE

BT

UNCLAS

NUGAN HAND BANK, FPC

REFERENCE BUREAU TELETYPE TO WFO DATED 11/19/82.

FOR THE INFORMATION OF ATLANTA, PHOENIX, AND HONOLULU,

ON NOVEMBER 15, 1982, [redacted]

[redacted]

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THE NHB IS ONE OF A NUMBER OF FINANCIAL INSTITUTIONS

HN 127 Copy to Canberra by 0-4 11-24-82

163-45612-80

NOV 29 1982

USING VARIATIONS OF THE NUGAN HAND NAME (FRANK NUGAN - AUSTRALIAN CITIZEN; [redacted]

THE NUGAN HAND ENTERPRISES HAVE HIRED PROMINENT PEOPLE INCLUDING [redacted] AS REPRESENTATIVES IN THE UNITED STATES, AND ACQUIRED OLD, WELL-KNOWN, EUROPEAN BANKING INSTITUTIONS. THE ACTIVITIES OF THIS BANK ARE THE SUBJECT OF AN AUSTRALIAN ROYAL COMMISSION OF INQUIRY INTO DRUGS (ARCID), WHICH IS ATTEMPTING TO DETERMINE THE EXTENT OF ILLEGAL ACTIVITY OF THIS ORGANIZATION BEFORE ITS BANKRUPTCY OVER A YEAR AGO. IN ADDITION, THIS MATTER HAS BECOME A "POLITICAL FOOTBALL" IN AUSTRALIA, INVOLVING MUCH INVECTIVE BETWEEN THE INCUMBENT POLITICAL PARTY AND THEIR POLITICAL OPPONENTS.

b6
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FOR YEARS, THERE HAVE BEEN NUMEROUS ALLEGATIONS THAT THE NUGAN HAND ENTERPRISES, WHICH ARE WORLDWIDE, HAVE CONDUITS FOR WASHING MONEY FOR AUSTRALIAN, AND PERHAPS AMERICAN, ORGANIZED CRIME ELEMENTS, AS WELL AS CORRUPT SOUTHEAST ASIAN GOVERNMENT OFFICIALS AND INDIVIDUALS INVOLIVED IN NARCOTICS TRAFFICKING. THEY WERE ALSO ALLEGED TO BE INVOLVED IN THE HANDLING OF U.S. COUNTERFEIT CURRENCY. NONE OF THE ALLEGATIONS HAVE EVER BEEN PROVEN, ALTHOUGH FRANK NUGAN WAS UNDER (6-1)(u)

PAGE THREE OF WF 0006 U N C L A S

INVESTIGATION BY SEVERAL LAW ENFORCEMENT AGENCIES IN AUSTRALIA.

HE WAS FOUND DEAD, APPARENTLY OF A SELF-INFLICTED GUNSHOT

WOUND, IN AUSTRALIA, IN JANUARY, 1980. b6 b7C

WHEREABOUTS ARE UNKNOWN. (G-1)(u)

b7D

ALSO, IN RESPONSE TO VARIOUS INQUIRIES REGARDING ANY FBI

INVESTIGATION OF THE NUGAN HAND MATTER, THE FOLLOWING RESPONSE

HAS BEEN PROVIDED:

A REVIEW OF THE CENTRAL FILES OF THE FBI CONCERNING THE

NUGAN HAND BANK, LTD., DISCLOSED THAT NO SUBSTANTIVE INVESTIGATION

HAS BEEN CONDUCTED OR IS CURRENTLY BEING CONDUCTED BY THE

FBI. b7D

b7D

b7D

FOR THE INFORMATION OF THE BUREAU, ON NOVEMBER 17, 1932,

THROUGH NOVEMBER 20, 1932,

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PAGE FIVE DE WF 0036 U N C L A S

THIS IS A VERY COMPLICATED AND COMPLEX CASE WHICH HAS AROUSED CONGRESSIONAL INTEREST.

PAGE SIX DE WF 0006 U N C L A S

ATTN: LIAISON UNIT.

b7D

NNNN3MCOVV FBA991

PP RUEHFB

DE RUEHBY 0581 3082350

ZNR UUUUU ZZH

P 042350Z NOV 82

FM AMEMBASSY CANBERRA

TO DIRECTOR FBI WASHDC PRIORITY

BT

UNCLAS CANBERRA 10581

FEDERAL GOVERNMENT

RECEIVED TELETYPE UNIT 5 NOV 82 00 5 07

FEDERAL BUREAU OF INVESTIGATION

FBI FOR FPC DESK (163-45612) FROM LEGAT CANBERRA (CBR 163-4)

E.O. 12356: DECL: N/A

TAGS: ASEC

SUBJECT: NUGAN HAND, BANK. FPC.

REF: CANBERRA UNCLASSIFIED 9678, DATED OCT 13, 1982.

163-45612-81

NOV 30 1982

1. FOR INFORMATION, [redacted]

[redacted]

[redacted]

b7D

163-45612

b7D

2.

3. IN ANOTHER AREA OF THIS MATTER,

IN RELATION TO REFERENCED CANBERRA CABLE, BUREAU IS

AGAIN REQUESTED TO ADVISE

b7D

NNNNVV FBA745

PP RUEHFB

DE RUEHBY Ø749 3132245

ZNR UUUUU ZZH

P Ø92244Z NOV 82

FM AMEMBASSY CANBERRA

TO RUEHFB/DIRECTOR FBI WASHDC PRIORITY

RUEHDN/AMCONSUL SYDNEY 2868

FEDERAL GOVERNMENT

BT

UNCLAS CANBERRA 1Ø749

FBI (163-45612) FOR SA [redacted] LIAISON UNIT, INTELLIGENCE DIVISION, AND SA [redacted] CRIMINAL INVESTIGATIVE DIVISION, FROM LEGAT CANBERRA (CBR 163-4)

b6
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E.O. 12356: DECL: N/A

TAGS: ASEC

SUBJECT: NUGAN HAND BANK. FPC.

REF: CONFIDENTIAL CANBERRA CABLE 1Ø13Ø DATED 1Ø/25/82.

1. [redacted]

NOV 29 1982

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163-45612

b6
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2. [redacted] I AM ADVISED [redacted]

3. [redacted]

4. BUREAU IS REQUESTED TO HAVE APPROPRIATE FIELD OFFICE [redacted]

Ø749

CC: CID

NNNNVV FBA226

RR RUEHFB

DE RUEHBY 9678 2860056

ZNR UUUUU ZZH

R 130051Z OCT 82

FM AMEMBASSY CANBERRA

TO DIRECTOR FBI/WASHDC

BT

UNCLAS CANBERRA 09678

RECEIVED
TELETYPE UNIT
13OCT82 01 25Z
FEDERAL BUREAU
OF INVESTIGATION

FEDERAL GOVERNMENT

FBI FOR FOREIGN COOPERATION DESK (163-45612) FROM LEGAT CANBERRA (CBR 163-4)

E.O. 12356: DECL: N/A

TAGS: ASEC

SUBJECT: NUGAN HAND/BANK. FPC.

REF: BUREAU AIRTEL SEPTEMBER 16, 1982.

1. REFERENCED AIRTEL SOMEWHAT AMBIGUOUS. AIRTEL ADVISES BUFILES CONTAIN INFORMATION PERTAINING TO [redacted]

b7D

2. BUREAU IS REQUESTED TO ADVISE IF THIS MEANS THAT OTHER INFORMATION NOT CONNECTED WITH NUGAN HAND BANK WILL OR WILL NOT BE FORWARDED TO LEGAT FOR DISSEMINATION AS REQUESTED. [redacted]

b6
b7C

BT

9678

163-45612

DECLASSIFICATION AUTHORITY DERIVED FROM:
FBI AUTOMATIC DECLASSIFICATION GUIDE
DATE 01-02-2013

FD-36 (Rev. 5-22-78)

FBI

TRANSMIT VIA:	PRECEDENCE:	CLASSIFICATION:
☐ Teletype	☐ Immediate	☐ TOP SECRET
☐ Facsimile	☐ Priority	☐ SECRET
☒ AIRTEL	☐ Routine	☐ CONFIDENTIAL
		☐ UNCLAS E F T O
		☐ UNCLAS

Date 10/21/82

~~CONFIDENTIAL~~

TO: DIRECTOR, FBI

FROM: SAC, LOS ANGELES (163-4205) (C1) (P)

RE: NUGAN HAND BANK
FPC

b6
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Re Los Angeles airtel to Director, dated 9/2/82.

Enclosed for the Bureau are the original and one copy of [redacted]

The cover page of enclosure has two specific requests; [redacted]

ENCLOSURE

2 - Bureau (Enc. 2)
2 - Los Angeles

GAP/slm
(4)

163-45612-83X

16 NOV 1982

~~Classified by SPSC 1 lom~~
~~Declassify on: OADR~~ 2/20/90 308,306

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE.

airtel LA (cov) 11/26/82

FILED

Approved: ____________ Transmitted ____________ (Number) (Time) Per ____________

U.S. GOVERNMENT PRINTING OFFICE : 1982 O - 369-895

LA 163-4205

~~CONFIDENTIAL~~

REQUEST OF THE BUREAU

The Bureau is requested to provide whatever comments deemed appropriate regarding [redacted]

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~~CONFIDENTIAL~~

CLASSIFIED INFORMATION ENCLOSED

This envelope only
ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED 308,506
DATE 2/20/90 BY SPSc1/DMS

N.I.S.

ENCLOSURE

163-45612-83X

FD-36 (Rev. 5-22-78)

FBI

TRANSMIT VIA:	PRECEDENCE:	CLASSIFICATION:
☐ Teletype	☐ Immediate	☐ TOP SECRET
☐ Facsimile	☐ Priority	☐ SECRET
☒ AIRTEL	☐ Routine	☐ CONFIDENTIAL
		☐ UNCLAS E F T O
		☐ UNCLAS

Date 10/14/82

MF

TO: DIRECTOR, FBI (163-45612) - ATTN: LIAISON UNIT, INTD

FROM: LEGAT, CANBERRA (163-4) (P)

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NUGAN HAND BANK
FPC

As the Bureau is aware, [redacted]

[redacted] In connection with that,

[redacted]

The Bureau's prompt attention to this matter will be appreciated.

163-45612-83X1

2 - Bureau
1 - Canberra
LLL:ims
(3)

At to Can 12/3/82 Jem:mbk

308,506

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED
DATE 2/20/90 BY SPSC110MS

Approved: ________ Transmitted ________ (Number) (Time) Per ________

~~CONFIDENTIAL~~

DECLASSIFICATION AUTHORITY DERIVED FROM:
FBI AUTOMATIC DECLASSIFICATION GUIDE
DATE 01-02-2013

~~CONFIDENTIAL~~ JUS673

PAGE 01 STATE 295393
ORIGIN EA-12

INFO OCT-00 COPY-01 ADS-00 L-03 CA-01 JUSE-00 FBIE-00
/017 R

DRAFTED BY EA/ANZ:DHBAAS:RLW
APPROVED BY EA/ANZ:DHBAAS
DOJ/FBI:[redacted]
L/EA:[redacted]
------------------345407 210137Z /65
P 202114Z OCT 82
FM SECSTATE WASHDC
TO AMEMBASSY CANBERRA PRIORITY
INFO AMCONSUL SYDNEY PRIORITY

~~C O N F I D E N T I A L~~ STATE 295393

E.O. 12356: DECL: OADR
TAGS: PEPR, EINV, SNAR, US, AS ~~CONFIDENTIAL~~
SUBJECT: NUGAN HAND BANK, FPC

REF: A) CANBERRA 9909; B) SYDNEY 3606

1. [redacted]

2. [redacted]

b6
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163-45612-83X2

Received From
DOJ Mail Room
B118 By [redacted]
LIAISON UNIT
10-21-82

~~CONFIDENTIAL~~

~~CONFIDENTIAL~~

PAGE 02 STATE 295393 163-45612

~~CONFIDENTIAL~~

~~CONFIDENTIAL~~ JUS672

PAGE 01 STATE 295394
ORIGIN EA-12

INFO OCT-00 COPY-01 ADS-00 INR-10 CIAE-00 NSAE-00 SY-06
L-03 JUSE-00 /032 R

DRAFTED BY EA/ANZ:BHBAAS:RLW
APPROVED BY EA/ANZ:BHBAAS
DOJ/FBI: [redacted]
L/EA: [redacted]
------------------345416 210136Z /63

P 202214Z OCT 82
FM SECSTATE WASHDC
TO AMEMBASSY CANBERRA PRIORITY
INFO AMCONSUL SYDNEY PRIORITY

FEDERAL GOVERNMENT

~~C O N F I D E N T I A L~~ STATE 295394

b6
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b7D

E.O. 12356:DECL: OADR
TAGS: AINF, AS
SUBJECT: NUGAN HAND BANK, ETC

REF: CANDERRA 9604

1. [redacted]

2. [redacted]

C

~~CONFIDENTIAL~~

~~CONFIDENTIAL~~

163-45612

83X3

5

- 13

Received from DOJ Mail Room 3117 By [redacted] LIAISON UNIT 10-21-82

RECEIVED ... 82 OCT 20 PM 6:15

AIRTEL

October 28, 1982

Director, FBI

Legal Attache Canberra (163-4)

b6
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b7D

NUGAN HAND BANK
FPC

Reuairtel dated September 24, 1982, with enclosures [redacted]

Bufiles reveal no identifiable data pertinent to your request regarding [redacted]

Bufiles contain numerous references to [redacted]

163-1503-83X4

OCT 29 1982
FBI

1 - Mr. Moore, Attn: Miss McLane
1 - Mrs. Larson
PJL:jdm
(5)

MAIL ROOM

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED 308,506
DATE 2/20/90 BYSP5C1\DMS

VV FBA086

RR RUEHFB

DE RUEHBY 0130 2980055

ZNY CCCCC ZZH

R 250055Z OCT 82

FM AMEMBASSY CANBERRA

TO DIRECTOR FBI WASHDC

BT

b6
b7C

C O N F I D E N T I A L CANBERRA 10130

FBI (163-45612) FOR SA [redacted] LIAISON UNIT, INTD,

AND SA [redacted] CID, FROM LEGAT CANBERRA

(CBR 163-4)

DECLASSIFIED BY SP-5 ci bjf
ON 10/4/90
308,506

E.O. 12356: DECL: OADR

TAGS: ASEC

SUBJECT: NUGAN HAND BANK. FPC.

REFS: BUTELCALL 7/21/82; CBR TELCALL 7/21/82.

ADDITIONAL REF IS BUCAB 10/5/82 TITLED [redacted]

[redacted] BRIBERY, ETC.,

AND [redacted] ET AL; 163-45612-8315

1. (CONFIDENTIAL - ENTIRE TEXT.)

2. BUREAU REQUESTED TO ADVISE WHAT PORTION OF BUREAU

b6
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[redacted] CABLE DATED 10/5/82 MAY BE DISSEMINATED TO LOCAL AUTHORITIES IN CONNECTION WITH ATTEMPT TO DETERMINE THE CONTENTS OF NUGAN HAND BANK RECORDS.

3. FOR INFORMATION OF BUREAU, [redacted]

4. LEGAT WILL ATTEMPT TO DETERMINE FURTHER PARTICULARS AS TO [redacted]

5. DETAILS WILL FOLLOW. [redacted]

BT

0130

VZCZCWFO 226

PP PX

DE WF 0045 3280303

ZNR UUUUU

P 230233Z NOV 82

FM WASHINGTON FIELD (163-4792) (P)

TO DIRECTOR FBI ROUTINE

ATTENTION: LIAISON UNIT

FBI PHOENIX PRIORITY

BT

UNCLAS

NUGAN HAND BANK; FOREIGN POLICE COOPERATION.

REFERENCE WFO TELETYPE TO BUREAU DATED NOVEMBER 23, 1982.

[redacted] DESCRIBED IN REFERENCED TELETYPE

HAVE FURNISHED THE FOLLOWING CONFIDENTIAL INFORMATION.

[redacted]

b6
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163-45612-84

[redacted]

b6
b7C
b7D

IT IS ALSO BELIEVED THAT

CONFIDENTIAL

PAGE THREE DE WF #0045 ~~U N C L A~~ S

b6
b7C
b7D

NNNN

CONFIDENTIAL

VZCZCWFO371

OO HQ MM

DE WF Ø023 3331845

ZNR UUUUU

O 291739Z NOV 82

FM FBI WASHINGTON FIELD (163-4792)(P)(C-8)

TO DIRECTOR FBI (163-45612) ROUTINE

FBI MIAMI IMMEDIATE

BT

U N C L A S

NUGAN HAND BANK; FPC

REFERENCE WFO TELETYPE TO BUREAU DATED LL/23/82.

AS SET FORTH IN REFERENCED TELETYPE,

b6
b7C
b7D

1 copy to Coulson by O-7 (cups)
11-30-82 ES/mp

b6
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b7D

LEAD. MIAMI DIVISION. AT MIAMI, FLORIDA. WILL CONTACT

LEON GUINN, SAC, U.S. CUSTOMS OFFICE, MIAMI, FLORIDA, AND

REQUEST

PAGE THREE DE WF ØØ23 U N C L A S

[redacted]

[redacted] MIAMI IS REQUESTED TO COVER ABOVE

b7D

LEAD ASAP AND IMMEDIATELY SUTEL RESULTS TO FBIHQ, ATTN:

LIAISON UNIT, FOLLOWED BY COMMUNICATIONS APPROPRIATE FOR

DISSEMINATION. WFO IS REQUESTING A COPY OF ALL COMMUNICATIONS.

BT

#ØØ23

NNNN

VZ CZ CW 0329

PP HQ DL

DE WF 0021 3210315

ZNR UUUUU

P 260049Z NOV 82

FM WASHINGTON FIELD (163-4792) (C-8) (P)

TO DIRECTOR FBI (163-45612) ROUTINE

FBI DALLAS PRIORITY

BT

UNCLAS

NUGAN HAND BANK, FPC, (OO:BUREAU)

RE TELETYPE FROM BUREAU TO WASHINGTON FIELD DATED NOVEMBER 19, 1982.

FOR THE INFORMATION OF DALLAS,

b7D

RECEIVED TELETYPE UNIT 27 NOV 82 03 29 Z FEDERAL BUREAU OF INVESTIGATION

DE-123 163-45612-86

10 DEC 2 1982

1 copy to Canberra by 0-7 (info) 11-30-82 ES/jp

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LEAD: DALLAS DIVISION. AT DALLAS, TEXAS. DALLAS IS

REQUESTED TO

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DALLAS IS REQUESTED TO SUTEL A SUMMARY OF THE RESULTS TO FBIHQ, ATTENTION LIAISON UNIT, AS SOON AS POSSIBLE AND FOLLOW WITH COMMUNICATIONS APPROPRIATE FOR DISSEMINATION. WASHINGTON FIELD REQUESTS A COPY OF ANY COMMUNICATION.

BT

#0021

NNNN

AIRTEL

11/26/82

Director, FBI (163-45612)

SAC, Los Angeles (163-4205)

NUGAN HAND BANK
FPC

ReLAairtel to Bureau 10/21/82 (no copy to Canberra), and Butel to Washington Field 11/18/82.

In light of the data set forth in the referenced teletype

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You are requested to advise

1 - Legat, Canberra (INFO)

MAILED 7
NOV 26 1982
FBI

163-45612-87

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED 305,506
DATE 2/21/90 BY SP5C11bms

1 - Liaison Unit

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JEM:jst
(5)

AD Inv.
AD Adm.
AD LES
Dir.:
Adm. Servs.
Crim. Inv.
Ident.
Intell.
Laboratory
Legal Coun.
Plan. & Insp.
Rec. Mgnt.
Tech. Servs.
Training
Off.
Rm.
MAIL ROOM

PX0 336Ø631Z

RR HQ WFO

DE PX

R Ø1Ø631Z DEC 82

FM PHOENIX (163-629) (RUC)

TO DIREITOR (163-45612) ROUTINE

WASHINGTON FIELD (163-4792) (C-8) ROUTINE

BT

~~UNCLAS~~

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NUGAN HAND BANK; FPC.

RE WASHINGTON FIELD TELETYPE DATED NOVEMBER 23, 1982.

ON NOVEMBER 3Ø, 1982, INQUIRY AT [redacted] [redacted] TELEPHONE [redacted] DETERMINED THAT ADDRESS WAS RESIDENCE OF [redacted] AND THAT [redacted] HAD NOT RESIDED THERE FOR AT LEAST ONE YEAR.

ON NOVEMBER 3Ø, 1982, INVESTIGATION DETERMINED THAT TELEPHONE [redacted] WAS DISCONNECTED BUT WAS FORMERLY SUBSCRIBED TO BY [redacted] [redacted]

163-45612-88

ON NOVEMBER 3Ø, 1982, [redacted] TELEPHONICALLY CONTACTED SA [redacted] AT PHOENIX FBI OFFICE.

WAS ADVISED OF

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~~SECRET~~

PAGE THREE PX 163-629 ~~UNCLAS~~ ~~SECRET~~

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NO SURTHER INVESTIGATION IS BEING CONDUCTED AT PHOENIX DIVISION.

BT

#

~~SECRET~~

MM0018 3360006Z

PP HI WFO

DE MM

P 012300Z DEC 82

RECEIVED
TELETYPE UNIT

2 DEC 82 00 24 Z

FEDERAL BUREAU
OF INVESTIGATION

FM MIAMI (163-2191) P

TO DIRECTOR (163-45612) PRIORITY

ATTENTION FOREIGN LIAISON UNIT

WASHINGTON FIELD OFFICE (163-4792) PRIORITY

BT

UNCLAS

NUGAN HAND BANK; FPC.

RE WASHINGTON FIELD OFFICE TELETYPE TO BUREAU, NOVEMBER 29, 1982.

THE FOLLOWING INFORMATION IS BEING FURNISHED TO FBIHQ AND WASHINGTON FIELD OFFICE FOR DISSEMINATION TO [redacted] [redacted]

b7D

ON DECEMBER 1, 1982, [redacted] ASSISTANT UNITED STATES ATTORNEY AND [redacted] [redacted]

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b7C
Referral/Consult

FURNISHED THE FOLLOWING INFORMATION TO A REPRESENTATIVE OF THE FEDERAL BUREAU OF INVESTIGATION.

163-45612-89

Referral/Consult

PAGE TWO MM (163-2191) UNCLAS

Referral/Consult

Referral/Consult

ADMINISTRATIVE:

REPRESENTATIVE OF FBI AT MIAMI, FLORIDA IS SA

FBIHQ LIAISON UNIT IS ALSO REQUESTED TO ADVISE

ASSISTANT UNITED STATES

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b7D

PAGE FOUR MM (163-2191) UNCLAS

ATTORNEY [redacted] CAN BE REACHED AT TELEPHONE NUMBER [redacted] IN MIAMI. LHM FOLLOWS.

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BT

VZCZCWF0505

OO HQ AX AT HN LA MM

DE WF 0005 3362037

ZNR UUUUU

O 022024Z DEC 82

FM FBI WASHINGTON FIELD (163-4792) (P) (SQ C-8)

TO DIRECTOR, FBI (163-45612) IMMEDIATE

ATTN: LIAISON UNIT

FBI ALEXANDRIA IMMEDIATE

FBI ATLANTA PRIORITY

FBI HONOLULU ROUTINE (HN VIA FBIHQ)

FBI LOS ANGELES PRIORITY

FBI MIAMI IMMEDIATE

BT

UNCLAS

NUGAN HAND BANK, FPC

RE BUREAU TEL TO WFO DATED NOVEMBER 19, 1982, AND WFO TEL TO DIRECTOR DATED NOVEMBER 23, 1982.

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163-45612-90

17 DEC 1982

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b7D

BT

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LIMITED OFFICIAL USE FBB538

PAGE 01 CANBER 10793 01 OF 02 100632Z

ACTION EA-12

FEDERAL GOVERNMENT

INFO OCT-00 COPY-01 ADS-00 INR-10 CIAE-00 EB-08 DODE-00
NSAE-00 SSO-00 INM-06 INRE-00 OCS-06 JUSE-00 DEAE-00
FBIE-00 /043 W

------------------047246 100647Z /10

O 100617Z NOV 82
FM AMEMBASSY CANBERRA
TO SECSTATE WASHDC IMMEDIATE 9018
INFO AMCONSUL SYDNEY
AMCONSUL MELBOURNE
AMCONSUL PERTH POUCH
AMEMBASSY WELLINGTON

7-192,3

LIMITED OFFICIAL USE SECTION 01 OF 02 CANBERRA 10793

E.O. 12356: DECL: N/A

DE-82 163-45612-91

TAGS: AINF, EINV, PERR, AS, US

NOV 17 1982

SUBJECT: PARLIAMENTARY REPORT ON NUGAN HAND

REF: SYDNEY 1095

57 FEB 16 1983

163-45612

1. (U) THE MINISTER FOR ADMINISTRATIVE SERVICES, KEVIN NEWMAN, TABLED IN PARLIAMENT NOVEMBER 9 AN INTERIM REPORT OF THE COMMONWEALTH/NSW JOINT TASK FORCE ON DRUG TRAFFICKING. THE AUSTRALIAN MEDIA HAS HIGHLIGHTED STATEMENTS IN THE REPORT WHICH SUGGEST POSSIBLE LINKAGES BETWEEN NUGAN HAND ~~AND CIA~~ BANK AFFILIATED INDIVIDUALS, AS WELL AS EVIDENCE THAT NUGAN HAND WAS INVOLVED IN MONEY LAUNDERING, DRUG DEALING, AND GUN RUNNING.

2. (U) FULL TEXT OF REPORT TO PARLIAMENT WILL BE POUCHED TO EA/ANZ AS SOON AS IT IS AVAILABLE. FOLLOWING IS A SUMMARY OF THE MAIN POINTS IN THE REPORT:

3. (U) ORIGINS OF NUGAN HAND BANK. [redacted] b6 b7C

LIMITED OFFICIAL USE

USA
AUSTRALIA

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b7C

[redacted]

[redacted] FRANK NUGAN, AN AUSTRALIAN CITIZEN. THEY ESTABLISHED THE NUGAN HAND MERCHANT BANK IN 1973 AND LATER ESTABLISHED HONG KONG, THAI, AND SAUDI ARABIAN BRANCHES.

4. (U) DRUG ACTIVITY. BEGINNING IN 1975, THERE IS EVIDENCE OF ACTIVITY BETWEEN THE NUGAN HAND GROUP OF COMPANIES AND KNOWN OR REPUTED DRUG TRAFFICKERS. THE REPORT STATES THAT [redacted] CONTROLLED THE DRUG CLIENTS. IT NAMES 26 INDIVIDUALS SAID TO HAVE BEEN DRUG TRAFFICKERS AND DETAILS TRANSACTIONS WITH NUGAN HAND TOTALING DOLS 4.4 MILLION. IT CLAIMS [redacted] WAS AWARE OF THE DRUG ACTIVITIES OF HIS CLIENTS AND ESTABLISHED A BANK OFFICE IN THAILAND FOR THE PURPOSE OF HANDLING DRUG MONEY.

5. (U) ARMS DEALING ACTIVITY. THE REPORT CITES EVIDENCE THAT NUGAN HAND WAS INVOLVED IN OR ATTEMPTED TO BECOME INVOLVED IN THE INTERNATIONAL SALE OF WEAPONS TO RHODESIA AND SOUTH AFRICA, "THOUGH THE EVIDENCE IS INSUFFICIENT TO ACCURATELY DEFINE THE EXTENT OR TO BE CERTAIN OF THE SUCCESS OR OTHERWISE OF THAT ACTIVITY." IN 1979 [redacted]

[redacted]

6. (U) LINKS TO INTELLIGENCE ORGANIZATIONS. THE JOINT TASK FORCE PREFACES THIS SECTION OF THE REPORT BY STATING THAT "IT NEEDS TO BE STRONGLY EMPHASIZED HERE THAT NO CONCLUSION SHOULD BE INFERRED FROM THAT WHICH FOLLOWS, NOR HAS ANY CONCLUSION BEEN REACHED BY THE TASK FORCE, ABOUT ANY US OR OTHER INTELLIGENCE AGENCY HAVING A ROLE IN THE

OPERATION OF NUGAN HAND. IT IS MERELY A STATEMENT OF FACTS AS THEY ARE PRESENTLY UNDERSTOOD TO EXIST AND A DESCRIPTION OF THE ENVIRONMENT UNDER INVESTIGATION." THE REPORT STATES THAT THERE ARE SEVERAL OBSERVABLE STRANDS EXISTING WITHIN NUGAN HAND CONCERNING INTELLIGENCE LINKS:

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-- THERE IS LITTLE DOUBT THAT [redacted] WAS

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-- BEGINNING IN 1977, THE NUGAN HAND COMPANIES "EXPLODED" ON THE INTERNATIONAL SCENE WITH RETIRED US ARMED SERVICE PERSONNEL AND FORMER US GOVERNMENT ADVISERS. THE REPORT THEN LISTS A NUMBER OF INDIVIDUALS WITH CIA OR MILITARY BACKGROUNDS WHO BECAME CONNECTED WITH NUGAN HAND.

7. (U) COLLAPSE OF NUGAN HAND. FRANK NUGAN COMMITTED SUICIDE IN 1980 AND

LIMITED OFFICIAL USE

LIMITED OFFICIAL USE FBB539

PAGE Ø1 CANBER 1Ø793 Ø2 OF Ø2 1ØØ636Z

ACTION EA-12

INFO OCT-ØØ COPY-Ø1 ADS-ØØ INR-1Ø CIAE-ØØ EB-Ø8 DODE-ØØ
NSAE-ØØ SSO-ØØ INM-Ø6 INRE-ØØ OCS-Ø6 JUSE-ØØ DEAE-ØØ
FBIE-ØØ /Ø43 W

------------------Ø4732Ø 1ØØ651Z /1Ø

O 1ØØ617Z NOV 82

FM AMEMBASSY CANBERRA

TO SECSTATE WASHDC IMMEDIATE 9Ø19

INFO AMCONSUL SYDNEY

AMCONSUL MELBOURNE

AMCONSUL PERTH POUCH

AMEMBASSY WELLINGTON

LIMITED OFFICIAL USE SECTION Ø2 OF Ø2 CANBERRA 1Ø793

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8. (U) IN TABLING THE REPORT MINISTER NEWMAN STRESSED THAT WHILE THERE IS EVIDENCE THAT NUGAN HAND VIOLATED AUSTRALIAN LAWS AND WAS ENGAGED IN CRIMINAL ACTIVITY, AND THAT WHILE THERE WAS A PROBABILITY THAT INDIVIDUALS WITH INTELLIGENCE BACKGROUNDS WERE INVOLVED IN THE BANK, THE REPORT HAD REACHED NO CONCLUSION ABOUT ANY US OR OTHER INTELLIGENCE AGENCY HAVING A ROLE IN THE RUNNING OF NUGAN HAND OR INTERFERENCE IN AUSTRALIA'S INTERNAL AFFAIRS. MR. NEWMAN REFERRED TO THE CIA MARCH 26 STATEMENT DISCLAIMING ANY INVOLVEMENT WITH NUGAN HAND.

9. (U) [redacted] [redacted] TOLD PARLIAMENT THAT WHILE HE WAS NOT ACCUSING THE CIA OF ILLEGAL ACTIVITIES, IT NEVERTHELESS APPEARED CLEAR THAT CIA PERSONNEL HAD BEEN INVOLVED IN NUGAN HAND'S ILLEGAL ACTIVITIES AND THAT A "SERIOUS QUESTION

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LIMITED OFFICIAL USE

FOR THAT NATION IS WHETHER INTELLIGENCE AGENCIES HAVE BEEN MORE INTERESTED IN CRIME THAN IN INTELLIGENCE."

10. (LOU) COMMENT: MOST AUSTRALIAN NEWSPAPERS CARRIED FRONT-PAGE STORIES ABOUT THE JOINT COMMISSION REPORT AND HIGHLIGHTED THE INTELLIGENCE CONNECTIONS OF THOSE INVOLVED. THEY NOTED THAT THE REPORT CONTAINS A NUMBER OF DELETIONS, PARTICULARLY CONCERNING [redacted] [redacted]

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HOWEVER, IT HAS BEEN EXPLAINED THAT THE DELETIONS WERE MADE IN ORDER NOT TO JEOPARDIZE FUTURE PROSECUTIONS AND WERE NOT BASED ON NATIONAL SECURITY GROUNDS. THE MEDIA REPORTS THAT FEDERAL AND NEW SOUTH WALES DETECTIVES WILL BE GOING TO THE US AND SOUTHEAST ASIA TO INVESTIGATE FURTHER NUGAN HAND ACTIVITIES. THE JOINT COMMISSION REPORT WILL NO DOUBT FAN INTEREST IN THE AFFAIRS OF NUGAN HAND AND CONTINUE TO LEAD TO SUGGESTIONS IN AUSTRALIA THAT, IN SOME UNKNOWN WAY, THE CIA WAS INVOLVED WITH NUGAN HAND. NESEN

VZCZCWFO 572

RR HQ

D WF 0032 337 2221

ZNR UUUUU

R 032215Z DEC 82

FM FBI WASHINGTON FIELD OFFICE (163-4792)(P)(C-8)

TO DIRECTOR FBI (163-45612) ROUTINE

ATTN: FOREIGN LIAISON UNIT

BT

U N C L A S

NUGAN HAND BANK, FPC

REFERENCE WFO TELETYPE TO BUREAU DATED 12/2/82.

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BT

#0032

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RECEIVED TELETYPE UNIT 3 DEC 82 22 24 z FEDERAL BUREAU OF INVESTIGATION

163-45612-92

DEC 9 1982

AIRTEL

MF

12/3/82

Director, FBI (163-45612)

Legat, Canberra (163-4)

NUGAN HAND BANK; FPC

ReCanairtel 10/14/82.

A review of Bureau files revealed no additional pertinent information regarding [redacted]

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1-Liaison Unit

JEM:mbk
(4)

163-45612-593

DEC 1 1982
MAIL ROOM

Exec AD Inv. ___
Exec AD Adm. ___
Exec AD LES ___
Asst. Dir.:
Adm. Servs. ___
Crim. Inv. ___
Ident. ___
Intell. ___
Laboratory ___
Legal Coun. ___
Plan. & Insp. ___
Rec. Mgnt. ___
Tech. Servs. ___
Training ___
Public Affs. Off. ___
Telephone Rm. ___
Director's Sec'y ___

MAIL ROOM

Memorandum

Exec AD Adm.
Exec AD Inv.
Exec AD LES
Asst. Dir.:
Adm. Servs.
Crim. Inv.
Ident.
Intell.
Laboratory
Legal Coun.
Plan. & Insp.
Rec. Mgnt.
Tech. Servs.
Training
Off. of Cong. & Public Affs.
Telephone Rm.
Director's Sec'y

~~SECRET~~

To : Mr. O. B. Revell OBR/SN

Date 12/1/82

From : S. Klein

Subject : NUGAN HAND BANK

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE.

Van Balen

PURPOSE: To provide results of October 19, 1982, [redacted] (S-1)(S)(U)

RECOMMENDATION: None. For information. (U)

Referral/Consult

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED EXCEPT WHERE SHOWN OTHERWISE.

APPROVED: Adm. Servs. Laboratory
Crim. Inv. OBR Legal Coun.
Director
Exec. AD Adm.
Exec AD Inv.
Exec. AD LES

DETAILS: [redacted] (S-1)(S)

~~Classified by SPSC1/om~~
~~Declassify on: OADR~~

FOI/PA # 284,655
APPEAL #
CIVIL ACT. #
E.O. # 12356
DATE 4/25/89 2/21/90 INITIALS DMS
(S-1) Appropriate Agency

DEC 10 1982

~~SECRET~~
~~Classified by G-3~~
~~Declassify on: OADR~~

~~1 - Mr. Revell~~
1 - Mr. Gilbert
1 - Mr. Klein
1 - Mr. Helterhoff
1 - Mr. Van Balen
1 - Mr. Adamski
1 - Mr. Martin (Liaison Unit)
1 - Mr. Hart
1 - Mr. Hunt

RLH:dfg (10)

10-11-00
CLASSIFIED BY: 39063 ELW/BCE/DK
REASON: 1.5 (C)
DECLASSIFY ON: X 1
363984

Unrecorded Copy Filed In

FBI/DOJ

Memorandum to Mr. O. B. Revell from S. Klein
RE: NUGAN HAND BANK

Referral/Consult

(S-1)(S)

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Referral/Consult

Memorandum to Mr. O. B. Revell from S. Klein
RE: NUGAN HAND BANK

Referral/Consult

(-22-78)

FBI

TRANSMIT VIA:	PRECEDENCE:	CLASSIFICATION:
☐ Teletype	☐ Immediate	☐ TOP SECRET
☐ Facsimile	☐ Priority	☐ SECRET
☐ AIRTEL	☐ Routine	☐ CONFIDENTIAL
		☐ UNCLAS E F T O
		☐ UNCLAS

Date 12/7/82

TO: DIRECTOR, FBI (163-45612)
(ATTENTION: FOREIGN LIAISON UNIT)

FROM: SAC, MIAMI (163-2191) (P)

SUBJECT: NUGAN HAND BANK;
FPC

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 6/16/88 BY SP-5 ...

DE-120

#20369-83

Re Washington Field Office teletype to Bureau, 11/29/82; Miami teletype to Bureau, 12/1/82.

Enclosed for the Bureau are the original and five copies of an LHM, dated and captioned as above for [redacted]

[redacted] b7D

Representative of FBI referred to in LHM is SA [redacted]

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1 enc - 8977 - ... 163-45612-95

DE-120

2 - Bureau (Encs. 6)
2 - Washington Field Office (163-4792) (Enc. 1)
1 - Miami
RAM:nmo
(5)

16 DEC 13 1982

Approved: ______ Transmitted ______ (Number) (Time) Per ______

In Reply, Please Refer to
File No. 163-2191

Miami, Florida
December 7, 1982

DE 120

RE: NUGAN HAND BANK;

On December 1, 1982, [redacted] Assistant United States Attorney and [redacted] Internal Revenue Service Agent, furnished the following information to a representative of the Federal Bureau of Investigation (FBI).

Operation Greenback is a code name for a joint Federal Investigative Task Force comprised of United States Customs, Internal Revenue Service and Drug Enforcement Administration personnel. Operation Greenback commenced in January, of 1980, and is headquartered in Miami, Florida. The purpose and scope of Operation Greenback is to conduct investigations and prosecutions of federal violations involving illegal narcotics money laundering in the United States.

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Operation Greenback initiated investigation on Great American Banks in January, of 1980, and the investigation is continuing at this time. [redacted]

Representatives of Operation Greenback advised their investigation determined [redacted]

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED 308,506
DATE 2/21/90 BY SP5c.1DmS

163-45612-95

ENCLOSURE

RE: NUGAN HAND BANK;

[redacted] was last known to be employed at

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FD-36 (Rev. 5-22-78)

FBI

TRANSMIT VIA:	PRECEDENCE:	CLASSIFICATION:
☐ Teletype	☐ Immediate	☐ TOP SECRET
☐ Facsimile	☐ Priority	☐ SECRET
☐ Airtel	☐ Routine	☐ CONFIDENTIAL
		☐ UNCLAS E F T O
		☐ UNCLAS

Date 12/7/82

TO: DIRECTOR, FBI (163-45612)
ATTN: LIAISON UNIT

FROM: SAC, MIAMI (163-2191) (RUC)

SUBJECT: NUGAN HAND BANK
FPC
OO:FBIHQ

ALL INFORMATION CONTAINED HEREIN IS UNCLASSIFIED DATE 6/16/88 BY SP-5 [illegible]
2/21/90 SPSCIIDMS 308,506
#20369-83

b6
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b7D

Re WFO teletype to Bureau dated 11/24/82 and 12/2/82. Bureau teletype to Alexandria and Miami dated 12/3/82 and Miami airtel to Bureau 12/7/82.

[illegible] - 96

Miami Division considers this matter RUC.

DEC 13 1982

2 - Bureau
2 - Alexandria
2 - WFO (163-4792)
1 - Miami
HTK:les
(7)

Approved: ____________ Transmitted ____________ Per ____________
(Number) (Time)

U.S. GOVERNMENT PRINTING OFFICE : 1982 O - 369-895

(Rev. 5-22-78)

FBI

TRANSMIT VIA:
- ☐ Teletype
- ☐ Facsimile
- ☐ Airtel

PRECEDENCE:
- ☐ Immediate
- ☐ Priority
- ☐ Routine

CLASSIFICATION:
- ☐ TOP SECRET
- ☐ SECRET
- ☐ CONFIDENTIAL
- ☐ UNCLAS E F T O
- ☐ UNCLAS

Date 12/7/82

SECRET

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TO: DIRECTOR, FBI (163-45612)
ATTN: FOREIGN LIAISON UNIT

FROM: SAC, WFO (163-4792) (P) (C-8)

NUGAN HAND BANK
FPC

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE.

#20369-83 SPS
Classified by SPS
Declassify on: OADR
6-15-88

Re WFO teletypes to Bureau dated 12/3/82 and 11/26/82 (copy to Dallas).

Enclosed for the Bureau are the following:

3-Bureau (Enc. 16)
1-Phoenix (163-629) (Info) (Enc. 1)
2-Alexandria (Info) (Enc. 2)
   1-97A-7
   1-58-222
1-WFO

ENCLOSURE

DEC 8 1982

HLS:ldj
(7)

SECRET

Approved: _______ Transmitted _______ (Number) (Time) Per _______

5 JAN 20 1983

U.S. GOVERNMENT PRINTING OFFICE : 1982 O - 369-895

WFO 163-4792

Enclosed for Phoenix is a copy of above described LHM.

Enclosed for Alexandria are two copies of same LHM.

ADMINISTRATIVE

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LEAD

WASHINGTON FIELD OFFICE

AT WASHINGTON, D.C. Will follow with Dallas on lead sent November 26, 1982, and report results.

U.S. Department of Justice

Washington Field Office
Federal Bureau of Investigation
1900 Half Street, SW
Washington, D.C. 20535

In Reply, Please Refer to
File No. 163-4792

December 7, 1982

~~SECRET~~

NUGAN HAND BANK

b6
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On November 15, 1982, [redacted]

[redacted]

[redacted]

[redacted]

~~Classified by SPS~~ 5c1 10ms
~~Declassify on: OADR~~
6-15-88
2/21/90

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE.

FOI/PA # 308,560
APPEAL #
CIVIL ACT. # 20369-83
E.O. # 12356
DATE 6-15-88 INITIALS SPS
2/21/90

163-45612-97

~~SECRET~~

ENCLOSURE

FBI/DOJ

NUGAN HAND BANK

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NUGAN HAND BANK

On November 23, 1982,

On November 24, 1982,

On November 30, 1982,

On November 30, 1982,

On November 30, 1982,

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b7C
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NUGAN HAND BANK

~~SECRET~~

On December 3, 1982,

~~SECRET~~

DECLASSIFICATION AUTHORITY DERIVED FROM:
FBI AUTOMATIC DECLASSIFICATION GUIDE
DATE 01-03-2013

U.S. Department of Justice
Washington Field Office
Federal Bureau of Investigation
1900 Half Street, SW
Washington, D.C. 20535

b6
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b7D

In Reply, Please Refer to
File No. 163-4792

December 7, 1982

NUGAN HAND BANK

~~SECRET~~

On November 15, 1982, [redacted]

[redacted]

[redacted]

[redacted]

~~#20369-83~~
~~Classified by SPS~~ w/akr
~~Declassify on: OADR~~
6-15-88
2-21-90

~~SECRET~~

FOI/PA # 308,506
APPEAL #
CIVIL ACT. # 20369-83
E.O. # 12356
DATE 6-15-88 INITIALS SPS
2/21/90 w/akr
pms

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE.

[redacted]

FBI/DOJ

NUGAN HAND BANK

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b6
b7C
b7D

NUGAN HAND BANK

SECRET

On November 23, 1982,

On November 24, 1982,

On November 30, 1982,

On November 30, 1982,

On November 30, 1982,

SECRET

b6
b7C
b7D

NUGAN HAND BANK

On December 3, 1982,

U.S. Department of Justice

Washington Field Office

Federal Bureau of Investigation

1900 Half Street, SW

Washington, D.C. 20535

In Reply, Please Refer to
File No.163-4792

December 7, 1982

SECRET

NUGAN HAND BANK

On November 15, 1982, [redacted]

b6
b7C
b7D

308,506
20369-83 SPSci/DMS
Classified by SPS ci/ator
Declassify on: OADR
6-15-88
2-21-90

FOI/PA # 308,506
APPEAL #
CIVIL ACT. # 20369-83
E.O. # 12356
DATE 6-15-88 INITIALS SPS
2-21-90 ci/ator DMS

ALL INFORMATION CONTAINED
HEREIN IS UNCLASSIFIED EXCEPT
WHERE SHOWN OTHERWISE.

SECRET

FBI/DOJ

NUGAN HAND BANK

~~SECRET~~

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~~SECRET~~

NUGAN HAND BANK

b6
b7C
b7D

On November 23, 1982,

On November 24, 1982,

On November 30, 1982,

On November 30, 1982,

On November 30, 1982,

b6
b7C
b7D

NUGAN HAND BANK

On December 3, 1982,

~~SECRET~~